

NASDAQ NATIONAL MARKET & SMALL CAP MARKET Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1 KNIGHT SECURITIES, INC.	4,102,763	8.97	4,468
2 SALOMON SMITH BARNEY INC.	3,488,308	7.63	1,306
3 SCHWAB CAPITAL MARKETS L.P.	3,165,778	6.92	4,751
4 MORGAN STANLEY & COMPANY, INC.	3,017,572	6.60	704
5 MERRILL LYNCH, PIERCE FENNER &	2,437,341	5.33	685
6 CREDIT SUISSE FIRST BOSTON	2,389,102	5.22	829
7 HERZOG HEINE GEDULD, INC.	2,249,469	4.92	4,470
8 SPEAR, LEEDS & KELLOGG CAPITA	1,950,736	4.27	4,247
9 GOLDMAN, SACHS & COMPANY	1,875,429	4.10	396
10 UBS WARBURG	1,617,286	3.54	1,724
11 LEHMAN BROTHERS, INC.	1,513,108	3.31	473
12 BANC OF AMERICA SECURITIES LLC	1,481,268	3.24	525
13 NDB CAPITAL MARKETS	1,213,947	2.65	3,514
14 ROBERTSON STEPHENS	1,150,930	2.52	541
15 BEAR STEARNS AND COMPANY	1,146,957	2.51	713
16 DEUTSCHE BANC ALEX. BROWN.	1,143,679	2.50	1,759
17 JP MORGAN H&Q	1,084,925	2.37	714
18 PRUDENTIAL SECURITIES, INC.	780,983	1.71	593
19 FIDELITY CAPITAL MARKETS	673,765	1.47	548
20 JEFFERIES & COMPANY, INC.	615,543	1.35	1,134
21 THOMAS WEISEL PARTNERS LLC	609,310	1.33	276
22 CIBC WORLD MARKETS	587,500	1.28	468
23 FLEET TRADING	574,757	1.26	2,909
24 CANTOR, FITZGERALD & CO., INC.	574,755	1.26	790
25 U.S. BANCORP PIPER JAFFRAY	556,112	1.22	399

NASDAQ NATIONAL MARKET Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1 KNIGHT SECURITIES, INC.	3,975,691	8.79	3,874
2 SALOMON SMITH BARNEY INC.	3,483,137	7.70	1,271
3 SCHWAB CAPITAL MARKETS L.P.	3,094,817	6.84	4,038
4 MORGAN STANLEY & COMPANY, INC.	3,017,418	6.67	698
5 MERRILL LYNCH, PIERCE FENNER &	2,437,101	5.39	683
6 CREDIT SUISSE FIRST BOSTON	2,389,040	5.28	825
7 HERZOG HEINE GEDULD, INC.	2,160,186	4.78	3,852
8 SPEAR, LEEDS & KELLOGG CAPITA	1,907,846	4.22	3,489
9 GOLDMAN, SACHS & COMPANY	1,874,795	4.14	394
10 UBS WARBURG	1,613,931	3.57	1,628
11 LEHMAN BROTHERS, INC.	1,512,738	3.34	469
12 BANC OF AMERICA SECURITIES LLC	1,480,110	3.27	522
13 NDB CAPITAL MARKETS	1,180,499	2.61	3,079
14 ROBERTSON STEPHENS	1,150,356	2.54	540
15 BEAR STEARNS AND COMPANY	1,145,110	2.53	707
16 DEUTSCHE BANC ALEX. BROWN.	1,143,430	2.53	1,725
17 JP MORGAN H&Q	1,082,752	2.39	708
18 PRUDENTIAL SECURITIES, INC.	780,779	1.73	589
19 FIDELITY CAPITAL MARKETS	671,792	1.49	541
20 JEFFERIES & COMPANY, INC.	613,425	1.36	1,111
21 THOMAS WEISEL PARTNERS LLC	609,210	1.35	275
22 CIBC WORLD MARKETS	586,650	1.30	463
23 CANTOR, FITZGERALD & CO., INC.	574,157	1.27	782
24 U.S. BANCORP PIPER JAFFRAY	555,794	1.23	397
25 FLEET TRADING	546,580	1.21	2,603

