

The best route to global liquidity starts here.

Dec 2007 YTD

4th Quarter 2007

Listed Securities Top 50 Brokers	Advertised Vol (000)	% of Total	% of Vol. Crossed
1MERRILL LYNCH, PIERCE FENNER	58,643,602	11.73	6
2MORGAN STANLEY	57,343,949	11.47-	
3UBS	55,052,059	11.01	5
4LEHMAN BROTHERS, INC.	36,644,975	7.33	11
5DEUTSCHE BANK SECURITIES, IN	33,506,872	6.7	2
6CREDIT SUISSE	30,105,494	6.02	0
7KNIGHT	27,774,656	5.55	6
8JPMORGAN	23,038,978	4.61	6
9BANC OF AMERICA SECURITIES LLC	20,539,058	4.11	2
10GOLDMAN SACHS GROUP	15,891,093	3.18	14
11BEAR STEARNS AND COMPANY	15,283,368	3.06	11
12CITIGROUP	12,962,078	2.59	12
13RBC CAPITAL MARKETS	12,363,810	2.47	5
14E-TRADE CAPITAL MARKETS	7,186,185	1.44	1
15JEFFERIES & COMPANY, INC.	7,003,621	1.4	19
16INSTINET CORPORATION	6,023,189	1.2	4
17WEEDEN & COMPANY, INC.	5,877,330	1.18	15
18SUSQUEHANNA INTERNATIONAL GRP.	5,763,748	1.15	2
19SANFORD C. BERNSTEIN COMPANY,	4,281,685	0.86	9
20ITG, INC.	4,166,498	0.83	85
21BNY BROKERAGE	4,051,999	0.81	2
22HSBC SECURITIES	3,907,366	0.78	0
23CANTOR, FITZGERALD & CO., INC.	3,803,211	0.76	31
24CIBC WORLD MARKETS	3,045,128	0.61	1
25WACHOVIA SECURITIES	3,009,613	0.6	8
26COWEN & CO.	2,954,536	0.59	6
27JONESTRADING INSTITUTIONAL SER	2,751,549	0.55	44
28RAYMOND JAMES	2,461,185	0.49	4
29FRIEDMAN, BILLINGS, RAMSEY & C	2,231,901	0.45	8
30PIPER JAFFRAY & CO.	2,138,996	0.43	3
31THOMAS WEISEL PARTNERS LLC	2,036,990	0.41	2
32STIFEL NICOLAUS CAPITAL MARKET	1,423,999	0.28	9
33KEEFE, BRUYETTE & WOODS, INC.	1,307,915	0.26	5
34ROBERT W. BAIRD CO. INCORPORAT	1,174,286	0.23	14
35BTIG - BASS TRADING	1,069,254	0.21	24
36DAHLMAN ROSE & CO., LLC.	1,036,857	0.21	2
37KEYBANC CAPITAL MARKETS INC.	983,710	0.2	3
38SUNTRUST ROBINSON HUMPHREY	961,838	0.19	7
39MAXIM GROUP LLC	918,563	0.18	1
40NOMURA SECURITIES INTERNATIONA	886,086	0.18	0
41CAPITAL INSTITUTIONAL SERVICES	856,132	0.17-	
42WILLIAM BLAIR & COMPANY, LLC.	832,541	0.17	6

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43OPPENHEIMER & CO	825,920	0.17	6
44CRT CAPITAL GROUP LLC	792,804	0.16	21
45THE INTERSTATE GROUP	731,947	0.15	1
46DRESDNER KLEINWORT	689,438	0.14	
47JMP SECURITIES	662,104	0.13	1
48PRUDENTIAL SECURITIES, INC.	654,679	0.13	10
49ROCHDALE SECURITIES	618,475	0.12	6
50FOX-PITT KELTON, INC.	551,271	0.11	11

