

| Listed Securities | Advertised | % of | % of Vol. |
|-----------------------------------|-------------------|--------------|------------------|
| Top 50 Brokers | Vol (000) | Total | Crossed |
| 1 KNIGHT | 10,431,025 | 15.41 | 12- |
| 2 CREDIT SUISSE | 9,030,977 | 13.34- | |
| 3 UBS | 8,145,089 | 12.03 | 11 |
| 4 CITIGROUP | 6,405,898 | 9.46 | 1- |
| 5 MORGAN STANLEY | 6,338,984 | 9.36- | |
| 6 MERRILL LYNCH | 5,341,567 | 7.89 | 0 |
| 7 BARCLAYS CAPITAL INC. | 3,567,235 | 5.27 | 0 |
| 8 DEUTSCHE BANK SECURITIES, IN | 2,762,132 | 4.08- | |
| 9 JPMORGAN | 2,538,167 | 3.75 | 1 |
| 10 GOLDMAN SACHS GROUP | 1,499,943 | 2.22 | 7 |
| 11 INSTINET CORPORATION | 1,406,755 | 2.08 | 4 |
| 12 RBC CAPITAL MARKETS | 1,265,375 | 1.87 | 4 |
| 13 ITG, INC. | 932,399 | 1.38 | 42 |
| 14 JEFFERIES & COMPANY, INC. | 835,292 | 1.23 | 5 |
| 15 WEEDEN & COMPANY, INC. | 566,674 | 0.84 | 17 |
| 16 SUSQUEHANNA INTERNATIONAL GRP. | 511,208 | 0.76 | 0 |
| 17 COWEN & CO. | 420,143 | 0.62 | 13 |
| 18 SANFORD C. BERNSTEIN COMPANY, | 369,952 | 0.55 | 8 |
| 19 BNY CONVERGEX | 353,937 | 0.52 | 2 |
| 20 STIFEL NICOLAUS CAPITAL MARKET | 322,516 | 0.48 | 6 |
| 21 BTIG - BASS TRADING | 316,765 | 0.47 | 4 |
| 22 CANTOR, FITZGERALD & CO., INC. | 297,206 | 0.44 | 7 |
| 23 OPPENHEIMER & CO | 285,613 | 0.42- | |
| 24 FRIEDMAN, BILLINGS, RAMSEY & C | 272,622 | 0.4 | 11 |
| 25 ROBERT W. BAIRD CO. INCORPORAT | 233,685 | 0.35 | 5 |
| 26 JONESTRADING INSTITUTIONAL SER | 226,914 | 0.34 | 23 |
| 27 KEEFE, BRUYETTE & WOODS, INC. | 214,641 | 0.32 | 2 |
| 28 HSBC SECURITIES | 209,436 | 0.31 | 1 |
| 29 WELLS FARGO SECURITIES LLC | 207,519 | 0.31 | 2 |
| 30 RAYMOND JAMES | 200,143 | 0.3 | 7 |
| 31 PIPER JAFFRAY & CO. | 184,662 | 0.27 | 5 |
| 32 THOMAS WEISEL PARTNERS LLC | 137,042 | 0.2 | 4 |
| 33 HUDSON SECURITIES | 125,770 | 0.19 | 1 |
| 34 WILLIAM BLAIR & COMPANY, LLC. | 121,113 | 0.18 | 6 |
| 35 KEYBANC CAPITAL MARKETS INC. | 90,649 | 0.13 | 6 |
| 36 SUNTRUST ROBINSON HUMPHREY | 84,162 | 0.12 | 7 |
| 37 MORGAN KEEGAN & CO., INC. | 81,930 | 0.12 | 5 |
| 38 CRT CAPITAL GROUP LLC | 70,688 | 0.1 | 45- |
| 39 FOX-PITT KELTON, INC. | 69,564 | 0.1 | 5 |
| 40 LEERINK SWANN & CO. | 69,406 | 0.1 | 2 |
| 41 CAPITAL INSTITUTIONAL SERVICES | 65,439 | 0.1 | 1 |
| 42 JMP SECURITIES | 61,576 | 0.09- | |
| 43 BMO CAPITAL MARKETS | 60,002 | 0.09 | 10- |
| 44 STERNE AGEE & LEACH, INC. | 57,344 | 0.08 | 8- |

