

Mar 2009

NASDAQ Breakdown

<b>NASDAQ National Market Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	1,997,763	39.13	1259
2UBS	637,431	12.48	1245
3CITIGROUP	553,412	10.84	1131
4MORGAN STANLEY	231,755	4.54	950
5MERRILL LYNCH	164,140	3.21	1014
6CREDIT SUISSE	150,371	2.95	971
7GOLDMAN SACHS GROUP	147,427	2.89	748
8INSTINET CORPORATION	111,156	2.18	710
9JPMORGAN	101,902	2	701
10JEFFERIES & COMPANY, INC.	89,277	1.75	326
11DEUTSCHE BANK SECURITIES, IN	62,025	1.21	587
12HUDSON SECURITIES	59,052	1.16	116
13BTIG - BASS TRADING	50,593	0.99	170
14PIPER JAFFRAY & CO.	49,831	0.98	289
15RBC CAPITAL MARKETS	48,601	0.95	517
16ITG, INC.	48,596	0.95	438
17COWEN & CO.	46,181	0.9	270
18OPPENHEIMER & CO	41,064	0.8	225
19CANTOR, FITZGERALD & CO., INC.	37,796	0.74	240
20JONESTRADING INSTITUTIONAL SER	35,486	0.7	222
21WEEDEN & COMPANY, INC.	31,382	0.61	351
22BARCLAYS CAPITAL INC.	27,508	0.54	275
23THOMAS WEISEL PARTNERS LLC	25,887	0.51	171
24LEERINK SWANN & CO.	25,157	0.49	115
25SUSQUEHANNA INTERNATIONAL GRP.	24,224	0.47	597

<b>NASDAQ Small Cap Market Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	209,523	43.96	529
2UBS	100,078	21	478
3CITIGROUP	51,552	10.82	349
4MORGAN STANLEY	12,598	2.64	244
5MERRILL LYNCH	12,120	2.54	275
6GOLDMAN SACHS GROUP	11,626	2.44	127
7CREDIT SUISSE	8,826	1.85	227
8BTIG - BASS TRADING	7,995	1.68	13
9INSTINET CORPORATION	5,964	1.25	94
10JPMORGAN	5,756	1.21	102
11CANTOR, FITZGERALD & CO., INC.	3,966	0.83	22
12HUDSON SECURITIES	3,296	0.69	29

NOTE: \*\* Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

## Indicates a broker with certification pending.

Visit the Sales and Trading Group web site for more information on reporting rules.

<http://www.salesandtradinggroup.com>

13JONESTRADING INSTITUTIONAL SER	3,058	0.64	31
14JEFFERIES & COMPANY, INC.	2,987	0.63	41
15WEDBUSH MORGAN SECURITIES	2,473	0.52	7
16OPPENHEIMER & CO	2,457	0.52	27
17ITG, INC.	2,452	0.51	33
18D.A. DAVIDSON & CO.	2,044	0.43	4
19DEUTSCHE BANK SECURITIES, IN	1,944	0.41	71
20RBC CAPITAL MARKETS	1,923	0.4	75
21STIFEL NICOLAUS CAPITAL MARKET	1,815	0.38	93
22PIPER JAFFRAY & CO.	1,629	0.34	20
23CRAIG-HALLUM CAPITAL GROUP	1,618	0.34	5
24WEEDEN & COMPANY, INC.	1,351	0.28	28
25SUSQUEHANNA INTERNATIONAL GRP.	1,268	0.27	70

<b>NASDAQ 100 Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	2,101,998	17.64	88
2CITIGROUP	1,406,534	11.81	87
3MORGAN STANLEY	1,270,569	10.66	88
4UBS	1,265,488	10.62	88
5MERRILL LYNCH	836,745	7.02	88
6CREDIT SUISSE	623,973	5.24	88
7GOLDMAN SACHS GROUP	555,336	4.66	88
8JPMORGAN	525,947	4.41	88
9DEUTSCHE BANK SECURITIES, IN	369,980	3.11	88
10RBC CAPITAL MARKETS	345,142	2.9	88
11INSTINET CORPORATION	343,161	2.88	88
12BARCLAYS CAPITAL INC.	313,593	2.63	88
13JEFFERIES & COMPANY, INC.	242,614	2.04	87
14ITG, INC.	175,921	1.48	88
15COWEN & CO.	119,379	1	87
16WEEDEN & COMPANY, INC.	92,288	0.77	86
17SUSQUEHANNA INTERNATIONAL GRP.	90,601	0.76	87
18BTIG - BASS TRADING	86,044	0.72	77
19PIPER JAFFRAY & CO.	85,194	0.72	86
20OPPENHEIMER & CO	78,653	0.66	84
21ROBERT W. BAIRD CO. INCORPORAT	75,879	0.64	88
22THOMAS WEISEL PARTNERS LLC	73,401	0.62	82
23SANFORD C. BERNSTEIN COMPANY,	65,396	0.55	87
24BNY CONVERGEX	57,617	0.48	88
25STIFEL NICOLAUS CAPITAL MARKET	56,552	0.47	84

