

Jan 2009

NASDAQ Breakdown

NASDAQ National Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	2,521,474	47.69	1295
2UBS	657,288	12.43	1275
3MORGAN STANLEY	224,043	4.24	931
4MERRILL LYNCH	147,420	2.79	986
5JPMORGAN	136,802	2.59	751
6GOLDMAN SACHS GROUP	131,533	2.49	816
7CREDIT SUISSE	124,274	2.35	908
8CITIGROUP	119,177	2.25	692
9INSTINET CORPORATION	108,028	2.04	692
10DEUTSCHE BANK SECURITIES, IN	82,029	1.55	613
11JEFFERIES & COMPANY, INC.	77,997	1.48	325
12FELTL & COMPANY	70,500	1.33	21
13RBC CAPITAL MARKETS	56,831	1.07	486
14PIPER JAFFRAY & CO.	50,749	0.96	252
15ITG, INC.	48,999	0.93	454
16COWEN & CO.	42,849	0.81	245
17CANTOR, FITZGERALD & CO., INC.	42,376	0.8	226
18HUDSON SECURITIES	41,839	0.79	114
19THOMAS WEISEL PARTNERS LLC	38,607	0.73	172
20MAXIM GROUP LLC	36,710	0.69	37
21BTIG - BASS TRADING	36,407	0.69	168
22BARCLAYS CAPITAL INC.	34,205	0.65	249
23BANC OF AMERICA SECURITIES LLC	33,293	0.63	486
24JONESTRADING INSTITUTIONAL SER	31,377	0.59	208
25WEEDEN & COMPANY, INC.	27,200	0.51	298

NASDAQ Small Cap Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	207,287	49.87	531
2UBS	95,122	22.89	481
3GOLDMAN SACHS GROUP	13,602	3.27	163
4MORGAN STANLEY	11,488	2.76	242
5MERRILL LYNCH	8,124	1.95	242
6INSTINET CORPORATION	6,483	1.56	80
7JPMORGAN	6,058	1.46	84
8CREDIT SUISSE	5,222	1.26	185
9JONESTRADING INSTITUTIONAL SER	4,951	1.19	26
10JEFFERIES & COMPANY, INC.	4,745	1.14	26
11CITIGROUP	4,246	1.02	111
12ITG, INC.	3,179	0.76	36

NOTE: ** Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

Indicates a broker with certification pending.

Visit the Sales and Trading Group web site for more information on reporting rules.

<http://www.salesandtradinggroup.com>

13DEUTSCHE BANK SECURITIES, IN	2,972	0.71	68
14WEEDEN & COMPANY, INC.	2,836	0.68	18
15STIFEL NICOLAUS CAPITAL MARKET	2,568	0.62	78
16HUDSON SECURITIES	2,391	0.58	21
17LEERINK SWANN & CO.	2,086	0.5	9
18CANTOR, FITZGERALD & CO., INC.	2,058	0.5	30
19LADENBURG THALMANN & CO., INC	2,018	0.49	1
20RBC CAPITAL MARKETS	1,983	0.48	65
21PIPER JAFFRAY & CO.	1,944	0.47	13
22BARCLAYS CAPITAL INC.	1,640	0.39	22
23OPPENHEIMER & CO	1,514	0.36	25
24PALI CAPITAL LLC	1,420	0.34	11
25BANC OF AMERICA SECURITIES LLC	1,192	0.29	54

NASDAQ 100 Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	1,284,776	14.44	88
2UBS	1,070,359	12.03	88
3MORGAN STANLEY	830,018	9.33	88
4MERRILL LYNCH	678,957	7.63	88
5CREDIT SUISSE	566,731	6.37	88
6CITIGROUP	552,988	6.21	87
7JPMORGAN	521,974	5.87	88
8GOLDMAN SACHS GROUP	495,709	5.57	88
9DEUTSCHE BANK SECURITIES, IN	354,729	3.99	88
10RBC CAPITAL MARKETS	280,994	3.16	88
11INSTINET CORPORATION	263,706	2.96	88
12BARCLAYS CAPITAL INC.	218,694	2.46	88
13JEFFERIES & COMPANY, INC.	180,929	2.03	88
14ROBERT W. BAIRD CO. INCORPORAT	170,993	1.92	87
15ITG, INC.	169,947	1.91	88
16BANC OF AMERICA SECURITIES LLC	145,349	1.63	87
17THOMAS WEISEL PARTNERS LLC	101,940	1.15	81
18BNY CONVERGEX	89,808	1.01	88
19COWEN & CO.	85,396	0.96	84
20PIPER JAFFRAY & CO.	71,695	0.81	82
21HSBC SECURITIES	71,429	0.8	86
22WEEDEN & COMPANY, INC.	61,230	0.69	87
23OPPENHEIMER & CO	49,137	0.55	81
24BTIG - BASS TRADING	48,058	0.54	75
25SANFORD C. BERNSTEIN COMPANY,	42,937	0.48	84

NASDAQ National Market	Advertised	% Of	# of
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