

The best route to global liquidity starts here.

Dec 2006 YTD

4th Quarter 2006

Listed Securities Top 50 Brokers	Advertised Vol (000)	% of Total	% of Vol. Crossed
1MERRILL LYNCH, PIERCE FENNER	60,559,599	11.6	8
2UBS	58,969,797	11.3	5
3MORGAN STANLEY	40,131,557	7.69	2
4LEHMAN BROTHERS, INC.	34,412,665	6.59	15
5GOLDMAN SACHS GROUP	31,702,311	6.07	11
6CREDIT SUISSE	30,853,995	5.91	19
7BEAR STEARNS AND COMPANY	23,270,624	4.46	9
8KNIGHT EQUITY MARKETS	20,787,208	3.98	14
9BANC OF AMERICA SECURITIES LLC	20,183,149	3.87	1
10CITIGROUP	20,026,700	3.84	11
11JPMORGAN	19,950,793	3.82	8
12DEUTSCHE BANK SECURITIES, IN	19,190,378	3.68	2
13E-TRADE CAPITAL MARKETS	13,570,725	2.6	7
14AUTOMATED TRADING DESK LLC	11,521,632	2.21-	
15RBC CAPITAL MARKETS	11,341,532	2.17	3
16FIDELITY CAPITAL MARKETS	8,715,978	1.67	0
17JEFFERIES & COMPANY, INC.	6,731,578	1.29	19
18ITG, INC.	5,004,348	0.96	65
19COWEN & CO.	4,926,849	0.94	5
20WEEDEN & COMPANY, INC.	4,475,492	0.86	7
21BNY BROKERAGE	4,359,866	0.84	4
22CIBC WORLD MARKETS	3,955,181	0.76	3
23RAYMOND, JAMES & ASSOC. INC.	3,919,637	0.75	4
24INSTINET CORPORATION	3,895,862	0.75	8
25CANTOR, FITZGERALD & CO., INC.	3,884,797	0.74	42
26THOMAS WEISEL PARTNERS LLC	3,706,814	0.71	6
27WACHOVIA SECURITIES	3,414,074	0.65	16
28SANFORD C. BERNSTEIN COMPANY,	3,365,704	0.64	15
29SUSQUEHANNA INTERNATIONAL GRP.	3,192,386	0.61	7
30JONESTRADING INSTITUTIONAL SER	2,954,112	0.57	42
31PIPER JAFFRAY & CO.	2,855,262	0.55	6
32FRIEDMAN, BILLINGS, RAMSEY & C	2,639,929	0.51	12
33PRUDENTIAL SECURITIES, INC.	2,241,707	0.43	11
34DRESDNER KLEINWORT	1,694,523	0.32	0
35STIFEL NICOLAUS CAPITAL MARKET	1,537,491	0.29	3
36WILLIAM BLAIR & COMPANY, LLC.	1,386,257	0.27	1
37BMO CAPITAL MARKETS	1,296,034	0.25	5
38SUNTRUST ROBINSON HUMPHREY	1,283,285	0.25	4
39LEERINK SWANN & CO.	1,192,156	0.23	9
40NEEDHAM & COMPANY	1,181,013	0.23	1
41ROBERT W. BAIRD CO. INCORPORAT	1,049,803	0.2	13
42KEEFE, BRUYETTE & WOODS, INC.	981,433	0.19	3

1

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43MORGAN KEEGAN & CO., INC.	968,566	0.19	6
44HSBC SECURITIES	939,634	0.18	5
45MCDONALD INVESTMENTS, INC.	926,446	0.18	3
46NOMURA SECURITIES INTERNATIONAL	885,932	0.17	0
47OPPENHEIMER & CO	877,671	0.17	6
48ROCHDALE SECURITIES	849,960	0.16	6
49A.G. EDWARDS & SONS, INC.	824,888	0.16	5
50CRT CAPITAL GROUP LLC	782,480	0.15	14