NASDAQ SMALL CAP MARKET		Advertised	% Of	# of
Top 25 Brokers		Vol (000)	Total	Sec. Trd.
1	KNIGHT SECURITIES, INC.	127,072	25.4	594
2	HERZOG HEINE GEDULD, INC.	89,283	17.85	618
3	SCHWAB CAPITAL MARKETS L.P.	70,961	14.19	713
4	SPEAR, LEEDS & KELLOGG CAPITA	42,890	8.57	758
5	NDB CAPITAL MARKETS	33,448	6.69	435
6	FLEET TRADING	28,177	5.63	306
7	M.H. MEYERSON & CO., INC.	14,186	2.84	275
8	WEEDEN & COMPANY, INC.	9,491	1.90	6
9	INVESTEC ERNST & COMPANY	7,012	1.40	131
10	GRUNTAL & COMPANY	6,937	1.39	44
11	FAHNESTOCK & CO.	6,409	1.28	48
12	SALOMON SMITH BARNEY INC.	5,171	1.03	35
13	GVR COMPANY	4,591	0.92	14
14	UBS WARBURG	3,355	0.67	96
15	C.E. UNTERBERG, TOWBIN	3,070	0.61	4
16	TUCKER ANTHONY CAPITAL MARKETS	2,841	0.57	42
17	LADENBURG THALMANN & CO., INC	2,502	0.50	34
18	JP MORGAN H&Q	2,173	0.43	6
19	JEFFERIES & COMPANY, INC.	2,119	0.42	23
20	FIDELITY CAPITAL MARKETS	1,973	0.39	7
21	PACIFIC GROWTH EQUITIES	1,965	0.39	2
22	BEAR STEARNS AND COMPANY	1,847	0.37	6
23	AM CAPITAL MANAGEMENT	1,794	0.36	56
24	A.G. EDWARDS & SONS, INC.	1,791	0.36	5
25	RAYMOND, JAMES & ASSOC. INC.	1,745	0.35	10

NASDAQ 100		Advertised	% Of	# of
Top 25 Brokers		Vol (000)	Total	Sec. Trd.
1	SALOMON SMITH BARNEY INC.	2,558,242	9.32	100
2	MORGAN STANLEY & COMPANY, INC.	2,466,612	8.99	100
3	MERRILL LYNCH, PIERCE FENNER &	1,911,124	6.96	100
4	CREDIT SUISSE FIRST BOSTON	1,719,957	6.27	99
5	GOLDMAN, SACHS & COMPANY	1,600,024	5.83	92
6	SCHWAB CAPITAL MARKETS L.P.	1,562,658	5.69	100
7	KNIGHT SECURITIES, INC.	1,559,869	5.68	100
8	LEHMAN BROTHERS, INC.	1,196,373	4.36	98
9	UBS WARBURG	1,166,058	4.25	100
10	BANC OF AMERICA SECURITIES LLC	1,004,092	3.66	99
11	SPEAR, LEEDS & KELLOGG CAPITA	918,796	3.35	100
12	DEUTSCHE BANC ALEX. BROWN.	877,650	3.20	100
13	HERZOG HEINE GEDULD, INC.	795,440	2.90	100
14	BEAR STEARNS AND COMPANY	751,844	2.74	99
15	ROBERTSON STEPHENS	677,510	2.47	84
16	PRUDENTIAL SECURITIES, INC.	545,291	1.99	97
17	NDB CAPITAL MARKETS	544,837	1.98	100
18	JP MORGAN H&Q	541,493	1.97	100
19	FIDELITY CAPITAL MARKETS	426,846	1.56	100
20	SUSQUEHANNA PARTNERS	413,691	1.51	99
21	CIBC WORLD MARKETS	365,676	1.33	64
22	WIT SOUNDVIEW	362,859	1.32	61
23	SG COWEN SECURITIES CORP.	300,926	1.10	91
24	CANTOR, FITZGERALD & CO., INC.	294,511	1.07	100
25	THOMAS WEISEL PARTNERS LLC	263,890	0.96	56

