



March 2009 YTD

1st Quarter 2009

Listed Securities Top 50 Brokers	Advertised Vol (000)	% of Total	% of Vol. Crossed
1UBS	29,863,921	15.59	10
2KNIGHT	28,075,975	14.66	11
3CITIGROUP	19,283,955	10.07	1
4MORGAN STANLEY	16,344,832	8.53-	
5MERRILL LYNCH	16,085,849	8.4	0
6CREDIT SUISSE	13,891,547	7.25-	
7JPMORGAN	8,560,399	4.47	1
8DEUTSCHE BANK SECURITIES, IN	8,481,559	4.43	0
9RBC CAPITAL MARKETS	6,333,986	3.31	4
10GOLDMAN SACHS GROUP	5,937,617	3.1	6
11INSTINET CORPORATION	5,518,344	2.88	4
12BARCLAYS CAPITAL INC.	3,823,547	2	0
13ITG, INC.	3,065,679	1.6	22
14JEFFERIES & COMPANY, INC.	2,441,973	1.28	6
15WEEDEN & COMPANY, INC.	1,948,836	1.02	17
16STIFEL NICOLAUS CAPITAL MARKET	1,380,303	0.72	18
17SANFORD C. BERNSTEIN COMPANY,	1,277,384	0.67	9
18SUSQUEHANNA INTERNATIONAL GRP.	1,203,974	0.63	1
19BTIG - BASS TRADING	1,067,835	0.56	9
20CANTOR, FITZGERALD & CO., INC.	983,366	0.51	5
21BNY CONVERGEX	930,004	0.49	2
22COWEN & CO.	904,311	0.47	8
23OPPENHEIMER & CO	871,586	0.46	0
24ROBERT W. BAIRD CO. INCORPORAT	864,493	0.45	3
25FRIEDMAN, BILLINGS, RAMSEY & C	807,744	0.42	9
26JONESTRADING INSTITUTIONAL SER	767,283	0.4	21
27BANC OF AMERICA SECURITIES LLC	735,769	0.38	0
28HSBC SECURITIES	681,411	0.36	0
29PIPER JAFFRAY & CO.	672,751	0.35	3
30THOMAS WEISEL PARTNERS LLC	664,313	0.35	1
31RAYMOND JAMES	605,664	0.32	3
32KEEFE, BRUYETTE & WOODS, INC.	554,840	0.29	0
33WACHOVIA SECURITIES	336,517	0.18	4
34DRESDNER KLEINWORT	333,992	0.17-	
35HUDSON SECURITIES	329,177	0.17	1
36KEYBANC CAPITAL MARKETS INC.	319,827	0.17	4
37WILLIAM BLAIR & COMPANY, LLC.	302,364	0.16	5
38PALI CAPITAL LLC	258,458	0.13	6
39BENCHMARK COMPANY, LLC	251,390	0.13	2
40MORGAN KEEGAN & CO., INC.	243,220	0.13	3
41CRT CAPITAL GROUP LLC	240,992	0.13	42
42JMP SECURITIES	235,315	0.12-	
43FOX-PITT KELTON, INC.	225,269	0.12	2

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44LEERINK SWANN & CO.	217,870	0.11	7
45BULLTICK	206,888	0.11-	
46SUNTRUST ROBINSON HUMPHREY	176,658	0.09	12
47BMO CAPITAL MARKETS	174,386	0.09	9
48LABRANCHE FINANCIAL SERVICES	173,217	0.09	6
49STERNE AGEE & LEACH, INC.	156,583	0.08	1
50PULSE TRADING	146,183	0.08	100