NASDAQ / OTC - National Market & Small Cap Market Top 50 Brokers	Advertised Vol (000)	% of Total	# of Sec Traded
1UBS	39,766,632	8.85	3327
2KNIGHT EQUITY MARKETS	36,923,281	8.22	3341
3MORGAN STANLEY	29,853,303	6.65	3095
4MERRILL LYNCH, PIERCE FENNER	28,818,239	6.42	3114
5CREDIT SUISSE	21,591,946	4.81	3047
6CITIGROUP	21,029,186	4.68	2663
7E-TRADE CAPITAL MARKETS	20,634,703	4.59	2501
8JPMORGAN	16,383,303	3.65	2580
9BEAR STEARNS AND COMPANY	16,229,526	3.61	2582
10GOLDMAN SACHS GROUP	15,722,897	3.5	3054
11DEUTSCHE BANK SECURITIES, IN	14,821,434	3.3	2584
12LEHMAN BROTHERS, INC.	14,537,278	3.24	2675
13FIDELITY CAPITAL MARKETS	13,844,992	3.08	2958
14BANC OF AMERICA SECURITIES LLC	12,289,396	2.74	1951
15AUTOMATED TRADING DESK LLC	12,124,852	2.7	2886
16COWEN & CO.	10,923,467	2.43	1687
17RBC CAPITAL MARKETS	9,098,147	2.03	1277
18INSTINET CORPORATION	6,360,645	1.42	2557
19CIBC WORLD MARKETS	6,249,076	1.39	2189
20JEFFERIES & COMPANY, INC.	6,051,456	1.35	2195
21PRUDENTIAL SECURITIES, INC.	5,895,600	1.31	1332
22FIRST ALBANY CORPORATION	5,717,474	1.27	606
23NEEDHAM & COMPANY	5,569,896	1.24	804
24THOMAS WEISEL PARTNERS LLC	5,533,374	1.23	1133
25WACHOVIA SECURITIES	5,267,449	1.17	897
26PIPER JAFFRAY & CO.	4,941,022	1.1	1708
27ROBERT W. BAIRD CO. INCORPORAT	4,582,856	1.02	615
28CANACCORD ADAMS	4,416,652	0.98	1070
29CANTOR, FITZGERALD & CO., INC.	3,225,804	0.72	2212
30PACIFIC CREST SECURITIES	3,016,623	0.67	298
31SUSQUEHANNA INTERNATIONAL GRP.	2,910,350	0.65	2620
32THINKEQUITY PARTNERS	2,832,874	0.63	758

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33FRIEDMAN, BILLINGS, RAMSEY & C	2,245,025	0.5	1147
34ITG, INC.	2,240,405	0.5	2005
35TD WATERHOUSE CAPITAL MARKETS	2,138,009	0.48	1497
36RAYMOND, JAMES & ASSOC. INC.	2,127,289	0.47	2327
37BMO CAPITAL MARKETS	2,107,580	0.47	540
38WEEDEN & COMPANY, INC.	2,017,788	0.45	1903
39LEERINK SWANN & CO.	1,640,272	0.37	672
40BNY BROKERAGE	1,421,278	0.32	2286
41JONESTRADING INSTITUTIONAL SER	1,403,119	0.31	1747
42OPPENHEIMER & CO	1,398,083	0.31	1069
43A.G. EDWARDS & SONS, INC.	1,257,840	0.28	535
44SANFORD C. BERNSTEIN COMPANY,	1,226,611	0.27	924
45C.E. UNTERBERG, TOWBIN	1,149,833	0.26	306
46CRT CAPITAL GROUP LLC	1,125,546	0.25	443
47STIFEL NICOLAUS CAPITAL MARKET	1,087,641	0.24	2232
48WILLIAM BLAIR & COMPANY, LLC.	1,025,747	0.23	1136
49KEEFE, BRUYETTE & WOODS, INC.	985,302	0.22	1172
50PACIFIC GROWTH EQUITIES	891,976	0.2	665

