



Dec 2008 YTD

4th Quarter 2008

Listed Securities Top 50 Brokers	Advertised Vol (000)	% of Total	% of Vol. Crossed
1UBS	85,690,430	12.53	6
2KNIGHT	73,660,207	10.77	7
3MERRILL LYNCH	71,850,771	10.5	0
4MORGAN STANLEY	70,404,679	10.29	0
5CREDIT SUISSE	48,154,709	7.04	
6DEUTSCHE BANK SECURITIES, IN	42,952,573	6.28	2
7CITIGROUP	42,081,042	6.15	2
8JPMORGAN	33,032,638	4.83	5
9LEHMAN BROTHERS, INC.	26,172,115	3.83	0
10INSTINET CORPORATION	22,831,274	3.34	4
11RBC CAPITAL MARKETS	19,642,426	2.87	5
12GOLDMAN SACHS GROUP	17,862,309	2.61	9
13BANC OF AMERICA SECURITIES LLC	16,449,187	2.4	2
14ITG, INC.	12,572,591	1.84	32
15JEFFERIES & COMPANY, INC.	10,176,224	1.49	11
16WEEDEN & COMPANY, INC.	7,023,001	1.03	14
17SANFORD C. BERNSTEIN COMPANY,	5,359,707	0.78	8
18CANTOR, FITZGERALD & CO., INC.	4,583,804	0.67	14
19BEAR STEARNS AND COMPANY	4,437,071	0.65	8
20BNY CONVERGEX	4,006,289	0.59	2
21OPPENHEIMER & CO	3,504,492	0.51	0
22JONESTRADING INSTITUTIONAL SER	3,259,018	0.48	28
23WACHOVIA SECURITIES	3,230,947	0.47	6
24SUSQUEHANNA INTERNATIONAL GRP.	3,197,594	0.47	1
25FRIEDMAN, BILLINGS, RAMSEY & C	2,890,458	0.42	9
26ROBERT W. BAIRD CO. INCORPORAT	2,827,067	0.41	3
27BTIG - BASS TRADING	2,797,490	0.41	14
28COWEN & CO.	2,744,762	0.4	9
29STIFEL NICOLAUS CAPITAL MARKET	2,712,699	0.4	6
30RAYMOND JAMES	2,402,782	0.35	3
31PIPER JAFFRAY & CO.	2,235,447	0.33	4
32KEEFE, BRUYETTE & WOODS, INC.	2,074,892	0.3	2
33THOMAS WEISEL PARTNERS LLC	2,057,630	0.3	3
34DRESDNER KLEINWORT	1,607,258	0.23	
35HSBC SECURITIES	1,545,237	0.23	0
36BARCLAYS CAPITAL INC.	1,391,796	0.2	0
37KEYBANC CAPITAL MARKETS INC.	1,291,543	0.19	5
38PALI CAPITAL LLC	1,174,334	0.17	12
39CRT CAPITAL GROUP LLC	1,124,628	0.16	37
40WILLIAM BLAIR & COMPANY, LLC.	1,117,396	0.16	5
41FOX-PITT KELTON, INC.	909,497	0.13	4
42MAXIM GROUP LLC	852,828	0.12	6
43SUNTRUST ROBINSON HUMPHREY	848,596	0.12	9

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44JMP SECURITIES	745,607	0.11-	
45MORGAN KEEGAN & CO., INC.	727,698	0.11	7
46LEERINK SWANN & CO.	725,945	0.11	5
47HUDSON SECURITIES	717,538	0.1	2
48THE INTERSTATE GROUP	689,218	0.1	0
49BMO CAPITAL MARKETS	676,145	0.1	18
50BB&T CAPITAL MARKETS	634,218	0.09	2

