

The best route to global liquidity starts here.

April 2006

Monthly Summary

| Listed Securities | Advertised | % of | % of Vol. |
|-----------------------------------|-------------------|--------------|------------------|
| Top 50 Brokers | Vol (000) | Total | Crossed |
| 1 MERRILL LYNCH, PIERCE FENNER | 4,995,938 | 11.92 | 11 |
| 2 UBS | 4,823,567 | 11.51 | 6 |
| 3 MORGAN STANLEY | 3,204,972 | 7.65 | 5 |
| 4 LEHMAN BROTHERS, INC. | 2,736,399 | 6.53 | 14 |
| 5 GOLDMAN SACHS GROUP | 2,578,438 | 6.15 | 9 |
| 6 BEAR STEARNS AND COMPANY | 1,968,715 | 4.7 | 9 |
| 7 CITIGROUP | 1,845,888 | 4.4 | 19 |
| 8 KNIGHT EQUITY MARKETS | 1,829,091 | 4.36 | 16 |
| 9 CREDIT SUISSE | 1,703,690 | 4.06 | 38 |
| 10 JPMORGAN | 1,702,739 | 4.06 | 10 |
| 11 BANC OF AMERICA SECURITIES LLC | 1,653,719 | 3.95 | 1 |
| 12 DEUTSCHE BANK SECURITIES, IN | 1,557,765 | 3.72 | 1 |
| 13 E-TRADE CAPITAL MARKETS | 1,097,776 | 2.62 | 8 |
| 14 AUTOMATED TRADING DESK LLC | 1,039,575 | 2.48 | |
| 15 FIDELITY CAPITAL MARKETS | 800,447 | 1.91 | 0 |
| 16 RBC CAPITAL MARKETS | 776,693 | 1.85 | 4 |
| 17 ITG, INC. | 467,852 | 1.12 | 58 |
| 18 JEFFERIES & COMPANY, INC. | 455,973 | 1.09 | 24 |
| 19 RAYMOND, JAMES & ASSOC. INC. | 377,261 | 0.9 | 3 |
| 20 INSTINET CORPORATION | 373,742 | 0.89 | 5 |
| 21 THOMAS WEISEL PARTNERS LLC | 340,379 | 0.81 | 5 |
| 22 BNY BROKERAGE | 338,188 | 0.81 | 3 |
| 23 CIBC WORLD MARKETS | 319,410 | 0.76 | 4 |
| 24 SANFORD C. BERNSTEIN COMPANY, | 315,441 | 0.75 | 14 |
| 25 JONES & ASSOCIATES, INC. | 299,860 | 0.72 | 46 |
| 26 WACHOVIA SECURITIES | 297,903 | 0.71 | 22 |
| 27 WEEDEN & COMPANY, INC. | 273,869 | 0.65 | 26 |
| 28 CANTOR, FITZGERALD & CO., INC. | 265,638 | 0.63 | 38 |
| 29 PIPER JAFFRAY & CO. | 209,333 | 0.5 | 7 |
| 30 SUSQUEHANNA INTERNATIONAL GRP. | 208,732 | 0.5 | 7 |
| 31 PRUDENTIAL SECURITIES, INC. | 203,363 | 0.49 | 12 |
| 32 COWEN & CO. | 197,809 | 0.47 | 8 |
| 33 WILLIAM BLAIR & COMPANY, LLC. | 170,224 | 0.41 | 1 |
| 34 FRIEDMAN, BILLINGS, RAMSEY & C | 161,408 | 0.39 | 16 |
| 35 STIFEL NICOLAUS CAPITAL MARKET | 136,460 | 0.33 | 5 |
| 36 HARRIS NESBITT CORPORATION | 131,399 | 0.31 | 5 |
| 37 LEERINK SWANN & CO. | 127,428 | 0.3 | 6 |
| 38 SUNTRUST ROBINSON HUMPHREY | 126,585 | 0.3 | 4 |
| 39 NEEDHAM & COMPANY | 112,193 | 0.27 | 1 |
| 40 MORGAN KEEGAN & CO., INC. | 98,449 | 0.23 | 4 |
| 41 ROCHDALE SECURITIES | 82,684 | 0.2 | 7 |
| 42 A.G. EDWARDS & SONS, INC. | 75,790 | 0.18 | 4 |

NOTE: ** Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

Indicates a broker with certification pending.