**Listed Securities
Non NY Brokers
Top 25 Brokers
Broker-Dealer**

**Advertised
Vol.(000)**

**% of Vol.
Crossed**

1BANC OF AMERICA SECURITIES LLC	20183149	1
2FIDELITY CAPITAL MARKETS	8715978	0
3WEEDEN & COMPANY, INC.	4475492	7
4RAYMOND, JAMES & ASSOC. INC.	3919637	4
5CANTOR, FITZGERALD & CO., INC.	3884797	42
6THOMAS WEISEL PARTNERS LLC	3706814	6
7WACHOVIA SECURITIES	3414074	16
8SUSQUEHANNA INTERNATIONAL GRP.	3192386	7
9FRIEDMAN, BILLINGS, RAMSEY & C	2639929	12
10WILLIAM BLAIR & COMPANY, LLC.	1386257	1
11ROBERT W. BAIRD CO. INCORPORAT	1049803	13
12MORGAN KEEGAN & CO., INC.	968566	6
13MCDONALD INVESTMENTS, INC.	926446	3
14A.G. EDWARDS & SONS, INC.	824888	5
15THE INTERSTATE GROUP	664486	1
16MIDWEST RESEARCH	501673	15
17SIMMONS AND COMPANY INTERNATIO	423403	1
18ABN-AMRO	421274	0
19FIRST ALBANY CORPORATION	409601	6
20CAPITAL INSTITUTIONAL SERVICES	382536	0
21THINKEQUITY PARTNERS	360760	7

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22GREEN STREET ADVISORS	317373	15
23JOHNSON RICE & COMPANY	270260	3
24PACIFIC CREST SECURITIES	214230	5
25WEDBUSH MORGAN SECURITIES	205792	6

NASDAQ / OTC – National Market & Small Cap Market

Top 25 Non NY Brokers Broker-Dealer

	<u>Advertised Vol.(000)</u>	<u># of Stocks</u>
1BANC OF AMERICA SECURITIES LLC	12289396	1951
2FIRST ALBANY CORPORATION	5717474	606
3THOMAS WEISEL PARTNERS LLC	5533374	1133
4WACHOVIA SECURITIES	5267449	897
5ROBERT W. BAIRD CO. INCORPORAT	4582856	615
6PACIFIC CREST SECURITIES	3016623	298
7SUSQUEHANNA INTERNATIONAL GRP.	2910350	2620
8THINKEQUITY PARTNERS	2832874	758
9FRIEDMAN, BILLINGS, RAMSEY & C	2245025	1147
10RAYMOND, JAMES & ASSOC. INC.	2127289	2327
11WEEDEN & COMPANY, INC.	2017788	1903
12A.G. EDWARDS & SONS, INC.	1257840	535
13WILLIAM BLAIR & COMPANY, LLC.	1025747	1136
14PACIFIC GROWTH EQUITIES	891976	665
15WEDBUSH MORGAN SECURITIES	587011	501
16MORGAN KEEGAN & CO., INC.	418261	327
17D.A. DAVIDSON & CO.	394811	391
18CRAIG-HALLUM CAPITAL GROUP	388210	132
19MCDONALD INVESTMENTS, INC.	352087	488
20STEPHENS INC.	269947	512
21W.R. HAMBRECHT & COMPANY, LLC	195640	433
22SANDERS MORRIS HARRIS	160421	169
23MIDWEST RESEARCH	139692	602
24CAPITAL INSTITUTIONAL SERVICES	124951	818
25JOHNSON RICE & COMPANY	74008	380

Ordinary Securities (Ex-Brazil) Top 25 Brokers

	<u>Advertised Vol (000)</u>	<u>% of Total</u>	<u># of Stocks</u>
1BEAR STEARNS AND COMPANY	2,335,219	27.77	42

