

Listed Securities	Advertised	% of	% of Vol.
Top 50 Brokers	Vol (000)	Total	Crossed
1 CREDIT SUISSE	12,795,452	17.66-	-
2 KNIGHT	10,975,824	15.15	10
3 CITIGROUP	8,053,731	11.11	1
4 UBS	7,728,345	10.66	10-
5 MERRILL LYNCH	6,126,168	8.45	0
6 MORGAN STANLEY	5,909,041	8.15-	
7 DEUTSCHE BANK SECURITIES, IN	2,918,780	4.03	0
8 BARCLAYS CAPITAL INC.	2,338,223	3.23	0
9 JPMORGAN	1,985,830	2.74	1
10 GOLDMAN SACHS GROUP	1,791,707	2.47	3
11 RBC CAPITAL MARKETS	1,464,538	2.02	4
12 INSTINET CORPORATION	1,316,651	1.82	4
13 ITG, INC.	940,503	1.3	40
14 JEFFERIES & COMPANY, INC.	838,915	1.16	7
15 WEEDEN & COMPANY, INC.	638,458	0.88	15
16 SUSQUEHANNA INTERNATIONAL GRP.	552,932	0.76	1
17 SANFORD C. BERNSTEIN COMPANY,	400,493	0.55	7
18 BNY CONVERGEX	358,382	0.49	1
19 COWEN & CO.	337,593	0.47	13
20 BTIG - BASS TRADING	307,650	0.42	4
21 STIFEL NICOLAUS CAPITAL MARKET	301,911	0.42	7
22 OPPENHEIMER & CO	291,485	0.4-	
23 HSBC SECURITIES	288,232	0.4	0
24 CANTOR, FITZGERALD & CO., INC.	276,248	0.38	5
25 FRIEDMAN, BILLINGS, RAMSEY & C	251,896	0.35	13
26 WACHOVIA SECURITIES	209,281	0.29	2
27 ROBERT W. BAIRD CO. INCORPORAT	203,306	0.28	5
28 JONESTRADING INSTITUTIONAL SER	196,153	0.27	17
29 RAYMOND JAMES	191,168	0.26	4
30 PIPER JAFFRAY & CO.	187,330	0.26	3
31 KEEFE, BRUYETTE & WOODS, INC.	183,581	0.25	5
32 HUDSON SECURITIES	151,046	0.21	0
33 THOMAS WEISEL PARTNERS LLC	142,496	0.2	2
34 WILLIAM BLAIR & COMPANY, LLC.	114,894	0.16	3
35 KEYBANC CAPITAL MARKETS INC.	109,788	0.15	4
36 LEERINK SWANN & CO.	86,240	0.12	4
37 CRT CAPITAL GROUP LLC	85,456	0.12	60
38 CAPITAL INSTITUTIONAL SERVICES	74,317	0.1	2-
39 SUNTRUST ROBINSON HUMPHREY	70,538	0.1	8
40 MORGAN KEEGAN & CO., INC.	69,629	0.1	3
41 BMO CAPITAL MARKETS	66,225	0.09	12
42 JMP SECURITIES	65,262	0.09-	
43 BENCHMARK COMPANY, LLC	63,656	0.09	1-
44 FOX-PITT KELTON, INC.	55,463	0.08	2-

45	LABRANCHE FINANCIAL SERVICES	55,439	0.08	4-
46	SIMMONS AND COMPANY INTERNATIO	55,272	0.08-	-
47	STERNE AGEE & LEACH, INC.	54,438	0.08	4
48	CANACCORD ADAMS	50,595	0.07	1-
49	CALYON SECURITIES USA	48,943	0.07-	
50	ROCHDALE SECURITIES	48,409	0.07	5