NASDAQ / OTC - National Market & Small Cap Market Top 50 Brokers	Advertised Vol (000)	% of Total	# of Sec Traded
1KNIGHT	18,674,153	24.03	2966
2UBS	9,126,862	11.75	2902
3CITIGROUP	6,666,738	8.58	2672
4MORGAN STANLEY	6,213,824	8	2523
5MERRILL LYNCH	4,499,960	5.79	2573
6CREDIT SUISSE	3,689,081	4.75	2411
7JPMORGAN	3,259,943	4.2	2138
8GOLDMAN SACHS GROUP	2,902,072	3.74	2247
9INSTINET CORPORATION	2,293,981	2.95	2067
10DEUTSCHE BANK SECURITIES, IN	2,156,249	2.78	1825
11RBC CAPITAL MARKETS	2,033,720	2.62	1679
12JEFFERIES & COMPANY, INC.	1,399,276	1.8	1377
13BARCLAYS CAPITAL INC.	1,304,555	1.68	1300
14ITG, INC.	1,170,461	1.51	1593
15PIPER JAFFRAY & CO.	774,325	1	1245
16COWEN & CO.	690,412	0.89	997
17ROBERT W. BAIRD CO. INCORPORAT	674,724	0.87	1638
18THOMAS WEISEL PARTNERS LLC	638,165	0.82	980
19WEEDEN & COMPANY, INC.	600,231	0.77	1434
20BTIG - BASS TRADING	570,795	0.73	852
21OPPENHEIMER & CO	518,742	0.67	862
22SUSQUEHANNA INTERNATIONAL GRP.	466,389	0.6	1882
23CANTOR, FITZGERALD & CO., INC.	461,128	0.59	1168
24BNY CONVERGEX	393,467	0.51	1667
25JONESTRADING INSTITUTIONAL SER	369,954	0.48	1186
26FRIEDMAN, BILLINGS, RAMSEY & C	367,607	0.47	529
27STIFEL NICOLAUS CAPITAL MARKET	361,692	0.47	1604
28BANC OF AMERICA SECURITIES LLC	315,043	0.41	1373
29SANFORD C. BERNSTEIN COMPANY,	297,627	0.38	692
30RAYMOND JAMES	289,373	0.37	1903
31HUDSON SECURITIES	266,000	0.34	668
32HSBC SECURITIES	263,945	0.34	1158
33WILLIAM BLAIR & COMPANY, LLC.	220,391	0.28	916
34BENCHMARK COMPANY, LLC	204,575	0.26	649
35NEEDHAM & COMPANY	192,653	0.25	953
36KEEFE, BRUYETTE & WOODS, INC.	189,226	0.24	645

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37LEERINK SWANN & CO.	177,257	0.23	400
38JMP SECURITIES	173,167	0.22	290
39PALI CAPITAL LLC	164,481	0.21	589
40CRT CAPITAL GROUP LLC	152,901	0.2	98
41WEDBUSH MORGAN SECURITIES	145,471	0.19	350
42WACHOVIA SECURITIES	136,643	0.18	453
43BMO CAPITAL MARKETS	125,944	0.16	221
44CANACCORD ADAMS	122,924	0.16	572
45THINKEQUITY PARTNERS	122,789	0.16	410
46STEPHENS INC.	91,926	0.12	520
47MAXIM GROUP LLC	90,575	0.12	250
48MORGAN KEEGAN & CO., INC.	86,714	0.11	391
49CRAIG-HALLUM CAPITAL GROUP	81,918	0.11	102
50SUNTRUST ROBINSON HUMPHREY	80,167	0.1	611

Listed Securities			
Non NY Brokers			
Top 25 Brokers	Advertised		% of Vol.
Broker-Dealer	Vol.(000)		Crossed
1WEEDEN & COMPANY, INC.	1,953,569		17
2SUSQUEHANNA INTERNATIONAL GRP.	1,203,724		1
3CANTOR, FITZGERALD & CO., INC.	982,498		5
4ROBERT W. BAIRD CO. INCORPORAT	876,902		4
5FRIEDMAN, BILLINGS, RAMSEY & C	807,754		9
6BANC OF AMERICA SECURITIES LLC	735,692		0
7THOMAS WEISEL PARTNERS LLC	666,119		1
8WACHOVIA SECURITIES	336,517		4
9WILLIAM BLAIR & COMPANY, LLC.	302,727		5
10MORGAN KEEGAN & CO., INC.	243,220		3
11BULLTICK	208,775		-
12SIMMONS AND COMPANY INTERNATIO	132,199		-
13THE INTERSTATE GROUP	99,587		1
14GREEN STREET ADVISORS	94,442		2
15MIDWEST RESEARCH	86,144		1
16WEDBUSH MORGAN SECURITIES	83,568		4
17STEPHENS INC.	79,526		2
18JANNEY MONTGOMERY SCOTT, INC.	72,232		-
19THINKEQUITY PARTNERS	70,261		11
20CAPITAL INSTITUTIONAL SERVICES	69,010		-
21MKM PARTNERS	61,210		6
22ABN-AMRO	55,596		-
23JOHNSON RICE & COMPANY	52,601		-
24D.A. DAVIDSON & CO.	41,150		2
25NUTMEG SECURITIES.	11,933		0

