



June 2009 YTD

Special Insert

<b>NASDAQ – National Market &amp; Small Cap Market</b>	<b>Adv.</b>	<b># of</b>
<b>Top 25 (Securities Traded 1-100)</b>	<b>Vol. (000)</b>	<b>Stocks</b>
1FELTL & COMPANY	1,424	61
2MORGAN STANLEY EUROPE	921	7
3AUTEX	750	2
4KEPLER EQUITIES	590	5
5CAZENOVE SECURITIES	492	15
6ING FINANCIAL MARKETS	467	10
7EXANE BNP PARIBAS	459	2
8SANDERS MORRIS HARRIS	448	41
9NOMURA INTERNATIONAL PLC	446	15
10HEFLIN & CO., LLC	322	2
11ABEL NOSER CORP	303	54
12DOUGHERTY & COMPANY LLC	276	71
13AURIGA USA	259	18
14MAGNA SECURITIES CORP.	243	22
15LADENBURG THALMANN & CO., INC	194	33
16PACIFIC CREST SECURITIES	144	39
17TRISTONE CAPITAL INC.	139	41
18GREEN STREET ADVISORS	123	25
19Melvin Securities	122	8
20HUNTLEIGH SECURITIES CORP.	119	13
21DAVENPORT & COMPANY	115	16
22JEFFERIES INTERNATIONAL LTD.	115	1
23B. RILEY AND CO	113	65
24THE BUCKINGHAM RESEARCH GROUP,	87	47
25FIDELITY CAPITAL MARKETS	75	1

<b>NASDAQ – National Market &amp; Small Cap Market</b>	<b>Adv.</b>	<b># of</b>
<b>Top 25 (Securities Traded 1-250)</b>	<b>Vol. (000)</b>	<b>Stocks</b>
1FELTL & COMPANY	1,424	61
2CRAIG-HALLUM CAPITAL GROUP	1,381	129
3CRT CAPITAL GROUP LLC	1,230	169
4MORGAN STANLEY EUROPE	921	7
5AUTEX	750	2
6KEPLER EQUITIES	590	5
7CAZENOVE SECURITIES	492	15
8ING FINANCIAL MARKETS	467	10
9EXANE BNP PARIBAS	459	2
10SANDERS MORRIS HARRIS	448	41
11NOMURA INTERNATIONAL PLC	446	15
12NORTHLAND SECURITIES	424	118
13AMERICAN TECHNOLOGY RESEARCH	353	245
14HEFLIN & CO., LLC	322	2
15ABEL NOSER CORP	303	54

NOTE: \*\* Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

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16SANDLER O'NEIL & PARTNERS, L.P	290	140
17DOUGHERTY & COMPANY LLC	276	71
18OTA OFF THE RECORD RESEARCH	271	143
19AVIAN SECURITIES	263	219
20ABN-AMRO	260	167
21AURIGA USA	259	18
22DAHLMAN ROSE & CO., LLC.	252	208
23MAGNA SECURITIES CORP.	243	22
24LADENBURG THALMANN & CO., INC	194	33
25SIMMONS AND COMPANY INTERNATIO	179	128

<b>NASDAQ – National Market &amp; Small Cap Market</b>	<b>Adv.</b>	<b># of</b>
<b>Top 25 (Securities Traded 1-500)</b>	<b>Vol. (000)</b>	<b>Stocks</b>
1FELTL & COMPANY	1,424	61
2CRAIG-HALLUM CAPITAL GROUP	1,381	129
3CRT CAPITAL GROUP LLC	1,230	169
4MORGAN STANLEY EUROPE	921	7
5AUTEX	750	2
6JMP SECURITIES	730	463
7BMO CAPITAL MARKETS	728	325
8LEERINK SWANN & CO.	720	504
9KEPLER EQUITIES	590	5
10CAZENOVE SECURITIES	492	15
11ING FINANCIAL MARKETS	467	10
12EXANE BNP PARIBAS	459	2
13SANDERS MORRIS HARRIS	448	41
14NOMURA INTERNATIONAL PLC	446	15
15NORTHLAND SECURITIES	424	118
16FOX-PITT KELTON, INC.	375	302
17AMERICAN TECHNOLOGY RESEARCH	353	245
18HEFLIN & CO., LLC	322	2
19CALYON SECURITIES USA	313	255
20ABEL NOSER CORP	303	54
21MAXIM GROUP LLC	296	404
22D.A. DAVIDSON & CO.	295	443
23SANDLER O'NEIL & PARTNERS, L.P	290	140
24DOUGHERTY & COMPANY LLC	276	71
25OTA OFF THE RECORD RESEARCH	271	143

