

<b>NASDAQ National Market Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	2,417,179	40.39	1144
2UBS	848,147	14.17	1098
3CITIGROUP	519,433	8.68	972
4CREDIT SUISSE	300,772	5.03	916
5MORGAN STANLEY	280,411	4.69	860
6MERRILL LYNCH	172,208	2.88	931
7GOLDMAN SACHS GROUP	163,243	2.73	698
8INSTINET CORPORATION	130,291	2.18	595
9BARCLAYS CAPITAL INC.	115,614	1.93	592
10JPMORGAN	102,854	1.72	650
11DEUTSCHE BANK SECURITIES, IN	77,166	1.29	640
12JEFFERIES & COMPANY, INC.	75,446	1.26	302
13ITG, INC.	55,056	0.92	475
14PIPER JAFFRAY & CO.	45,555	0.76	267
15OPPENHEIMER & CO	43,585	0.73	328
16COWEN & CO.	41,458	0.69	255
17SUSQUEHANNA INTERNATIONAL GRP.	41,305	0.69	439
18BTIG - BASS TRADING	37,993	0.63	141
19CANTOR, FITZGERALD & CO., INC.	37,695	0.63	211
20WEEDEN & COMPANY, INC.	35,533	0.59	329
21LEERINK SWANN & CO.	32,610	0.54	113
22JONESTRADING INSTITUTIONAL SER	32,286	0.54	218
23RBC CAPITAL MARKETS	28,724	0.48	439
24THOMAS WEISEL PARTNERS LLC	22,041	0.37	186
25WEDBUSH MORGAN SECURITIES	19,816	0.33	86

<b>NASDAQ Small Cap Market Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	2,428,477	44.62	586
2UBS	1,294,990	23.8	543
3CITIGROUP	475,854	8.74	482
4GOLDMAN SACHS GROUP	164,230	3.02	298
5MORGAN STANLEY	135,024	2.48	430
6JPMORGAN	119,877	2.2	253
7MERRILL LYNCH	105,618	1.94	403
8CREDIT SUISSE	104,032	1.91	362
9INSTINET CORPORATION	69,186	1.27	206
10BTIG - BASS TRADING	56,733	1.04	79
11HUDSON SECURITIES	43,665	0.8	138
12CANTOR, FITZGERALD & CO., INC.	43,438	0.8	101

13JONESTRADING INSTITUTIONAL SER	32,044	0.59	107
14ITG, INC.	26,383	0.48	110
15DEUTSCHE BANK SECURITIES, IN	25,537	0.47	177
16JEFFERIES & COMPANY, INC.	24,339	0.45	93
17OPPENHEIMER & CO	23,169	0.43	69
18BARCLAYS CAPITAL INC.	19,952	0.37	141
19WEEDEN & COMPANY, INC.	17,799	0.33	62
20RAYMOND JAMES	16,410	0.3	306
21STIFEL NICOLAUS CAPITAL MARKET	13,581	0.25	223
22RBC CAPITAL MARKETS	12,029	0.22	208
23JANNEY MONTGOMERY SCOTT, INC.	10,526	0.19	203
24SUSQUEHANNA INTERNATIONAL GRP.	9,952	0.18	121
25WEDBUSH MORGAN SECURITIES	9,854	0.18	19

<b>NASDAQ 100 Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1 CREDIT SUISSE	1,742,601	14.7	88
2 KNIGHT	1,467,242	12.38	88
3 UBS	1,162,223	9.81	88
4 CITIGROUP	1,061,658	8.96	87
5 MORGAN STANLEY	1,023,259	8.63	88
6 MERRILL LYNCH	822,052	6.94	88
7 BARCLAYS CAPITAL INC.	643,437	5.43	88
8 JPMORGAN	612,719	5.17	88
9 GOLDMAN SACHS GROUP	495,264	4.18	88
10 DEUTSCHE BANK SECURITIES, IN	429,536	3.62	88
11 INSTINET CORPORATION	323,740	2.73	88
12 RBC CAPITAL MARKETS	274,470	2.32	88
13 JEFFERIES & COMPANY, INC.	211,736	1.79	87
14 ITG, INC.	152,723	1.29	88
15 WEEDEN & COMPANY, INC.	104,943	0.89	87
16 SUSQUEHANNA INTERNATIONAL GRP.	86,991	0.73	88
17 COWEN & CO.	85,409	0.72	87
18 OPPENHEIMER & CO	77,417	0.65	86
19 SANFORD C. BERNSTEIN COMPANY,	74,663	0.63	83
20 STIFEL NICOLAUS CAPITAL MARKET	74,399	0.63	81
21 THOMAS WEISEL PARTNERS LLC	74,278	0.63	83
22 BNY CONVERGEX	69,725	0.59	88
23 BTIG - BASS TRADING	65,022	0.55	75
24 ROBERT W. BAIRD CO. INCORPORAT	64,837	0.55	88
25 PIPER JAFFRAY & CO.	63,915	0.54	83

<b>NASDAQ National Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
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NOTE: \*\* Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

## Indicates a broker with certification pending.

Visit the Sales and Trading Group web site for more information on reporting rules.