NASDAQ – National Market & Small Cap Market		Advertised	% of	# of
Top 50 Brokers		Vol (000)	Total	Stocks
1	KNIGHT	7,974,015	22.76	2872
2	CREDIT SUISSE	4,621,521	13.19	2472
3	UBS	3,996,268	11.41	2803
4	CITIGROUP	3,502,333	10	2579
5	MORGAN STANLEY	2,541,552	7.25	2407
6	MERRILL LYNCH	2,030,806	5.8	2356
7	GOLDMAN SACHS GROUP	1,194,724	3.41	2140
8	BARCLAYS CAPITAL INC.	888,876	2.54	1664
9	JPMORGAN	869,198	2.48	1713
10	DEUTSCHE BANK SECURITIES, IN	845,879	2.41	1908
11	INSTINET CORPORATION	807,155	2.3	1799
12	JEFFERIES & COMPANY, INC.	531,573	1.52	1075
13	RBC CAPITAL MARKETS	521,419	1.49	1738
14	ITG, INC.	440,723	1.26	1553
15	BTIG - BASS TRADING	404,477	1.15	689
16	SUSQUEHANNA INTERNATIONAL GRP.	255,147	0.73	1568
17	COWEN & CO.	211,015	0.6	824
18	OPPENHEIMER & CO	202,101	0.58	1081
19	PIPER JAFFRAY & CO.	201,011	0.57	1058
20	WEEDEN & COMPANY, INC.	194,032	0.55	1144-
21	SANFORD C. BERNSTEIN COMPANY,	173,338	0.49	457
22	CANTOR, FITZGERALD & CO., INC.	172,249	0.49	776
23	THOMAS WEISEL PARTNERS LLC	166,461	0.48	511
24	STIFEL NICOLAUS CAPITAL MARKET	165,856	0.47	1223
25	FRIEDMAN, BILLINGS, RAMSEY & C	153,388	0.44	331
26	ROBERT W. BAIRD CO. INCORPORAT	148,907	0.42	1220
27	BNY CONVERGEX	138,435	0.4	1047
28	JONESTRADING INSTITUTIONAL SER	131,840	0.38	787
29	HSBC SECURITIES	109,245	0.31	941
30	RAYMOND JAMES	89,220	0.25	1731
31	WILLIAM BLAIR & COMPANY, LLC.	87,454	0.25	713
32	HUDSON SECURITIES	82,835	0.24	558
33	WACHOVIA SECURITIES	82,398	0.24	397
34	LEERINK SWANN & CO.	63,613	0.18	252
35	KEEFE, BRUYETTE & WOODS, INC.	60,686	0.17	357
36	CANACCORD ADAMS	56,498	0.16	368
37	WEDBUSH MORGAN SECURITIES	54,353	0.16	286

38	NEEDHAM & COMPANY	54,101	0.15	642
39	BENCHMARK COMPANY, LLC	51,322	0.15	344
40	MAXIM GROUP LLC	47,802	0.14	124-
41	THINKEQUITY PARTNERS	45,293	0.13	220
42	MORGAN KEEGAN & CO., INC.	44,951	0.13	242
43	JMP SECURITIES	44,475	0.13	200
44	STEPHENS INC.	34,946	0.1	384
45	STERNE AGEE & LEACH, INC.	31,832	0.09	302
46	KEYBANC CAPITAL MARKETS INC.	31,598	0.09	213
47	JANNEY MONTGOMERY SCOTT, INC.	29,771	0.08	874
48	CRAIG-HALLUM CAPITAL GROUP	29,735	0.08	83
49	BMO CAPITAL MARKETS	29,642	0.08	130
50	FOX-PITT KELTON, INC.	28,473	0.08	125-

