

May 2009

NASDAQ Breakdown

<b>NASDAQ National Market Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	3,260,350	42.3	1144
2UBS	1,101,673	14.29	1115
3CITIGROUP	1,040,807	13.5	1016
4MORGAN STANLEY	275,878	3.58	925
5MERRILL LYNCH	248,079	3.22	903
6GOLDMAN SACHS GROUP	231,895	3.01	692
7CREDIT SUISSE	226,078	2.93	976
8INSTINET CORPORATION	123,534	1.6	521
9JPMORGAN	110,299	1.43	637
10JEFFERIES & COMPANY, INC.	72,762	0.94	292
11BTIG - BASS TRADING	67,816	0.88	138
12DEUTSCHE BANK SECURITIES, IN	62,813	0.81	497
13BARCLAYS CAPITAL INC.	62,019	0.8	464
14COWEN & CO.	56,179	0.73	245
15HSBC SECURITIES	53,883	0.7	73
16ITG, INC.	47,910	0.62	451
17OPPENHEIMER & CO	47,282	0.61	331
18RBC CAPITAL MARKETS	45,449	0.59	416
19JONESTRADING INSTITUTIONAL SER	44,270	0.57	183
20PIPER JAFFRAY & CO.	43,893	0.57	284
21SUSQUEHANNA INTERNATIONAL GRP.	37,679	0.49	430
22CANTOR, FITZGERALD & CO., INC.	37,063	0.48	186
23STIFEL NICOLAUS CAPITAL MARKET	34,916	0.45	396
24MAXIM GROUP LLC	32,099	0.42	38
25WEEDEN & COMPANY, INC.	27,979	0.36	266

<b>NASDAQ Small Cap Market Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	423,937	47.26	535
2UBS	205,554	22.92	477
3CITIGROUP	85,741	9.56	355
4GOLDMAN SACHS GROUP	23,882	2.66	152
5MORGAN STANLEY	23,146	2.58	252
6JPMORGAN	14,905	1.66	121
7MERRILL LYNCH	13,912	1.55	258
8HUDSON SECURITIES	13,649	1.52	52
9CREDIT SUISSE	11,702	1.3	266
10INSTINET CORPORATION	9,902	1.1	92
11WEEDEN & COMPANY, INC.	7,518	0.84	26
12CANTOR, FITZGERALD & CO., INC.	6,936	0.77	29

NOTE: \*\* Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

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<http://www.salesandtradinggroup.com>



13BTIG - BASS TRADING	5,911	0.66	21
14JONESTRADING INSTITUTIONAL SER	5,064	0.56	32
15ITG, INC.	3,378	0.38	56
16RAYMOND JAMES	3,224	0.36	155
17DEUTSCHE BANK SECURITIES, IN	3,145	0.35	73
18JEFFERIES & COMPANY, INC.	3,136	0.35	26
19JANNEY MONTGOMERY SCOTT, INC.	3,030	0.34	70
20OPPENHEIMER & CO	2,843	0.32	49
21BARCLAYS CAPITAL INC.	1,708	0.19	56
22RBC CAPITAL MARKETS	1,510	0.17	81
23BNY CONVERGEX	1,505	0.17	26
24SUSQUEHANNA INTERNATIONAL GRP.	1,428	0.16	45
25WEDBUSH MORGAN SECURITIES	1,333	0.15	8

<b>NASDAQ 100 Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	1,519,851	13.78	88
2CREDIT SUISSE	1,480,205	13.42	88
3CITIGROUP	1,127,049	10.22	87
4UBS	998,339	9.05	87
5MORGAN STANLEY	968,700	8.78	88
6MERRILL LYNCH	865,764	7.85	88
7JPMORGAN	572,609	5.19	88
8BARCLAYS CAPITAL INC.	533,920	4.84	88
9GOLDMAN SACHS GROUP	468,870	4.25	88
10DEUTSCHE BANK SECURITIES, IN	336,732	3.05	88
11INSTINET CORPORATION	274,901	2.49	88
12RBC CAPITAL MARKETS	271,101	2.46	88
13JEFFERIES & COMPANY, INC.	181,186	1.64	85
14ITG, INC.	132,014	1.2	88
15SANFORD C. BERNSTEIN COMPANY,	92,254	0.84	86
16COWEN & CO.	91,024	0.83	86
17SUSQUEHANNA INTERNATIONAL GRP.	87,497	0.79	88
18BTIG - BASS TRADING	78,215	0.71	77
19PIPER JAFFRAY & CO.	78,160	0.71	85
20WEEDEN & COMPANY, INC.	77,040	0.7	88
21STIFEL NICOLAUS CAPITAL MARKET	58,967	0.53	86
22BNY CONVERGEX	55,712	0.51	86
23THOMAS WEISEL PARTNERS LLC	55,431	0.5	78
24OPPENHEIMER & CO	54,202	0.49	87
25ROBERT W. BAIRD CO. INCORPORAT	48,599	0.44	87