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2JPMORGAN	2,072,500	24.64	84
3CITIGROUP	1,706,046	20.29	61
4MERRILL LYNCH, PIERCE FENNER	1,467,466	17.45	45
5MORGAN STANLEY	633,946	7.54	49
6UBS	62,236	0.74	79
7CREDIT SUISSE	57,918	0.69	25
8DEUTSCHE BANK SECURITIES, IN	31,833	0.38	31
9GOLDMAN SACHS GROUP	14,720	0.18	10
10ING FINANCIAL MARKETS	11,346	0.13	4
11KNIGHT EQUITY MARKETS	8,008	0.1	5
12BANC OF AMERICA SECURITIES LLC	4,422	0.05	3
13HSBC SECURITIES	2,221	0.03	8
14LEHMAN BROTHERS, INC.	1,670	0.02	11
15JEFFERIES & COMPANY, INC.	150	0	1
16CIBC WORLD MARKETS	60	0	1
17ABN-AMRO	54	0	4
18KEPLER EQUITIES	32	0	4
19DRESDNER KLEINWORT	14	0	2
20ITG, INC.	10	0	1
21STIFEL NICOLAUS CAPITAL MARKET	6	0	1
22INSTINET CORPORATION	5	0	1
23FIDELITY CAPITAL MARKETS	5	0	1
24WILLIAM BLAIR & COMPANY, LLC.	2	0	1
25RAYMOND, JAMES & ASSOC. INC.	0	0	1

Ordinary Securities			
Top 25 Brokers	Advertised	% of	# of
Canada	Vol (000)	Total	Stocks
1RBC CAPITAL MARKETS	6,922,202	52.88	774
2UBS	1,031,225	7.88	435
3CREDIT SUISSE	771,013	5.89	697
4DEUTSCHE BANK SECURITIES, IN	676,909	5.17	524
5TORONTO DOMINION SECURITIES (U	603,046	4.61	260
6MORGAN STANLEY	514,339	3.93	836
7HSBC SECURITIES	508,076	3.88	492
8KNIGHT EQUITY MARKETS	495,827	3.79	63
9CITIGROUP	360,402	2.75	234
10BANC OF AMERICA SECURITIES LLC	289,946	2.22	176
11DRESDNER KLEINWORT	152,775	1.17	502
12FIDELITY CAPITAL MARKETS	120,170	0.92	43
13E-TRADE CAPITAL MARKETS	113,479	0.87	40
14EVOLUTION SECURITIES	92,970	0.71	13
15LEHMAN BROTHERS, INC.	52,447	0.4	303
16MERRILL LYNCH, PIERCE FENNER	43,308	0.33	134

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17NUMIS SECURITIES LIMITED	35,024	0.27	8
18GOLDMAN SACHS GROUP	33,602	0.26	189
19TD WATERHOUSE CAPITAL MARKETS	32,007	0.24	16
20ABN-AMRO	27,748	0.21	135
21PANMURE & CO. LTD	26,683	0.2	9
22JPMORGAN	24,325	0.19	45
23SHORE CAPITAL STOCKBROKERS LTD	19,766	0.15	5
24JONESTRADING INSTITUTIONAL SER	15,667	0.12	24
25PEEL, HUNT & COMPANY LTD	13,936	0.11	8

Ordinary Securities Top 15 Brokers Europe	Advertised Vol (000)	% of Total	# of Stocks
1LEHMAN BROTHERS, INC.	163,783,823	14.18	2369
2UBS	141,467,431	12.25	5273
3DEUTSCHE BANK SECURITIES, IN	126,852,662	10.98	4199
4CREDIT SUISSE	124,549,362	10.78	2259
5CITIGROUP	100,589,934	8.71	3833
6MORGAN STANLEY	83,704,642	7.25	2903
7DRESDNER KLEINWORT	59,450,090	5.15	3882
8GOLDMAN SACHS GROUP	59,037,090	5.11	3359
9JPMORGAN	55,671,597	4.82	2333
10MERRILL LYNCH, PIERCE FENNER	51,095,858	4.42	3295
11ABN-AMRO	48,332,516	4.18	3350
12HSBC SECURITIES	23,865,635	2.07	4829
13CAZENOVE SECURITIES	18,783,472	1.63	1469
14EVOLUTION SECURITIES	14,521,150	1.26	907
15ING FINANCIAL MARKETS	10,012,595	0.87	1631