| | | | |
|----------------------------------|--------|------|----|
| 45 LAZARD CAPITAL MARKETS | 55,630 | 0.08 | 0- |
| 46 BENCHMARK COMPANY, LLC | 52,150 | 0.08 | 1- |
| 47 CANACCORD ADAMS | 47,299 | 0.07 | 2 |
| 48 JANNEY MONTGOMERY SCOTT, INC. | 37,400 | 0.06 | 0- |
| 49 JOHNSON RICE & COMPANY | 37,209 | 0.05 | 32 |
| 50 DAHLMAN ROSE & CO., LLC. | 36,642 | 0.05 | 2 |

| NASDAQ – National Market & Small Cap Market | | | |
|--|-----------------------------|-------------------|--------------------|
| Top 50 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
| 1 KNIGHT | 6,437,979 | 20.93 | 2886 |
| 2 UBS | 3,484,964 | 11.33 | 2764 |
| 3 CREDIT SUISSE | 3,405,306 | 11.07 | 2333 |
| 4 CITIGROUP | 2,688,174 | 8.74 | 2483 |
| 5 MORGAN STANLEY | 2,299,526 | 7.48 | 2268 |
| 6 MERRILL LYNCH | 1,665,854 | 5.42 | 2362 |
| 7 BARCLAYS CAPITAL INC. | 1,231,968 | 4.01 | 1791 |
| 8 JPMORGAN | 1,180,736 | 3.84 | 1846 |
| 9 GOLDMAN SACHS GROUP | 1,031,334 | 3.35 | 1996 |
| 10 INSTINET CORPORATION | 851,568 | 2.77 | 1667 |
| 11 DEUTSCHE BANK SECURITIES, IN | 819,093 | 2.66 | 1853 |
| 12 JEFFERIES & COMPANY, INC. | 533,949 | 1.74 | 1082 |
| 13 RBC CAPITAL MARKETS | 496,810 | 1.62 | 1435 |
| 14 ITG, INC. | 431,192 | 1.4 | 1482 |
| 15 OPPENHEIMER & CO | 258,613 | 0.84 | 1065 |
| 16 SUSQUEHANNA INTERNATIONAL GRP. | 241,036 | 0.78 | 1458 |
| 17 WEEDEN & COMPANY, INC. | 236,217 | 0.77 | 1186 |
| 18 COWEN & CO. | 232,147 | 0.75 | 873 |
| 19 BTIG - BASS TRADING | 216,539 | 0.7 | 487 |
| 20 PIPER JAFFRAY & CO. | 215,322 | 0.7 | 910- |
| 21 THOMAS WEISEL PARTNERS LLC | 194,186 | 0.63 | 697 |
| 22 CANTOR, FITZGERALD & CO., INC. | 180,873 | 0.59 | 749 |
| 23 ROBERT W. BAIRD CO. INCORPORAT | 166,529 | 0.54 | 1468 |
| 24 STIFEL NICOLAUS CAPITAL MARKET | 165,660 | 0.54 | 1209 |
| 25 BNY CONVERGEX | 158,068 | 0.51 | 964 |
| 26 JONESTRADING INSTITUTIONAL SER | 138,784 | 0.45 | 841 |
| 27 SANFORD C. BERNSTEIN COMPANY, | 137,200 | 0.45 | 484 |
| 28 RAYMOND JAMES | 134,243 | 0.44 | 1689 |
| 29 FRIEDMAN, BILLINGS, RAMSEY & C | 126,550 | 0.41 | 328 |
| 30 WELLS FARGO SECURITIES LLC | 93,925 | 0.31 | 396 |
| 31 WILLIAM BLAIR & COMPANY, LLC. | 92,481 | 0.3 | 663 |
| 32 KEEFE, BRUYETTE & WOODS, INC. | 86,398 | 0.28 | 361 |
| 33 LEERINK SWANN & CO. | 73,104 | 0.24 | 240 |
| 34 WEDBUSH MORGAN SECURITIES | 70,270 | 0.23 | 292 |
| 35 THINKEQUITY PARTNERS | 67,667 | 0.22 | 251 |
| 36 HUDSON SECURITIES | 64,093 | 0.21 | 499 |
| 37 CANACCORD ADAMS | 54,303 | 0.18 | 410 |