NASDAQ / OTC - National Market & Small Cap Market Top 50 Brokers	Advertised Vol (000)	% of Total	# of Sec Traded
1KNIGHT	20,338,068	19.97	2395
2UBS	16,905,344	16.6	2381
3E-TRADE CAPITAL MARKETS	7,075,905	6.95	1645
4MORGAN STANLEY	6,827,412	6.7	2177
5MERRILL LYNCH, PIERCE FENNER	4,808,231	4.72	2267
6LEHMAN BROTHERS, INC.	3,675,117	3.61	1695
7CREDIT SUISSE	3,629,275	3.56	2050
8GOLDMAN SACHS GROUP	2,924,532	2.87	2001
9CITIGROUP	2,923,135	2.87	1335
10JPMORGAN	2,777,065	2.73	1592
11DEUTSCHE BANK SECURITIES, IN	2,650,401	2.6	1531
12BANC OF AMERICA SECURITIES LLC	2,463,891	2.42	912
13BEAR STEARNS AND COMPANY	2,116,021	2.08	1861
14INSTINET CORPORATION	2,091,656	2.05	1721
15JEFFERIES & COMPANY, INC.	1,712,472	1.68	1319
16COWEN & CO.	1,304,395	1.28	802
17PIPER JAFFRAY & CO.	1,177,442	1.16	1061
18CANTOR, FITZGERALD & CO., INC.	994,489	0.98	1340
19CIBC WORLD MARKETS	902,251	0.89	1057
20WEEDEN & COMPANY, INC.	783,060	0.77	1165
21RBC CAPITAL MARKETS	770,188	0.76	700
22SUSQUEHANNA INTERNATIONAL GRP.	757,239	0.74	1688
23WACHOVIA SECURITIES	752,130	0.74	375
24JONESTRADING INSTITUTIONAL SER	731,945	0.72	1003
25THOMAS WEISEL PARTNERS LLC	713,579	0.7	704
26RAYMOND JAMES	516,057	0.51	1602
27BTIG - BASS TRADING	509,364	0.5	859
28ITG, INC.	470,001	0.46	1046
29FRIEDMAN, BILLINGS, RAMSEY & C	378,307	0.37	619
30LEERINK SWANN & CO.	367,492	0.36	384
31CRAIG-HALLUM CAPITAL GROUP	353,115	0.35	92
32CRT CAPITAL GROUP LLC	349,894	0.34	186

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33OPPENHEIMER & CO	325,976	0.32	515
34NEEDHAM & COMPANY	305,005	0.3	557
35THINKEQUITY PARTNERS	285,144	0.28	483
36ROBERT W. BAIRD CO. INCORPORAT	284,847	0.28	312
37BNY BROKERAGE	274,760	0.27	1211
38MERRIMAN CURHAN FORD & CO.	268,448	0.26	718
39MAXIM GROUP LLC	259,112	0.25	1082
40JMP SECURITIES	245,539	0.24	300
41CANACCORD ADAMS	241,249	0.24	401
42WILLIAM BLAIR & COMPANY, LLC.	227,355	0.22	914
43KEEFE, BRUYETTE & WOODS, INC.	214,112	0.21	505
44PALI CAPITAL LLC	194,262	0.19	531
45BROADPOINT CAPITAL, INC.	188,235	0.18	274
46STIFEL NICOLAUS CAPITAL MARKET	182,063	0.18	407
47A.G. EDWARDS & SONS, INC.	176,549	0.17	291
48SUNTRUST ROBINSON HUMPHREY	165,039	0.16	747
49SANFORD C. BERNSTEIN COMPANY,	156,610	0.15	884
50THE BENCHMARK COMPANY, LLC	156,167	0.15	737

**Listed Securities
Non NY Brokers
Top 25 Brokers
Broker-Dealer**

	<u>Advertised Vol.(000)</u>	<u>% of Vol. Crossed</u>
1BANC OF AMERICA SECURITIES LLC	20,539,058	2
2WEEDEN & COMPANY, INC.	5,877,330	15
3SUSQUEHANNA INTERNATIONAL GRP.	5,763,748	2
4CANTOR, FITZGERALD & CO., INC.	3,803,211	31
5WACHOVIA SECURITIES	3,009,613	8
6FRIEDMAN, BILLINGS, RAMSEY & C	2,231,901	8
7THOMAS WEISEL PARTNERS LLC	2,036,990	2
8ROBERT W. BAIRD CO. INCORPORAT	1,174,286	14
9CAPITAL INSTITUTIONAL SERVICES	856,132	-
10WILLIAM BLAIR & COMPANY, LLC.	832,541	6
11THE INTERSTATE GROUP	731,947	1
12A.G. EDWARDS & SONS, INC.	541,846	6
13MORGAN KEEGAN & CO., INC.	469,518	11
14SIMMONS AND COMPANY INTERNATIO	463,259	0
15ABN-AMRO	400,352	0
16GREEN STREET ADVISORS	328,954	13
17MIDWEST RESEARCH	297,029	9
18JOHNSON RICE & COMPANY	274,461	1
19WEDBUSH MORGAN SECURITIES	268,872	6
20MKM PARTNERS	249,343	11
21THINKEQUITY PARTNERS	245,149	16

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22STEPHENS INC.	242,120	1
23D.A. DAVIDSON & CO.	124,374	1
24DAVENPORT & COMPANY	101,544	4
25PACIFIC GROWTH EQUITIES	89,542	6