Listed Securities Non-NY Brokers		Advertised	% of Vol.
Top 25 Broker - Dealer		Vol.(000)	Crossed
1	WEEDEN & COMPANY, INC.	638,458	15
2	SUSQUEHANNA INTERNATIONAL GRP.	552,932	1
3	CANTOR, FITZGERALD & CO., INC.	276,248	5
4	FRIEDMAN, BILLINGS, RAMSEY & C	251,896	13
5	WACHOVIA SECURITIES	209,281	2
6	ROBERT W. BAIRD CO. INCORPORAT	203,306	5
7	THOMAS WEISEL PARTNERS LLC	142,496	2
8	WILLIAM BLAIR & COMPANY, LLC.	114,894	3
9	CAPITAL INSTITUTIONAL SERVICES	74,317	2
10	MORGAN KEEGAN & CO., INC.	69,629	3
11	SIMMONS AND COMPANY INTERNATIO	55,272	-
12	ROCHDALE SECURITIES	48,409	5
13	JANNEY MONTGOMERY SCOTT, INC.	39,705	-
14	STEPHENS INC.	37,307	2
15	JOHNSON RICE & COMPANY	35,607	27
16	WEDBUSH MORGAN SECURITIES	35,148	1
17	THE INTERSTATE GROUP	31,118	1
18	MIDWEST RESEARCH	26,804	1
19	MKM PARTNERS	23,808	2
20	BULLTICK	20,507	-
21	GREEN STREET ADVISORS	20,147	1
22	THINKEQUITY PARTNERS	18,631	3
23	ABN-AMRO	15,075	-
24	D.A. DAVIDSON & CO.	13,265	1
25	CRAIG-HALLUM CAPITAL GROUP	8,231	17

NASDAQ – National Market & Small Cap Market		Advertised	# of
Non NY Brokers		Vol.(000)	Stocks
Top 25 Broker-Dealer		Vol.(000)	Stocks
1	SUSQUEHANNA INTERNATIONAL GRP.	255,159	1,569

2	WEEDEN & COMPANY, INC.	194,032	1,144
3	THOMAS WEISEL PARTNERS LLC	166,461	511
4	FRIEDMAN, BILLINGS, RAMSEY & C	153,388	331
5	ROBERT W. BAIRD CO. INCORPORAT	148,907	1,220
6	WILLIAM BLAIR & COMPANY, LLC.	87,456	714
7	WACHOVIA SECURITIES	82,398	397
8	WEDBUSH MORGAN SECURITIES	54,447	287
9	THINKEQUITY PARTNERS	45,293	220
10	MORGAN KEEGAN & CO., INC.	44,951	242
11	STEPHENS INC.	34,946	384
12	JANNEY MONTGOMERY SCOTT, INC.	29,771	874
13	CRAIG-HALLUM CAPITAL GROUP	29,735	83
14	D.A. DAVIDSON & CO.	23,918	202
15	CAPITAL INSTITUTIONAL SERVICES	23,000	215
16	ROCHDALE SECURITIES	14,246	176
17	MKM PARTNERS	10,613	116
18	MIDWEST RESEARCH	6,843	65
19	THE INTERSTATE GROUP	6,640	206
20	JOHNSON RICE & COMPANY	4,027	96
21	SIMMONS AND COMPANY INTERNATIO	3,651	43
22	BULLTICK	3,191	86
23	SANDERS MORRIS HARRIS	1,095	6
24	PACIFIC CREST SECURITIES	783	4
25	HEFLIN & CO., LLC	643	2

Ordinary Securities				
Europe				
Top 15 Brokers				
	Advertised	% of	# of	
	Vol (000)	Total	Stocks	
1	CREDIT SUISSE	15,780,985	16.84	1700
2	UBS	11,441,516	12.21	1777
3	DEUTSCHE BANK SECURITIES, IN	11,422,079	12.19	2126
4	JPMORGAN	8,255,769	8.81	1435
5	NOMURA INTERNATIONAL PLC	7,956,749	8.49	1958
6	CITIGROUP	7,908,340	8.44	1869
7	MERRILL LYNCH	4,970,123	5.3	1616
8	MORGAN STANLEY EUROPE	4,806,881	5.13	1350
9	GOLDMAN SACHS GROUP	4,091,093	4.37	1706
10	ABN-AMRO	3,780,618	4.04	1419
11	SHORE CAPITAL STOCKBROKERS LTD	3,178,245	3.39	647
12	HSBC SECURITIES	2,639,734	2.82	2367
13	CAZENOVE SECURITIES	2,187,739	2.33	799
14	EXANE BNP PARIBAS	1,165,318	1.24	560
15	SANFORD C. BERNSTEIN COMPANY,	899,393	0.96	776

Ordinary Securities

NOTE: ** Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

Indicates a broker with certification pending.