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|----|--------------------------------|--------|-------|----|
| 43 | KEEFE, BRUYETTE & WOODS, INC. | 73,904 | 0.18 | 1 |
| 44 | ROBERT W. BAIRD CO. INCORPORAT | 73,583 | 0.18 | 14 |
| 45 | MCDONALD INVESTMENTS, INC. | 73,040 | 0.17 | 5 |
| 46 | DRESDNER KLEINWORT WASSERSTEIN | 64,889 | 0.15- | |
| 47 | CRT CAPITAL GROUP LLC | 61,821 | 0.15 | 10 |
| 48 | NOMURA SECURITIES INTERNATIONA | 54,102 | 0.13- | |
| 49 | OPPENHEIMER & CO | 53,687 | 0.13 | 7 |
| 50 | BB & T CAPITAL MARKETS | 50,238 | 0.12 | 3 |

| NASDAQ – National Market & Small Cap Market | | Advertised | % of | # of |
|--|--------------------------------|-------------------|--------------|---------------|
| Top 50 Brokers | | Vol (000) | Total | Stocks |
| 1 | UBS | 3,486,548 | 8.53 | 9 |
| 2 | KNIGHT EQUITY MARKETS | 3,291,934 | 8.06 | 12 |
| 3 | MORGAN STANLEY | 2,636,528 | 6.45 | 0 |
| 4 | CITIGROUP | 2,304,269 | 5.64 | 5 |
| 5 | MERRILL LYNCH, PIERCE FENNER | 2,276,127 | 5.57 | 2 |
| 6 | CREDIT SUISSE | 1,841,549 | 4.51 | 4 |
| 7 | E-TRADE CAPITAL MARKETS | 1,755,551 | 4.3 | 1 |
| 8 | JPMORGAN | 1,594,443 | 3.9 | 4 |
| 9 | AUTOMATED TRADING DESK LLC | 1,318,708 | 3.23- | |
| 10 | LEHMAN BROTHERS, INC. | 1,282,936 | 3.14 | 2 |
| 11 | BEAR STEARNS AND COMPANY | 1,282,412 | 3.14 | 1 |
| 12 | FIDELITY CAPITAL MARKETS | 1,257,296 | 3.08 | 0 |
| 13 | DEUTSCHE BANK SECURITIES, IN | 1,234,592 | 3.02 | 3 |
| 14 | GOLDMAN SACHS GROUP | 1,215,910 | 2.98 | 0 |
| 15 | BANC OF AMERICA SECURITIES LLC | 1,109,685 | 2.72 | 1 |
| 16 | COWEN & CO. | 915,590 | 2.24 | 2 |
| 17 | RBC CAPITAL MARKETS | 837,051 | 2.05 | 3 |
| 18 | PRUDENTIAL SECURITIES, INC. | 668,897 | 1.64 | 2 |
| 19 | THOMAS WEISEL PARTNERS LLC | 665,103 | 1.63 | 2 |
| 20 | NEEDHAM & COMPANY | 651,685 | 1.59 | 1 |
| 21 | FIRST ALBANY CORPORATION | 650,946 | 1.59 | 3 |
| 22 | CIBC WORLD MARKETS | 649,542 | 1.59 | 1 |
| 23 | INSTINET CORPORATION | 608,447 | 1.49 | 1 |
| 24 | WACHOVIA SECURITIES | 534,708 | 1.31 | 2 |
| 25 | JEFFERIES & COMPANY, INC. | 512,418 | 1.25 | 9 |
| 26 | PIPER JAFFRAY & CO. | 455,837 | 1.12 | 3 |
| 27 | ADAMS, HARKNESS & HILL, INC. | 387,771 | 0.95 | 2 |
| 28 | ROBERT W. BAIRD CO. INCORPORAT | 379,768 | 0.93 | 4 |
| 29 | CANTOR, FITZGERALD & CO., INC. | 358,993 | 0.88 | 21 |
| 30 | THINKEQUITY PARTNERS | 324,921 | 0.8 | 1 |
| 31 | HARRIS NESBITT CORPORATION | 281,436 | 0.69 | 3 |
| 32 | PACIFIC CREST SECURITIES | 278,609 | 0.68 | 2 |
| 33 | TD WATERHOUSE CAPITAL MARKETS | 239,114 | 0.59- | |

