

<b>Listed Securities Top 50 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b>% of Vol. Crossed</b>
1 KNIGHT	9,886,437	17.81	9-
2 UBS	8,707,528	15.69	6
3 MERRILL LYNCH	5,170,294	9.32	0
4 MORGAN STANLEY	4,301,207	7.75-	-
5 CREDIT SUISSE	3,804,950	6.86-	
6 CITIGROUP	3,389,078	6.11	2
7 DEUTSCHE BANK SECURITIES, IN	2,604,000	4.69	1
8 INSTINET CORPORATION	2,072,608	3.73	3
9 RBC CAPITAL MARKETS	2,034,731	3.67	3
10 JPMORGAN	1,936,576	3.49	1
11 GOLDMAN SACHS GROUP	1,813,082	3.27-	
12 BANC OF AMERICA SECURITIES LLC	1,260,013	2.27	1
13 ITG, INC.	1,036,258	1.87	20
14 BARCLAYS CAPITAL INC.	897,744	1.62	0
15 JEFFERIES & COMPANY, INC.	647,128	1.17	10
16 WEEDEN & COMPANY, INC.	591,938	1.07	11
17 CANTOR, FITZGERALD & CO., INC.	294,965	0.53	12
18 BNY CONVERGEX	294,123	0.53	1
19 OPPENHEIMER & CO	254,619	0.46-	
20 ROBERT W. BAIRD CO. INCORPORAT	240,579	0.43	2
21 JONESTRADING INSTITUTIONAL SER	234,990	0.42	20
22 SANFORD C. BERNSTEIN COMPANY,	232,860	0.42	4
23 BTIG - BASS TRADING	229,564	0.41	9
24 HSBC SECURITIES	223,080	0.4-	
25 STIFEL NICOLAUS CAPITAL MARKET	217,802	0.39	4
26 DRESDNER KLEINWORT	201,945	0.36-	
27 SUSQUEHANNA INTERNATIONAL GRP.	184,152	0.33	2
28 FRIEDMAN, BILLINGS, RAMSEY & C	160,176	0.29	10
29 COWEN & CO.	156,566	0.28	8
30 THOMAS WEISEL PARTNERS LLC	154,189	0.28	1
31 PIPER JAFFRAY & CO.	146,242	0.26	3
32 RAYMOND JAMES	139,349	0.25	5
33 PALI CAPITAL LLC	132,435	0.24	14
34 HUDSON SECURITIES	119,391	0.22	0
35 KEEFE, BRUYETTE & WOODS, INC.	105,062	0.19	1
36 CRT CAPITAL GROUP LLC	89,733	0.16	34
37 KEYBANC CAPITAL MARKETS INC.	84,394	0.15	4
38 WACHOVIA SECURITIES	83,707	0.15	1-
39 WILLIAM BLAIR & COMPANY, LLC.	81,842	0.15	4
40 JMP SECURITIES	71,960	0.13-	
41 MORGAN KEEGAN & CO., INC.	70,346	0.13	4
42 LABRANCHE FINANCIAL SERVICES	58,310	0.11	11
43 LEERINK SWANN & CO.	49,820	0.09	3-
44 BENCHMARK COMPANY, LLC	48,846	0.09	2-

45	BMO CAPITAL MARKETS	48,332	0.09	6-
46	BB&T CAPITAL MARKETS	47,471	0.09	2-
47	THE INTERSTATE GROUP	47,078	0.08	1
48	SUNTRUST ROBINSON HUMPHREY	46,953	0.08	8-
49	ROCHDALE SECURITIES	43,528	0.08	15
50	PULSE TRADING	42,443	0.08	100