<http://www.salesandtradinggroup.com>



<b>Top 25 Brokers YTD</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Sec. Trd.</b>
1KNIGHT	14,066,769	38.18	1224
2UBS	5,436,246	14.75	1201
3CITIGROUP	3,827,018	10.39	1165
4MORGAN STANLEY	1,660,842	4.51	1117
5CREDIT SUISSE	1,492,573	4.05	1087
6MERRILL LYNCH	1,328,373	3.61	1123
7GOLDMAN SACHS GROUP	1,037,247	2.82	954
8INSTINET CORPORATION	757,036	2.05	936
9JPMORGAN	644,426	1.75	954
10JEFFERIES & COMPANY, INC.	446,919	1.21	583
11DEUTSCHE BANK SECURITIES, IN	429,501	1.17	831
12BTIG - BASS TRADING	387,115	1.05	454
13BARCLAYS CAPITAL INC.	376,343	1.02	705
14ITG, INC.	313,194	0.85	692
15PIPER JAFFRAY & CO.	300,208	0.81	481
16COWEN & CO.	298,267	0.81	423
17OPPENHEIMER & CO	286,484	0.78	390
18CANTOR, FITZGERALD & CO., INC.	257,125	0.7	578
19RBC CAPITAL MARKETS	255,367	0.69	781
20JONESTRADING INSTITUTIONAL SER	237,089	0.64	553
21SUSQUEHANNA INTERNATIONAL GRP.	212,943	0.58	719
22WEEDEN & COMPANY, INC.	177,631	0.48	586
23LEERINK SWANN & CO.	174,183	0.47	211
24THOMAS WEISEL PARTNERS LLC	151,931	0.41	365
25STIFEL NICOLAUS CAPITAL MARKET	149,246	0.41	733

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1KNIGHT	2,428,477	44.62	586
2UBS	1,294,990	23.8	543
3CITIGROUP	475,854	8.74	482
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9INSTINET CORPORATION	69,186	1.27	206
10BTIG - BASS TRADING	56,733	1.04	79
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12CANTOR, FITZGERALD & CO., INC.	43,438	0.8	101
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25WEDBUSH MORGAN SECURITIES	9,854	0.18	19

<b>NASDAQ 100 Top 25 Brokers YTD</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	11,508,330	14.91	88
2UBS	7,898,791	10.23	88
3CREDIT SUISSE	7,751,563	10.04	88
4CITIGROUP	7,700,710	9.98	87
5MORGAN STANLEY	7,311,566	9.47	88
6MERRILL LYNCH	5,484,561	7.11	88
7JPMORGAN	3,959,261	5.13	88
8GOLDMAN SACHS GROUP	3,593,222	4.66	88
9BARCLAYS CAPITAL INC.	2,835,240	3.67	88
10DEUTSCHE BANK SECURITIES, IN	2,583,730	3.35	88
11INSTINET CORPORATION	2,115,606	2.74	88
12RBC CAPITAL MARKETS	2,012,890	2.61	88
13JEFFERIES & COMPANY, INC.	1,431,666	1.85	88
14ITG, INC.	1,048,681	1.36	88
15COWEN & CO.	649,933	0.84	88
16ROBERT W. BAIRD CO. INCORPORAT	588,066	0.76	88
17WEEDEN & COMPANY, INC.	548,509	0.71	88
18THOMAS WEISEL PARTNERS LLC	541,615	0.7	87
19SUSQUEHANNA INTERNATIONAL GRP.	520,961	0.67	88
20SANFORD C. BERNSTEIN COMPANY,	516,003	0.67	88
21PIPER JAFFRAY & CO.	510,529	0.66	88
22BTIG - BASS TRADING	510,456	0.66	87
23BNY CONVERGEX	483,468	0.63	88
24OPPENHEIMER & CO	452,640	0.59	87
25STIFEL NICOLAUS CAPITAL MARKET	417,425	0.54	88