



December 2008 YTD

Special Insert

NASDAQ – National Market & Small Cap Market	Adv.	# of
Top 25 (Securities Traded 1-100)	Vol. (000)	Stocks
1MORGAN STANLEY EUROPE	1,308	9
2BARCLAY'S CAPITAL	1,066	1
3ING FINANCIAL MARKETS	1,044	21
4MILLER TABAK HIRSCH & CO.	980	3
5CAZENOVE SECURITIES	940	8
6DOUGHERTY & COMPANY LLC	853	93
7FELTL & COMPANY	731	67
8KEPLER EQUITIES	672	13
9W.R. HAMBRECHT & COMPANY, LLC	452	27
10J GIORDANO SECURITIES	347	6
11EVOLUTION SECURITIES	340	1
12TRISTONE CAPITAL INC.	327	49
13HUNTLEIGH SECURITIES CORP.	244	13
14NATIXIS BLEICHROEDER INC.	239	38
15KAUFMAN BROS., L.P.	234	33
16EXANE BNP PARIBAS	234	6
17JEFFERIES INTERNATIONAL LTD.	230	2
18CALYON SECURITIES USA	183	98
19GREEN STREET ADVISORS	165	23
20MAGNA SECURITIES CORP.	158	47
21BROCKHOUSE & COOPER INC	150	1
22PARK FINANCIAL GROUP	150	2
23LIGHTHOUSE FINANCIAL GROUP, LL	145	57
24GFI GROUP, INC.	140	1
25DAVENPORT & COMPANY	137	68

NASDAQ – National Market & Small Cap Market	Adv.	# of
Top 25 (Securities Traded 1-250)	Vol. (000)	Stocks
1CRAIG-HALLUM CAPITAL GROUP	2,083	150
2MORGAN STANLEY EUROPE	1,308	9
3BARCLAY'S CAPITAL	1,066	1
4ING FINANCIAL MARKETS	1,044	21
5HILL THOMPSON MAGID CO. INC.	991	218
6MILLER TABAK HIRSCH & CO.	980	3
7CAZENOVE SECURITIES	940	8
8DOUGHERTY & COMPANY LLC	853	93
9FELTL & COMPANY	731	67
10KEPLER EQUITIES	672	13
11NORTHLAND SECURITIES	650	139
12DAHLMAN ROSE & CO., LLC.	481	185
13W.R. HAMBRECHT & COMPANY, LLC	452	27
14J GIORDANO SECURITIES	347	6
15EVOLUTION SECURITIES	340	1

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16TRISTONE CAPITAL INC.	327	49
17CARIS & COMPANY	325	156
18AVIAN SECURITIES	311	225
19SANDERS MORRIS HARRIS	297	137
20SIMMONS AND COMPANY INTERNATIO	289	219
21ABEL NOSER CORP	260	159
22GARBAN INSTITUTIONAL	245	159
23HUNTLEIGH SECURITIES CORP.	244	13
24NATIXIS BLEICHROEDER INC.	239	38
25KAUFMAN BROS., L.P.	234	33

NASDAQ – National Market & Small Cap Market	Adv.	# of
Top 25 (Securities Traded 1-500)	Vol. (000)	Stocks
1CRAIG-HALLUM CAPITAL GROUP	2,083	150
2CRT CAPITAL GROUP LLC	1,741	355
3PACIFIC CREST SECURITIES	1,665	310
4MORGAN STANLEY EUROPE	1,308	9
5BARCLAY'S CAPITAL	1,066	1
6ING FINANCIAL MARKETS	1,044	21
7HILL THOMPSON MAGID CO. INC.	991	218
8MILLER TABAK HIRSCH & CO.	980	3
9BMO CAPITAL MARKETS	943	488
10CAZENOVE SECURITIES	940	8
11DOUGHERTY & COMPANY LLC	853	93
12FELTL & COMPANY	731	67
13KEPLER EQUITIES	672	13
14NORTHLAND SECURITIES	650	139
15DAHLMAN ROSE & CO., LLC.	481	185
16ABN-AMRO	480	293
17FOX-PITT KELTON, INC.	475	427
18AMERICAN TECHNOLOGY RESEARCH	454	266
19W.R. HAMBRECHT & COMPANY, LLC	452	27
20SANDLER O'NEIL & PARTNERS, L.P	437	424
21PANMURE & CO. LTD	415	325
22PACIFIC GROWTH EQUITIES	413	491
23STANFORD GROUP COMPANY	384	449
24OTA OFF THE RECORD RESEARCH	367	322
25J GIORDANO SECURITIES	347	6