<b>NASDAQ – National Market &amp; Small Cap Market</b>		<b>Advertised</b>	<b>% of</b>	<b># of</b>
<b>Top 50 Brokers</b>		<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1	KNIGHT	5,617,833	24.45	2950
2	UBS	3,015,343	13.12	2891
3	MORGAN STANLEY	1,686,399	7.34	2435
4	MERRILL LYNCH	1,404,089	6.11	2470
5	CITIGROUP	1,220,740	5.31	2151
6	CREDIT SUISSE	1,167,683	5.08	2235
7	GOLDMAN SACHS GROUP	1,067,937	4.65	2010
8	INSTINET CORPORATION	834,625	3.63	1750
9	JPMORGAN	750,507	3.27	1934
10	DEUTSCHE BANK SECURITIES, IN	743,792	3.24	1822
11	RBC CAPITAL MARKETS	611,994	2.66	1302
12	BANC OF AMERICA SECURITIES LLC	514,059	2.24	1339
13	ITG, INC.	414,689	1.8	1400
14	JEFFERIES & COMPANY, INC.	345,661	1.5	1059
15	BARCLAYS CAPITAL INC.	289,587	1.26	1046
16	WEEDEN & COMPANY, INC.	226,537	0.99	1175
17	THOMAS WEISEL PARTNERS LLC	208,164	0.91	884
18	PIPER JAFFRAY & CO.	166,920	0.73	924
19	ROBERT W. BAIRD CO. INCORPORAT	166,129	0.72	1427
20	OPPENHEIMER & CO	157,737	0.69	690-
21	CANTOR, FITZGERALD & CO., INC.	154,377	0.67	762
22	BTIG - BASS TRADING	153,134	0.67	601
23	COWEN & CO.	141,452	0.62	802
24	BNY CONVERGEX	122,579	0.53	1123
25	JONESTRADING INSTITUTIONAL SER	122,379	0.53	765
26	STIFEL NICOLAUS CAPITAL MARKET	107,824	0.47	1227
27	CRT CAPITAL GROUP LLC	107,665	0.47	38
28	SUSQUEHANNA INTERNATIONAL GRP.	99,120	0.43	1516
29	RAYMOND JAMES	97,782	0.43	716
30	FRIEDMAN, BILLINGS, RAMSEY & C	79,630	0.35	297
31	SANFORD C. BERNSTEIN COMPANY,	76,396	0.33	416
32	HSBC SECURITIES	73,271	0.32	378
33	WILLIAM BLAIR & COMPANY, LLC.	67,882	0.3	680
34	PALI CAPITAL LLC	56,951	0.25	440
35	WACHOVIA SECURITIES	52,702	0.23	252
36	HUDSON SECURITIES	52,482	0.23	333
37	BENCHMARK COMPANY, LLC	46,669	0.2	380

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38	CANACCORD ADAMS	44,635	0.19	363
39	NEEDHAM & COMPANY	42,918	0.19	624
40	KEEFE, BRUYETTE & WOODS, INC.	41,179	0.18	389-
41	LEERINK SWANN & CO.	38,992	0.17	240
42	JMP SECURITIES	34,404	0.15	171
43	WEDBUSH MORGAN SECURITIES	32,322	0.14	189
44	MORGAN KEEGAN & CO., INC.	27,600	0.12	243
45	D.A. DAVIDSON & CO.	26,149	0.11	182
46	SUNTRUST ROBINSON HUMPHREY	26,001	0.11	335
47	BMO CAPITAL MARKETS	25,241	0.11	113
48	PULSE TRADING	21,872	0.1	378
49	CRAIG-HALLUM CAPITAL GROUP	21,140	0.09	68
50	BB&T CAPITAL MARKETS	20,986	0.09	479-

<b>Listed Securities Non-NY Brokers</b>		<b>Advertised</b>	<b>% of Vol.</b>
<b>Top 25 Broker - Dealer</b>		<b>Vol.(000)</b>	<b>Crossed</b>
1	BANC OF AMERICA SECURITIES LLC	1,260,013	1
2	WEEDEN & COMPANY, INC.	591,938	11
3	CANTOR, FITZGERALD & CO., INC.	294,965	12
4	ROBERT W. BAIRD CO. INCORPORAT	240,579	2
5	SUSQUEHANNA INTERNATIONAL GRP.	184,152	2
6	FRIEDMAN, BILLINGS, RAMSEY & C	160,176	10
7	THOMAS WEISEL PARTNERS LLC	154,189	1
8	WACHOVIA SECURITIES	83,707	1
9	WILLIAM BLAIR & COMPANY, LLC.	81,842	4
10	MORGAN KEEGAN & CO., INC.	70,346	4
11	THE INTERSTATE GROUP	47,078	1
12	ROCHDALE SECURITIES	43,528	15
13	SIMMONS AND COMPANY INTERNATIO	37,771	-
14	GREEN STREET ADVISORS	31,522	1
15	ABN-AMRO	27,001	-
16	JANNEY MONTGOMERY SCOTT, INC.	24,282	-
17	BULLTICK	23,678	-
18	STEPHENS INC.	21,957	2
19	WEDBUSH MORGAN SECURITIES	21,171	2
20	MIDWEST RESEARCH	19,515	3
21	JOHNSON RICE & COMPANY	15,350	0
22	D.A. DAVIDSON & CO.	14,268	1
23	MKM PARTNERS	11,999	5
24	TORONTO DOMINION SECURITIES (U	9,729	-
25	THINKEQUITY PARTNERS	7,233	5

<b>NASDAQ – National Market &amp; Small Cap Market</b>		<b>Advertised</b>	<b># of</b>
<b>Non NY Brokers</b>		<b>Vol.(000)</b>	<b>Stocks</b>
<b>Top 25 Broker-Dealer</b>		<b>Vol.(000)</b>	<b>Stocks</b>
1	BANC OF AMERICA SECURITIES LLC	514,059	1,339