NASDAQ / OTC - National Market & Small Cap Market Top 50 Brokers	Advertised Vol (000)	% of Total	# of Sec Traded
1KNIGHT	46,085,576	14.02	3297
2UBS	38,857,098	11.82	3230
3MORGAN STANLEY	32,068,873	9.75	3138
4MERRILL LYNCH	23,112,861	7.03	3114
5CREDIT SUISSE	19,461,176	5.92	2951
6CITIGROUP	19,130,333	5.82	2789
7JPMORGAN	15,052,216	4.58	2601
8INSTINET CORPORATION	14,364,253	4.37	2681
9LEHMAN BROTHERS, INC.	13,444,895	4.09	2472
10GOLDMAN SACHS GROUP	12,211,871	3.71	2876
11DEUTSCHE BANK SECURITIES, IN	11,691,900	3.56	2472
12BANC OF AMERICA SECURITIES LLC	9,599,797	2.92	2170
13RBC CAPITAL MARKETS	6,755,908	2.05	1633
14JEFFERIES & COMPANY, INC.	6,432,934	1.96	2109
15ITG, INC.	4,907,398	1.49	2190
16PIPER JAFFRAY & CO.	3,402,571	1.03	1820
17COWEN & CO.	2,935,945	0.89	1499
18WEEDEN & COMPANY, INC.	2,672,726	0.81	2028
19CANTOR, FITZGERALD & CO., INC.	2,515,420	0.77	2224
20THOMAS WEISEL PARTNERS LLC	2,373,607	0.72	1538
21OPPENHEIMER & CO	2,277,896	0.69	1312
22BEAR STEARNS AND COMPANY	2,218,066	0.67	2358
23ROBERT W. BAIRD CO. INCORPORAT	2,210,210	0.67	2306
24WACHOVIA SECURITIES	2,194,386	0.67	1218
25SUSQUEHANNA INTERNATIONAL GRP.	2,007,173	0.61	2605
26BTIG - BASS TRADING	1,931,008	0.59	1588
27JONESTRADING INSTITUTIONAL SER	1,697,640	0.52	1928
28RAYMOND JAMES	1,610,323	0.49	1678
29STIFEL NICOLAUS CAPITAL MARKET	1,410,679	0.43	2524
30SANFORD C. BERNSTEIN COMPANY,	1,371,381	0.42	1369
31BNY CONVERGEX	1,343,349	0.41	2032
32FRIEDMAN, BILLINGS, RAMSEY & C	1,241,675	0.38	1185
33WILLIAM BLAIR & COMPANY, LLC.	1,022,742	0.31	1559
34PALI CAPITAL LLC	999,826	0.3	1282
35MAXIM GROUP LLC	950,396	0.29	1868
36THINKEQUITY PARTNERS	891,670	0.27	837

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37KEEFE, BRUYETTE & WOODS, INC.	803,714	0.24	1100
38NEEDHAM & COMPANY	766,152	0.23	1297
39LEERINK SWANN & CO.	730,582	0.22	739
40BENCHMARK COMPANY, LLC	691,034	0.21	1418
41CANACCORD ADAMS	685,360	0.21	1142
42JMP SECURITIES	647,070	0.2	605
43CRT CAPITAL GROUP LLC	618,572	0.19	360
44HSBC SECURITIES	579,049	0.18	1251
45PACIFIC CREST SECURITIES	524,179	0.16	316
46WEDBUSH MORGAN SECURITIES	520,726	0.16	595
47BMO CAPITAL MARKETS	462,762	0.14	494
48HUDSON SECURITIES	448,241	0.14	1541
49BARCLAYS CAPITAL INC.	431,773	0.13	1196
50MERRIMAN CURHAN FORD & CO.	419,835	0.13	1137

Listed Securities			
Non NY Brokers			
Top 25 Brokers	<u>Advertised</u>		<u>% of Vol.</u>
Broker-Dealer	<u>Vol.(000)</u>		<u>Crossed</u>
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NASDAQ / OTC – National Market

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& Small Cap Market			
Top 25 Non NY Brokers	Advertised		# of
Broker-Dealer	Vol.(000)		Stocks
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Ordinary Securities (Ex-Brazil)	Advertised	% of	# of
Top 25 Brokers	Vol (000)	Total	Stocks
1MORGAN STANLEY	5,287,537	31.77	100
2MERRILL LYNCH	3,827,872	23	78
3JPMORGAN	3,140,706	18.87	104
4CITIGROUP	2,544,118	15.28	113
5CREDIT SUISSE	1,030,269	6.19	88
6GOLDMAN SACHS GROUP	423,157	2.54	26
7BEAR STEARNS AND COMPANY	233,760	1.4	34
8UBS	81,594	0.49	64
9DEUTSCHE BANK SECURITIES, IN	55,254	0.33	42
10LEHMAN BROTHERS, INC.	6,629	0.04	17

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11KNIGHT	5,546	0.03	25
12HSBC SECURITIES	4,115	0.02	7
13MAXIM GROUP LLC	1,505	0.01	2
14ABN-AMRO	989	0.01	7
15DRESDNER KLEINWORT	986	0.01	14
16E-TRADE CAPITAL MARKETS	223	0	1
17RAYMOND JAMES	211	0	4
18STIFEL NICOLAUS CAPITAL MARKET	201	0	3
19JEFFERIES & COMPANY, INC.	168	0	2
20TORONTO DOMINION SECURITIES (U	138	0	1
21GARBAN INSTITUTIONAL	100	0	1
22MORGAN KEEGAN & CO., INC.	90	0	2
23SUNTRUST ROBINSON HUMPHREY	69	0	2
24HUDSON SECURITIES	54	0	3
25ROBERT W. BAIRD CO. INCORPORAT	50	0	6

