

NASDAQ National Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	1,643,134	36.28	1142
2UBS	729,828	16.11	1126
3CITIGROUP	546,789	12.07	1000
4MORGAN STANLEY	204,087	4.51	865
5MERRILL LYNCH	162,309	3.58	881
6CREDIT SUISSE	117,583	2.6	910
7GOLDMAN SACHS GROUP	113,179	2.5	600
8INSTINET CORPORATION	93,169	2.06	525
9JPMORGAN	79,379	1.75	680
10JEFFERIES & COMPANY, INC.	55,818	1.23	270
11BTIG - BASS TRADING	50,749	1.12	137
12STIFEL NICOLAUS CAPITAL MARKET	50,588	1.12	354
13DEUTSCHE BANK SECURITIES, IN	49,889	1.1	432
14OPPENHEIMER & CO	43,177	0.95	288
15PIPER JAFFRAY & CO.	42,478	0.94	262
16CANTOR, FITZGERALD & CO., INC.	40,490	0.89	209
17JONESTRADING INSTITUTIONAL SER	40,400	0.89	205
18ITG, INC.	33,516	0.74	363
19BARCLAYS CAPITAL INC.	31,949	0.71	270
20COWEN & CO.	31,363	0.69	231
21RBC CAPITAL MARKETS	26,792	0.59	441
22WEEDEN & COMPANY, INC.	26,415	0.58	260
23THOMAS WEISEL PARTNERS LLC	24,165	0.53	160
24SUSQUEHANNA INTERNATIONAL GRP.	23,606	0.52	290
25HSBC SECURITIES	23,547	0.52	159

NASDAQ Small Cap Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	327,867	45.93	529
2UBS	163,899	22.96	475
3CITIGROUP	68,224	9.56	348
4GOLDMAN SACHS GROUP	18,632	2.61	122
5MORGAN STANLEY	16,365	2.29	246
6MERRILL LYNCH	14,885	2.09	249
7JPMORGAN	12,209	1.71	113
8CREDIT SUISSE	11,298	1.58	226
9BTIG - BASS TRADING	8,248	1.16	18
10INSTINET CORPORATION	6,793	0.95	74
11HUDSON SECURITIES	6,639	0.93	41
12JEFFERIES & COMPANY, INC.	5,955	0.83	32

13CANTOR, FITZGERALD & CO., INC.	4,525	0.63	27
14WEEDEN & COMPANY, INC.	4,500	0.63	20
15JONESTRADING INSTITUTIONAL SER	4,096	0.57	40
16BULLTICK	4,087	0.57	2
17DEUTSCHE BANK SECURITIES, IN	2,695	0.38	47
18OPPENHEIMER & CO	2,633	0.37	41
19ITG, INC.	2,344	0.33	37
20RAYMOND JAMES	2,281	0.32	135
21STIFEL NICOLAUS CAPITAL MARKET	1,906	0.27	87
22NORTHLAND SECURITIES	1,551	0.22	3
23RBC CAPITAL MARKETS	1,526	0.21	80
24BNY CONVERGEX	1,433	0.2	42
25MAXIM GROUP LLC	1,430	0.2	21

NASDAQ 100	Advertised	% Of	# of
Top 25 Brokers	Vol (000)	Total	Sec. Trd.
1KNIGHT	1,713,866	15.14	88
2UBS	1,244,006	10.99	88
3CITIGROUP	1,214,825	10.73	87
4MORGAN STANLEY	1,193,358	10.54	88
5MERRILL LYNCH	781,626	6.91	88
6JPMORGAN	651,971	5.76	88
7CREDIT SUISSE	641,915	5.67	88
8GOLDMAN SACHS GROUP	613,729	5.42	88
9DEUTSCHE BANK SECURITIES, IN	382,647	3.38	88
10BARCLAYS CAPITAL INC.	374,708	3.31	88
11INSTINET CORPORATION	331,256	2.93	88
12RBC CAPITAL MARKETS	298,256	2.63	88
13JEFFERIES & COMPANY, INC.	215,866	1.91	86
14ITG, INC.	158,726	1.4	88
15SUSQUEHANNA INTERNATIONAL GRP.	114,154	1.01	87
16COWEN & CO.	98,388	0.87	86
17PIPER JAFFRAY & CO.	86,341	0.76	84
18BNY CONVERGEX	85,135	0.75	88
19STIFEL NICOLAUS CAPITAL MARKET	82,685	0.73	86
20WEEDEN & COMPANY, INC.	80,746	0.71	88
21OPPENHEIMER & CO	75,871	0.67	87
22THOMAS WEISEL PARTNERS LLC	75,650	0.67	85
23SANFORD C. BERNSTEIN COMPANY,	75,564	0.67	84
24BTIG - BASS TRADING	71,343	0.63	74
25ROBERT W. BAIRD CO. INCORPORAT	56,256	0.5	88