NASDAQ / OTC – National Market

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& Small Cap Market			
Top 25 Non NY Brokers	Advertised		# of
Broker-Dealer	Vol.(000)		Stocks
1ROBERT W. BAIRD CO. INCORPORAT	662,273		1,649
2THOMAS WEISEL PARTNERS LLC	636,891		976
3WEEDEN & COMPANY, INC.	603,364		1,445
4SUSQUEHANNA INTERNATIONAL GRP.	463,426		1,900
5FRIEDMAN, BILLINGS, RAMSEY & C	368,485		525
6BANC OF AMERICA SECURITIES LLC	313,906		1,384
7WILLIAM BLAIR & COMPANY, LLC.	221,202		913
8WEDBUSH MORGAN SECURITIES	145,617		348
9WACHOVIA SECURITIES	135,356		446
10THINKEQUITY PARTNERS	126,532		405
11STEPHENS INC.	92,959		523
12MORGAN KEEGAN & CO., INC.	86,638		390
13CRAIG-HALLUM CAPITAL GROUP	82,129		103
14D.A. DAVIDSON & CO.	66,822		293
15JANNEY MONTGOMERY SCOTT, INC.	52,912		1,268
16CAPITAL INSTITUTIONAL SERVICES	25,749		279
17PACIFIC GROWTH EQUITIES	19,901		116
18MKM PARTNERS	18,815		179
19THE INTERSTATE GROUP	18,710		393
20MIDWEST RESEARCH	17,692		157
21JOHNSON RICE & COMPANY	14,142		150
22SANDERS MORRIS HARRIS	10,448		29
23SIMMONS AND COMPANY INTERNATIO	9,926		86
24BULLTICK	8,598		91
25PACIFIC CREST SECURITIES	4,593		29

Ordinary Securities (Ex-Brazil)	Advertised	% of	# of
Top 25 Brokers	Vol (000)	Total	Stocks
1MERRILL LYNCH	3,748,128	42.81	99
2MORGAN STANLEY	1,806,478	20.64	63
3CREDIT SUISSE	1,421,614	16.24	87
4JPMORGAN	813,797	9.3	79
5CITIGROUP	717,056	8.19	91
6GOLDMAN SACHS GROUP	193,464	2.21	10
7RAYMOND JAMES	37,583	0.43	6
8DEUTSCHE BANK SECURITIES, IN	13,069	0.15	31
9UBS	1,238	0.01	9
10WEEDEN & COMPANY, INC.	566	0.01	3

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11KNIGHT	519	0.01	12
12DRESDNER KLEINWORT	359	0	4
13HSBC SECURITIES	152	0	2
14RBC CAPITAL MARKETS	103	0	5
15JANNEY MONTGOMERY SCOTT, INC.	61	0	5
ABN-AMRO	20	0	1
BNY CONVERGEX	20	0	1
JEFFERIES & COMPANY, INC.	10	0	1
STIFEL NICOLAUS CAPITAL MARKET	10	0	1
D.A. DAVIDSON & CO.	6	0	1
ROBERT W. BAIRD CO. INCORPORAT	4	0	2
INSTINET CORPORATION	4	0	1

Ordinary Securities			
Top 25 Brokers	Advertised	% of	# of
Canada	Vol (000)	Total	Stocks
1KNIGHT	2,000,935	20.18	443
2CREDIT SUISSE	1,427,653	14.4	377
3MORGAN STANLEY	1,344,340	13.56	706
4UBS	1,157,078	11.67	227
5CITIGROUP	813,688	8.21	688
6DEUTSCHE BANK SECURITIES, IN	616,192	6.21	362
7MERRILL LYNCH	506,380	5.11	299
8JPMORGAN	377,558	3.81	448
9INSTINET CORPORATION	235,354	2.37	147
10RBC CAPITAL MARKETS	222,607	2.25	347
11THOMAS WEISEL PARTNERS LLC	178,344	1.8	171
12GOLDMAN SACHS GROUP	142,897	1.44	179
13BMO CAPITAL MARKETS	84,297	0.85	65
14JEFFERIES & COMPANY, INC.	71,170	0.72	129
15ITG, INC.	53,741	0.54	83
16BTIG - BASS TRADING	49,225	0.5	78
17BARCLAYS CAPITAL INC.	46,970	0.47	86
18SUSQUEHANNA INTERNATIONAL GRP.	44,614	0.45	108
19HUDSON SECURITIES	42,013	0.42	99
20WEEDEN & COMPANY, INC.	32,122	0.32	90
21RAYMOND JAMES	31,192	0.31	273
22TORONTO DOMINION SECURITIES (U	28,672	0.29	69
23CANTOR, FITZGERALD & CO., INC.	26,117	0.26	53
24DRESDNER KLEINWORT	24,069	0.24	306
25HSBC SECURITIES	21,809	0.22	90