NASDAQ NATIONAL MARKET & SMALL CAP MARKET			
Top 25 Brokers	Advertised	% Of	# of
YTD April 2001	Vol (000)	Total	Sec. Trd.
1 KNIGHT SECURITIES, INC.	15,884,602	8.69	4,882
2 SALOMON SMITH BARNEY INC.	13,755,236	7.53	1,759
3 SCHWAB CAPITAL MARKETS L.P.	12,679,406	6.94	5,417
4 MORGAN STANLEY & COMPANY, INC.	12,514,427	6.85	1,827
5 MERRILL LYNCH, PIERCE FENNER &	10,168,666	5.56	731
6 CREDIT SUISSE FIRST BOSTON	9,734,179	5.33	1,564
7 HERZOG HEINE GEDULD, INC.	9,527,796	5.21	4,920
8 GOLDMAN, SACHS & COMPANY	8,156,362	4.46	441
9 SPEAR, LEEDS & KELLOGG CAPITA	7,812,554	4.28	4,515
10 LEHMAN BROTHERS, INC.	5,792,451	3.17	581
11 BANC OF AMERICA SECURITIES LLC	5,440,984	2.98	587
12 ROBERTSON STEPHENS	5,186,998	2.84	605
13 NDB CAPITAL MARKETS	5,135,809	2.81	3,804
14 UBS WARBURG	4,547,915	2.49	1,788
15 JP MORGAN H&Q	4,463,543	2.44	864
16 BEAR STEARNS AND COMPANY	4,376,290	2.39	954
17 DEUTSCHE BANC ALEX. BROWN.	4,284,808	2.34	2,258
18 PRUDENTIAL SECURITIES, INC.	3,122,722	1.71	650
19 FIDELITY CAPITAL MARKETS	2,607,983	1.43	637
20 CANTOR, FITZGERALD & CO., INC.	2,465,072	1.35	1,288
21 FLEET TRADING	2,400,108	1.31	3,338
22 THOMAS WEISEL PARTNERS LLC	2,394,667	1.31	288
23 JEFFERIES & COMPANY, INC.	2,326,047	1.27	1,697
24 CIBC WORLD MARKETS	2,122,646	1.16	562
25 U.S. BANCORP PIPER JAFFRAY	2,063,685	1.13	488

NASDAQ NATIONAL MARKET			
Top 25 Brokers	Advertised	% Of	# of
YTD April 2001	Vol (000)	Total	Sec. Trd.
1 KNIGHT SECURITIES, INC.	15,361,059	8.51	4,252
2 SALOMON SMITH BARNEY INC.	13,731,317	7.60	1,685
3 MORGAN STANLEY & COMPANY, INC.	12,511,120	6.93	1,775
4 SCHWAB CAPITAL MARKETS L.P.	12,361,425	6.85	4,591
5 MERRILL LYNCH, PIERCE FENNER &	10,166,528	5.63	727
6 CREDIT SUISSE FIRST BOSTON	9,733,075	5.39	1,538
7 HERZOG HEINE GEDULD, INC.	9,145,549	5.06	4,260
8 GOLDMAN, SACHS & COMPANY	8,152,819	4.51	438
9 SPEAR, LEEDS & KELLOGG CAPITA	7,630,814	4.23	3,681
10 LEHMAN BROTHERS, INC.	5,790,027	3.21	574
11 BANC OF AMERICA SECURITIES LLC	5,437,652	3.01	583
12 ROBERTSON STEPHENS	5,183,897	2.87	602
13 NDB CAPITAL MARKETS	4,955,127	2.74	3,278
14 UBS WARBURG	4,540,163	2.51	1,685
15 JP MORGAN H&Q	4,458,377	2.47	856
16 BEAR STEARNS AND COMPANY	4,369,771	2.42	944
17 DEUTSCHE BANC ALEX. BROWN.	4,284,415	2.37	2,201
18 PRUDENTIAL SECURITIES, INC.	3,121,251	1.73	645
19 FIDELITY CAPITAL MARKETS	2,597,893	1.44	628
20 CANTOR, FITZGERALD & CO., INC.	2,461,701	1.36	1,267
21 THOMAS WEISEL PARTNERS LLC	2,394,497	1.33	286
22 JEFFERIES & COMPANY, INC.	2,316,147	1.28	1,644
23 FLEET TRADING	2,277,545	1.26	2,990
24 CIBC WORLD MARKETS	2,116,806	1.17	552
25 U.S. BANCORP PIPER JAFFRAY	2,060,878	1.14	481