| | | | | |
|----|--------------------------------|--------|------|------|
| 38 | BENCHMARK COMPANY, LLC | 51,243 | 0.17 | 328 |
| 39 | NEEDHAM & COMPANY | 50,874 | 0.17 | 603 |
| 40 | JMP SECURITIES | 50,588 | 0.16 | 211- |
| 41 | LAZARD CAPITAL MARKETS | 43,153 | 0.14 | 278 |
| 42 | MORGAN KEEGAN & CO., INC. | 40,847 | 0.13 | 271 |
| 43 | HSBC SECURITIES | 40,664 | 0.13 | 329 |
| 44 | BMO CAPITAL MARKETS | 30,369 | 0.1 | 128 |
| 45 | D.A. DAVIDSON & CO. | 29,472 | 0.1 | 206 |
| 46 | STEPHENS INC. | 27,038 | 0.09 | 297 |
| 47 | KEYBANC CAPITAL MARKETS INC. | 26,403 | 0.09 | 175 |
| 48 | CAPITAL INSTITUTIONAL SERVICES | 25,734 | 0.08 | 281 |
| 49 | STERNE AGEE & LEACH, INC. | 25,432 | 0.08 | 279 |
| 50 | JANNEY MONTGOMERY SCOTT, INC. | 22,945 | 0.07 | 896- |

| Listed Securities Non-NY Brokers | | Advertised | % of Vol. |
|---|--------------------------------|-------------------|------------------|
| Top 25 Broker - Dealer | | Vol.(000) | Crossed |
| 1 | WEEDEN & COMPANY, INC. | 566,674 | 17 |
| 2 | SUSQUEHANNA INTERNATIONAL GRP. | 511,208 | 0 |
| 3 | CANTOR, FITZGERALD & CO., INC. | 297,206 | 7 |
| 4 | FRIEDMAN, BILLINGS, RAMSEY & C | 272,622 | 11 |
| 5 | ROBERT W. BAIRD CO. INCORPORAT | 233,685 | 5 |
| 6 | THOMAS WEISEL PARTNERS LLC | 137,042 | 4 |
| 7 | WILLIAM BLAIR & COMPANY, LLC. | 121,113 | 6 |
| 8 | MORGAN KEEGAN & CO., INC. | 81,930 | 5 |
| 9 | CAPITAL INSTITUTIONAL SERVICES | 65,439 | 1 |
| 10 | JANNEY MONTGOMERY SCOTT, INC. | 37,400 | 0 |
| 11 | JOHNSON RICE & COMPANY | 37,209 | 32 |
| 12 | WEDBUSH MORGAN SECURITIES | 31,477 | 0 |
| 13 | SIMMONS AND COMPANY INTERNATIO | 30,131 | - |
| 14 | STEPHENS INC. | 28,593 | 1 |
| 15 | BULLTICK | 28,410 | - |
| 16 | THINKEQUITY PARTNERS | 27,053 | 41 |
| 17 | THE INTERSTATE GROUP | 23,811 | 1 |
| 18 | MKM PARTNERS | 22,274 | 6 |
| 19 | GREEN STREET ADVISORS | 20,357 | 25 |
| 20 | ROCHDALE SECURITIES | 18,648 | 8 |
| 21 | ABN-AMRO | 18,253 | - |
| 22 | WACHOVIA SECURITIES | 16,651 | - |
| 23 | D.A. DAVIDSON & CO. | 14,947 | 2 |
| 24 | DAVENPORT & COMPANY | 880 | - |
| 25 | PACIFIC CREST SECURITIES | 840 | 24 |

| NASDAQ – National Market & Small Cap Market | | Advertised | # of |
|--|--------------------------------|-------------------|---------------|
| Non NY Brokers | | Vol.(000) | Stocks |
| Top 25 Broker-Dealer | | Vol.(000) | Stocks |
| 1 | SUSQUEHANNA INTERNATIONAL GRP. | 241,036 | 1,458 |