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|----|--------------------------------|---------|------|----|
| 34 | ITG, INC. | 211,416 | 0.52 | 64 |
| 35 | SUSQUEHANNA INTERNATIONAL GRP. | 196,786 | 0.48 | 3 |
| 36 | LEERINK SWANN & CO. | 184,865 | 0.45 | 4 |
| 37 | FRIEDMAN, BILLINGS, RAMSEY & C | 166,069 | 0.41 | 11 |
| 38 | RAYMOND, JAMES & ASSOC. INC. | 163,734 | 0.4 | 2 |
| 39 | C.E. UNTERBERG, TOWBIN | 160,421 | 0.39 | 1 |
| 40 | BNY BROKERAGE | 138,784 | 0.34 | 1 |
| 41 | WEEDEN & COMPANY, INC. | 122,111 | 0.3 | 18 |
| 42 | OPPENHEIMER & CO | 121,028 | 0.3- | |
| 43 | JONES & ASSOCIATES, INC. | 120,205 | 0.29 | 44 |
| 44 | SANFORD C. BERNSTEIN COMPANY, | 117,643 | 0.29 | 9 |
| 45 | PACIFIC GROWTH EQUITIES | 115,886 | 0.28 | 2 |
| 46 | CRT CAPITAL GROUP LLC | 113,330 | 0.28 | 13 |
| 47 | STIFEL NICOLAUS CAPITAL MARKET | 112,547 | 0.28 | 3 |
| 48 | WILLIAM BLAIR & COMPANY, LLC. | 96,890 | 0.24 | 1 |
| 49 | KEEFE, BRUYETTE & WOODS, INC. | 85,254 | 0.21 | 6 |
| 50 | A.G. EDWARDS & SONS, INC. | 83,006 | 0.2 | 0 |

| Listed Securities Non-NY Brokers | Advertised | % of Vol. | |
|---|--------------------------------|------------------|-------|
| Top 25 Broker - Dealer | Vol.(000) | Crossed | |
| 1 | BANC OF AMERICA SECURITIES LLC | 1653719 | 1.00 |
| 2 | FIDELITY CAPITAL MARKETS | 800447 | 0.00 |
| 3 | RAYMOND, JAMES & ASSOC. INC. | 377261 | 3.00 |
| 4 | THOMAS WEISEL PARTNERS LLC | 340379 | 5.00 |
| 5 | JONES & ASSOCIATES, INC. | 299860 | 46.00 |
| 6 | WACHOVIA SECURITIES | 297903 | 22.00 |
| 7 | WEEDEN & COMPANY, INC. | 273869 | 26.00 |
| 8 | CANTOR, FITZGERALD & CO., INC. | 265638 | 38.00 |
| 9 | SUSQUEHANNA INTERNATIONAL GRP. | 208732 | 7.00 |
| 10 | WILLIAM BLAIR & COMPANY, LLC. | 170224 | 1.00 |
| 11 | FRIEDMAN, BILLINGS, RAMSEY & C | 161408 | 16.00 |
| 12 | MORGAN KEEGAN & CO., INC. | 98449 | 4.00 |
| 13 | A.G. EDWARDS & SONS, INC. | 75790 | 4.00 |
| 14 | ROBERT W. BAIRD CO. INCORPORAT | 73583 | 14.00 |
| 15 | MCDONALD INVESTMENTS, INC. | 73040 | 5.00 |
| 16 | THE INTERSTATE GROUP | 49731 | 3.00 |
| 17 | MIDWEST RESEARCH | 42165 | 9.00 |
| 18 | FIRST ALBANY CORPORATION | 41001 | 21.00 |
| 19 | GREEN STREET ADVISORS | 35233 | 16.00 |
| 20 | THINKEQUITY PARTNERS | 33696 | 6.00 |
| 21 | SIMMONS AND COMPANY INTERNATIO | 30863 | 5.00 |
| 22 | JOHNSON RICE & COMPANY | 23440 | - |
| 23 | WEDBUSH MORGAN SECURITIES | 18489 | 3.00 |
| 24 | PACIFIC CREST SECURITIES | 17711 | - |

