



June 2009 YTD

2nd Quarter 2009

Listed Securities Top 50 Brokers	Advertised Vol (000)	% of Total	% of Vol. Crossed
1KNIGHT	67,432,783	15.92	12
2UBS	58,292,403	13.76	10
3CITIGROUP	45,960,120	10.85	1
4CREDIT SUISSE	42,645,490	10.07-	
5MERRILL LYNCH	36,854,365	8.7	0
6MORGAN STANLEY	35,011,789	8.27-	
7JPMORGAN	17,371,446	4.1	0
8DEUTSCHE BANK SECURITIES, IN	17,031,361	4.02	0
9GOLDMAN SACHS GROUP	11,734,248	2.77	5
10RBC CAPITAL MARKETS	11,061,417	2.61	5
11BARCLAYS CAPITAL INC.	10,214,166	2.41	0
12INSTINET CORPORATION	9,962,487	2.35	4
13ITG, INC.	6,015,890	1.42	30
14JEFFERIES & COMPANY, INC.	4,884,584	1.15	6
15STIFEL NICOLAUS CAPITAL MARKET	4,446,228	1.05	9
16WEEDEN & COMPANY, INC.	3,874,318	0.91	16
17SUSQUEHANNA INTERNATIONAL GRP.	3,149,357	0.74	1
18SANFORD C. BERNSTEIN COMPANY,	2,514,305	0.59	8
19BNY CONVERGEX	2,088,477	0.49	1
20BTIG - BASS TRADING	2,047,030	0.48	8
21CANTOR, FITZGERALD & CO., INC.	1,894,578	0.45	5
22COWEN & CO.	1,878,514	0.44	10
23OPPENHEIMER & CO	1,780,403	0.42	0
24ROBERT W. BAIRD CO. INCORPORAT	1,586,922	0.37	5
25FRIEDMAN, BILLINGS, RAMSEY & C	1,562,406	0.37	10
26JONESTRADING INSTITUTIONAL SER	1,389,171	0.33	20
27PIPER JAFFRAY & CO.	1,327,490	0.31	3
28RAYMOND JAMES	1,234,782	0.29	4
29THOMAS WEISEL PARTNERS LLC	1,209,379	0.29	2
30HSBC SECURITIES	1,204,687	0.28	0
31KEEFE, BRUYETTE & WOODS, INC.	1,110,459	0.26	1
32WACHOVIA SECURITIES	1,012,333	0.24	3
33HUDSON SECURITIES	757,189	0.18	1
34BANC OF AMERICA SECURITIES LLC	736,075	0.17	0
35KEYBANC CAPITAL MARKETS INC.	673,382	0.16	4
36WILLIAM BLAIR & COMPANY, LLC.	667,941	0.16	4
37CRT CAPITAL GROUP LLC	525,621	0.12	50
38MORGAN KEEGAN & CO., INC.	507,232	0.12	3
39BENCHMARK COMPANY, LLC	504,822	0.12	1
40JMP SECURITIES	489,372	0.12-	
41SUNTRUST ROBINSON HUMPHREY	465,366	0.11	11
42LEERINK SWANN & CO.	445,768	0.11	5
43FOX-PITT KELTON, INC.	420,045	0.1	3

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44BMO CAPITAL MARKETS	379,409	0.09	10
45LAZARD CAPITAL MARKETS	374,502	0.09	4
46DRESDNER KLEINWORT	366,053	0.09-	
47BULLTICK	340,265	0.08-	
48LABRANCHE FINANCIAL SERVICES	331,744	0.08	5
49STERNE AGEE & LEACH, INC.	317,082	0.07	2
50SIMMONS AND COMPANY INTERNATIO	283,300	0.07-	

