

December 2001 YTD

Quarterly Special Insert

<b>NASDAQ/OTC Brokers</b>	<b>Adv.</b>	<b># of</b>
<b>Top 25 (Securities Traded 1-100)</b>	<b>Vol. (000)</b>	<b>Stocks</b>
1 THINKEQUITY PARTNERS	166,401	80
2 FROST SECURITIES	108,626	72
3 JOHNSON RICE & COMPANY	75,858	35
4 PUNK, ZIEGEL AND COMPANY, L.P.	70,396	82
5 RAGEN MACKENZIE, INC.	63,113	95
6 THE BUCKINGHAM RESEARCH GROUP,	62,912	71
7 KAUFMAN BROS., L.P.	61,842	77
8 B. RILEY AND CO	50,071	43
9 WATERHOUSE SECURITIES INC (A/C	46,843	10
10 VANDHAM SECURITIES	44,877	88
11 HOAK BREEDLOVE WESNESKI & CO.	39,459	47
12 ALLEN AND COMPANY, INC.	36,772	49
13 PMG CAPITAL	35,620	95
14 WASSERSTEIN PERELLA SECURITIES	33,865	68
15 SUMMIT INVESTMENT CORP.	30,198	79
16 PUTNAM, LOVELL, & THORTON	26,995	39
17 JOSEPHTHAL & CO., INC.	24,230	86
18 SANDERS MORRIS HARRIS	21,039	71
19 EMERGING GROWTH EQUITIES, LTD	19,644	31
20 JOLSON MERCHANT PARTNERS	17,456	85
21 FECHTOR DETWILER & CO.	15,496	47
22 CRT CAPITAL GROUP LLC	15,380	5
23 FIRST ANALYSIS	13,393	59
24 MCMAHAN SECURITIES COMPANY, LT	12,750	3
25 WILLIAM O'NEIL & CO., INC.	12,322	68

<b>NASDAQ/OTC Brokers</b>	<b>Adv.</b>	<b># of</b>
<b>Top 25 (Securities Traded 1-250)</b>	<b>Vol. (000)</b>	<b>Stocks</b>
1 FIRST ALBANY CORPORATION	596,683	233
2 INSTINET CORPORATION	496,347	102
3 H&R BLOCK FINANCIAL	449,663	212
4 PACIFIC CREST SECURITIES	430,674	165
5 DEMPSEY & COMPANY	412,332	119
6 NORTH AMERICAN INSTITUTIONAL	303,841	219
7 JANNEY MONTGOMERY SCOTT, INC.	248,515	236
8 STEPHENS INC.	238,037	179
9 THINKEQUITY PARTNERS	166,401	80
10 BREAN MURRAY & CO. INC.	157,336	215
11 D.A. DAVIDSON & CO.	151,514	187
12 FERRIS, BAKER WATTS INC.	135,336	167

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13	BB & T CAPITAL MARKETS	126,898	226
14	ROTH CAPITAL PARTNERS, INC.	114,875	243
15	FROST SECURITIES	108,626	72
16	COMMERZ BANK	97,459	160
17	FOX-PITT KELTON, INC.	83,611	129
18	SUNTRUST EQUITABLE SECURITIES	83,465	109
19	RYAN, BECK & CO.	78,010	175
20	JOHNSON RICE & COMPANY	75,858	35
21	SOCIETE GENERALE	72,388	207
22	PUNK, ZIEGEL AND COMPANY, L.P.	70,396	82
23	WACHOVIA SECURITIESPRE-MERGER	66,068	164
24	LEERINK SWAN & CO.	65,687	110
25	RAGEN MACKENZIE, INC.	63,113	95

<b>NASDAQ/OTC Brokers</b>		<b>Adv.</b>	<b># of</b>
<b>Top 25 (Securities Traded 1-500)</b>		<b>Vol. (000)</b>	<b>Stocks</b>
1	THOMAS WEISEL PARTNERS LLC	7,569,199	425
2	SOUNDVIEW TECHNOLOGY GROUP	4,909,371	290
3	SG COWEN SECURITIES CORP.	4,900,004	433
4	ROBERT W. BAIRD CO. INCORPORAT	1,848,263	313
5	GERARD, KLAUER, MATTISON & COM	1,805,532	378
6	LEGG MASON WOOD WALKER, INC.	1,409,327	342
7	NEEDHAM & COMPANY	1,381,510	425
8	A.G. EDWARDS & SONS, INC.	1,322,565	330
9	C.E. UNTERBERG, TOWBIN	1,309,684	343
10	WILLIAM BLAIR & COMPANY, LLC.	1,042,626	289
11	SUNTRUST ROBINSON HUMPHREY	890,867	450
12	MCDONALD INVESTMENTS, INC.	742,527	373
13	PAINWEBBER INC.	722,571	337
14	FIRST ALBANY CORPORATION	596,683	233
15	FRIEDMAN, BILLINGS, RAMSEY & C	548,571	489
16	W.R. HAMBRECHT & COMPANY, LLC	527,265	378
17	INSTINET CORPORATION	496,347	102
18	PACIFIC GROWTH EQUITIES	476,824	290
19	H&R BLOCK FINANCIAL	449,663	212
20	MILLER, JOHNSON, STEICHEN, KIN	436,771	335
21	PACIFIC CREST SECURITIES	430,674	165
22	DEMPSEY & COMPANY	412,332	119
23	WEDBUSH MORGAN SECURITIES	397,366	277
24	MORGAN KEEGAN & CO., INC.	392,955	264
25	WELLS FARGO SECURITY	391,325	311

