



March 2009 YTD

Special Insert

NASDAQ – National Market & Small Cap Market	Adv.	# of
Top 25 (Securities Traded 1-100)	Vol. (000)	Stocks
1CRT CAPITAL GROUP LLC	1,545	99
2BULLTICK	94	91
3SIMMONS AND COMPANY INTERNATIO	115	86
4PRITCHARD CAPITAL PARTNERS	77	77
5NUTMEG SECURITIES.	38	74
6HILL THOMPSON MAGID CO. INC.	10	73
7NORTHLAND SECURITIES	338	70
8KAUFMAN BROS., L.P.	80	69
9OTA OFF THE RECORD RESEARCH	290	67
10CAPITAL ONE SOUTHCOAST	105	67
11LONGBOW RESEARCH	72	65
12B. RILEY AND CO	112	57
13DOUGHERTY & COMPANY LLC	157	54
14BOENNING & SCATTERGOOD	57	50
15ROCHDALE SECURITIES	21	49
16FELTL & COMPANY	1,632	47
17ABEL NOSER CORP	255	38
18SANDERS MORRIS HARRIS	360	29
19PACIFIC CREST SECURITIES	158	29
20THE BUCKINGHAM RESEARCH GROUP,	82	25
21JESUP & LAMONT SECURITIES (DIV	72	23
22LADENBURG THALMANN & CO., INC	186	21
23TRISTONE CAPITAL INC.	108	19
24MAGNA SECURITIES CORP.	298	13
25GREEN STREET ADVISORS	183	13

NASDAQ – National Market & Small Cap Market	Adv.	# of
Top 25 (Securities Traded 1-250)	Vol. (000)	Stocks
1MAXIM GROUP LLC	103	247
2BMO CAPITAL MARKETS	585	215
3LIGHTHOUSE FINANCIAL GROUP, LL	76	199
4BREAN MURRAY & CO. INC.	54	185
5FOX-PITT KELTON, INC.	272	179
6MKM PARTNERS	105	179
7AVONDALE PARTNERS	140	178
8CHARDAN CAPITAL MARKETS, LLC	96	172
9MIDWEST RESEARCH	113	157
10JOHNSON RICE & COMPANY	94	150
11AMERICAN TECHNOLOGY RESEARCH	267	146
12AVIAN SECURITIES	224	144
13FERRIS BAKER WATTS & CO.,INC	112	140
14CALYON SECURITIES USA	292	139
15ABN-AMRO	147	120

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16DAHLMAN ROSE & CO., LLC.	212	118
17PACIFIC GROWTH EQUITIES	172	116
18STANFORD GROUP COMPANY	148	113
19CRAIG-HALLUM CAPITAL GROUP	797	103
20CRT CAPITAL GROUP LLC	1,545	99
21BULLTICK	94	91
22SIMMONS AND COMPANY INTERNATIO	115	86
23PRITCHARD CAPITAL PARTNERS	77	77
24NUTMEG SECURITIES.	38	74
25HILL THOMPSON MAGID CO. INC.	10	73

NASDAQ – National Market & Small Cap Market	Adv.	# of
Top 25 (Securities Traded 1-500)	Vol. (000)	Stocks
1LAZARD CAPITAL MARKETS	174	469
2WACHOVIA SECURITIES	303	446
3THINKEQUITY PARTNERS	312	405
4LEERINK SWANN & CO.	453	402
5STERNE AGEE & LEACH, INC.	179	394
6THE INTERSTATE GROUP	48	393
7MORGAN KEEGAN & CO., INC.	222	390
8KEYBANC CAPITAL MARKETS INC.	212	357
9SIDOTI AND COMPANY	106	355
10WEDBUSH MORGAN SECURITIES	418	348
11MERRIMAN CURHAN FORD & CO.	201	320
12ROTH CAPITAL PARTNERS, LLC	138	298
13D.A. DAVIDSON & CO.	228	293
14JMP SECURITIES	597	289
15CAPITAL INSTITUTIONAL SERVICES	92	279
16MORGAN JOSEPH	37	266
17MAXIM GROUP LLC	103	247
18BMO CAPITAL MARKETS	585	215
19LIGHTHOUSE FINANCIAL GROUP, LL	76	199
20BREAN MURRAY & CO. INC.	54	185
21FOX-PITT KELTON, INC.	272	179
22MKM PARTNERS	105	179
23AVONDALE PARTNERS	140	178
24CHARDAN CAPITAL MARKETS, LLC	96	172
25MIDWEST RESEARCH	113	157

