

NASDAQ National Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	2,183,832	43.26	1289
2UBS	568,926	11.27	1274
3CITIGROUP	390,136	7.73	1083
4MORGAN STANLEY	205,753	4.08	942
5MERRILL LYNCH	175,465	3.48	988
6JPMORGAN	142,158	2.82	747
7GOLDMAN SACHS GROUP	127,658	2.53	778
8CREDIT SUISSE	118,962	2.36	859
9INSTINET CORPORATION	105,865	2.1	635
10DEUTSCHE BANK SECURITIES, IN	82,908	1.64	585
11PIPER JAFFRAY & CO.	59,424	1.18	284
12COWEN & CO.	56,516	1.12	243
13HUDSON SECURITIES	56,010	1.11	110
14RBC CAPITAL MARKETS	48,468	0.96	487
15JEFFERIES & COMPANY, INC.	46,337	0.92	308
16BTIG - BASS TRADING	44,789	0.89	158
17ITG, INC.	41,244	0.82	419
18CANTOR, FITZGERALD & CO., INC.	39,603	0.78	221
19OPPENHEIMER & CO	39,266	0.78	215
20SUSQUEHANNA INTERNATIONAL GRP.	37,876	0.75	721
21NEEDHAM & COMPANY	32,457	0.64	266
22LEERINK SWANN & CO.	30,195	0.6	115
23JONESTRADING INSTITUTIONAL SER	28,186	0.56	213
24THOMAS WEISEL PARTNERS LLC	27,375	0.54	192
25BARCLAYS CAPITAL INC.	25,973	0.51	274

NASDAQ Small Cap Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	145,256	42.68	531
2UBS	73,529	21.6	482
3CITIGROUP	23,853	7.01	302
4MORGAN STANLEY	9,886	2.9	228
5GOLDMAN SACHS GROUP	7,924	2.33	154
6MERRILL LYNCH	7,885	2.32	236
7JONESTRADING INSTITUTIONAL SER	7,418	2.18	30
8JPMORGAN	5,724	1.68	92
9INSTINET CORPORATION	5,453	1.6	74
10JEFFERIES & COMPANY, INC.	5,125	1.51	26
11CREDIT SUISSE	5,100	1.5	161
12HUDSON SECURITIES	4,190	1.23	24

13BTIG - BASS TRADING	2,778	0.82	19
14MAXIM GROUP LLC	2,731	0.8	16
15SUSQUEHANNA INTERNATIONAL GRP.	2,595	0.76	92
16ITG, INC.	2,397	0.7	34
17CANTOR, FITZGERALD & CO., INC.	2,217	0.65	30
18DEUTSCHE BANK SECURITIES, IN	1,978	0.58	63
19WEEDEN & COMPANY, INC.	1,695	0.5	22
20BNY CONVERGEX	1,280	0.38	132
21PIPER JAFFRAY & CO.	1,169	0.34	19
22RAYMOND JAMES	1,125	0.33	109
23BENCHMARK COMPANY, LLC	983	0.29	13
24STIFEL NICOLAUS CAPITAL MARKET	978	0.29	75
25RBC CAPITAL MARKETS	933	0.27	67

NASDAQ 100 Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	1,905,470	18.4	88
2UBS	1,125,239	10.87	88
3CITIGROUP	1,074,513	10.38	87
4MORGAN STANLEY	968,025	9.35	88
5MERRILL LYNCH	654,459	6.32	88
6CREDIT SUISSE	589,461	5.69	88
7JPMORGAN	557,088	5.38	88
8GOLDMAN SACHS GROUP	544,311	5.26	88
9INSTINET CORPORATION	320,700	3.1	88
10DEUTSCHE BANK SECURITIES, IN	316,185	3.05	88
11RBC CAPITAL MARKETS	302,052	2.92	88
12BARCLAYS CAPITAL INC.	262,954	2.54	88
13JEFFERIES & COMPANY, INC.	174,391	1.68	87
14ROBERT W. BAIRD CO. INCORPORAT	127,834	1.23	86
15ITG, INC.	116,358	1.12	88
16THOMAS WEISEL PARTNERS LLC	106,073	1.02	82
17CRT CAPITAL GROUP LLC	80,983	0.78	21
18COWEN & CO.	80,943	0.78	83
19WEEDEN & COMPANY, INC.	74,046	0.72	86
20SANFORD C. BERNSTEIN COMPANY,	72,551	0.7	86
21BNY CONVERGEX	71,195	0.69	86
22PIPER JAFFRAY & CO.	66,823	0.65	85
23BENCHMARK COMPANY, LLC	65,073	0.63	73
24OPPENHEIMER & CO	63,138	0.61	81
25BTIG - BASS TRADING	54,152	0.52	75