NASDAQ National Market Top 25 Brokers YTD	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	4,995,189	33.44	1192
2UBS	2,329,365	15.59	1183
3CITIGROUP	1,457,301	9.75	1108
4MORGAN STANLEY	722,730	4.84	1050
5MERRILL LYNCH	646,337	4.33	1071
6CREDIT SUISSE	430,146	2.88	1020
7GOLDMAN SACHS GROUP	368,991	2.47	866
8INSTINET CORPORATION	356,211	2.38	835
9JPMORGAN	351,148	2.35	890
10JEFFERIES & COMPANY, INC.	234,524	1.57	488
11DEUTSCHE BANK SECURITIES, IN	204,416	1.37	657
12PIPER JAFFRAY & CO.	165,511	1.11	380
13BTIG - BASS TRADING	163,895	1.1	318
14COWEN & CO.	163,274	1.09	338
15OPPENHEIMER & CO	145,507	0.97	327
16ITG, INC.	140,974	0.94	547
17CANTOR, FITZGERALD & CO., INC.	136,627	0.91	433
18RBC CAPITAL MARKETS	136,553	0.91	645
19JONESTRADING INSTITUTIONAL SER	123,700	0.83	423
20BARCLAYS CAPITAL INC.	109,909	0.74	430
21LEERINK SWANN & CO.	91,341	0.61	175
22SUSQUEHANNA INTERNATIONAL GRP.	91,008	0.61	678
23WEEDEN & COMPANY, INC.	89,688	0.6	460
24STIFEL NICOLAUS CAPITAL MARKET	83,807	0.56	604
25THOMAS WEISEL PARTNERS LLC	81,563	0.55	264

NASDAQ Small Cap Market Top 25 Brokers YTD	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	961,379	44.59	573
2UBS	490,509	22.75	522
3CITIGROUP	158,142	7.34	431
4JPMORGAN	91,019	4.22	183
5GOLDMAN SACHS GROUP	60,377	2.8	239
6MERRILL LYNCH	46,922	2.18	360
7MORGAN STANLEY	45,179	2.1	382
8CREDIT SUISSE	33,269	1.54	294
9INSTINET CORPORATION	27,051	1.25	144
10CANTOR, FITZGERALD & CO., INC.	24,370	1.13	68
11BTIG - BASS TRADING	20,914	0.97	48
12HUDSON SECURITIES	17,172	0.8	81
13JEFFERIES & COMPANY, INC.	14,287	0.66	66
14JONESTRADING INSTITUTIONAL SER	14,196	0.66	71
15DEUTSCHE BANK SECURITIES, IN	11,930	0.55	120

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NOTE: ** Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

Indicates a broker with certification pending.

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16ITG, INC.	10,005	0.46	57
17RAYMOND JAMES	7,960	0.37	226
18STIFEL NICOLAUS CAPITAL MARKET	7,458	0.35	159
19WEEDEN & COMPANY, INC.	7,290	0.34	40
20OPPENHEIMER & CO	6,893	0.32	49
21MAXIM GROUP LLC	5,613	0.26	46
22RBC CAPITAL MARKETS	5,243	0.24	148
23BULLTICK	5,163	0.24	3
24JANNEY MONTGOMERY SCOTT, INC.	3,961	0.18	145
25SUSQUEHANNA INTERNATIONAL GRP.	3,944	0.18	105

NASDAQ 100 Top 25 Brokers YTD	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	7,006,109	16.45	88
2UBS	4,705,092	11.05	88
3MORGAN STANLEY	4,261,969	10.01	88
4CITIGROUP	4,248,860	9.98	87
5MERRILL LYNCH	2,951,786	6.93	88
6CREDIT SUISSE	2,422,080	5.69	88
7JPMORGAN	2,376,982	5.58	88
8GOLDMAN SACHS GROUP	2,209,091	5.19	88
9DEUTSCHE BANK SECURITIES, IN	1,425,164	3.35	88
10INSTINET CORPORATION	1,258,822	2.96	88
11RBC CAPITAL MARKETS	1,226,444	2.88	88
12BARCLAYS CAPITAL INC.	1,169,949	2.75	88
13JEFFERIES & COMPANY, INC.	813,800	1.91	88
14ITG, INC.	620,952	1.46	88
15ROBERT W. BAIRD CO. INCORPORAT	430,962	1.01	88
16COWEN & CO.	384,105	0.9	88
17THOMAS WEISEL PARTNERS LLC	357,065	0.84	85
18PIPER JAFFRAY & CO.	310,053	0.73	87
19WEEDEN & COMPANY, INC.	308,310	0.72	88
20BNY CONVERGEX	303,755	0.71	88
21OPPENHEIMER & CO	266,852	0.63	87
22BTIG - BASS TRADING	259,596	0.61	86
23SANFORD C. BERNSTEIN COMPANY,	256,448	0.6	87
24SUSQUEHANNA INTERNATIONAL GRP.	255,752	0.6	88
25STIFEL NICOLAUS CAPITAL MARKET	212,154	0.5	88