NASDAQ – National Market & Small Cap Market	Adv.	# of
Top 25 (Securities Traded 1-750)	Vol. (000)	Stocks
1SANFORD C. BERNSTEIN COMPANY,	430	680
2BB&T CAPITAL MARKETS	104	660
3HUDSON SECURITIES	274	657
4BENCHMARK COMPANY, LLC	314	650
5KEEFE, BRUYETTE & WOODS, INC.	294	644

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6SUNTRUST ROBINSON HUMPHREY	132	605
7DRESDNER KLEINWORT	86	597
8PALI CAPITAL LLC	277	586
9CANACCORD ADAMS	224	572
10FRIEDMAN, BILLINGS, RAMSEY & C	702	525
11STEPHENS INC.	178	523
12LAZARD CAPITAL MARKETS	174	469
13WACHOVIA SECURITIES	303	446
14THINKEQUITY PARTNERS	312	405
15LEERINK SWANN & CO.	453	402
16STERNE AGEE & LEACH, INC.	179	394
17THE INTERSTATE GROUP	48	393
18MORGAN KEEGAN & CO., INC.	222	390
19KEYBANC CAPITAL MARKETS INC.	212	357
20SIDOTI AND COMPANY	106	355
21WEDBUSH MORGAN SECURITIES	418	348
22MERRIMAN CURHAN FORD & CO.	201	320
23ROTH CAPITAL PARTNERS, LLC	138	298
24D.A. DAVIDSON & CO.	228	293
25JMP SECURITIES	597	289

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-500)	Vol. (000)	Stocks
1LIGHTHOUSE FINANCIAL GROUP, LL	109	474
2MIDWEST RESEARCH	186	463
3MKM PARTNERS	136	451
4MORGAN JOSEPH	52	400
5ABN-AMRO	140	397
6JMP SECURITIES	599	395
7WEDBUSH MORGAN SECURITIES	215	389
8LEERINK SWANN & CO.	562	388
9CALYON SECURITIES USA	327	384
10JOHNSON RICE & COMPANY	149	352
11FERRIS BAKER WATTS & CO.,INC	58	346
12BREAN MURRAY & CO. INC.	72	337
13AVONDALE PARTNERS	272	333
14MERRIMAN CURHAN FORD & CO.	154	317
15BULLTICK	665	314
16LONGBOW RESEARCH	99	312
17SIMMONS AND COMPANY INTERNATIO	438	302
18ROTH CAPITAL PARTNERS, LLC	126	287
19THINKEQUITY PARTNERS	247	285
20CRT CAPITAL GROUP LLC	849	284
21STANFORD GROUP COMPANY	172	254
22CAPITAL ONE SOUTHCOAST	125	247
23OTA OFF THE RECORD RESEARCH	217	245
24PRITCHARD CAPITAL PARTNERS	106	223

