

June 2009

NASDAQ Breakdown

NASDAQ National Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	3,393,458	41.34	1134
2UBS	1,156,839	14.09	1112
3CITIGROUP	809,391	9.86	1020
4CREDIT SUISSE	535,578	6.52	989
5MORGAN STANLEY	381,823	4.65	955
6GOLDMAN SACHS GROUP	273,119	3.33	781
7MERRILL LYNCH	261,749	3.19	916
8INSTINET CORPORATION	147,000	1.79	640
9BTIG - BASS TRADING	117,410	1.43	254
10BARCLAYS CAPITAL INC.	88,801	1.08	535
11DEUTSCHE BANK SECURITIES, IN	85,107	1.04	672
12JPMORGAN	80,125	0.98	562
13ITG, INC.	69,254	0.84	501
14JEFFERIES & COMPANY, INC.	64,298	0.78	334
15OPPENHEIMER & CO	50,110	0.61	333
16CANTOR, FITZGERALD & CO., INC.	45,739	0.56	226
17PIPER JAFFRAY & CO.	45,249	0.55	287
18RBC CAPITAL MARKETS	44,641	0.54	569
19SUSQUEHANNA INTERNATIONAL GRP.	42,951	0.52	494
20MAXIM GROUP LLC	41,063	0.5	43
21COWEN & CO.	37,356	0.46	271
22JONESTRADING INSTITUTIONAL SER	36,832	0.45	210
23HSBC SECURITIES	29,976	0.37	203
24LEERINK SWANN & CO.	27,761	0.34	116
25WEEDEN & COMPANY, INC.	24,432	0.3	298

NASDAQ Small Cap Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	658,776	43.25	535
2UBS	393,617	25.84	485
3CITIGROUP	148,466	9.75	359
4GOLDMAN SACHS GROUP	53,993	3.55	196
5CREDIT SUISSE	46,523	3.05	297
6MORGAN STANLEY	44,695	2.93	282
7MERRILL LYNCH	28,738	1.89	262
8BTIG - BASS TRADING	21,470	1.41	38
9INSTINET CORPORATION	16,930	1.11	112
10JONESTRADING INSTITUTIONAL SER	7,845	0.52	42
11OPPENHEIMER & CO	7,275	0.48	54
12HUDSON SECURITIES	6,838	0.45	56

NOTE: ** Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

Indicates a broker with certification pending.

Visit the Sales and Trading Group web site for more information on reporting rules.

<http://www.salesandtradinggroup.com>

13CRT CAPITAL GROUP LLC	6,757	0.44	3
14BARCLAYS CAPITAL INC.	6,456	0.42	72
15ITG, INC.	6,195	0.41	72
16JPMORGAN	5,766	0.38	89
17DEUTSCHE BANK SECURITIES, IN	5,322	0.35	109
18CANTOR, FITZGERALD & CO., INC.	4,150	0.27	37
19JEFFERIES & COMPANY, INC.	3,742	0.25	42
20RBC CAPITAL MARKETS	3,671	0.24	118
21RAYMOND JAMES	3,201	0.21	170
22WEDBUSH MORGAN SECURITIES	2,998	0.2	9
23BENCHMARK COMPANY, LLC	2,662	0.17	12
24JANNEY MONTGOMERY SCOTT, INC.	2,445	0.16	85
25CRAIG-HALLUM CAPITAL GROUP	2,333	0.15	2

NASDAQ 100 Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1CREDIT SUISSE	2,106,677	17.98	88
2KNIGHT	1,515,128	12.93	88
3CITIGROUP	1,263,143	10.78	87
4MORGAN STANLEY	1,057,638	9.03	88
5UBS	1,033,137	8.82	88
6MERRILL LYNCH	844,959	7.21	88
7BARCLAYS CAPITAL INC.	487,934	4.16	88
8GOLDMAN SACHS GROUP	419,998	3.58	88
9JPMORGAN	396,951	3.39	88
10DEUTSCHE BANK SECURITIES, IN	392,298	3.35	88
11INSTINET CORPORATION	258,144	2.2	88
12RBC CAPITAL MARKETS	240,875	2.06	88
13JEFFERIES & COMPANY, INC.	237,975	2.03	87
14ITG, INC.	142,992	1.22	88
15BTIG - BASS TRADING	107,623	0.92	76
16SANFORD C. BERNSTEIN COMPANY,	92,637	0.79	86
17SUSQUEHANNA INTERNATIONAL GRP.	90,721	0.77	88
18COWEN & CO.	89,395	0.76	84
19STIFEL NICOLAUS CAPITAL MARKET	71,904	0.61	87
20PIPER JAFFRAY & CO.	58,401	0.5	86
21WEEDEN & COMPANY, INC.	58,216	0.5	87
22BNY CONVERGEX	54,276	0.46	88
23OPPENHEIMER & CO	54,169	0.46	87
24HSBC SECURITIES	50,303	0.43	86
25THOMAS WEISEL PARTNERS LLC	45,470	0.39	75