NASDAQ – National Market & Small Cap Market	Adv.	# of
Top 25 (Securities Traded 1-750)	Vol. (000)	Stocks
1CRAIG-HALLUM CAPITAL GROUP	2,083	150
2CRT CAPITAL GROUP LLC	1,741	355
3PACIFIC CREST SECURITIES	1,665	310
4MORGAN STANLEY EUROPE	1,308	9
5JMP SECURITIES	1,079	599

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6BARCLAY'S CAPITAL	1,066	1
7ING FINANCIAL MARKETS	1,044	21
8HILL THOMPSON MAGID CO. INC.	991	218
9MILLER TABAK HIRSCH & CO.	980	3
10LEERINK SWANN & CO.	973	734
11BMO CAPITAL MARKETS	943	488
12CAZENOVE SECURITIES	940	8
13WEDBUSH MORGAN SECURITIES	885	585
14DOUGHERTY & COMPANY LLC	853	93
15FELTL & COMPANY	731	67
16KEPLER EQUITIES	672	13
17NORTHLAND SECURITIES	650	139
18MORGAN KEEGAN & CO., INC.	572	660
19DAHLMAN ROSE & CO., LLC.	481	185
20ABN-AMRO	480	293
21FOX-PITT KELTON, INC.	475	427
22AMERICAN TECHNOLOGY RESEARCH	454	266
23W.R. HAMBRECHT & COMPANY, LLC	452	27
24SANDLER O'NEIL & PARTNERS, L.P	437	424
25D.A. DAVIDSON & CO.	421	589

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-500)	Vol. (000)	Stocks
1R & H SECURITIES	2,317	3
2CRAIG-HALLUM CAPITAL GROUP	1,256	14
3GREEN STREET ADVISORS	1,079	318
4MORGAN STANLEY EUROPE	798	31
5NUMIS SECURITIES LIMITED	535	2
6THINKEQUITY PARTNERS	533	425
7HILL THOMPSON MAGID CO. INC.	496	41
8CAZENOVE SECURITIES	455	26
9HUNTLEIGH SECURITIES CORP.	432	9
10TRISTONE CAPITAL INC.	423	202
11PACIFIC CREST SECURITIES	386	222
12NATIXIS BLEICHROEDER INC.	385	39
13ABEL NOSER CORP	353	405
14PRITCHARD CAPITAL PARTNERS	338	482
15ARBUTHNOT	330	1
16UTENDAHL CAPITAL PARTNERS.	309	46
17AVIAN SECURITIES	301	390
18CALYON SECURITIES USA	290	253
19PANMURE & CO. LTD	283	224
20LADENBURG THALMANN & CO., INC	282	142
21TORONTO DOMINION SECURITIES (U	260	257
22PUNK, ZIEGEL AND COMPANY, L.P.	249	111
23CARIS & COMPANY	244	238
24SANDERS MORRIS HARRIS	215	114