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25MAXIM GROUP LLC

120

220

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-1000)	Vol. (000)	Stocks
1THE INTERSTATE GROUP	101	984
2DRESDNER KLEINWORT	348	963
3PALI CAPITAL LLC	276	936
4KEEFE, BRUYETTE & WOODS, INC.	593	935
5KEYBANC CAPITAL MARKETS INC.	348	921
6FRIEDMAN, BILLINGS, RAMSEY & C	895	903
7SUNTRUST ROBINSON HUMPHREY	199	889
8WACHOVIA SECURITIES	385	874
9LAZARD CAPITAL MARKETS	248	851
10BENCHMARK COMPANY, LLC	311	808
11BB&T CAPITAL MARKETS	151	752
12HUDSON SECURITIES	447	737
13MORGAN KEEGAN & CO., INC.	333	730
14CANACCORD ADAMS	194	725
15BMO CAPITAL MARKETS	251	696
16NEEDHAM & COMPANY	109	658
17CAPITAL INSTITUTIONAL SERVICES	106	654
18STERNE AGEE & LEACH, INC.	244	643
19STEPHENS INC.	126	632
20SIDOTI AND COMPANY	137	594
21D.A. DAVIDSON & CO.	75	549
22FOX-PITT KELTON, INC.	442	510
23DAHLMAN ROSE & CO., LLC.	242	502
24LIGHTHOUSE FINANCIAL GROUP, LL	109	474
25MIDWEST RESEARCH	186	463

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-1750)	Vol. (000)	Stocks
1BANC OF AMERICA SECURITIES LLC	420	1750
2STIFEL NICOLAUS CAPITAL MARKET	797	1732
3WEEDEN & COMPANY, INC.	1,137	1718
4JEFFERIES & COMPANY, INC.	1,427	1717
5JONESTRADING INSTITUTIONAL SER	467	1641
6PIPER JAFFRAY & CO.	450	1495
7HSBC SECURITIES	467	1459
8LABRANCHE FINANCIAL SERVICES	119	1450
9CANTOR, FITZGERALD & CO., INC.	701	1402
10RAYMOND JAMES	445	1362
11SANFORD C. BERNSTEIN COMPANY,	965	1324

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12WILLIAM BLAIR & COMPANY, LLC.	234	1293
13THOMAS WEISEL PARTNERS LLC	518	1285
14COWEN & CO.	744	1217
15JANNEY MONTGOMERY SCOTT, INC.	60	1212
16OPPENHEIMER & CO	739	1180
17BTIG - BASS TRADING	992	1076
18PULSE TRADING	143	1038
19THE INTERSTATE GROUP	101	984
20DRESDNER KLEINWORT	348	963
21PALI CAPITAL LLC	276	936
22KEEFE, BRUYETTE & WOODS, INC.	593	935
23KEYBANC CAPITAL MARKETS INC.	348	921
24FRIEDMAN, BILLINGS, RAMSEY & C	895	903
25SUNTRUST ROBINSON HUMPHREY	199	889

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-2500)	Vol. (000)	Stocks
1JPMORGAN	3,499	2453
2GOLDMAN SACHS GROUP	2,515	2411
3ROBERT W. BAIRD CO. INCORPORAT	384	2286
4DEUTSCHE BANK SECURITIES, IN	4,083	2138
5RBC CAPITAL MARKETS	3,042	2083
6INSTINET CORPORATION	2,697	2045
7BNY CONVERGEX	479	1944
8SUSQUEHANNA INTERNATIONAL GRP.	620	1943
9BARCLAYS CAPITAL INC.	2,049	1868
10ITG, INC.	1,746	1756
11BANC OF AMERICA SECURITIES LLC	420	1750
12STIFEL NICOLAUS CAPITAL MARKET	797	1732
13WEEDEN & COMPANY, INC.	1,137	1718
14JEFFERIES & COMPANY, INC.	1,427	1717
15JONESTRADING INSTITUTIONAL SER	467	1641
16PIPER JAFFRAY & CO.	450	1495
17HSBC SECURITIES	467	1459
18LABRANCHE FINANCIAL SERVICES	119	1450
19CANTOR, FITZGERALD & CO., INC.	701	1402
20RAYMOND JAMES	445	1362
21SANFORD C. BERNSTEIN COMPANY,	965	1324
22WILLIAM BLAIR & COMPANY, LLC.	234	1293
23THOMAS WEISEL PARTNERS LLC	518	1285
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