Ordinary Securities Top 15 Brokers Far East / Pac Rim	Advertised Vol (000)	% of Total	# of Stocks
1CITIGROUP	226,363,055	23.56	5364

6

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2UBS	198,734,694	20.68	7285
3MERRILL LYNCH, PIERCE FENNER	126,383,698	13.15	4311
4DEUTSCHE BANK SECURITIES, IN	103,445,999	10.77	4426
5JPMORGAN	90,449,096	9.41	3139
6LEHMAN BROTHERS, INC.	55,335,578	5.76	4366
7CREDIT SUISSE	45,787,638	4.77	2653
8HSBC SECURITIES	39,217,040	4.08	4364
9BNP PARIBAS	36,831,751	3.83	4060
10MORGAN STANLEY	15,447,619	1.61	478
11ABN-AMRO	12,463,400	1.3	1313
12DRESDNER KLEINWORT	8,150,836	0.85	2046
13ING FINANCIAL MARKETS	609,877	0.06	708
14EVOLUTION SECURITIES	276,407	0.03	18
15PEEL, HUNT & COMPANY LTD	206,545	0.02	16

Ordinary Securities Top 15 Brokers Latin America (Ex. Brazil)	Advertised Vol (000)	% of Total	# of Stocks
1BEAR STEARNS AND COMPANY	2,335,219	27.77	42
2JPMORGAN	2,072,500	24.64	84
3CITIGROUP	1,706,046	20.29	61
4MERRILL LYNCH, PIERCE FENNER	1,467,466	17.45	45
5MORGAN STANLEY	633,946	7.54	49
6UBS	62,236	0.74	79
7CREDIT SUISSE	57,918	0.69	25
8DEUTSCHE BANK SECURITIES, IN	31,833	0.38	31
9GOLDMAN SACHS GROUP	14,720	0.18	10
10ING FINANCIAL MARKETS	11,346	0.13	4
11KNIGHT EQUITY MARKETS	8,008	0.1	5
12BANC OF AMERICA SECURITIES LLC	4,422	0.05	3
13HSBC SECURITIES	2,221	0.03	8
14LEHMAN BROTHERS, INC.	1,670	0.02	11
15JEFFERIES & COMPANY, INC.	150	0	1

ADR Securities Top 15 Brokers Argentina	Advertised Vol (000)	% of Total	# of Stocks
1JPMORGAN	9,894	89.94	5

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2UBS	606	5.5	4
3DEUTSCHE BANK SECURITIES, IN	298	2.71	1
4CITIGROUP	185	1.68	4
5CREDIT SUISSE	15	0.14	1
6MERRILL LYNCH, PIERCE FENNER	2	0.02	1
7LEHMAN BROTHERS, INC.	0	0	1

ADR Securities Top 15 Brokers Hong Kong	Advertised Vol (000)	% of Total	# of Stocks
1CITIGROUP	100,713,032	20.2	628
2UBS	94,984,638	19.05	796
3DEUTSCHE BANK SECURITIES, IN	77,795,344	15.61	498
4MERRILL LYNCH, PIERCE FENNER	52,437,097	10.52	628
5JPMORGAN	46,221,111	9.27	569
6BNP PARIBAS	30,124,253	6.04	651
7HSBC SECURITIES	28,431,972	5.7	572
8CREDIT SUISSE	28,124,737	5.64	431
9MORGAN STANLEY	15,256,180	3.06	429
10ABN-AMRO	9,997,974	2.01	203
11LEHMAN BROTHERS, INC.	9,530,858	1.91	416
12DRESDNER KLEINWORT	4,191,694	0.84	343
13ING FINANCIAL MARKETS	303,675	0.06	158
14RBC CAPITAL MARKETS	95,804	0.02	1
15GOLDMAN SACHS GROUP	90,488	0.02	7