Ordinary Securities			
Top 25 Brokers	Advertised	% of	# of
Canada	Vol (000)	Total	Stocks
1MORGAN STANLEY	5,751,712	15.65	1231
2KNIGHT	5,515,284	15.01	1152
3UBS	4,791,753	13.04	364
4CREDIT SUISSE	4,676,868	12.73	671
5DEUTSCHE BANK SECURITIES, IN	2,629,709	7.16	568
6MERRILL LYNCH	2,306,823	6.28	481
7CITIGROUP	1,992,644	5.42	1217
8INSTINET CORPORATION	1,067,671	2.91	250
9RBC CAPITAL MARKETS	891,491	2.43	225
10JPMORGAN	853,603	2.32	320
11LEHMAN BROTHERS, INC.	754,086	2.05	334
12TORONTO DOMINION SECURITIES (U	718,602	1.96	293
13GOLDMAN SACHS GROUP	530,475	1.44	345
14ITG, INC.	510,112	1.39	158
15BMO CAPITAL MARKETS	318,212	0.87	100
16BANC OF AMERICA SECURITIES LLC	282,426	0.77	196
17JEFFERIES & COMPANY, INC.	254,005	0.69	367
18SUSQUEHANNA INTERNATIONAL GRP.	249,581	0.68	140
19DRESDNER KLEINWORT	214,767	0.58	720
20THOMAS WEISEL PARTNERS LLC	193,778	0.53	192
21WEEDEN & COMPANY, INC.	139,916	0.38	137
22CANTOR, FITZGERALD & CO., INC.	126,272	0.34	109
23OPPENHEIMER & CO	117,644	0.32	124
24BTIG - BASS TRADING	111,846	0.3	130
25RAYMOND JAMES	100,119	0.27	410

Ordinary Securities

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Top 15 Brokers	Advertised	% of	# of
Europe	Vol (000)	Total	Stocks
1UBS	197,266,424	15.12	3792
2DEUTSCHE BANK SECURITIES, IN	166,023,830	12.72	4062
3LEHMAN BROTHERS, INC.	156,610,793	12	3022
4CREDIT SUISSE	138,975,733	10.65	2885
5CITIGROUP	124,668,304	9.55	3170
6MERRILL LYNCH	76,442,322	5.86	3289
7JPMORGAN	74,812,607	5.73	2675
8GOLDMAN SACHS GROUP	61,639,634	4.72	3564
9MORGAN STANLEY EUROPE	55,778,715	4.27	2638
10ABN-AMRO	50,661,099	3.88	3020
11DRESDNER KLEINWORT	49,008,001	3.76	3900
12CAZENOVE SECURITIES	21,971,237	1.68	1709
13HSBC SECURITIES	20,636,438	1.58	4051
14SHORE CAPITAL STOCKBROKERS LTD	14,966,536	1.15	1108
15ING FINANCIAL MARKETS	12,086,947	0.93	1041

Ordinary Securities	Advertised	% of	# of
Top 15 Brokers	Vol (000)	Total	Stocks
Far East / Pac Rim			
1CITIGROUP	137,809,411	24.22	5283
2DEUTSCHE BANK SECURITIES, IN	132,566,435	23.29	5335
3HSBC SECURITIES	67,380,814	11.84	4496
4MERRILL LYNCH	50,755,858	8.92	3301
5LEHMAN BROTHERS, INC.	38,972,285	6.85	4634
6BNP PARIBAS	24,817,053	4.36	3784
7JPMORGAN	23,977,530	4.21	2200
8ABN-AMRO	21,351,657	3.75	1251
9UBS	20,170,038	3.54	5195
10GOLDMAN SACHS GROUP	13,125,134	2.31	3281
11MORGAN STANLEY ASIA	11,926,680	2.1	419
12BEAR STEARNS AND COMPANY	9,933,650	1.75	2779
13CREDIT SUISSE	5,501,519	0.97	1311
14INSTINET CORPORATION	4,797,581	0.84	2453
15DRESDNER KLEINWORT	2,727,781	0.48	1867