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25 COLLINS STEWART

14994

13.00

| NASDAQ – National Market & Small Cap Market | | | |
|--|-------------------|--|---------------|
| Non NY Brokers | | | |
| Top 25 Broker-Dealer | Advertised | | # of |
| | Vol.(000) | | Stocks |
| 1 BANC OF AMERICA SECURITIES LLC | 1109685 | | 926 |
| 2 THOMAS WEISEL PARTNERS LLC | 665103 | | 570 |
| 3 FIRST ALBANY CORPORATION | 650946 | | 466 |
| 4 WACHOVIA SECURITIES | 534708 | | 630 |
| 5 ADAMS, HARKNESS & HILL, INC. | 387771 | | 625 |
| 6 ROBERT W. BAIRD CO. INCORPORAT | 379768 | | 347 |
| 7 THINKEQUITY PARTNERS | 324921 | | 324 |
| 8 PACIFIC CREST SECURITIES | 278609 | | 166 |
| 9 SUSQUEHANNA INTERNATIONAL GRP. | 196786 | | 1884 |
| 10 FRIEDMAN, BILLINGS, RAMSEY & C | 166069 | | 382 |
| 11 RAYMOND, JAMES & ASSOC. INC. | 163734 | | 1467 |
| 12 WEEDEN & COMPANY, INC. | 122111 | | 860 |
| 13 JONES & ASSOCIATES, INC. | 120205 | | 374 |
| 14 PACIFIC GROWTH EQUITIES | 115886 | | 246 |
| 15 WILLIAM BLAIR & COMPANY, LLC. | 96890 | | 446 |
| 16 A.G. EDWARDS & SONS, INC. | 83006 | | 328 |
| 17 MORGAN KEEGAN & CO., INC. | 62379 | | 200 |
| 18 WEDBUSH MORGAN SECURITIES | 51306 | | 241 |
| 19 MCDONALD INVESTMENTS, INC. | 31988 | | 180 |
| 20 CRAIG-HALLUM CAPITAL GROUP | 31511 | | 59 |
| 21 MIDWEST RESEARCH | 29742 | | 84 |
| 22 SANDERS MORRIS HARRIS | 26985 | | 45 |
| 23 D.A. DAVIDSON & CO. | 22055 | | 119 |
| 24 HEFLIN & CO., LLC | 19200 | | 1 |
| 25 STEPHENS INC. | 19071 | | 92 |

| Ordinary Securities | | | |
|--------------------------------|-------------------|--------------|---------------|
| Europe | | | |
| Top 15 Brokers | Advertised | % of | # of |
| | Vol (000) | Total | Stocks |
| 1 LEHMAN BROTHERS, INC. | 12,929,568 | 14.31 | 1873 |
| 2 UBS | 11,771,748 | 13.03 | 3517 |
| 3 DEUTSCHE BANK SECURITIES, IN | 10,542,397 | 11.67 | 2744 |
| 4 CREDIT SUISSE | 9,324,163 | 10.32 | 1184 |
| 5 CITIGROUP | 6,834,772 | 7.56 | 2017 |
| 6 MERRILL LYNCH, PIERCE FENNER | 6,280,879 | 6.95 | 2055 |
| 7 MORGAN STANLEY | 5,705,575 | 6.32 | 1655 |
| 8 JPMORGAN | 5,076,001 | 5.62 | 1267 |
| 9 GOLDMAN SACHS GROUP | 3,972,889 | 4.4 | 2059 |