| | | | |
|----|--------------------------------|---------|-------|
| 2 | WEEDEN & COMPANY, INC. | 236,217 | 1,186 |
| 3 | THOMAS WEISEL PARTNERS LLC | 194,186 | 697 |
| 4 | ROBERT W. BAIRD CO. INCORPORAT | 166,529 | 1,468 |
| 5 | FRIEDMAN, BILLINGS, RAMSEY & C | 126,550 | 328 |
| 6 | WILLIAM BLAIR & COMPANY, LLC. | 92,481 | 663 |
| 7 | WEDBUSH MORGAN SECURITIES | 70,270 | 292 |
| 8 | THINKEQUITY PARTNERS | 67,667 | 251 |
| 9 | MORGAN KEEGAN & CO., INC. | 40,847 | 271 |
| 10 | D.A. DAVIDSON & CO. | 29,472 | 206 |
| 11 | STEPHENS INC. | 27,038 | 297 |
| 12 | CAPITAL INSTITUTIONAL SERVICES | 25,734 | 281 |
| 13 | JANNEY MONTGOMERY SCOTT, INC. | 22,945 | 896 |
| 14 | MKM PARTNERS | 15,255 | 92 |
| 15 | THE INTERSTATE GROUP | 8,671 | 189 |
| 16 | WACHOVIA SECURITIES | 7,209 | 153 |
| 17 | JOHNSON RICE & COMPANY | 3,726 | 73 |
| 18 | ROCHDALE SECURITIES | 3,592 | 71 |
| 19 | SIMMONS AND COMPANY INTERNATIO | 3,541 | 42 |
| 20 | CRAIG-HALLUM CAPITAL GROUP | 3,244 | 32 |
| 21 | BULLTICK | 2,070 | 91 |
| 22 | PACIFIC CREST SECURITIES | 1,103 | 2 |
| 23 | DAVENPORT & COMPANY | 430 | 8 |
| 24 | HEFLIN & CO., LLC | 167 | 5 |
| 25 | HUNTLEIGH SECURITIES CORP. | 74 | 2 |

| Ordinary Securities | | | |
|----------------------------|--------------------------------|--------------|---------------|
| Europe | | | |
| Top 15 Brokers | | | |
| | Advertised | % of | # of |
| | Vol (000) | Total | Stocks |
| 1 | DEUTSCHE BANK SECURITIES, IN | 14,581,704 | 2258 |
| 2 | CREDIT SUISSE | 10,175,485 | 1697 |
| 3 | UBS | 9,313,900 | 1735 |
| 4 | NOMURA INTERNATIONAL PLC. | 9,132,940 | 1849 |
| 5 | CITIGROUP | 7,537,294 | 1680 |
| 6 | JPMORGAN | 5,413,246 | 1384 |
| 7 | MORGAN STANLEY EUROPE | 4,975,926 | 1313 |
| 8 | MERRILL LYNCH | 4,872,307 | 1786 |
| 9 | ABN-AMRO | 2,892,539 | 1327 |
| 10 | GOLDMAN SACHS GROUP | 2,526,331 | 1500 |
| 11 | CAZENOVE SECURITIES | 2,420,189 | 792 |
| 12 | HSBC SECURITIES | 2,343,824 | 2383 |
| 13 | SHORE CAPITAL STOCKBROKERS LTD | 2,264,395 | 602 |
| 14 | EXANE BNP PARIBAS | 1,254,344 | 600 |
| 15 | INVESTEC SECURITIES LTD | 987,158 | 230 |

Ordinary Securities

| Far East Asia / Pacific Rim Top 15 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
|---|---------------------------------|-----------------------|------------------------|
| 1 CITIGROUP | 10,561,168 | 20.1 | 2782 |
| 2 UBS | 10,184,710 | 19.39 | 1149 |
| 3 DEUTSCHE BANK SECURITIES, IN | 8,497,278 | 16.17 | 3178 |
| 4 JPMORGAN | 8,302,630 | 15.8 | 1553 |
| 5 GOLDMAN SACHS GROUP | 4,883,719 | 9.3 | 1803 |
| 6 MORGAN STANLEY ASIA | 4,706,632 | 8.96 | 361 |
| 7 HSBC SECURITIES | 3,908,673 | 7.44 | 1231 |
| 8 ABN-AMRO | 1,165,078 | 2.22 | 585 |
| 9 SHORE CAPITAL STOCKBROKERS LTD | 71,662 | 0.14 | 24 |
| 10 CREDIT SUISSE | 48,884 | 0.09 | 68 |
| 11 MERRILL LYNCH | 32,596 | 0.06 | 188 |
| 12 INVESTEC SECURITIES LTD | 28,713 | 0.05 | 4 |
| 13 KNIGHT | 26,326 | 0.05 | 109 |
| 14 THOMAS WEISEL PARTNERS LLC | 19,601 | 0.04 | 3 |
| 15 EVOLUTION SECURITIES | 18,804 | 0.04 | 10 |

