

The best route to global liquidity starts here.

Dec 2006 YTD

Special Insert

NASDAQ – National Market & Small Cap Market Top 25 (Securities Traded 1-100)	Adv. Vol. (000)	# of Stocks
1ING FINANCIAL MARKETS	2,710.40	5
2MCMAHAN SECURITIES COMPANY, LT	2,335.33	3
3SHORE CAPITAL STOCKBROKERS LTD	1,600.00	1
4EVOLUTION SECURITIES	1,144.67	3
5DAHLMAN ROSE & CO., LLC.	755.28	65
6TEJAS SECURITIES GROUP	726.69	52
7HEFLIN & CO., LLC	611.74	34
8IMPERIAL CAPITAL, LLC	545.41	49
9EXANE BNP PARIBAS	498.00	2
10FELTL & COMPANY	427.61	57
11MONTGOMERY & COMPANY	379.65	52
12MILLER TABAK HIRSCH & CO.	376.43	23
13COKER, PALMER, PHILLIPS & MULL	328.89	61
14EMERGING GROWTH EQUITIES, LTD	270.73	22
15CAZENOVE SECURITIES	264.33	3
16HUNTLEIGH SECURITIES CORP.	260.92	13
17UTENDAHL CAPITAL PARTNERS.	216.05	22
18LONGBOW RESEARCH	165.89	44
19PETRIE PARKMAN AND CO., INC.	161.91	22
20ARDEN PARTNERS	140.00	1
21FIRST ANALYSIS	137.67	15
22GUNN ALLEN FINANCIAL	129.08	74
23JESUP & LAMONT SECURITIES (DIV	124.00	8
24WILLIAM O'NEIL & CO., INC.	120.00	4
25NUTMEG SECURITIES.	117.08	97

NASDAQ – National Market & Small Cap Market Top 25 (Securities Traded 1-250)	Adv. Vol. (000)	# of Stocks
1CRAIG-HALLUM CAPITAL GROUP	2,940.98	132
2ING FINANCIAL MARKETS	2,710.40	5
3MCMAHAN SECURITIES COMPANY, LT	2,335.33	3
4B. RILEY AND CO	2,069.68	134
5SHORE CAPITAL STOCKBROKERS LTD	1,600.00	1
6DOUGHERTY & COMPANY LLC	1,144.67	131
7EVOLUTION SECURITIES	1,144.67	3
8SANDERS MORRIS HARRIS	949.24	169
9FERRIS BAKER WATTS & CO.,INC	776.92	212
10DAHLMAN ROSE & CO., LLC.	755.28	65
11TEJAS SECURITIES GROUP	726.69	52
12HEFLIN & CO., LLC	611.74	34
13IMPERIAL CAPITAL, LLC	545.41	49

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14EXANE BNP PARIBAS	498.00	2
15AMERICAN TECHNOLOGY RESEARCH	479.90	171
16KAUFMAN BROS., L.P.	477.91	164
17FELTL & COMPANY	427.61	57
18New Broker - acronym BMOC	409.82	177
19CALYON SECURITIES USA	405.83	241
20MONTGOMERY & COMPANY	379.65	52
21MILLER TABAK HIRSCH & CO.	376.43	23
22CARIS & COMPANY	373.58	214
23COKER, PALMER, PHILLIPS & MULL	328.89	61
24ABN-AMRO	322.29	234
25LABRANCHE FINANCIAL SERVICES	305.18	109

NASDAQ – National Market & Small Cap Market Top 25 (Securities Traded 1-500)

	Adv. Vol. (000)	# of Stocks
1PACIFIC CREST SECURITIES	10,122.90	298
2C.E. UNTERBERG, TOWBIN	3,757.62	306
3MILLER, JOHNSON, STEICHEN, KIN	3,003.92	271
4CRAIG-HALLUM CAPITAL GROUP	2,940.98	132
5ING FINANCIAL MARKETS	2,710.40	5
6CRT CAPITAL GROUP LLC	2,540.74	443
7MCMAHAN SECURITIES COMPANY, LT	2,335.33	3
8B. RILEY AND CO	2,069.68	134
9J.P. TURNER & CO.	1,664.42	282
10SHORE CAPITAL STOCKBROKERS LTD	1,600.00	1
11MORGAN KEEGAN & CO., INC.	1,279.09	327
12DOUGHERTY & COMPANY LLC	1,144.67	131
13EVOLUTION SECURITIES	1,144.67	3
14D.A. DAVIDSON & CO.	1,009.75	391
15SANDERS MORRIS HARRIS	949.24	169
16FERRIS BAKER WATTS & CO.,INC	776.92	212
17DAHLMAN ROSE & CO., LLC.	755.28	65
18TEJAS SECURITIES GROUP	726.69	52
19MCDONALD INVESTMENTS, INC.	721.49	488
20HEFLIN & CO., LLC	611.74	34
21RYAN, BECK & CO.	553.61	309
22IMPERIAL CAPITAL, LLC	545.41	49
23AVIAN SECURITIES	527.01	272
24FOX-PITT KELTON, INC.	501.98	256
25EXANE BNP PARIBAS	498.00	2