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|----|--------------------------------|-----------|------|------|
| 10 | DRESDNER KLEINWORT WASSERSTEIN | 3,535,109 | 3.91 | 2486 |
| 11 | ABN-AMRO | 3,428,662 | 3.79 | 1830 |
| 12 | HSBC SECURITIES | 1,680,862 | 1.86 | 2855 |
| 13 | EVOLUTION SECURITIES | 1,406,808 | 1.56 | 397 |
| 14 | CAZENOVE SECURITIES | 1,388,981 | 1.54 | 685 |
| 15 | ING FINANCIAL MARKETS | 872,105 | 0.97 | 653 |

| Ordinary Securities | | | | |
|------------------------------------|--------------------------------|-------------------|--------------|---------------|
| Far East Asia / Pacific Rim | | | | |
| Top 15 Brokers | | | | |
| | | Advertised | % of | # of |
| | | Vol (000) | Total | Stocks |
| 1 | CITIGROUP | 18,474,816 | 23.61 | 2849 |
| 2 | UBS | 16,539,027 | 21.13 | 4477 |
| 3 | DEUTSCHE BANK SECURITIES, IN | 8,918,721 | 11.4 | 1876 |
| 4 | JPMORGAN | 7,831,189 | 10.01 | 1733 |
| 5 | MERRILL LYNCH, PIERCE FENNER | 6,026,016 | 7.7 | 2562 |
| 6 | LEHMAN BROTHERS, INC. | 5,633,352 | 7.2 | 2883 |
| 7 | BNP PARIBAS | 4,971,360 | 6.35 | 1841 |
| 8 | CREDIT SUISSE | 4,884,824 | 6.24 | 792 |
| 9 | HSBC SECURITIES | 3,505,506 | 4.48 | 1713 |
| 10 | ABN-AMRO | 939,781 | 1.2 | 370 |
| 11 | DRESDNER KLEINWORT WASSERSTEIN | 355,982 | 0.45 | 1293 |
| 12 | ING FINANCIAL MARKETS | 66,603 | 0.09 | 161 |
| 13 | EVOLUTION SECURITIES | 21,735 | 0.03 | 8 |
| 14 | GOLDMAN SACHS GROUP | 14,780 | 0.02 | 9 |
| 15 | NUMIS SECURITIES LIMITED | 14,325 | 0.02 | 6 |

| Ordinary Securities | | | | |
|---|--------------------------------|-------------------|--------------|---------------|
| Latin America (Excluding Brazil) | | | | |
| Top 15 Brokers | | | | |
| | | Advertised | % of | # of |
| | | Vol (000) | Total | Stocks |
| 1 | BEAR STEARNS AND COMPANY | 211,646 | 38.17 | 29 |
| 2 | MERRILL LYNCH, PIERCE FENNER | 118,636 | 21.39 | 26 |
| 3 | JPMORGAN | 109,235 | 19.7 | 41 |
| 4 | MORGAN STANLEY | 72,751 | 13.12 | 24 |
| 5 | CITIGROUP | 36,080 | 6.51 | 21 |
| 6 | UBS | 2,931 | 0.53 | 6 |
| 7 | DEUTSCHE BANK SECURITIES, IN | 1,777 | 0.32 | 4 |
| 8 | KNIGHT EQUITY MARKETS | 676 | 0.12 | 1 |
| 9 | BANC OF AMERICA SECURITIES LLC | 450 | 0.08 | 1 |
| 10 | ING FINANCIAL MARKETS | 235 | 0.04 | 2 |
| 11 | GOLDMAN SACHS GROUP | 102 | 0.02 | 2 |
| 12 | ABN-AMRO | 14 | 0 | 2 |
| 13 | LEHMAN BROTHERS, INC. | 9 | 0 | 4 |
| 14 | DRESDNER KLEINWORT WASSERSTEIN | 5 | 0 | 1 |

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15 WILLIAM BLAIR & COMPANY, LLC.