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<b>NASDAQ/OTC Brokers</b>	<b>Adv.</b>	<b># of</b>
<b><u>Top 25 (Securities Traded 1-750)</u></b>	<b><u>Vol. (000)</u></b>	<b><u>Stocks</u></b>
1 GOLDMAN, SACHS & COMPANY	22,401,640	545
2 ROBERTSON STEPHENS	12,030,091	725
3 THOMAS WEISEL PARTNERS LLC	7,569,199	425
4 U.S. BANCORP PIPER JAFFRAY	6,027,460	635
5 WACHOVIA SECURITIES	5,821,407	700
6 CIBC WORLD MARKETS	5,545,718	694
7 SOUNDVIEW TECHNOLOGY GROUP	4,909,371	290
8 SG COWEN SECURITIES CORP.	4,900,004	433
9 ROBERT W. BAIRD CO. INCORPORAT	1,848,263	313
10 GERARD, KLAUER, MATTISON & COM	1,805,532	378
11 RAYMOND, JAMES & ASSOC. INC.	1,748,742	594
12 ADAMS, HARKNESS & HILL, INC.	1,509,797	539
13 LEGG MASON WOOD WALKER, INC.	1,409,327	342
14 NEEDHAM & COMPANY	1,381,510	425
15 A.G. EDWARDS & SONS, INC.	1,322,565	330
16 C.E. UNTERBERG, TOWBIN	1,309,684	343
17 RBC DOMINION SECURITIES	1,047,749	625
18 WILLIAM BLAIR & COMPANY, LLC.	1,042,626	289
19 SUNTRUST ROBINSON HUMPHREY	890,867	450
20 MCDONALD INVESTMENTS, INC.	742,527	373
21 PAINWEBBER INC.	722,571	337
22 FIRST ALBANY CORPORATION	596,683	233
23 OSCAR GRUSS AND SON, INC.	577,351	603
24 FRIEDMAN, BILLINGS, RAMSEY & C	548,571	489
25 W.R. HAMBRECHT & COMPANY, LLC	527,265	378

<b>Listed Brokers</b>	<b>Adv.</b>	<b># of</b>
<b><u>Top 25 (Securities Traded 1-500)</u></b>	<b><u>Vol. (000)</u></b>	<b><u>Stocks</u></b>
1 HSBC SECURITIES	232,310	496
2 KEVIN DANN & PARTNERS	179,463	346
3 SANDERS MORRIS HARRIS	167,814	372
4 GREEN STREET ADVISORS	161,261	338
5 WILLIAM O'NEIL & CO., INC.	119,286	446
6 FUTURES SHARE FINANCIAL	115,140	163
7 PACIFIC CREST SECURITIES	114,234	271
8 FAHNESTOCK & CO.	106,524	442
9 GVR COMPANY	102,477	4
10 HERZOG HEINE GEDULD, INC.	102,257	15
11 WASSERSTEIN PERELLA SECURITIES	101,475	329
12 PETRIE PARKMAN AND CO., INC.	99,530	457
13 STATE STREET BROKERAGE SERVICE	97,893	279
14 SOCIETE GENERALE	92,880	447

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15	ING BARINGS	88,145	482
16	STANDARD & POOR'S SECURITIES I	85,291	424
17	ADVEST, INC.	81,875	489
18	FROST SECURITIES	80,733	426
19	PUTNAM, LOVELL, & THORTON	75,426	224
20	FIRST ANALYSIS	72,873	483
21	LEERINK SWAN & CO.	59,913	257
22	SUNTRUST EQUITABLE SECURITIES	58,873	452
23	ARNHOLD AND S. BLEICHROEDER	58,054	373
24	BOSTON INSTITUTIONAL SERVICES.	56,846	306
25	VANDHAM SECURITIES	56,754	366