NASDAQ – National Market & Small Cap Market Top 25 (Securities Traded 1-750)

Adv. Vol. (000)	# of Stocks
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1PACIFIC CREST SECURITIES	10,122.90	298
2FIRST ALBANY CORPORATION	9,434.78	606
3ROBERT W. BAIRD CO. INCORPORAT	7,451.80	615
4BMO CAPITAL MARKETS	3,902.93	540
5C.E. UNTERBERG, TOWBIN	3,757.62	306
6MILLER, JOHNSON, STEICHEN, KIN	3,003.92	271
7CRAIG-HALLUM CAPITAL GROUP	2,940.98	132
8ING FINANCIAL MARKETS	2,710.40	5
9CRT CAPITAL GROUP LLC	2,540.74	443
10LEERINK SWANN & CO.	2,440.88	672
11A.G. EDWARDS & SONS, INC.	2,351.10	535
12MCMAHAN SECURITIES COMPANY, LT	2,335.33	3
13B. RILEY AND CO	2,069.68	134
14J.P. TURNER & CO.	1,664.42	282
15SHORE CAPITAL STOCKBROKERS LTD	1,600.00	1
16PACIFIC GROWTH EQUITIES	1,341.32	665
17MORGAN KEEGAN & CO., INC.	1,279.09	327
18JMP SECURITIES	1,192.27	680
19WEDBUSH MORGAN SECURITIES	1,171.68	501
20DOUGHERTY & COMPANY LLC	1,144.67	131
21EVOLUTION SECURITIES	1,144.67	3
22D.A. DAVIDSON & CO.	1,009.75	391
23SANDERS MORRIS HARRIS	949.24	169
24FERRIS BAKER WATTS & CO.,INC	776.92	212
25DAHLMAN ROSE & CO., LLC.	755.28	65

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-500)	Vol. (000)	Stocks
1PEEL, HUNT & COMPANY LTD	9,229.00	1
2MCMAHAN SECURITIES COMPANY, LT	7,328.83	6
3BARNARD JACOBS MELLET	3,366.83	6
4TD WATERHOUSE CAPITAL MARKETS	2,302.56	16
5EVOLUTION SECURITIES	2,019.47	45
6HUNTLEIGH SECURITIES CORP.	1,519.00	4
7PACIFIC CREST SECURITIES	1,260.18	170
8SHORE CAPITAL STOCKBROKERS LTD	845.00	5
9IMPERIAL CAPITAL, LLC	705.66	160
10GREEN STREET ADVISORS	661.19	480
11WILLIAMS CAPITAL GROUP, L.P.	627.81	21
12CRAIG-HALLUM CAPITAL GROUP	598.67	12
13DAHLMAN ROSE & CO., LLC.	520.00	170
14CALYON SECURITIES USA	456.71	323
15WILLIAM O'NEIL & CO., INC.	454.55	11
16GARBAN INSTITUTIONAL	406.17	46

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17TEJAS SECURITIES GROUP	395.12	26
18FERRIS BAKER WATTS & CO.,INC	375.23	117
19J.P. TURNER & CO.	354.50	2
20KEPLER EQUITIES	349.18	209
21CARIS & COMPANY	318.13	273
22FELTL & COMPANY	317.25	4
23CAZENOVE SECURITIES	311.80	5
24AMERICAN TECHNOLOGY RESEARCH	300.08	59
25PETRIE PARKMAN AND CO., INC.	284.59	183