NASDAQ SMALL CAP MARKET				
Top 25 Brokers	Advertised	% Of	# of	
YTD April 2001	Vol (000)	Total	Sec. Trd.	
1 KNIGHT SECURITIES, INC.	523,543	24.31	630	
2 HERZOG HEINE GEDULD, INC.	382,247	17.75	660	
3 SCHWAB CAPITAL MARKETS L.P.	317,981	14.76	826	
4 SPEAR, LEEDS & KELLOGG CAPITA	181,740	8.44	834	
5 NDB CAPITAL MARKETS	180,683	8.39	526	
6 FLEET TRADING	122,563	5.69	348	
7 M.H. MEYERSON & CO., INC.	59,173	2.75	331	
8 FAHNESTOCK & CO.	37,061	1.72	57	
9 INVESTEC ERNST & COMPANY	27,784	1.29	149	
10 GRUNTAL & COMPANY	24,693	1.15	77	
11 SALOMON SMITH BARNEY INC.	23,919	1.11	74	
12 WEEDEN & COMPANY, INC.	15,874	0.74	15	
13 LADENBURG THALMANN & CO., INC	15,345	0.71	47	
14 TUCKER ANTHONY CAPITAL MARKETS	12,324	0.57	49	
15 GVR COMPANY	11,094	0.52	20	
16 JOHN G. KINNARD & CO.,INC.	10,323	0.48	40	
17 AM CAPITAL MANAGEMENT	10,108	0.47	77	
18 FIDELITY CAPITAL MARKETS	10,090	0.47	9	
19 JEFFERIES & COMPANY, INC.	9,900	0.46	53	
20 BREAN MURRAY & CO. INC.	9,019	0.42	22	
21 C.E. UNTERBERG, TOWBIN	8,027	0.37	5	
22 UBS WARBURG	7,752	0.36	103	
23 LEGG MASON WOOD WALKER, INC.	7,554	0.35	11	
24 SOUTHWEST SECURITIES, INC.	7,548	0.35	39	
25 ROTH CAPITAL PARTNERS, INC.	6,626	0.31	16	

NASDAQ 100				
Top 25 Brokers	Advertised	% Of	# of	
YTD April 2001	Vol (000)	Total	Sec. Trd.	
1 SALOMON SMITH BARNEY INC.	9,750,497	9.32	100	
2 MORGAN STANLEY & COMPANY, INC.	9,566,007	9.15	100	
3 MERRILL LYNCH, PIERCE FENNER &	7,597,062	7.26	100	
4 GOLDMAN, SACHS & COMPANY	6,805,199	6.51	92	
5 CREDIT SUISSE FIRST BOSTON	6,607,346	6.32	100	
6 SCHWAB CAPITAL MARKETS L.P.	5,890,476	5.63	100	
7 KNIGHT SECURITIES, INC.	5,379,597	5.14	100	
8 LEHMAN BROTHERS, INC.	4,480,884	4.28	99	
9 BANC OF AMERICA SECURITIES LLC	3,668,239	3.51	99	
10 UBS WARBURG	3,656,792	3.50	100	
11 SPEAR, LEEDS & KELLOGG CAPITA	3,580,824	3.42	100	
12 DEUTSCHE BANC ALEX. BROWN.	3,162,656	3.02	100	
13 ROBERTSON STEPHENS	2,971,372	2.84	85	
14 BEAR STEARNS AND COMPANY	2,917,041	2.79	99	
15 HERZOG HEINE GEDULD, INC.	2,879,583	2.75	100	
16 JP MORGAN H&Q	2,158,777	2.06	100	
17 PRUDENTIAL SECURITIES, INC.	2,147,226	2.05	97	
18 NDB CAPITAL MARKETS	2,088,100	2.00	100	
19 FIDELITY CAPITAL MARKETS	1,599,150	1.53	100	
20 CANTOR, FITZGERALD & CO., INC.	1,335,918	1.28	100	
21 SUSQUEHANNA PARTNERS	1,208,312	1.16	100	
22 CIBC WORLD MARKETS	1,199,498	1.15	72	
23 WIT SOUNDVIEW	1,156,058	1.11	62	
24 SG COWEN SECURITIES CORP.	1,115,600	1.07	91	
25 THOMAS WEISEL PARTNERS LLC	1,108,715	1.06	57	