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| ADR Securities Top 25 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
|--|---------------------------------|-----------------------|------------------------|
| 1 UBS | 1,464,710 | 13.67 | 904 |
| 2 KNIGHT EQUITY MARKETS | 1,273,029 | 11.88 | 894 |
| 3 MERRILL LYNCH, PIERCE FENNER | 1,233,319 | 11.51 | 869 |
| 4 MORGAN STANLEY | 716,069 | 6.68 | 688 |
| 5 CREDIT SUISSE | 672,497 | 6.28 | 644 |
| 6 E-TRADE CAPITAL MARKETS | 507,774 | 4.74 | 458 |
| 7 JPMORGAN | 477,538 | 4.46 | 463 |
| 8 CITIGROUP | 445,640 | 4.16 | 503 |
| 9 DEUTSCHE BANK SECURITIES, IN | 436,183 | 4.07 | 462 |
| 10 LEHMAN BROTHERS, INC. | 355,320 | 3.32 | 607 |
| 11 FIDELITY CAPITAL MARKETS | 312,523 | 2.92 | 591 |
| 12 GOLDMAN SACHS GROUP | 311,926 | 2.91 | 792 |
| 13 BEAR STEARNS AND COMPANY | 308,275 | 2.88 | 306 |
| 14 AUTOMATED TRADING DESK LLC | 252,792 | 2.36 | 551 |
| 15 WACHOVIA SECURITIES | 145,771 | 1.36 | 63 |
| 16 CIBC WORLD MARKETS | 133,866 | 1.25 | 363 |
| 17 RBC CAPITAL MARKETS | 118,999 | 1.11 | 163 |
| 18 INSTINET CORPORATION | 104,776 | 0.98 | 302 |
| 19 COWEN & CO. | 92,451 | 0.86 | 189 |
| 20 HSBC SECURITIES | 90,910 | 0.85 | 173 |
| 21 JEFFERIES & COMPANY, INC. | 83,443 | 0.78 | 449 |
| 22 BANC OF AMERICA SECURITIES LLC | 74,795 | 0.7 | 256 |
| 23 ABN-AMRO | 63,205 | 0.59 | 192 |
| 24 TD WATERHOUSE CAPITAL MARKETS | 60,863 | 0.57 | 248 |
| 25 PRUDENTIAL SECURITIES, INC. | 58,263 | 0.54 | 110 |

| CVT BOND COUPON Securities Top 25 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
|--|---------------------------------|-----------------------|------------------------|
| 1 UBS | 925,128 | 44.09 | 95 |
| 2 THOMAS WEISEL PARTNERS LLC | 401,560 | 19.14 | 48 |
| 3 J GIORDANO SECURITIES | 287,357 | 13.7 | 18 |
| 4 MCMAHAN SECURITIES COMPANY, LT | 254,350 | 12.12 | 39 |
| 5 CRT CAPITAL GROUP LLC | 105,131 | 5.01 | 18 |
| 6 PIPER JAFFRAY & CO. | 82,403 | 3.93 | 14 |
| 7 LAZARD CAPITAL MARKETS | 28,003 | 1.33 | 3 |
| 8 ABN-AMRO | 10,100 | 0.48 | 1 |
| 9 JESUP & LAMONT SECURITIES (DIV | 3,000 | 0.14 | 1 |
| 10 CREDIT SUISSE | 900 | 0.04 | 1 |
| 11 MORGAN STANLEY | 84 | 0 | 11 |