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-1000)	Vol. (000)	Stocks
1PEEL, HUNT & COMPANY LTD	9,229.00	1
2MCMAHAN SECURITIES COMPANY, LT	7,328.83	6
3BARNARD JACOBS MELLET	3,366.83	6
4TD WATERHOUSE CAPITAL MARKETS	2,302.56	16
5EVOLUTION SECURITIES	2,019.47	45
6LEERINK SWANN & CO.	1,839.75	648
7HUNTLEIGH SECURITIES CORP.	1,519.00	4
8NEEDHAM & COMPANY	1,487.42	794
9PACIFIC CREST SECURITIES	1,260.18	170
10CRT CAPITAL GROUP LLC	1,119.43	699
11SHORE CAPITAL STOCKBROKERS LTD	845.00	5
12FOX-PITT KELTON, INC.	731.51	753
13IMPERIAL CAPITAL, LLC	705.66	160
14ABN-AMRO	662.38	636
15GREEN STREET ADVISORS	661.19	480
16SIMMONS AND COMPANY INTERNATIO	641.52	660
17WILLIAMS CAPITAL GROUP, L.P.	627.81	21
18CRAIG-HALLUM CAPITAL GROUP	598.67	12
19THINKEQUITY PARTNERS	523.60	689
20DAHLMAN ROSE & CO., LLC.	520.00	170
21FIRST ALBANY CORPORATION	498.30	822
22CALYON SECURITIES USA	456.71	323
23WILLIAM O'NEIL & CO., INC.	454.55	11
24LAZARD CAPITAL MARKETS	449.56	758
25GARBAN INSTITUTIONAL	406.17	46

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-1750)	Vol. (000)	Stocks
1PEEL, HUNT & COMPANY LTD	9,229.00	1

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2MCMAHAN SECURITIES COMPANY, LT	7,328.83	6
3RBC CAPITAL MARKETS	6,503.17	1744
4BARNARD JACOBS MELLET	3,366.83	6
5THOMAS WEISEL PARTNERS LLC	2,501.22	1482
6WACHOVIA SECURITIES	2,367.60	1442
7TD WATERHOUSE CAPITAL MARKETS	2,302.56	16
8SANFORD C. BERNSTEIN COMPANY,	2,242.31	1501
9EVOLUTION SECURITIES	2,019.47	45
10FRIEDMAN, BILLINGS, RAMSEY & C	1,840.95	1434
11LEERINK SWANN & CO.	1,839.75	648
12PRUDENTIAL SECURITIES, INC.	1,774.91	1263
13HUNTLEIGH SECURITIES CORP.	1,519.00	4
14NEEDHAM & COMPANY	1,487.42	794
15DRESDNER KLEINWORT	1,351.29	1254
16PACIFIC CREST SECURITIES	1,260.18	170
17CRT CAPITAL GROUP LLC	1,119.43	699
18BMO CAPITAL MARKETS	1,000.80	1295
19MORGAN KEEGAN & CO., INC.	903.51	1072
20WILLIAM BLAIR & COMPANY, LLC.	900.17	1540
21STIFEL NICOLAUS CAPITAL MARKET	884.12	1739
22ROBERT W. BAIRD CO. INCORPORAT	862.62	1217
23SHORE CAPITAL STOCKBROKERS LTD	845.00	5
24HSBC SECURITIES	775.92	1211
25FOX-PITT KELTON, INC.	731.51	753

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-2500)	Vol. (000)	Stocks
1PEEL, HUNT & COMPANY LTD	9,229.00	1
2JPMORGAN	8,515.06	2343
3BANC OF AMERICA SECURITIES LLC	8,261.62	2443
4DEUTSCHE BANK SECURITIES, IN	7,966.12	2409
5MCMAHAN SECURITIES COMPANY, LT	7,328.83	6
6RBC CAPITAL MARKETS	6,503.17	1744
7E-TRADE CAPITAL MARKETS	6,129.51	2214
8FIDELITY CAPITAL MARKETS	3,960.01	2201
9BARNARD JACOBS MELLET	3,366.83	6
10COWEN & CO.	2,766.34	1781
11ITG, INC.	2,570.29	1947
12THOMAS WEISEL PARTNERS LLC	2,501.22	1482
13WACHOVIA SECURITIES	2,367.60	1442
14TD WATERHOUSE CAPITAL MARKETS	2,302.56	16
15WEEDEN & COMPANY, INC.	2,262.63	1978
16SANFORD C. BERNSTEIN COMPANY,	2,242.31	1501
17EVOLUTION SECURITIES	2,019.47	45

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18RAYMOND, JAMES & ASSOC. INC.	1,963.75	1996
19CANTOR, FITZGERALD & CO., INC.	1,953.14	1989
20CIBC WORLD MARKETS	1,921.86	2058
21FRIEDMAN, BILLINGS, RAMSEY & C	1,840.95	1434
22LEERINK SWANN & CO.	1,839.75	648
23PRUDENTIAL SECURITIES, INC.	1,774.91	1263
24INSTINET CORPORATION	1,700.51	2291
25JONESTRADING INSTITUTIONAL SER	1,583.97	1865