<b>NASDAQ National Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
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<b>Top 25 Brokers YTD</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Sec. Trd.</b>
1KNIGHT	8,255,539	36.45	1203
2UBS	3,431,038	15.15	1189
3CITIGROUP	2,498,108	11.03	1130
4MORGAN STANLEY	998,608	4.41	1084
5MERRILL LYNCH	894,416	3.95	1094
6CREDIT SUISSE	656,224	2.9	1055
7GOLDMAN SACHS GROUP	600,886	2.65	909
8INSTINET CORPORATION	479,744	2.12	861
9JPMORGAN	461,447	2.04	911
10JEFFERIES & COMPANY, INC.	307,286	1.36	524
11DEUTSCHE BANK SECURITIES, IN	267,228	1.18	695
12BTIG - BASS TRADING	231,711	1.02	352
13COWEN & CO.	219,453	0.97	359
14PIPER JAFFRAY & CO.	209,404	0.92	419
15OPPENHEIMER & CO	192,789	0.85	367
16ITG, INC.	188,884	0.83	613
17RBC CAPITAL MARKETS	182,002	0.8	678
18CANTOR, FITZGERALD & CO., INC.	173,691	0.77	479
19BARCLAYS CAPITAL INC.	171,928	0.76	566
20JONESTRADING INSTITUTIONAL SER	167,970	0.74	464
21SUSQUEHANNA INTERNATIONAL GRP.	128,687	0.57	689
22STIFEL NICOLAUS CAPITAL MARKET	118,723	0.52	662
23WEEDEN & COMPANY, INC.	117,667	0.52	492
24LEERINK SWANN & CO.	113,812	0.5	189
25HSBC SECURITIES	106,636	0.47	324

<b>NASDAQ Small Cap Market Top 25 Brokers YTD</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	1,385,317	45.38	577
2UBS	696,063	22.8	529
3CITIGROUP	243,883	7.99	456
4JPMORGAN	105,925	3.47	220
5GOLDMAN SACHS GROUP	84,259	2.76	259
6MORGAN STANLEY	68,325	2.24	393
7MERRILL LYNCH	60,834	1.99	374
8CREDIT SUISSE	44,971	1.47	326
9INSTINET CORPORATION	36,953	1.21	166
10CANTOR, FITZGERALD & CO., INC.	31,306	1.03	77
11HUDSON SECURITIES	30,821	1.01	105
12BTIG - BASS TRADING	26,824	0.88	57
13JONESTRADING INSTITUTIONAL SER	19,260	0.63	81
14JEFFERIES & COMPANY, INC.	17,423	0.57	73
15DEUTSCHE BANK SECURITIES, IN	15,075	0.49	135
16WEEDEN & COMPANY, INC.	14,808	0.49	48

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17ITG, INC.	13,383	0.44	77
18RAYMOND JAMES	11,184	0.37	257
19OPPENHEIMER & CO	9,736	0.32	59
20STIFEL NICOLAUS CAPITAL MARKET	8,584	0.28	178
21JANNEY MONTGOMERY SCOTT, INC.	6,991	0.23	163
22RBC CAPITAL MARKETS	6,753	0.22	166
23MAXIM GROUP LLC	6,543	0.21	50
24SUSQUEHANNA INTERNATIONAL GRP.	5,372	0.18	111
25BULLTICK	5,254	0.17	6

<b>NASDAQ 100 Top 25 Brokers YTD</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	8,525,960	15.9	88
2UBS	5,703,431	10.64	88
3CITIGROUP	5,375,909	10.03	87
4MORGAN STANLEY	5,230,669	9.76	88
5CREDIT SUISSE	3,902,285	7.28	88
6MERRILL LYNCH	3,817,550	7.12	88
7JPMORGAN	2,949,591	5.5	88
8GOLDMAN SACHS GROUP	2,677,960	4.99	88
9DEUTSCHE BANK SECURITIES, IN	1,761,896	3.29	88
10BARCLAYS CAPITAL INC.	1,703,869	3.18	88
11INSTINET CORPORATION	1,533,723	2.86	88
12RBC CAPITAL MARKETS	1,497,545	2.79	88
13JEFFERIES & COMPANY, INC.	994,986	1.86	88
14ITG, INC.	752,966	1.4	88
15ROBERT W. BAIRD CO. INCORPORAT	479,561	0.89	88
16COWEN & CO.	475,130	0.89	88
17THOMAS WEISEL PARTNERS LLC	412,496	0.77	87
18PIPER JAFFRAY & CO.	388,212	0.72	87
19WEEDEN & COMPANY, INC.	385,350	0.72	88
20BNY CONVERGEX	359,467	0.67	88
21SANFORD C. BERNSTEIN COMPANY,	348,703	0.65	88
22SUSQUEHANNA INTERNATIONAL GRP.	343,248	0.64	88
23BTIG - BASS TRADING	337,811	0.63	87
24OPPENHEIMER & CO	321,054	0.6	87
25STIFEL NICOLAUS CAPITAL MARKET	271,122	0.51	88