<b>NASDAQ – National Market &amp; Small Cap Market</b>	<b>Adv.</b>	<b># of</b>
<b>Top 25 (Securities Traded 1-750)</b>	<b>Vol. (000)</b>	<b>Stocks</b>
1FELTL & COMPANY	1,424	61
2CRAIG-HALLUM CAPITAL GROUP	1,381	129
3CRT CAPITAL GROUP LLC	1,230	169
4FRIEDMAN, BILLINGS, RAMSEY & C	1,047	753
5MORGAN STANLEY EUROPE	921	7

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6JMP SECURITIES	730	463
7BMO CAPITAL MARKETS	728	325
8LEERINK SWANN & CO.	720	504
9WEDBUSH MORGAN SECURITIES	640	523
10WACHOVIA SECURITIES	624	626
11KEPLER EQUITIES	590	5
12CAZENOVE SECURITIES	492	15
13THINKEQUITY PARTNERS	471	593
14ING FINANCIAL MARKETS	467	10
15EXANE BNP PARIBAS	459	2
16SANDERS MORRIS HARRIS	448	41
17NOMURA INTERNATIONAL PLC	446	15
18NORTHLAND SECURITIES	424	118
19FOX-PITT KELTON, INC.	375	302
20MORGAN KEEGAN & CO., INC.	359	562
21AMERICAN TECHNOLOGY RESEARCH	353	245
22KEYBANC CAPITAL MARKETS INC.	342	515
23HEFLIN & CO., LLC	322	2
24CALYON SECURITIES USA	313	255
25ABEL NOSER CORP	303	54

<b>Listed Brokers</b>	<b>Adv.</b>	<b># of</b>
<b>Top 25 (Securities Traded 1-500)</b>	<b>Vol. (000)</b>	<b>Stocks</b>
1CARIS & COMPANY	3,700	2
2CRAIG-HALLUM CAPITAL GROUP	1,323	23
3CRT CAPITAL GROUP LLC	1,249	421
4CAZENOVE SECURITIES	1,031	24
5ING FINANCIAL MARKETS	1,001	2
6HILL THOMPSON MAGID CO. INC.	815	12
7GREEN STREET ADVISORS	767	257
8BULLTICK	699	487
9SIMMONS AND COMPANY INTERNATIO	640	443
10SHORE CAPITAL STOCKBROKERS LTD	600	1
11NUMIS SECURITIES LIMITED	474	3
12MILLER TABAK HIRSCH & CO.	446	1
13ARBUTHNOT	392	1
14MORGAN STANLEY EUROPE	388	10
15HEFLIN & CO., LLC	353	19
16PACIFIC CREST SECURITIES	346	10
17THINKEQUITY PARTNERS	339	413
18NOMURA INTERNATIONAL PLC	333	49
19AUTEX	330	1
20ABEL NOSER CORP	328	158
21HUNTLEIGH SECURITIES CORP.	307	9
22AVIAN SECURITIES	289	301
23TRISTONE CAPITAL INC.	285	187
24JOHNSON RICE & COMPANY	282	493