| Ordinary Securities Latin America (Excluding Brazil) Top 15 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
|--|---------------------------------|-----------------------|------------------------|
| 1 MERRILL LYNCH | 1,489,557 | 57.02 | 82 |
| 2 CREDIT SUISSE | 564,445 | 21.61 | 61 |
| 3 MORGAN STANLEY | 293,144 | 11.22 | 61 |
| 4 JPMORGAN | 132,583 | 5.08 | 46 |
| 5 CITIGROUP | 98,192 | 3.76 | 60 |
| 6 UBS | 22,322 | 0.85 | 52 |
| 7 GOLDMAN SACHS GROUP | 5,275 | 0.2 | 4 |
| 8 DEUTSCHE BANK SECURITIES, IN | 4,742 | 0.18 | 19 |
| 9 HSBC SECURITIES | 2,056 | 0.08 | 4 |
| 10 KNIGHT | 92 | 0 | 5 |
| 11 RAYMOND JAMES | 11 | 0 | 2 |
| 12 JEFFERIES & COMPANY, INC. | 10 | 0 | 1 |
| 13 JANNEY MONTGOMERY SCOTT, INC. | 10 | 0 | 1 |
| 14 RBC CAPITAL MARKETS | 3 | 0 | 1 |
| 15 D.A. DAVIDSON & CO. | 3 | 0 | 1 |

| ADR Securities Top 25 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
|--|---------------------------------|-----------------------|------------------------|
| 1 KNIGHT | 866,737 | 12.94 | 759 |
| 2 CREDIT SUISSE | 767,382 | 11.45 | 411 |
| 3 CITIGROUP | 715,438 | 10.68 | 588 |
| 4 UBS | 691,095 | 10.31 | 639 |
| 5 MORGAN STANLEY | 679,109 | 10.14 | 607 |
| 6 MERRILL LYNCH | 649,017 | 9.69 | 713 |
| 7 DEUTSCHE BANK SECURITIES, IN | 425,202 | 6.35 | 365 |

| | | | | |
|----|--------------------------------|---------|------|-----|
| 8 | JPMORGAN | 312,917 | 4.67 | 404 |
| 9 | BARCLAYS CAPITAL INC. | 312,527 | 4.66 | 522 |
| 10 | INSTINET CORPORATION | 216,996 | 3.24 | 276 |
| 11 | GOLDMAN SACHS GROUP | 181,021 | 2.7 | 462 |
| 12 | HSBC SECURITIES | 165,034 | 2.46 | 199 |
| 13 | ITG, INC. | 56,193 | 0.84 | 209 |
| 14 | BULLTICK | 51,602 | 0.77 | 136 |
| 15 | JEFFERIES & COMPANY, INC. | 49,570 | 0.74 | 171 |
| 16 | MORGAN STANLEY EUROPE | 49,564 | 0.74 | 24 |
| 17 | ABN-AMRO | 43,845 | 0.65 | 78 |
| 18 | RBC CAPITAL MARKETS | 42,709 | 0.64 | 389 |
| 19 | COWEN & CO. | 33,040 | 0.49 | 132 |
| 20 | SUSQUEHANNA INTERNATIONAL GRP. | 31,413 | 0.47 | 177 |
| 21 | CANTOR, FITZGERALD & CO., INC. | 27,290 | 0.41 | 67 |
| 22 | NOMURA INTERNATIONAL PLC. | 24,572 | 0.37 | 27 |
| 23 | SANFORD C. BERNSTEIN COMPANY, | 24,412 | 0.36 | 105 |
| 24 | BNY CONVERGEX | 24,274 | 0.36 | 216 |
| 25 | CALYON SECURITIES USA | 22,160 | 0.33 | 84 |