NASDAQ / OTC - National Market & Small Cap Market Top 50 Brokers	Advertised Vol (000)	% of Total	# of Sec Traded
1KNIGHT	37,662,651	22.05	3012
2UBS	20,398,869	11.94	2955
3CITIGROUP	17,018,901	9.96	2855
4MORGAN STANLEY	13,357,281	7.82	2727
5CREDIT SUISSE	12,565,688	7.36	2641
6MERRILL LYNCH	10,212,056	5.98	2721
7JPMORGAN	6,768,451	3.96	2347
8GOLDMAN SACHS GROUP	6,444,335	3.77	2443
9INSTINET CORPORATION	4,559,715	2.67	2286
10DEUTSCHE BANK SECURITIES, IN	4,500,158	2.63	2124
11BARCLAYS CAPITAL INC.	3,704,462	2.17	1850
12RBC CAPITAL MARKETS	3,696,467	2.16	2095
13JEFFERIES & COMPANY, INC.	2,985,787	1.75	1630
14ITG, INC.	2,373,953	1.39	1888
15PIPER JAFFRAY & CO.	1,471,091	0.86	1533
16BTIG - BASS TRADING	1,423,349	0.83	1224
17COWEN & CO.	1,352,488	0.79	1206
18WEEDEN & COMPANY, INC.	1,226,910	0.72	1661
19SUSQUEHANNA INTERNATIONAL GRP.	1,214,117	0.71	1984
20THOMAS WEISEL PARTNERS LLC	1,155,701	0.68	1172
21OPPENHEIMER & CO	1,116,785	0.65	1174
22ROBERT W. BAIRD CO. INCORPORAT	1,101,650	0.64	1974
23CANTOR, FITZGERALD & CO., INC.	977,407	0.57	1552
24STIFEL NICOLAUS CAPITAL MARKET	876,378	0.51	1974
25BNY CONVERGEX	816,611	0.48	1986
26FRIEDMAN, BILLINGS, RAMSEY & C	788,230	0.46	753
27JONESTRADING INSTITUTIONAL SER	773,181	0.45	1573
28SANFORD C. BERNSTEIN COMPANY,	751,931	0.44	929
29RAYMOND JAMES	559,218	0.33	2326
30HSBC SECURITIES	499,778	0.29	1369
31WILLIAM BLAIR & COMPANY, LLC.	488,855	0.29	1194
32HUDSON SECURITIES	422,121	0.25	1163
33WACHOVIA SECURITIES	390,651	0.23	626
34BENCHMARK COMPANY, LLC	373,033	0.22	926
35KEEFE, BRUYETTE & WOODS, INC.	369,235	0.22	794
36LEERINK SWANN & CO.	363,027	0.21	504

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37NEEDHAM & COMPANY	349,850	0.2	1081
38JMP SECURITIES	338,183	0.2	463
39WEDBUSH MORGAN SECURITIES	334,649	0.2	523
40BANC OF AMERICA SECURITIES LLC	313,906	0.18	1384
41CANACCORD ADAMS	292,735	0.17	777
42THINKEQUITY PARTNERS	279,596	0.16	593
43BMO CAPITAL MARKETS	236,459	0.14	325
44CRT CAPITAL GROUP LLC	207,804	0.12	169
45MORGAN KEEGAN & CO., INC.	201,726	0.12	562
46STEPHENS INC.	188,335	0.11	734
47CRAIG-HALLUM CAPITAL GROUP	178,099	0.1	129
48KEYBANC CAPITAL MARKETS INC.	176,190	0.1	515
49SUNTRUST ROBINSON HUMPHREY	164,939	0.1	794
50PALI CAPITAL LLC	162,595	0.1	587

Listed Securities			
Non NY Brokers			
Top 25 Brokers	Advertised		% of Vol.
Broker-Dealer	Vol.(000)		Crossed
1WEEDEN & COMPANY, INC.	3,874,318		16
2SUSQUEHANNA INTERNATIONAL GRP.	3,149,357		1
3CANTOR, FITZGERALD & CO., INC.	1,894,578		5
4ROBERT W. BAIRD CO. INCORPORAT	1,586,922		5
5FRIEDMAN, BILLINGS, RAMSEY & C	1,562,406		10
6THOMAS WEISEL PARTNERS LLC	1,209,379		2
7WACHOVIA SECURITIES	1,012,333		3
8BANC OF AMERICA SECURITIES LLC	736,075		0
9WILLIAM BLAIR & COMPANY, LLC.	667,941		4
10MORGAN KEEGAN & CO., INC.	507,232		3
11BULLTICK	340,265		-
12SIMMONS AND COMPANY INTERNATIO	283,300		-
13CAPITAL INSTITUTIONAL SERVICES	276,615		1
14THE INTERSTATE GROUP	201,269		1
15GREEN STREET ADVISORS	197,197		2
16JANNEY MONTGOMERY SCOTT, INC.	189,229		-
17WEDBUSH MORGAN SECURITIES	186,795		3
18STEPHENS INC.	186,335		3
19MIDWEST RESEARCH	164,052		1
20MKM PARTNERS	143,785		3
21THINKEQUITY PARTNERS	140,110		7
22JOHNSON RICE & COMPANY	139,153		7
23ABN-AMRO	135,267		-
24ROCHDALE SECURITIES	100,066		8
25D.A. DAVIDSON & CO.	90,701		2