NASDAQ National Market	Advertised	% Of	# of
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Top 25 Brokers YTD	Vol (000)	Total	Sec. Trd.
1KNIGHT	4,704,266	45.5	1311
2UBS	1,226,054	11.86	1294
3CITIGROUP	509,313	4.93	1105
4MORGAN STANLEY	429,796	4.16	1036
5MERRILL LYNCH	322,885	3.12	1087
6JPMORGAN	278,960	2.7	867
7GOLDMAN SACHS GROUP	259,086	2.51	907
8CREDIT SUISSE	243,235	2.35	977
9INSTINET CORPORATION	213,893	2.07	797
10DEUTSCHE BANK SECURITIES, IN	164,937	1.6	672
11JEFFERIES & COMPANY, INC.	124,334	1.2	420
12PIPER JAFFRAY & CO.	110,173	1.07	347
13RBC CAPITAL MARKETS	105,299	1.02	596
14COWEN & CO.	99,364	0.96	312
15HUDSON SECURITIES	97,849	0.95	179
16ITG, INC.	90,242	0.87	527
17CANTOR, FITZGERALD & CO., INC.	81,978	0.79	325
18BTIG - BASS TRADING	81,196	0.79	247
19FELTL & COMPANY	71,212	0.69	22
20THOMAS WEISEL PARTNERS LLC	65,982	0.64	242
21OPPENHEIMER & CO	65,744	0.64	252
22SUSQUEHANNA INTERNATIONAL GRP.	60,694	0.59	741
23BARCLAYS CAPITAL INC.	60,179	0.58	356
24JONESTRADING INSTITUTIONAL SER	59,562	0.58	308
25MAXIM GROUP LLC	57,102	0.55	53

NASDAQ Small Cap Market Top 25 Brokers YTD	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	352,543	46.62	556
2UBS	168,650	22.3	502
3CITIGROUP	28,099	3.72	314
4GOLDMAN SACHS GROUP	21,525	2.85	211
5MORGAN STANLEY	21,374	2.83	299
6MERRILL LYNCH	16,009	2.12	289
7JONESTRADING INSTITUTIONAL SER	12,369	1.64	43
8INSTINET CORPORATION	11,936	1.58	106
9JPMORGAN	11,782	1.56	126
10CREDIT SUISSE	10,322	1.37	211
11JEFFERIES & COMPANY, INC.	9,870	1.31	40
12HUDSON SECURITIES	6,581	0.87	37
13ITG, INC.	5,575	0.74	48
14DEUTSCHE BANK SECURITIES, IN	4,950	0.65	84
15WEEDEN & COMPANY, INC.	4,531	0.6	26
16CANTOR, FITZGERALD & CO., INC.	4,275	0.57	48

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NOTE: ** Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

Indicates a broker with certification pending.

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<http://www.salesandtradinggroup.com>

17BTIG - BASS TRADING	3,767	0.5	25
18MAXIM GROUP LLC	3,546	0.47	27
19STIFEL NICOLAUS CAPITAL MARKET	3,546	0.47	113
20PIPER JAFFRAY & CO.	3,113	0.41	22
21SUSQUEHANNA INTERNATIONAL GRP.	3,083	0.41	96
22RBC CAPITAL MARKETS	2,916	0.39	97
23LEERINK SWANN & CO.	2,741	0.36	17
24BARCLAYS CAPITAL INC.	2,552	0.34	39
25LADENBURG THALMANN & CO., INC	2,417	0.32	2

NASDAQ 100 Top 25 Brokers YTD	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	3,190,246	16.57	88
2UBS	2,195,598	11.4	88
3MORGAN STANLEY	1,798,043	9.34	88
4CITIGROUP	1,627,501	8.45	87
5MERRILL LYNCH	1,333,415	6.93	88
6CREDIT SUISSE	1,156,192	6.01	88
7JPMORGAN	1,079,063	5.6	88
8GOLDMAN SACHS GROUP	1,040,020	5.4	88
9DEUTSCHE BANK SECURITIES, IN	670,914	3.48	88
10INSTINET CORPORATION	584,406	3.04	88
11RBC CAPITAL MARKETS	583,046	3.03	88
12BARCLAYS CAPITAL INC.	481,648	2.5	88
13JEFFERIES & COMPANY, INC.	355,320	1.85	88
14ROBERT W. BAIRD CO. INCORPORAT	298,827	1.55	87
15ITG, INC.	286,305	1.49	88
16THOMAS WEISEL PARTNERS LLC	208,013	1.08	85
17COWEN & CO.	166,338	0.86	86
18BNY CONVERGEX	161,003	0.84	88
19BANC OF AMERICA SECURITIES LLC	158,837	0.83	87
20PIPER JAFFRAY & CO.	138,518	0.72	86
21WEEDEN & COMPANY, INC.	135,276	0.7	87
22SANFORD C. BERNSTEIN COMPANY,	115,489	0.6	87
23HSBC SECURITIES	113,178	0.59	87
24OPPENHEIMER & CO	112,275	0.58	84
25BTIG - BASS TRADING	102,210	0.53	82