Ordinary Securities

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Top 15 Brokers	Advertised	% of	# of
Europe	Vol (000)	Total	Stocks
1DEUTSCHE BANK SECURITIES, IN	47,099,164	18.46	2641
2UBS	42,929,714	16.83	2156
3CREDIT SUISSE	34,174,322	13.39	2053
4CITIGROUP	26,512,761	10.39	2142
5JPMORGAN	13,633,912	5.34	1809
6MERRILL LYNCH	13,607,831	5.33	1994
7MORGAN STANLEY EUROPE	12,704,492	4.98	1681
8GOLDMAN SACHS GROUP	11,820,537	4.63	2067
9ABN-AMRO	9,993,939	3.92	2009
10HSBC SECURITIES	6,828,147	2.68	2813
11CAZENOVE SECURITIES	6,778,968	2.66	1115
12SHORE CAPITAL STOCKBROKERS LTD	5,574,484	2.18	774
13DRESDNER KLEINWORT	4,074,982	1.6	2377
14INVESTEC SECURITIES LTD	2,883,284	1.13	260
15ING FINANCIAL MARKETS	2,767,247	1.08	500

Ordinary Securities	Advertised	% of	# of
Top 15 Brokers	Vol (000)	Total	Stocks
Far East / Pac Rim			
1CITIGROUP	29,572,681	30.1	3455
2DEUTSCHE BANK SECURITIES, IN	20,537,574	20.91	3461
3GOLDMAN SACHS GROUP	14,175,631	14.43	3226
4HSBC SECURITIES	9,795,092	9.97	2546
5MERRILL LYNCH	9,789,623	9.97	1687
6JPMORGAN	5,870,662	5.98	1433
7ABN-AMRO	3,253,870	3.31	1023
8MORGAN STANLEY ASIA	2,219,303	2.26	130
9INSTINET CORPORATION	1,878,730	1.91	1816
10DRESDNER KLEINWORT	427,707	0.44	968
11SHORE CAPITAL STOCKBROKERS LTD	175,263	0.18	29
12UBS	84,914	0.09	47
13CREDIT SUISSE	84,602	0.09	81
14EVOLUTION SECURITIES	81,709	0.08	9
15KNIGHT	79,257	0.08	246

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Ordinary Securities Top 15 Brokers Latin America (Ex. Brazil)	Advertised Vol (000)	% of Total	# of Stocks
1MERRILL LYNCH	3,748,128	42.81	99
2MORGAN STANLEY	1,806,478	20.64	63
3CREDIT SUISSE	1,421,614	16.24	87
4JPMORGAN	813,797	9.3	79
5CITIGROUP	717,056	8.19	91
6GOLDMAN SACHS GROUP	193,464	2.21	10
7RAYMOND JAMES	37,583	0.43	6
8DEUTSCHE BANK SECURITIES, IN	13,069	0.15	31
9UBS	1,238	0.01	9
10WEEDEN & COMPANY, INC.	566	0.01	3
11KNIGHT	519	0.01	12
12DRESDNER KLEINWORT	359	0	4
13HSBC SECURITIES	152	0	2
14RBC CAPITAL MARKETS	103	0	5
15JANNEY MONTGOMERY SCOTT, INC.	61	0	5

ADR Securities Top 15 Brokers Argentina	Advertised Vol (000)	% of Total	# of Stocks
1KNIGHT	10,678	23.98	13
2MERRILL LYNCH	6,167	13.85	12
3BULLTICK	5,833	13.1	11
4UBS	5,037	11.31	13
5CITIGROUP	3,877	8.71	11
6DEUTSCHE BANK SECURITIES, IN	2,476	5.56	11
7MORGAN STANLEY	2,405	5.4	11
8RAYMOND JAMES	2,071	4.65	5
9CREDIT SUISSE	2,007	4.51	13
10JPMORGAN	1,421	3.19	10
11JEFFERIES & COMPANY, INC.	654	1.47	3
12WEEDEN & COMPANY, INC.	509	1.14	3
13INSTINET CORPORATION	398	0.89	7
14ITG, INC.	264	0.59	6
15GOLDMAN SACHS GROUP	223	0.5	6