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<http://www.salesandtradinggroup.com>

Far East Asia / Pacific Rim Top 15 Brokers	Advertised Vol (000)	% of Total	# of Stocks
1 JPMORGAN	9,046,183	21.61	1615
2 DEUTSCHE BANK SECURITIES, IN	8,096,740	19.34	2947
3 UBS	5,686,430	13.58	767
4 MORGAN STANLEY ASIA	5,485,268	13.1	363
5 GOLDMAN SACHS GROUP	5,435,910	12.98	2289
6 HSBC SECURITIES	4,139,839	9.89	1255
7 CITIGROUP	2,560,146	6.12	2100
8 ABN-AMRO	1,083,157	2.59	570
9 SHORE CAPITAL STOCKBROKERS LTD	103,631	0.25	23
10 THOMAS WEISEL PARTNERS LLC	44,737	0.11	5
11 CREDIT SUISSE	41,761	0.1	88
12 KNIGHT	39,672	0.09	163
13 EVOLUTION SECURITIES	29,250	0.07	8
14 MERRILL LYNCH	16,211	0.04	198
15 INVESTEC SECURITIES LTD	9,974	0.02	2

Ordinary Securities Latin America (Excluding Brazil) Top 15 Brokers	Advertised Vol (000)	% of Total	# of Stocks
1 MERRILL LYNCH	1,103,315	50.79	85
2 CREDIT SUISSE	481,675	22.17	70
3 MORGAN STANLEY	246,096	11.33	54
4 CITIGROUP	168,822	7.77	63
5 JPMORGAN	110,519	5.09	51
6 UBS	50,425	2.32	54
7 DEUTSCHE BANK SECURITIES, IN	8,299	0.38	19
8 HSBC SECURITIES	2,650	0.12	2
9 WEEDEN & COMPANY, INC.	312	0.01	2
10 KNIGHT	181	0.01	7
11 RBC CAPITAL MARKETS	9	0	3
12 RAYMOND JAMES	8	0	2
13 STIFEL NICOLAUS CAPITAL MARKET	4	0	2
14 JANNEY MONTGOMERY SCOTT, INC.	1	0	2
15 ROBERT W. BAIRD CO. INCORPORAT	0	0	1

ADR Securities Top 25 Brokers	Advertised Vol (000)	% of Total	# of Stocks
1 KNIGHT	1,008,109	14.17	779
2 CREDIT SUISSE	948,881	13.34	427
3 CITIGROUP	825,162	11.6	662
4 UBS	730,856	10.27	640
5 MERRILL LYNCH	671,148	9.43	748
6 MORGAN STANLEY	647,716	9.1	617
7 DEUTSCHE BANK SECURITIES, IN	479,223	6.74	347

8	JPMORGAN	268,084	3.77	420
9	BARCLAYS CAPITAL INC.	249,975	3.51	528
10	GOLDMAN SACHS GROUP	211,095	2.97	516
11	HSBC SECURITIES	172,499	2.42	198
12	INSTINET CORPORATION	140,226	1.97	268
13	JEFFERIES & COMPANY, INC.	57,584	0.81	164
14	RBC CAPITAL MARKETS	52,377	0.74	380
15	MORGAN STANLEY EUROPE	51,587	0.73	26
16	ITG, INC.	49,126	0.69	201
17	ABN-AMRO	37,906	0.53	90
18	SUSQUEHANNA INTERNATIONAL GRP.	37,316	0.52	178
19	BNY CONVERGEX	37,252	0.52	257
20	BULLTICK	37,105	0.52	137
21	HUDSON SECURITIES	28,425	0.4	124
22	COWEN & CO.	27,267	0.38	113
23	WEEDEN & COMPANY, INC.	27,033	0.38	161
24	SANFORD C. BERNSTEIN COMPANY,	23,180	0.33	121
25	OPPENHEIMER & CO	21,757	0.31	150