NASDAQ National Market	Advertised	% Of	# of
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Top 25 Brokers YTD	Vol (000)	Total	Sec. Trd.
1KNIGHT	11,648,997	37.75	1208
2UBS	4,587,877	14.87	1196
3CITIGROUP	3,307,499	10.72	1152
4MORGAN STANLEY	1,380,431	4.47	1107
5CREDIT SUISSE	1,191,802	3.86	1078
6MERRILL LYNCH	1,156,165	3.75	1108
7GOLDMAN SACHS GROUP	874,005	2.83	947
8INSTINET CORPORATION	626,745	2.03	903
9JPMORGAN	541,572	1.76	920
10JEFFERIES & COMPANY, INC.	371,584	1.2	561
11DEUTSCHE BANK SECURITIES, IN	352,335	1.14	791
12BTIG - BASS TRADING	349,121	1.13	434
13BARCLAYS CAPITAL INC.	260,730	0.84	634
14ITG, INC.	258,138	0.84	662
15COWEN & CO.	256,809	0.83	400
16PIPER JAFFRAY & CO.	254,653	0.83	455
17OPPENHEIMER & CO	242,899	0.79	381
18RBC CAPITAL MARKETS	226,643	0.73	760
19CANTOR, FITZGERALD & CO., INC.	219,430	0.71	539
20JONESTRADING INSTITUTIONAL SER	204,802	0.66	511
21SUSQUEHANNA INTERNATIONAL GRP.	171,638	0.56	713
22WEEDEN & COMPANY, INC.	142,098	0.46	534
23LEERINK SWANN & CO.	141,572	0.46	203
24HSBC SECURITIES	136,613	0.44	371
25STIFEL NICOLAUS CAPITAL MARKET	135,363	0.44	699

NASDAQ Small Cap Market Top 25 Brokers YTD	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	2,045,391	44.67	582
2UBS	1,090,540	23.81	536
3CITIGROUP	392,691	8.58	475
4GOLDMAN SACHS GROUP	138,281	3.02	293
5MORGAN STANLEY	113,262	2.47	418
6JPMORGAN	111,696	2.44	229
7CREDIT SUISSE	91,584	2	353
8MERRILL LYNCH	89,987	1.97	395
9INSTINET CORPORATION	53,883	1.18	193
10BTIG - BASS TRADING	48,295	1.05	77
11HUDSON SECURITIES	37,659	0.82	124
12CANTOR, FITZGERALD & CO., INC.	35,456	0.77	88
13JONESTRADING INSTITUTIONAL SER	27,160	0.59	96
14JEFFERIES & COMPANY, INC.	21,175	0.46	87
15DEUTSCHE BANK SECURITIES, IN	20,397	0.45	170
16ITG, INC.	19,605	0.43	99

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17OPPENHEIMER & CO	17,013	0.37	64
18WEEDEN & COMPANY, INC.	16,092	0.35	55
19RAYMOND JAMES	14,385	0.31	290
20BARCLAYS CAPITAL INC.	11,076	0.24	110
21STIFEL NICOLAUS CAPITAL MARKET	10,530	0.23	205
22RBC CAPITAL MARKETS	10,427	0.23	200
23JANNEY MONTGOMERY SCOTT, INC.	9,436	0.21	185
24WEDBUSH MORGAN SECURITIES	8,230	0.18	16
25MAXIM GROUP LLC	8,003	0.17	55

NASDAQ 100 Top 25 Brokers YTD	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	10,041,088	15.37	88
2UBS	6,736,568	10.31	88
3CITIGROUP	6,639,052	10.16	87
4MORGAN STANLEY	6,288,307	9.63	88
5CREDIT SUISSE	6,008,962	9.2	88
6MERRILL LYNCH	4,662,509	7.14	88
7JPMORGAN	3,346,542	5.12	88
8GOLDMAN SACHS GROUP	3,097,958	4.74	88
9BARCLAYS CAPITAL INC.	2,191,803	3.35	88
10DEUTSCHE BANK SECURITIES, IN	2,154,194	3.3	88
11INSTINET CORPORATION	1,791,867	2.74	88
12RBC CAPITAL MARKETS	1,738,420	2.66	88
13JEFFERIES & COMPANY, INC.	1,232,961	1.89	88
14ITG, INC.	895,958	1.37	88
15COWEN & CO.	564,524	0.86	88
16ROBERT W. BAIRD CO. INCORPORAT	523,230	0.8	88
17THOMAS WEISEL PARTNERS LLC	457,966	0.7	87
18PIPER JAFFRAY & CO.	446,613	0.68	88
19BTIG - BASS TRADING	445,434	0.68	87
20WEEDEN & COMPANY, INC.	443,566	0.68	88
21SANFORD C. BERNSTEIN COMPANY,	441,340	0.68	88
22SUSQUEHANNA INTERNATIONAL GRP.	433,970	0.66	88
23BNY CONVERGEX	413,743	0.63	88
24OPPENHEIMER & CO	375,223	0.57	87
25STIFEL NICOLAUS CAPITAL MARKET	343,026	0.53	88