<b>Listed Brokers</b>	<b>Adv.</b>	<b># of</b>	
<b><u>Top 25 (Securities Traded 1-1000)</u></b>	<b><u>Vol. (000)</u></b>	<b><u>Stocks</u></b>	
1	SOUNDVIEW TECHNOLOGY GROUP	1,078,545	693
2	FIRST ALBANY CORPORATION	643,171	706
3	QUICK & REILLY	534,280	764
4	INSTINET CORPORATION	493,164	610
5	KEEFE, BRUYETTE & WOODS, INC.	483,178	725
6	FOX-PITT KELTON, INC.	463,824	698
7	HOWARD, WEIL, LABOUISSSE, FRE	386,430	894
8	THE BUCKINGHAM RESEARCH GROUP,	300,373	768
9	NEUBERGER & BERMAN	294,921	557
10	KNIGHT SECURITIES, INC.	283,793	887
11	SIMMONS AND COMPANY INTERNATIO	245,572	516
12	JOHNSON RICE & COMPANY	235,546	781
13	HSBC SECURITIES	232,310	496
14	MORGAN KEEGAN & CO., INC.	223,365	766
15	MIDWEST RESEARCH	221,507	621
16	NEEDHAM & COMPANY	205,253	777
17	SANDERS MORRIS HARRIS	183,497	753
18	KEVIN DANN & PARTNERS	179,463	346
19	SANDERS MORRIS HARRIS	167,814	372
20	BB & T CAPITAL MARKETS	166,339	963
21	GREEN STREET ADVISORS	161,261	338
22	E*TRADE INSTITUTIONAL SECURITI	157,339	810
23	SOUTHCOAST CAPITAL L.L.C.	143,892	659
24	SOUTHWEST SECURITIES, INC.	140,438	570
25	WILLIAMS CAPITAL GROUP, L.P.	140,009	670

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<b>Listed Brokers</b>	<b>Adv.</b>	<b># of</b>
<b><u>Top 25 (Securities Traded 1-1750)</u></b>	<b><u>Vol. (000)</u></b>	<b><u>Stocks</u></b>
1 JP MORGAN H&Q	12,820,795	1,685
2 SANFORD C. BERNSTEIN COMPANY,	3,982,163	1,519
3 SG COWEN SECURITIES CORP.	3,737,377	1,170
4 ROBERTSON STEPHENS	3,148,017	1,218
5 WACHOVIA SECURITIES	3,068,153	1,665
6 CIBC WORLD MARKETS	3,040,662	1,576
7 LYNCH JONES & RYAN/INSTINET	3,020,011	1,320
8 RBC DOMINION SECURITIES	2,939,448	1,094
9 SUSQUEHANNA INTERNATIONAL GRP.	2,401,217	1,110
10 THOMAS WEISEL PARTNERS LLC	2,342,386	1,088
11 JONES & ASSOCIATES, INC.	1,895,500	1,516
12 U.S. BANCORP PIPER JAFFRAY	1,747,801	1,627
13 OTA LIMITED PARTNERSHIP.	1,578,531	1,204
14 FIDELITY CAPITAL MARKETS	1,577,031	1,340
15 CANTOR, FITZGERALD & CO., INC.	1,444,520	1,594
16 GERARD, KLAUER, MATTISON & COM	1,399,856	1,039
17 RBC CAPITAL MARKETS	1,236,516	1,591
18 DRESDNER KLEINWORT WASSERSTEIN	1,124,994	1,276
19 LAZARD FRERES & CO	1,124,769	1,004
20 SOUNDVIEW TECHNOLOGY GROUP	1,078,545	693
21 LEGG MASON WOOD WALKER, INC.	1,022,367	1,341
22 AUTRANET, INC.	974,931	1,511
23 ROBERT W. BAIRD CO. INCORPORAT	968,758	1,474
24 BNY ESI & COMPANY	968,574	1,694
25 RAYMOND, JAMES & ASSOC. INC.	960,524	1,485

<b>Listed Brokers</b>	<b>Adv.</b>	<b># of</b>
<b><u>Top 25 (Securities Traded 1-2500)</u></b>	<b><u>Vol. (000)</u></b>	<b><u>Stocks</u></b>
1 UBS WARBURG	23,723,255	2,445
2 GOLDMAN, SACHS & COMPANY	23,639,017	2,387
3 MORGAN STANLEY & COMPANY, INC.	22,644,959	2,161
4 LEHMAN BROTHERS, INC.	21,685,278	2,128
5 BEAR STEARNS AND COMPANY	15,532,170	2,299
6 BANC OF AMERICA SECURITIES LLC	13,497,991	1,954
7 DEUTSCHE BANC ALEX. BROWN.	13,422,194	2,047
8 JP MORGAN H&Q	12,820,795	1,685
9 ABN-AMRO	8,947,718	2,355
10 ITG, INC.	5,724,404	1,902
11 SANFORD C. BERNSTEIN COMPANY,	3,982,163	1,519
12 SG COWEN SECURITIES CORP.	3,737,377	1,170
13 ROBERTSON STEPHENS	3,148,017	1,218
14 WACHOVIA SECURITIES	3,068,153	1,665

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15 CIBC WORLD MARKETS	3,040,662	1,576
16 LYNCH JONES & RYAN/INSTINET	3,020,011	1,320
17 RBC DOMINION SECURITIES	2,939,448	1,094
18 JEFFERIES & COMPANY, INC.	2,888,691	1,780
19 SCHWAB CAPITAL MARKETS L.P.	2,879,615	2,348
20 WEEDEN & COMPANY, INC.	2,518,698	1,757
21 SUSQUEHANNA INTERNATIONAL GRP.	2,401,217	1,110
22 THOMAS WEISEL PARTNERS LLC	2,342,386	1,088
23 JONES & ASSOCIATES, INC.	1,895,500	1,516
24 U.S. BANCORP PIPER JAFFRAY	1,747,801	1,627
25 OTA LIMITED PARTNERSHIP.	1,578,531	1,204