2	WEEDEN & COMPANY, INC.	226,537	1,175
3	THOMAS WEISEL PARTNERS LLC	208,164	884
4	ROBERT W. BAIRD CO. INCORPORAT	166,129	1,427
5	SUSQUEHANNA INTERNATIONAL GRP.	99,120	1,516
6	FRIEDMAN, BILLINGS, RAMSEY & C	79,630	297
7	WILLIAM BLAIR & COMPANY, LLC.	67,882	680
8	WACHOVIA SECURITIES	52,702	252
9	WEDBUSH MORGAN SECURITIES	32,322	189
10	MORGAN KEEGAN & CO., INC.	27,600	243
11	D.A. DAVIDSON & CO.	26,149	182
12	CRAIG-HALLUM CAPITAL GROUP	21,140	68
13	STEPHENS INC.	19,243	230
14	JANNEY MONTGOMERY SCOTT, INC.	17,852	1,049
15	PACIFIC GROWTH EQUITIES	13,012	101
16	MKM PARTNERS	10,434	74
17	THINKEQUITY PARTNERS	10,098	128
18	THE INTERSTATE GROUP	6,129	198
19	ROCHDALE SECURITIES	5,879	135
20	MIDWEST RESEARCH	3,808	36
21	SIMMONS AND COMPANY INTERNATIO	3,260	45
22	JOHNSON RICE & COMPANY	3,211	51
23	SANDERS MORRIS HARRIS	2,640	15
24	BULLTICK	1,487	52
25	PACIFIC CREST SECURITIES	1,183	18

<b>Ordinary Securities</b>			
<b>Europe</b>			
<b>Top 15 Brokers</b>			
	<b>Advertised</b>	<b>% of</b>	<b># of</b>
	<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1	DEUTSCHE BANK SECURITIES, IN	35.4	6553
2	CITIGROUP	12.72	5678
3	MERRILL LYNCH	9.28	4072
4	UBS	8.65	2319
5	CREDIT SUISSE	8.17	2781
6	GOLDMAN SACHS GROUP	5.34	4670
7	HSBC SECURITIES	3.68	4306
8	ABN-AMRO	3.07	2399
9	JPMORGAN	2.33	2091
10	MORGAN STANLEY EUROPE	2.32	1598
11	DRESDNER KLEINWORT	1.12	3227
12	MORGAN STANLEY	0.91	1596
13	KNIGHT	0.9	960
14	INSTINET CORPORATION	0.85	1945
15	CAZENOVE SECURITIES	0.76	804

**Ordinary Securities**

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<b>Far East Asia / Pacific Rim Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 CITIGROUP	12,537,377	32.76	2747
2 DEUTSCHE BANK SECURITIES, IN	9,702,480	25.35	3381
3 GOLDMAN SACHS GROUP	4,947,185	12.93	2476
4 MERRILL LYNCH	3,846,973	10.05	1527
5 HSBC SECURITIES	3,817,158	9.97	1701
6 ABN-AMRO	1,333,575	3.48	623
7 INSTINET CORPORATION	852,174	2.23	957
8 MORGAN STANLEY ASIA	567,069	1.48	84
9 JPMORGAN	309,466	0.81	145
10 DRESDNER KLEINWORT	164,385	0.43	746
11 SHORE CAPITAL STOCKBROKERS LTD	54,363	0.14	29
12 UBS	27,085	0.07	33
13 CREDIT SUISSE	25,061	0.07	45
14 KNIGHT	17,157	0.04	174
15 THOMAS WEISEL PARTNERS LLC	12,463	0.03	2

<b>Ordinary Securities Latin America (Excluding Brazil) Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 MORGAN STANLEY	605,782	27.37	56
2 MERRILL LYNCH	430,510	19.45	42
3 CREDIT SUISSE	392,261	17.72	68
4 JPMORGAN	370,689	16.75	56
5 CITIGROUP	350,603	15.84	83
6 GOLDMAN SACHS GROUP	56,974	2.57	16
7 DEUTSCHE BANK SECURITIES, IN	3,263	0.15	17
8 UBS	2,913	0.13	10
9 DRESDNER KLEINWORT	276	0.01	4
10 KNIGHT	196	0.01	6
11 MORGAN KEEGAN & CO., INC.	60	0	1
12 JEFFERIES & COMPANY, INC.	35	0	1
13 ABN-AMRO	18	0	2
14 STIFEL NICOLAUS CAPITAL MARKET	5	0	2
15 BNY CONVERGEX	5	0	1