| CVT BOND COUPON Securities | | Advertised | % of | # of |
|-----------------------------------|--------------------------------|-------------------|--------------|---------------|
| Top 25 Brokers | | Vol (000) | Total | Stocks |
| 1 | KEPLER EQUITIES | 63,465 | 97.54 | 30 |
| 2 | UBS | 349 | 0.54 | 18 |
| 3 | CITIGROUP | 324 | 0.5 | 18 |
| 4 | BARCLAYS CAPITAL INC. | 270 | 0.41 | 1 |
| 5 | KNIGHT | 262 | 0.4 | 18 |
| 6 | MERRILL LYNCH | 132 | 0.2 | 7 |
| 7 | ROBERT W. BAIRD CO. INCORPORAT | 67 | 0.1 | 10 |
| 8 | KEEFE, BRUYETTE & WOODS, INC. | 62 | 0.1 | 2 |
| 9 | JPMORGAN | 31 | 0.05 | 3 |
| 10 | RBC CAPITAL MARKETS | 28 | 0.04 | 10 |
| 11 | CREDIT SUISSE | 28 | 0.04 | 6 |
| 12 | MORGAN STANLEY | 21 | 0.03 | 7 |
| 13 | BNY CONVERGEX | 16 | 0.02 | 1 |
| 14 | SANFORD C. BERNSTEIN COMPANY, | 6 | 0.01 | 2 |
| 15 | STIFEL NICOLAUS CAPITAL MARKET | 2 | 0 | 1 |
| 16 | JANNEY MONTGOMERY SCOTT, INC. | 2 | 0 | 2 |
| 17 | WILLIAM BLAIR & COMPANY, LLC. | 1 | 0 | 3 |

| Mandatory CVT Securities Top 15 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
|--|---------------------------------|-----------------------|------------------------|
| 1 CITIGROUP | 870 | 23.97 | 6 |
| 2 KNIGHT | 812 | 22.37 | 7 |
| 3 JPMORGAN | 789 | 21.73 | 3 |
| 4 MERRILL LYNCH | 512 | 14.11 | 3 |
| 5 UBS | 381 | 10.51 | 6 |
| 6 JONESTRADING INSTITUTIONAL SER | 62 | 1.71 | 2 |
| 7 RBC CAPITAL MARKETS | 56 | 1.54 | 4 |
| 8 MORGAN STANLEY | 50 | 1.36 | 6 |
| 9 ROBERT W. BAIRD CO. INCORPORAT | 26 | 0.71 | 4 |
| 10 JANNEY MONTGOMERY SCOTT, INC. | 23 | 0.64 | 3 |
| 11 FRIEDMAN, BILLINGS, RAMSEY & C | 20 | 0.55 | 1 |
| 12 MAXIM GROUP LLC | 20 | 0.55 | 1 |
| 13 CREDIT SUISSE | 3 | 0.08 | 1 |
| 14 GOLDMAN SACHS GROUP | 3 | 0.08 | 3 |
| 15 HSBC SECURITIES | 2 | 0.04 | 1 |

| CVT Bond Zero Securities Top 15 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
|--|---------------------------------|-----------------------|------------------------|
| 1 KEPLER EQUITIES | 1,655 | 100 | 2 |

| CVT Preferred Securities Top 15 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
|--|---------------------------------|-----------------------|------------------------|
| 1 CITIGROUP | 14,203 | 22.77 | 142 |
| 2 GOLDMAN SACHS GROUP | 9,512 | 15.25 | 46 |
| 3 UBS | 9,384 | 15.04 | 155 |
| 4 BARCLAYS CAPITAL INC. | 6,530 | 10.47 | 27 |
| 5 KNIGHT | 5,205 | 8.34 | 248 |
| 6 KEEFE, BRUYETTE & WOODS, INC. | 4,685 | 7.51 | 39 |

| | | | | |
|----|--------------------------------|-------|------|-----|
| 7 | MORGAN STANLEY | 3,033 | 4.86 | 109 |
| 8 | CREDIT SUISSE | 2,947 | 4.72 | 61 |
| 9 | MERRILL LYNCH | 1,455 | 2.33 | 27 |
| 10 | JPMORGAN | 912 | 1.46 | 37 |
| 11 | DEUTSCHE BANK SECURITIES, IN | 883 | 1.41 | 14 |
| 12 | RBC CAPITAL MARKETS | 770 | 1.23 | 93 |
| 13 | JANNEY MONTGOMERY SCOTT, INC. | 611 | 0.98 | 61 |
| 14 | INSTINET CORPORATION | 488 | 0.78 | 18 |
| 15 | ROBERT W. BAIRD CO. INCORPORAT | 324 | 0.52 | 71 |