NASDAQ / OTC – National Market

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& Small Cap Market			
Top 25 Non NY Brokers	Advertised		# of
Broker-Dealer	Vol.(000)		Stocks
1WEEDEN & COMPANY, INC.	1,226,910		1,661
2SUSQUEHANNA INTERNATIONAL GRP.	1,214,117		1,984
3THOMAS WEISEL PARTNERS LLC	1,155,701		1,172
4ROBERT W. BAIRD CO. INCORPORAT	1,101,650		1,974
5FRIEDMAN, BILLINGS, RAMSEY & C	788,230		753
6WILLIAM BLAIR & COMPANY, LLC.	488,855		1,194
7WACHOVIA SECURITIES	390,651		626
8WEDBUSH MORGAN SECURITIES	334,649		523
9BANC OF AMERICA SECURITIES LLC	313,906		1,384
10THINKEQUITY PARTNERS	279,596		593
11MORGAN KEEGAN & CO., INC.	201,726		562
12STEPHENS INC.	188,335		734
13CRAIG-HALLUM CAPITAL GROUP	178,099		129
14D.A. DAVIDSON & CO.	130,538		443
15JANNEY MONTGOMERY SCOTT, INC.	128,992		1,623
16CAPITAL INSTITUTIONAL SERVICES	86,340		494
17MKM PARTNERS	44,879		319
18MIDWEST RESEARCH	43,726		261
19JOHNSON RICE & COMPANY	37,391		228
20THE INTERSTATE GROUP	34,541		523
21ROCHDALE SECURITIES	25,296		312
22SIMMONS AND COMPANY INTERNATIO	22,872		128
23BULLTICK	22,221		191
24PACIFIC GROWTH EQUITIES	19,901		116
25SANDERS MORRIS HARRIS	18,373		41

Ordinary Securities (Ex-Brazil)	Advertised	% of	# of
Top 25 Brokers	Vol (000)	Total	Stocks
1MERRILL LYNCH	7,651,877	45.66	119
2MORGAN STANLEY	3,124,255	18.64	78
3CREDIT SUISSE	3,117,291	18.6	93
4JPMORGAN	1,236,455	7.38	88
5CITIGROUP	1,131,093	6.75	98
6GOLDMAN SACHS GROUP	247,019	1.47	14
7UBS	119,931	0.72	65
8DEUTSCHE BANK SECURITIES, IN	87,739	0.52	38
9RAYMOND JAMES	37,607	0.22	7
10HSBC SECURITIES	2,934	0.02	3

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11KNIGHT	906	0.01	13
12WEEDEN & COMPANY, INC.	878	0.01	4
13MAXIM GROUP LLC	740	0	2
14DRESDNER KLEINWORT	359	0	4
15JANNEY MONTGOMERY SCOTT, INC.	121	0	7
16RBC CAPITAL MARKETS	119	0	5
17Tradewire Securities	70	0	1
18LABRANCHE FINANCIAL SERVICES	61	0	2
19ABN-AMRO	20	0	1
20BNY CONVERGEX	20	0	1
21STIFEL NICOLAUS CAPITAL MARKET	13	0	2
22JEFFERIES & COMPANY, INC.	10	0	1
23D.A. DAVIDSON & CO.	8	0	1
24ROBERT W. BAIRD CO. INCORPORAT	5	0	3
25INSTINET CORPORATION	4	0	1

Ordinary Securities			
Top 25 Brokers	Advertised	% of	# of
Canada	Vol (000)	Total	Stocks
1KNIGHT	3,992,115	20.34	608
2CREDIT SUISSE	2,943,055	14.99	489
3MORGAN STANLEY	2,651,194	13.51	995
4UBS	2,198,399	11.2	240
5CITIGROUP	1,820,225	9.27	917
6DEUTSCHE BANK SECURITIES, IN	1,146,592	5.84	402
7MERRILL LYNCH	1,053,109	5.36	348
8JPMORGAN	542,040	2.76	520
9INSTINET CORPORATION	417,539	2.13	194
10THOMAS WEISEL PARTNERS LLC	398,156	2.03	227
11RBC CAPITAL MARKETS	392,914	2	614
12GOLDMAN SACHS GROUP	268,356	1.37	231
13BARCLAYS CAPITAL INC.	180,851	0.92	148
14BMO CAPITAL MARKETS	176,928	0.9	75
15JEFFERIES & COMPANY, INC.	131,376	0.67	226
16ITG, INC.	107,748	0.55	103
17SUSQUEHANNA INTERNATIONAL GRP.	101,875	0.52	118
18BTIG - BASS TRADING	88,657	0.45	96
19HUDSON SECURITIES	71,760	0.37	155
20RAYMOND JAMES	62,811	0.32	484
21WEEDEN & COMPANY, INC.	60,127	0.31	116
22CANTOR, FITZGERALD & CO., INC.	52,453	0.27	72
23OPPENHEIMER & CO	48,681	0.25	84
24JONESTRADING INSTITUTIONAL SER	45,040	0.23	122
25HSBC SECURITIES	43,096	0.22	118