<b>ADR Securities Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 KNIGHT	702,860	12.38	681
2 MERRILL LYNCH	689,060	12.14	672
3 MORGAN STANLEY	679,030	11.96	592
4 UBS	640,938	11.29	635
5 CITIGROUP	497,282	8.76	534
6 DEUTSCHE BANK SECURITIES, IN	413,735	7.29	330
7 CREDIT SUISSE	405,261	7.14	392

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8	GOLDMAN SACHS GROUP	238,319	4.2	491
9	INSTINET CORPORATION	237,113	4.18	286
10	JPMORGAN	229,226	4.04	401
11	HSBC SECURITIES	170,546	3	162
12	RBC CAPITAL MARKETS	87,700	1.54	294
13	MORGAN STANLEY EUROPE	55,438	0.98	22
14	BARCLAYS CAPITAL INC.	53,899	0.95	217
15	ITG, INC.	49,626	0.87	181
16	BANC OF AMERICA SECURITIES LLC	47,187	0.83	238
17	BULLTICK	41,848	0.74	128
18	JEFFERIES & COMPANY, INC.	39,615	0.7	194
19	WEEDEN & COMPANY, INC.	38,079	0.67	170
20	ING FINANCIAL MARKETS	25,365	0.45	16
21	OPPENHEIMER & CO	23,654	0.42	127
22	BNY CONVERGEX	22,004	0.39	220
23	SANFORD C. BERNSTEIN COMPANY,	21,258	0.37	119
24	PALI CAPITAL LLC	19,903	0.35	139
25	ABN-AMRO	19,628	0.35	90

<b>CVT BOND COUPON Securities</b>		<b>Advertised</b>	<b>% of</b>	<b># of</b>
<b>Top 25 Brokers</b>		<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1	CRT CAPITAL GROUP LLC	80,975	94.21	23
2	KEPLER EQUITIES	3,340	3.89	1
3	UBS	365	0.43	17
4	TORONTO DOMINION SECURITIES (U	349	0.41	1
5	CITIGROUP	328	0.38	12
6	KNIGHT	189	0.22	8
7	MERRILL LYNCH	138	0.16	6
8	GOLDMAN SACHS GROUP	136	0.16	4
9	MORGAN STANLEY	64	0.07	9
10	JEFFERIES & COMPANY, INC.	25	0.03	1
11	HILL THOMPSON MAGID CO. INC.	13	0.02	2
12	ROBERT W. BAIRD CO. INCORPORAT	9	0.01	6
13	KEEFE, BRUYETTE & WOODS, INC.	8	0.01	2
14	RBC CAPITAL MARKETS	5	0.01	5
15	JANNEY MONTGOMERY SCOTT, INC.	3	0	3
16	WILLIAM BLAIR & COMPANY, LLC.	2	0	3
17	JPMORGAN	2	0	1
18	BARCLAYS CAPITAL INC.	1	0	1

<b>Mandatory CVT Securities Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 KNIGHT	2,526	24.8	7
2 CREDIT SUISSE	2,017	19.8	3
3 CITIGROUP	1,567	15.38	10
4 UBS	894	8.78	10
5 WILLIAM BLAIR & COMPANY, LLC.	870	8.54	2
6 MERRILL LYNCH	863	8.47	7
7 HUDSON SECURITIES	451	4.43	1
8 INSTINET CORPORATION	195	1.91	2
9 GOLDMAN SACHS GROUP	161	1.58	7
10 JPMORGAN	146	1.43	3
11 MORGAN STANLEY	124	1.22	8
12 JANNEY MONTGOMERY SCOTT, INC.	80	0.78	3
13 BREAN MURRAY & CO. INC.	65	0.64	1
14 PIPER JAFFRAY & CO.	65	0.64	1
15 RBC CAPITAL MARKETS	46	0.45	4

<b>CVT Bond Zero Securities Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 N/A			

<b>CVT Preferred Securities Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 DEUTSCHE BANK SECURITIES, IN	20,490	22.41	10
2 KNIGHT	19,712	21.56	87
3 GOLDMAN SACHS GROUP	15,023	16.43	44
4 UBS	9,794	10.71	125
5 CITIGROUP	9,514	10.41	100
6 CREDIT SUISSE	3,471	3.8	32

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7	MERRILL LYNCH	3,047	3.33	24
8	BARCLAYS CAPITAL INC.	2,159	2.36	21
9	MORGAN STANLEY	2,107	2.3	95
10	JANNEY MONTGOMERY SCOTT, INC.	1,585	1.73	45
11	MERRIMAN CURHAN FORD & CO.	1,514	1.66	1
12	JPMORGAN	623	0.68	19
13	MAXIM GROUP LLC	485	0.53	4
14	ROBERT W. BAIRD CO. INCORPORAT	299	0.33	24
15	RBC CAPITAL MARKETS	204	0.22	16