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25AURIGA USA

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<b>Listed Brokers</b>	<b>Adv.</b>	<b># of</b>
<b>Top 25 (Securities Traded 1-1000)</b>	<b>Vol. (000)</b>	<b>Stocks</b>
1CARIS & COMPANY	3,700	2
2CRAIG-HALLUM CAPITAL GROUP	1,323	23
3CRT CAPITAL GROUP LLC	1,249	421
4CAZENOVE SECURITIES	1,031	24
5ING FINANCIAL MARKETS	1,001	2
6LEERINK SWANN & CO.	854	522
7HILL THOMPSON MAGID CO. INC.	815	12
8GREEN STREET ADVISORS	767	257
9JMP SECURITIES	745	657
10BULLTICK	699	487
11SIMMONS AND COMPANY INTERNATIO	640	443
12SHORE CAPITAL STOCKBROKERS LTD	600	1
13FOX-PITT KELTON, INC.	579	725
14NUMIS SECURITIES LIMITED	474	3
15MILLER TABAK HIRSCH & CO.	446	1
16CALYON SECURITIES USA	444	606
17ARBUTHNOT	392	1
18MORGAN STANLEY EUROPE	388	10
19BMO CAPITAL MARKETS	385	985
20DRESDNER KLEINWORT	369	993
21HEFLIN & CO., LLC	353	19
22STERNE AGEE & LEACH, INC.	346	917
23PACIFIC CREST SECURITIES	346	10
24THINKEQUITY PARTNERS	339	413
25NOMURA INTERNATIONAL PLC	333	49

<b>Listed Brokers</b>	<b>Adv.</b>	<b># of</b>
<b>Top 25 (Securities Traded 1-1750)</b>	<b>Vol. (000)</b>	<b>Stocks</b>
1CARIS & COMPANY	3,700	2
2SANFORD C. BERNSTEIN COMPANY,	1,615	1557
3BTIG - BASS TRADING	1,561	1311
4FRIEDMAN, BILLINGS, RAMSEY & C	1,388	1126
5CRAIG-HALLUM CAPITAL GROUP	1,323	23
6COWEN & CO.	1,281	1466
7CRT CAPITAL GROUP LLC	1,249	421
8CANTOR, FITZGERALD & CO., INC.	1,126	1682
9OPPENHEIMER & CO	1,073	1659
10CAZENOVE SECURITIES	1,031	24
11ING FINANCIAL MARKETS	1,001	2

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12KEEFE, BRUYETTE & WOODS, INC.	917	1211
13WACHOVIA SECURITIES	909	1114
14LEERINK SWANN & CO.	854	522
15THOMAS WEISEL PARTNERS LLC	850	1422
16HILL THOMPSON MAGID CO. INC.	815	12
17PIPER JAFFRAY & CO.	779	1704
18GREEN STREET ADVISORS	767	257
19RAYMOND JAMES	759	1626
20HSBC SECURITIES	747	1613
21JMP SECURITIES	745	657
22BULLTICK	699	487
23SIMMONS AND COMPANY INTERNATIO	640	443
24HUDSON SECURITIES	617	1228
25SHORE CAPITAL STOCKBROKERS LTD	600	1

<b>Listed Brokers</b>	<b>Adv.</b>	<b># of</b>
<b>Top 25 (Securities Traded 1-2500)</b>	<b>Vol. (000)</b>	<b>Stocks</b>
1DEUTSCHE BANK SECURITIES, IN	7,523	2264
2RBC CAPITAL MARKETS	4,504	2456
3BARCLAYS CAPITAL INC.	4,445	2298
4INSTINET CORPORATION	4,379	2275
5CARIS & COMPANY	3,700	2
6ITG, INC.	3,161	1903
7JEFFERIES & COMPANY, INC.	2,533	1928
8STIFEL NICOLAUS CAPITAL MARKET	2,228	1996
9WEEDEN & COMPANY, INC.	2,048	1892
10SANFORD C. BERNSTEIN COMPANY,	1,615	1557
11BTIG - BASS TRADING	1,561	1311
12SUSQUEHANNA INTERNATIONAL GRP.	1,513	2081
13FRIEDMAN, BILLINGS, RAMSEY & C	1,388	1126
14CRAIG-HALLUM CAPITAL GROUP	1,323	23
15COWEN & CO.	1,281	1466
16CRT CAPITAL GROUP LLC	1,249	421
17CANTOR, FITZGERALD & CO., INC.	1,126	1682
18OPPENHEIMER & CO	1,073	1659
19CAZENOVE SECURITIES	1,031	24
20ING FINANCIAL MARKETS	1,001	2
21BNY CONVERGEX	970	2152
22KEEFE, BRUYETTE & WOODS, INC.	917	1211
23WACHOVIA SECURITIES	909	1114
24LEERINK SWANN & CO.	854	522
25THOMAS WEISEL PARTNERS LLC	850	1422

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