Ordinary Securities Top 25 Brokers United Kingdom	Advertised Vol (000)	% of Total	# of Stocks
1LEHMAN BROTHERS, INC.	97,788,495	13.39	741
2UBS	91,951,347	12.59	1938

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3CREDIT SUISSE	78,129,838	10.7	833
4DEUTSCHE BANK SECURITIES, IN	74,028,631	10.14	1629
5CITIGROUP	64,988,368	8.9	1435
6MORGAN STANLEY	50,665,976	6.94	1232
7DRESDNER KLEINWORT	39,567,242	5.42	1321
8MERRILL LYNCH, PIERCE FENNER	36,983,662	5.06	1296
9GOLDMAN SACHS GROUP	36,372,484	4.98	1206
10ABN-AMRO	29,927,742	4.1	1190
11JPMORGAN	25,755,160	3.53	880
12CAZENOVE SECURITIES	17,383,104	2.38	857
13HSBC SECURITIES	15,496,814	2.12	2504
14EVOLUTION SECURITIES	14,245,887	1.95	891
15PEEL, HUNT & COMPANY LTD	7,420,039	1.02	1035
16NUMIS SECURITIES LIMITED	6,151,995	0.84	454
17PANMURE & CO. LTD	5,978,339	0.82	792
18INVESTEC SECURITIES LTD	5,895,948	0.81	335
19SHORE CAPITAL STOCKBROKERS LTD	5,443,975	0.75	738
20BEAR STEARNS AND COMPANY	2,884,828	0.4	472
21SANFORD C. BERNSTEIN COMPANY,	2,577,202	0.35	213
22TEATHER & GREENWOOD	2,288,923	0.31	638
23BRIDGEWELL	2,284,940	0.31	334
24INSTINET CORPORATION	1,920,479	0.26	828
25CANTOR, FITZGERALD & CO., INC.	1,730,307	0.24	783

Listed Securities

Top 10 Brokers

Average Vol. Per Security

Average

Vol (000)

of

Stocks

1MERRILL LYNCH, PIERCE FENNER	18,457.67	3281
2UBS	16,357.78	3605
3MORGAN STANLEY	11,859.21	3384
4LEHMAN BROTHERS, INC.	10,412.30	3305
5GOLDMAN SACHS GROUP	10,161.00	3120
6CREDIT SUISSE	9,791.81	3151
7PEEL, HUNT & COMPANY LTD	9,229.00	1
8BEAR STEARNS AND COMPANY	9,129.32	2549
9JPMORGAN	8,515.06	2343
10BANC OF AMERICA SECURITIES LLC	8,261.62	2443

NASDAQ/OTC Securities

Top 10 Brokers

Average Vol. Per Security

Average

Vol (000)

of

Stocks

1PACIFIC CREST SECURITIES	10,122.90	298
2FIRST ALBANY CORPORATION	9,434.78	606
3ROBERT W. BAIRD CO. INCORPORAT	7,451.80	615

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4BMO CAPITAL MARKETS	3,902.93	540
5C.E. UNTERBERG, TOWBIN	3,757.62	306
6MILLER, JOHNSON, STEICHEN, KIN	3,003.92	271
7CRAIG-HALLUM CAPITAL GROUP	2,940.98	132
8ING FINANCIAL MARKETS	2,710.40	5
9CRT CAPITAL GROUP LLC	2,540.74	443
10LEERINK SWANN & CO.	2,440.88	672