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|---------------------------------|----|---|---|
| 12 FIDELITY CAPITAL MARKETS | 49 | 0 | 1 |
| 13 MERRILL LYNCH, PIERCE FENNER | 40 | 0 | 1 |
| 14 GOLDMAN SACHS GROUP | 27 | 0 | 2 |
| 15 LEHMAN BROTHERS, INC. | 2 | 0 | 2 |

| Mandatory CVT Securities Top 15 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
|--|---------------------------------|-----------------------|------------------------|
| 1 MORGAN STANLEY | 9,436 | 31.04 | 21 |
| 2 LAZARD CAPITAL MARKETS | 8,410 | 27.66 | 29 |
| 3 LEHMAN BROTHERS, INC. | 6,696 | 22.03 | 18 |
| 4 CREDIT SUISSE | 2,702 | 8.89 | 11 |
| 5 CANTOR, FITZGERALD & CO., INC. | 783 | 2.57 | 3 |
| 6 UBS | 751 | 2.47 | 9 |
| 7 DEUTSCHE BANK SECURITIES, IN | 672 | 2.21 | 1 |
| 8 KNIGHT EQUITY MARKETS | 419 | 1.38 | 7 |
| 9 GOLDMAN SACHS GROUP | 190 | 0.63 | 12 |
| 10 CITIGROUP | 142 | 0.47 | 7 |
| 11 JPMORGAN | 67 | 0.22 | 2 |
| 12 MERRILL LYNCH, PIERCE FENNER | 64 | 0.21 | 1 |
| 13 COWEN & CO. | 24 | 0.08 | 2 |
| 14 JEFFERIES & COMPANY, INC. | 22 | 0.07 | 9 |
| 15 INSTINET CORPORATION | 10 | 0.03 | 1 |

| CVT Bond Zero Securities Top 15 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
|--|---------------------------------|-----------------------|------------------------|
| 1 UBS | 210,499 | 50.36 | 19 |
| 2 MCMAHAN SECURITIES COMPANY, LT | 109,400 | 26.17 | 8 |
| 3 THOMAS WEISEL PARTNERS LLC | 49,454 | 11.83 | 8 |
| 4 LAZARD CAPITAL MARKETS | 32,998 | 7.89 | 3 |
| 5 CREDIT SUISSE | 11,651 | 2.79 | 1 |
| 6 PIPER JAFFRAY & CO. | 3,975 | 0.95 | 2 |

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| CVT Preferred Securities Top 15 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
|--|---------------------------------|-----------------------|------------------------|
| 1 LEHMAN BROTHERS, INC. | 19,689 | 45.29 | 26 |
| 2 MORGAN STANLEY | 6,123 | 14.09 | 37 |
| 3 LAZARD CAPITAL MARKETS | 3,871 | 8.9 | 19 |
| 4 GOLDMAN SACHS GROUP | 2,537 | 5.84 | 14 |
| 5 UBS | 2,218 | 5.1 | 31 |
| 6 CITIGROUP | 1,954 | 4.5 | 7 |
| 7 CRT CAPITAL GROUP LLC | 1,937 | 4.45 | 4 |
| 8 CREDIT SUISSE | 1,049 | 2.41 | 3 |
| 9 DEUTSCHE BANK SECURITIES, IN | 894 | 2.06 | 4 |
| 10 CANTOR, FITZGERALD & CO., INC. | 597 | 1.37 | 4 |
| 11 J GIORDANO SECURITIES | 582 | 1.34 | 3 |
| 12 MCMAHAN SECURITIES COMPANY, LT | 480 | 1.1 | 4 |
| 13 GREEN STREET ADVISORS | 389 | 0.89 | 2 |
| 14 KNIGHT EQUITY MARKETS | 305 | 0.7 | 12 |
| 15 WILLIAM BLAIR & COMPANY, LLC. | 299 | 0.69 | 1 |