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ADR Securities			
Top 15 Brokers	Advertised	% of	# of
Hong Kong	Vol (000)	Total	Stocks
1HSBC SECURITIES	47,811	16.64	7
2KNIGHT	40,022	13.93	56
3CITIGROUP	39,492	13.75	39
4MERRILL LYNCH	39,028	13.59	66
5UBS	32,069	11.16	43
6MORGAN STANLEY	18,482	6.43	41
7DEUTSCHE BANK SECURITIES, IN	14,193	4.94	7
8GOLDMAN SACHS GROUP	9,888	3.44	36
9JPMORGAN	7,633	2.66	23
10CREDIT SUISSE	6,829	2.38	13
11INSTINET CORPORATION	6,255	2.18	14
12SUSQUEHANNA INTERNATIONAL GRP.	3,838	1.34	6
13CANTOR, FITZGERALD & CO., INC.	3,347	1.17	2
14BANC OF AMERICA SECURITIES LLC	2,627	0.91	7
15BARCLAYS CAPITAL INC.	2,166	0.75	10

Ordinary Securities			
Top 25 Brokers	Advertised	% of	# of
United Kingdom	Vol (000)	Total	Stocks
1UBS	32,437,157	19.3	1000
2DEUTSCHE BANK SECURITIES, IN	25,552,219	15.2	885
3CREDIT SUISSE	23,181,332	13.79	621
4CITIGROUP	16,600,129	9.88	729
5MERRILL LYNCH	10,167,028	6.05	691
6GOLDMAN SACHS GROUP	7,882,705	4.69	691
7MORGAN STANLEY EUROPE	7,349,786	4.37	541
8JPMORGAN	7,244,693	4.31	578
9ABN-AMRO	6,758,674	4.02	654
10CAZENOVE SECURITIES	6,444,373	3.83	669
11SHORE CAPITAL STOCKBROKERS LTD	5,492,746	3.27	749
12HSBC SECURITIES	5,371,047	3.2	1645
13INVESTEC SECURITIES LTD	2,877,784	1.71	257
14NUMIS SECURITIES LIMITED	2,209,085	1.31	451
15DRESDNER KLEINWORT	1,927,932	1.15	697
16SANFORD C. BERNSTEIN COMPANY,	1,285,227	0.76	282
17EVOLUTION SECURITIES	1,180,528	0.7	377
18FOX-PITT KELTON, INC.	1,091,712	0.65	79
19JEFFERIES INTERNATIONAL LTD.	1,022,509	0.61	305
20EXANE BNP PARIBAS	962,905	0.57	141

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21ARBUTHNOT	373,583	0.22	228
22ING FINANCIAL MARKETS	350,145	0.21	91
23KEPLER EQUITIES	98,442	0.06	92
24JM FINN & CO	97,927	0.06	39
25MORGAN STANLEY	67,549	0.04	303

Listed Securities			
Top 10 Brokers	Average		# of
Average Vol. Per Security	Vol (000)		Stocks
1UBS	8,725		3,423
2KNIGHT	9,198		3,053
3CITIGROUP	5,965		3,299
4MERRILL LYNCH	5,216		3,140
5MORGAN STANLEY	5,147		3,177
6CREDIT SUISSE	5,042		2,793
7DEUTSCHE BANK SECURITIES, IN	4,083		2,138
8JPMORGAN	3,499		2,453
9RBC CAPITAL MARKETS	3,042		2,083
10GOLDMAN SACHS GROUP	2,515		2,411

NASDAQ/OTC Securities			
Top 10 Brokers	Average		# of
Average Vol. Per Security	Vol (000)		Stocks
1KNIGHT	5,111		2,972
2UBS	3,008		2,911
3CITIGROUP	2,461		2,687
4MORGAN STANLEY	2,394		2,542
5MERRILL LYNCH	1,720		2,605
6CREDIT SUISSE	1,516		2,445
7JPMORGAN	1,491		2,165
8GOLDMAN SACHS GROUP	1,258		2,262
9INSTINET CORPORATION	1,084		2,084
10DEUTSCHE BANK SECURITIES, IN	1,164		1,851