Ordinary Securities

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Top 15 Brokers	Advertised	% of	# of
Europe	Vol (000)	Total	Stocks
1DEUTSCHE BANK SECURITIES, IN	86,809,180	16.02	2999
2UBS	81,855,526	15.11	2585
3CREDIT SUISSE	72,725,577	13.42	2453
4CITIGROUP	51,050,132	9.42	2417
5JPMORGAN	37,783,367	6.97	2169
6MORGAN STANLEY EUROPE	27,881,561	5.15	1967
7MERRILL LYNCH	27,838,558	5.14	2343
8GOLDMAN SACHS GROUP	25,964,916	4.79	2351
9NOMURA INTERNATIONAL PLC	23,063,586	4.26	2217
10ABN-AMRO	20,756,449	3.83	2248
11HSBC SECURITIES	14,933,302	2.76	3327
12SHORE CAPITAL STOCKBROKERS LTD	14,738,463	2.72	898
13CAZENOVE SECURITIES	13,923,427	2.57	1370
14EXANE BNP PARIBAS	5,784,553	1.07	983
15INVESTEC SECURITIES LTD	5,055,935	0.93	320

Ordinary Securities	Advertised	% of	# of
Top 15 Brokers	Vol (000)	Total	Stocks
Far East / Pac Rim			
1DEUTSCHE BANK SECURITIES, IN	47,776,440	20.67	4232
2CITIGROUP	35,179,905	15.22	3754
3JPMORGAN	34,287,654	14.84	2321
4GOLDMAN SACHS GROUP	31,001,667	13.41	3414
5HSBC SECURITIES	22,016,315	9.53	3159
6UBS	19,413,139	8.4	4191
7MORGAN STANLEY ASIA	16,184,190	7	388
8MERRILL LYNCH	15,049,255	6.51	1848
9ABN-AMRO	6,271,899	2.71	1196
10INSTINET CORPORATION	1,884,758	0.82	1819
11SHORE CAPITAL STOCKBROKERS LTD	509,778	0.22	32
12DRESDNER KLEINWORT	469,356	0.2	996
13CREDIT SUISSE	216,918	0.09	165
14THOMAS WEISEL PARTNERS LLC	189,428	0.08	8
15KNIGHT	181,673	0.08	372

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Ordinary Securities Top 15 Brokers Latin America (Ex. Brazil)	Advertised Vol (000)	% of Total	# of Stocks
1MERRILL LYNCH	7,651,877	45.66	119
2MORGAN STANLEY	3,124,255	18.64	78
3CREDIT SUISSE	3,117,291	18.6	93
4JPMORGAN	1,236,455	7.38	88
5CITIGROUP	1,131,093	6.75	98
6GOLDMAN SACHS GROUP	247,019	1.47	14
7UBS	119,931	0.72	65
8DEUTSCHE BANK SECURITIES, IN	87,739	0.52	38
9RAYMOND JAMES	37,607	0.22	7
10HSBC SECURITIES	2,934	0.02	3
11KNIGHT	906	0.01	13
12WEEDEN & COMPANY, INC.	878	0.01	4
13MAXIM GROUP LLC	740	0	2
14DRESDNER KLEINWORT	359	0	4
15JANNEY MONTGOMERY SCOTT, INC.	121	0	7

ADR Securities Top 15 Brokers Argentina	Advertised Vol (000)	% of Total	# of Stocks
1KNIGHT	29,338	22.73	13
2MERRILL LYNCH	21,215	16.44	13
3BULLTICK	13,801	10.69	13
4UBS	12,426	9.63	13
5CITIGROUP	11,678	9.05	12
6JPMORGAN	8,507	6.59	11
7DEUTSCHE BANK SECURITIES, IN	7,563	5.86	11
8MORGAN STANLEY	6,261	4.85	14
9CREDIT SUISSE	5,816	4.51	13
10RAYMOND JAMES	3,729	2.89	7
11INSTINET CORPORATION	2,509	1.94	7
12ITG, INC.	1,653	1.28	6
13WEEDEN & COMPANY, INC.	1,437	1.11	4
14JEFFERIES & COMPANY, INC.	684	0.53	4
15BARCLAYS CAPITAL INC.	390	0.3	10