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Ordinary Securities Top 15 Brokers Latin America (Ex. Brazil)	Advertised Vol (000)	% of Total	# of Stocks
1MORGAN STANLEY	5,287,537	31.77	100
2MERRILL LYNCH	3,827,872	23	78
3JPMORGAN	3,140,706	18.87	104
4CITIGROUP	2,544,118	15.28	113
5CREDIT SUISSE	1,030,269	6.19	88
6GOLDMAN SACHS GROUP	423,157	2.54	26
7BEAR STEARNS AND COMPANY	233,760	1.4	34
8UBS	81,594	0.49	64
9DEUTSCHE BANK SECURITIES, IN	55,254	0.33	42
10LEHMAN BROTHERS, INC.	6,629	0.04	17
11KNIGHT	5,546	0.03	25
12HSBC SECURITIES	4,115	0.02	7
13MAXIM GROUP LLC	1,505	0.01	2
14ABN-AMRO	989	0.01	7
15DRESDNER KLEINWORT	986	0.01	14

ADR Securities Top 15 Brokers Argentina	Advertised Vol (000)	% of Total	# of Stocks
1MERRILL LYNCH	75,351	18.43	14
2KNIGHT	56,338	13.78	13
3UBS	50,740	12.41	13
4MORGAN STANLEY	43,796	10.71	13
5BULLTICK	39,692	9.71	13
6DEUTSCHE BANK SECURITIES, IN	29,320	7.17	11
7CREDIT SUISSE	19,249	4.71	13
8CITIGROUP	17,954	4.39	10
9JPMORGAN	16,119	3.94	11
10BEAR STEARNS AND COMPANY	13,890	3.4	10
11RAYMOND JAMES	10,812	2.64	8
12INSTINET CORPORATION	8,043	1.97	10
13LEHMAN BROTHERS, INC.	5,661	1.38	12
14ITG, INC.	3,325	0.81	9
15WEEDEN & COMPANY, INC.	2,706	0.66	8

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ADR Securities			
Top 15 Brokers	Advertised	% of	# of
Hong Kong	Vol (000)	Total	Stocks
1MORGAN STANLEY ASIA	209,075	12.93	1
2UBS	185,278	11.46	56
3KNIGHT	169,206	10.46	65
4JPMORGAN	140,028	8.66	32
5MORGAN STANLEY	139,921	8.65	53
6MERRILL LYNCH	138,544	8.57	67
7DEUTSCHE BANK SECURITIES, IN	136,035	8.41	14
8CITIGROUP	126,197	7.8	42
9HSBC SECURITIES	71,896	4.45	25
10CREDIT SUISSE	49,089	3.04	28
11INSTINET CORPORATION	47,141	2.91	26
12GOLDMAN SACHS GROUP	41,393	2.56	54
13LEHMAN BROTHERS, INC.	36,428	2.25	27
14SUSQUEHANNA INTERNATIONAL GRP.	32,312	2	9
15BANC OF AMERICA SECURITIES LLC	11,946	0.74	18

Ordinary Securities			
Top 25 Brokers	Advertised	% of	# of
United Kingdom	Vol (000)	Total	Stocks
1UBS	135,698,678	16.89	1540
2LEHMAN BROTHERS, INC.	96,108,538	11.96	920
3DEUTSCHE BANK SECURITIES, IN	92,287,759	11.48	1532
4CREDIT SUISSE	80,471,482	10.01	998
5CITIGROUP	74,653,879	9.29	1141
6MERRILL LYNCH	54,966,160	6.84	1185
7GOLDMAN SACHS GROUP	38,932,647	4.84	1096
8MORGAN STANLEY EUROPE	33,909,897	4.22	1049
9JPMORGAN	33,859,522	4.21	920
10ABN-AMRO	32,950,314	4.1	1043
11DRESDNER KLEINWORT	29,246,262	3.64	1367
12CAZENOVE SECURITIES	20,103,903	2.5	908
13SHORE CAPITAL STOCKBROKERS LTD	14,824,915	1.84	1077
14HSBC SECURITIES	14,676,058	1.83	2171
15EVOLUTION SECURITIES	8,262,084	1.03	692
16NUMIS SECURITIES LIMITED	7,220,863	0.9	572
17INVESTEC SECURITIES LTD	6,734,913	0.84	333
18BEAR STEARNS AND COMPANY	4,056,397	0.5	631
19SANFORD C. BERNSTEIN COMPANY,	3,836,274	0.48	432
20PANMURE & CO. LTD	3,440,549	0.43	366

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21EXANE BNP PARIBAS	3,194,311	0.4	359
22FOX-PITT KELTON, INC.	2,892,955	0.36	165
23ARBUTHNOT	2,212,730	0.28	480
24LANDSBANKI.	2,041,626	0.25	522
25INSTINET CORPORATION	2,036,295	0.25	733

Listed Securities			
Top 10 Brokers	Average		# of
Average Vol. Per Security	Vol (000)		Stocks
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NASDAQ/OTC Securities			
Top 10 Brokers	Average		# of
Average Vol. Per Security	Vol (000)		Stocks
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