CVT BOND COUPON Securities		Advertised	% of	# of
Top 25 Brokers		Vol (000)	Total	Stocks
1	KEPLER EQUITIES	51,662	97.41	14
2	CITIGROUP	281	0.53	17
3	UBS	233	0.44	17
4	MERRILL LYNCH	220	0.41	6
5	KNIGHT	175	0.33	8
6	RBC CAPITAL MARKETS	145	0.27	12
7	STIFEL NICOLAUS CAPITAL MARKET	100	0.19	1
8	JPMORGAN	63	0.12	2
9	KEEFE, BRUYETTE & WOODS, INC.	46	0.09	1
10	SANFORD C. BERNSTEIN COMPANY,	24	0.04	1
11	MORGAN STANLEY	20	0.04	6
12	CAPITAL INSTITUTIONAL SERVICES	20	0.04	1
13	CANTOR, FITZGERALD & CO., INC.	16	0.03	1
14	CREDIT SUISSE	13	0.03	7
15	JANNEY MONTGOMERY SCOTT, INC.	6	0.01	3
16	ROBERT W. BAIRD CO. INCORPORAT	4	0.01	3
17	INSTINET CORPORATION	4	0.01	2
18	RAYMOND JAMES	2	0	1
19	GOLDMAN SACHS GROUP	1	0	1
20	BARCLAYS CAPITAL INC.	1	0	2
21	WILLIAM BLAIR & COMPANY, LLC.	1	0	1

Mandatory CVT Securities		Advertised	% of	# of
Top 15 Brokers		Vol (000)	Total	Stocks
1	JONESTRADING INSTITUTIONAL SER	1,213	33.69	2
2	KNIGHT	776	21.56	4
3	CITIGROUP	653	18.14	5
4	UBS	386	10.72	6
5	MERRILL LYNCH	323	8.98	3
6	RBC CAPITAL MARKETS	101	2.8	5
7	MORGAN STANLEY	42	1.18	6
8	BNY CONVERGEX	40	1.11	1
9	FRIEDMAN, BILLINGS, RAMSEY & C	40	1.11	1
10	JANNEY MONTGOMERY SCOTT, INC.	9	0.26	3
11	ROBERT W. BAIRD CO. INCORPORAT	6	0.17	3
12	JPMORGAN	6	0.17	2
13	STIFEL NICOLAUS CAPITAL MARKET	2	0.06	1
14	GOLDMAN SACHS GROUP	1	0.04	2
15	CREDIT SUISSE	1	0.02	2

CVT Bond Zero Securities		Advertised	% of	# of
Top 15 Brokers		Vol (000)	Total	Stocks
1	N/A			

CVT Preferred Securities		Advertised	% of	# of
Top 15 Brokers		Vol (000)	Total	Stocks
1	CITIGROUP	39,397	29.13	140
2	GOLDMAN SACHS GROUP	20,013	14.8	49
3	CREDIT SUISSE	13,967	10.33	74
4	UBS	10,942	8.09	158
5	BARCLAYS CAPITAL INC.	10,744	7.94	29
6	MORGAN STANLEY	9,885	7.31	112

7	JPMORGAN	8,746	6.47	24
8	KEEFE, BRUYETTE & WOODS, INC.	7,619	5.63	43
9	KNIGHT	4,051	3	93
10	RBC CAPITAL MARKETS	1,846	1.36	116
11	MERRILL LYNCH	1,757	1.3	27
12	STIFEL NICOLAUS CAPITAL MARKET	1,239	0.92	7
13	CANTOR, FITZGERALD & CO., INC.	1,076	0.8	11
14	INSTINET CORPORATION	1,036	0.77	21
15	DEUTSCHE BANK SECURITIES, IN	825	0.61	9