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ADR Securities			
Top 15 Brokers	Advertised	% of	# of
Hong Kong	Vol (000)	Total	Stocks
1KNIGHT	104,410	15.26	71
2HSBC SECURITIES	92,230	13.48	10
3CITIGROUP	86,163	12.6	52
4UBS	77,442	11.32	50
5MERRILL LYNCH	73,423	10.73	75
6MORGAN STANLEY	40,494	5.92	50
7DEUTSCHE BANK SECURITIES, IN	38,405	5.61	11
8GOLDMAN SACHS GROUP	29,671	4.34	50
9CREDIT SUISSE	27,706	4.05	24
10MORGAN STANLEY ASIA	24,264	3.55	1
11JPMORGAN	20,094	2.94	36
12BARCLAYS CAPITAL INC.	11,323	1.66	39
13INSTINET CORPORATION	9,220	1.35	18
14SUSQUEHANNA INTERNATIONAL GRP.	6,994	1.02	8
15CALYON SECURITIES USA	6,547	0.96	7

Ordinary Securities			
Top 25 Brokers	Advertised	% of	# of
United Kingdom	Vol (000)	Total	Stocks
1UBS	58,024,543	16.54	1168
2CREDIT SUISSE	49,873,717	14.21	745
3DEUTSCHE BANK SECURITIES, IN	45,719,156	13.03	1014
4CITIGROUP	31,793,139	9.06	817
5MERRILL LYNCH	20,901,569	5.96	803
6GOLDMAN SACHS GROUP	17,907,398	5.1	786
7JPMORGAN	17,613,219	5.02	692
8NOMURA INTERNATIONAL PLC	16,113,579	4.59	629
9MORGAN STANLEY EUROPE	15,230,459	4.34	667
10SHORE CAPITAL STOCKBROKERS LTD	14,579,827	4.16	872
11ABN-AMRO	14,042,852	4	747
12CAZENOVE SECURITIES	13,024,035	3.71	776
13HSBC SECURITIES	11,620,113	3.31	1820
14INVESTEC SECURITIES LTD	5,048,885	1.44	316
15EVOLUTION SECURITIES	3,224,788	0.92	477
16SANFORD C. BERNSTEIN COMPANY,	2,974,116	0.85	353
17EXANE BNP PARIBAS	2,583,301	0.74	204
18NUMIS SECURITIES LIMITED	2,552,994	0.73	464
19JEFFERIES INTERNATIONAL LTD.	2,105,145	0.6	372
20DRESDNER KLEINWORT	2,099,066	0.6	724

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21FOX-PITT KELTON, INC.	2,062,952	0.59	110
22ARBUTHNOT	683,867	0.19	294
23ING FINANCIAL MARKETS	518,977	0.15	109
24KEPLER EQUITIES	223,882	0.06	137
25MORGAN STANLEY	124,315	0.04	338

Listed Securities			
Top 10 Brokers	Average		# of
Average Vol. Per Security	Vol (000)		Stocks
1KNIGHT	21,020		3208
2UBS	16,278		3581
3CITIGROUP	13,241		3471
4CREDIT SUISSE	13,955		3056
5MERRILL LYNCH	11,364		3243
6MORGAN STANLEY	10,386		3371
7JPMORGAN	6,391		2718
8DEUTSCHE BANK SECURITIES, IN	7,523		2264
9GOLDMAN SACHS GROUP	4,484		2617
10RBC CAPITAL MARKETS	4,504		2456

NASDAQ/OTC Securities			
Top 10 Brokers	Average		# of
Average Vol. Per Security	Vol (000)		Stocks
1KNIGHT	12,504		3012
2UBS	6,903		2955
3CITIGROUP	5,961		2855
4MORGAN STANLEY	4,898		2727
5CREDIT SUISSE	4,758		2641
6MERRILL LYNCH	3,753		2721
7JPMORGAN	2,884		2347
8GOLDMAN SACHS GROUP	2,638		2443
9INSTINET CORPORATION	1,995		2286
10DEUTSCHE BANK SECURITIES, IN	2,119		2124

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