<b>NASDAQ National Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
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<b>Top 25 Brokers YTD</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Sec. Trd.</b>
1KNIGHT	6,586,961	44.84	1295
2UBS	1,792,171	12.2	1279
3CITIGROUP	1,006,269	6.85	1183
4MORGAN STANLEY	593,443	4.04	1094
5MERRILL LYNCH	428,473	2.92	1126
6GOLDMAN SACHS GROUP	380,103	2.59	936
7CREDIT SUISSE	361,153	2.46	1048
8JPMORGAN	333,823	2.27	911
9INSTINET CORPORATION	297,619	2.03	879
10JEFFERIES & COMPANY, INC.	205,281	1.4	482
11DEUTSCHE BANK SECURITIES, IN	195,566	1.33	710
12HUDSON SECURITIES	155,133	1.06	230
13PIPER JAFFRAY & CO.	147,379	1	396
14COWEN & CO.	135,653	0.92	344
15RBC CAPITAL MARKETS	127,800	0.87	665
16ITG, INC.	125,666	0.86	576
17BTIG - BASS TRADING	124,228	0.85	305
18CANTOR, FITZGERALD & CO., INC.	118,054	0.8	409
19OPPENHEIMER & CO	100,516	0.68	272
20JONESTRADING INSTITUTIONAL SER	92,781	0.63	383
21BARCLAYS CAPITAL INC.	79,641	0.54	425
22SUSQUEHANNA INTERNATIONAL GRP.	78,310	0.53	745
23FELTL & COMPANY	73,741	0.5	27
24MAXIM GROUP LLC	73,317	0.5	80
25THOMAS WEISEL PARTNERS LLC	71,623	0.49	266

<b>NASDAQ Small Cap Market Top 25 Brokers YTD</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	554,474	46.08	561
2UBS	264,908	22.01	512
3CITIGROUP	78,217	6.5	394
4GOLDMAN SACHS GROUP	32,625	2.71	229
5MORGAN STANLEY	32,370	2.69	341
6MERRILL LYNCH	25,940	2.16	342
7CREDIT SUISSE	18,264	1.52	268
8INSTINET CORPORATION	16,577	1.38	132
9JPMORGAN	15,762	1.31	159
10JONESTRADING INSTITUTIONAL SER	14,417	1.2	60
11JEFFERIES & COMPANY, INC.	12,053	1	55
12BTIG - BASS TRADING	11,662	0.97	31
13HUDSON SECURITIES	9,878	0.82	57
14ITG, INC.	7,137	0.59	56
15CANTOR, FITZGERALD & CO., INC.	7,027	0.58	57
16WEEDEN & COMPANY, INC.	5,857	0.49	39

3

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17STIFEL NICOLAUS CAPITAL MARKET	5,297	0.44	140
18OPPENHEIMER & CO	4,865	0.4	35
19DEUTSCHE BANK SECURITIES, IN	4,819	0.4	104
20PIPER JAFFRAY & CO.	4,742	0.39	29
21RBC CAPITAL MARKETS	4,713	0.39	121
22MAXIM GROUP LLC	4,451	0.37	35
23SUSQUEHANNA INTERNATIONAL GRP.	4,351	0.36	103
24LEERINK SWANN & CO.	3,293	0.27	22
25BARCLAYS CAPITAL INC.	3,165	0.26	51

<b>NASDAQ 100 Top 25 Brokers YTD</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	5,292,244	16.98	88
2UBS	3,461,086	11.11	88
3MORGAN STANLEY	3,068,612	9.85	88
4CITIGROUP	3,034,035	9.73	87
5MERRILL LYNCH	2,170,161	6.96	88
6CREDIT SUISSE	1,780,165	5.71	88
7JPMORGAN	1,605,010	5.15	88
8GOLDMAN SACHS GROUP	1,595,355	5.12	88
9DEUTSCHE BANK SECURITIES, IN	1,040,894	3.34	88
10RBC CAPITAL MARKETS	928,188	2.98	88
11INSTINET CORPORATION	927,566	2.98	88
12BARCLAYS CAPITAL INC.	795,241	2.55	88
13JEFFERIES & COMPANY, INC.	597,934	1.92	88
14ITG, INC.	462,226	1.48	88
15ROBERT W. BAIRD CO. INCORPORAT	374,706	1.2	88
16COWEN & CO.	285,718	0.92	88
17THOMAS WEISEL PARTNERS LLC	281,414	0.9	85
18WEEDEN & COMPANY, INC.	227,564	0.73	88
19PIPER JAFFRAY & CO.	223,711	0.72	87
20BNY CONVERGEX	218,620	0.7	88
21OPPENHEIMER & CO	190,928	0.61	86
22BTIG - BASS TRADING	188,253	0.6	85
23SANFORD C. BERNSTEIN COMPANY,	180,885	0.58	87
24HSBC SECURITIES	160,241	0.51	87
25BANC OF AMERICA SECURITIES LLC	158,837	0.51	87