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Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-1000)	Vol. (000)	Stocks
1R & H SECURITIES	2,317	3
2CRT CAPITAL GROUP LLC	1,474	763
3CRAIG-HALLUM CAPITAL GROUP	1,256	14
4GREEN STREET ADVISORS	1,079	318
5FOX-PITT KELTON, INC.	999	910
6SIMMONS AND COMPANY INTERNATIO	951	658
7LEERINK SWANN & CO.	906	801
8JMP SECURITIES	892	836
9MORGAN STANLEY EUROPE	798	31
10ABN-AMRO	554	714
11NUMIS SECURITIES LIMITED	535	2
12THINKEQUITY PARTNERS	533	425
13WEDBUSH MORGAN SECURITIES	497	747
14HILL THOMPSON MAGID CO. INC.	496	41
15DAHLMAN ROSE & CO., LLC.	457	700
16CAZENOVE SECURITIES	455	26
17HUNTLEIGH SECURITIES CORP.	432	9
18STANFORD GROUP COMPANY	432	841
19TRISTONE CAPITAL INC.	423	202
20SANDLER O'NEIL & PARTNERS, L.P	407	564
21OTA OFF THE RECORD RESEARCH	401	717
22JOHNSON RICE & COMPANY	399	811
23PACIFIC CREST SECURITIES	386	222
24NATIXIS BLEICHROEDER INC.	385	39
25ABEL NOSER CORP	353	405

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-1750)	Vol. (000)	Stocks
1R & H SECURITIES	2,317	3
2WACHOVIA SECURITIES	2,098	1540
3OPPENHEIMER & CO	2,057	1704
4FRIEDMAN, BILLINGS, RAMSEY & C	1,953	1480
5BTIG - BASS TRADING	1,717	1629
6COWEN & CO.	1,612	1703
7CRT CAPITAL GROUP LLC	1,474	763
8KEEFE, BRUYETTE & WOODS, INC.	1,445	1436
9CRAIG-HALLUM CAPITAL GROUP	1,256	14
10THOMAS WEISEL PARTNERS LLC	1,242	1657
11DRESDNER KLEINWORT	1,193	1347

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12GREEN STREET ADVISORS	1,079	318
13FOX-PITT KELTON, INC.	999	910
14KEYBANC CAPITAL MARKETS INC.	975	1324
15HSBC SECURITIES	952	1623
16SIMMONS AND COMPANY INTERNATIO	951	658
17LEERINK SWANN & CO.	906	801
18JMP SECURITIES	892	836
19PALI CAPITAL LLC	801	1466
20MORGAN STANLEY EUROPE	798	31
21HUDSON SECURITIES	624	1150
22MAXIM GROUP LLC	558	1529
23ABN-AMRO	554	714
24MORGAN KEEGAN & CO., INC.	550	1323
25NUMIS SECURITIES LIMITED	535	2

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-2500)	Vol. (000)	Stocks
1RBC CAPITAL MARKETS	9,192	2137
2BANC OF AMERICA SECURITIES LLC	6,941	2370
3ITG, INC.	5,783	2174
4JEFFERIES & COMPANY, INC.	4,415	2305
5WEEDEN & COMPANY, INC.	3,071	2287
6SANFORD C. BERNSTEIN COMPANY,	2,574	2082
7R & H SECURITIES	2,317	3
8CANTOR, FITZGERALD & CO., INC.	2,134	2148
9WACHOVIA SECURITIES	2,098	1540
10OPPENHEIMER & CO	2,057	1704
11FRIEDMAN, BILLINGS, RAMSEY & C	1,953	1480
12BEAR STEARNS AND COMPANY	1,922	2309
13BTIG - BASS TRADING	1,717	1629
14COWEN & CO.	1,612	1703
15BNY CONVERGEX	1,605	2496
16CRT CAPITAL GROUP LLC	1,474	763
17JONESTRADING INSTITUTIONAL SER	1,454	2242
18KEEFE, BRUYETTE & WOODS, INC.	1,445	1436
19CRAIG-HALLUM CAPITAL GROUP	1,256	14
20THOMAS WEISEL PARTNERS LLC	1,242	1657
21RAYMOND JAMES	1,224	1964
22STIFEL NICOLAUS CAPITAL MARKET	1,202	2256
23DRESDNER KLEINWORT	1,193	1347
24PIPER JAFFRAY & CO.	1,165	1919
25GREEN STREET ADVISORS	1,079	318

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