NASDAQ / OTC – National Market & Small Cap Market

Top 25 Non NY Brokers Broker-Dealer

	<u>Advertised Vol.(000)</u>	<u># of Stocks</u>
1BANC OF AMERICA SECURITIES LLC	2,463,891	912
2WEEDEN & COMPANY, INC.	783,060	1165
3SUSQUEHANNA INTERNATIONAL GRP.	757,239	1688
4WACHOVIA SECURITIES	752,130	375
5THOMAS WEISEL PARTNERS LLC	713,579	704
6FRIEDMAN, BILLINGS, RAMSEY & C	378,307	619
7CRAIG-HALLUM CAPITAL GROUP	353,115	92
8THINKEQUITY PARTNERS	285,144	483
9ROBERT W. BAIRD CO. INCORPORAT	284,847	312
10WILLIAM BLAIR & COMPANY, LLC.	227,355	914
11A.G. EDWARDS & SONS, INC.	176,549	291
12PACIFIC GROWTH EQUITIES	144,622	385
13STEPHENS INC.	126,429	382
14WEDBUSH MORGAN SECURITIES	112,222	200
15PACIFIC CREST SECURITIES	92,856	141
16D.A. DAVIDSON & CO.	87,001	156
17MORGAN KEEGAN & CO., INC.	78,229	122
18CAPITAL INSTITUTIONAL SERVICES	59,329	561
19W.R. HAMBRECHT & COMPANY, LLC	58,347	155
20SANDERS MORRIS HARRIS	34,941	87
21MKM PARTNERS	25,843	218
22JOHNSON RICE & COMPANY	20,091	151
23MIDWEST RESEARCH	16,715	116
24SIMMONS AND COMPANY INTERNATIO	13,631	67
25DAVENPORT & COMPANY	8,234	57

Ordinary Securities (Ex-Brazil) Top 25 Brokers

	<u>Advertised Vol (000)</u>	<u>% of Total</u>	<u># of Stocks</u>
1JPMORGAN	1,417,433	22.38	113

The best route to global liquidity starts here.

2BEAR STEARNS AND COMPANY	1,414,086	22.33	42
3MORGAN STANLEY	1,148,256	18.13	64
4MERRILL LYNCH, PIERCE FENNER	1,129,727	17.84	76
5CITIGROUP	981,076	15.49	86
6GOLDMAN SACHS GROUP	125,003	1.97	23
7UBS	62,477	0.99	69
8DEUTSCHE BANK SECURITIES, IN	37,099	0.59	27
9E-TRADE CAPITAL MARKETS	5,992	0.09	1
10LEHMAN BROTHERS, INC.	5,101	0.08	29
11KNIGHT	2,676	0.04	26
12CREDIT SUISSE	2,600	0.04	15
13LAZARD CAPITAL MARKETS	1,233	0.02	2
14HSBC SECURITIES	224	0	3
15ING FINANCIAL MARKETS	203	0	3
16DRESDNER KLEINWORT	180	0	19
17JEFFERIES & COMPANY, INC.	121	0	2
18ABN-AMRO	63	0	6
19HILL THOMPSON MAGID CO. INC.	63	0	1
20MAXIM GROUP LLC	35	0	1
21RAYMOND JAMES	14	0	1
22FERRIS BAKER WATTS & CO.,INC	8	0	1
23MERRIMAN CURHAN FORD & CO.	3	0	1
24KEPLER EQUITIES	3	0	1
25BB&T CAPITAL MARKETS	2	0	3

Ordinary Securities			
Top 25 Brokers	Advertised	% of	# of
Canada	Vol (000)	Total	Stocks
1RBC CAPITAL MARKETS	2,107,548	15.51	635
2MORGAN STANLEY	1,995,089	14.68	965
3UBS	1,686,577	12.41	480
4KNIGHT	1,283,266	9.44	1154
5DEUTSCHE BANK SECURITIES, IN	935,557	6.89	532
6LEHMAN BROTHERS, INC.	925,056	6.81	432
7CREDIT SUISSE	594,690	4.38	389
8TORONTO DOMINION SECURITIES (U	586,201	4.31	237
9MERRILL LYNCH, PIERCE FENNER	504,712	3.71	430
10CITIGROUP	442,771	3.26	319
11E-TRADE CAPITAL MARKETS	412,722	3.04	157
12HSBC SECURITIES	336,720	2.48	422
13INSTINET CORPORATION	204,472	1.5	210
14DRESDNER KLEINWORT	185,392	1.36	531
15GOLDMAN SACHS GROUP	156,936	1.15	320
16JPMORGAN	127,552	0.94	172

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17BANC OF AMERICA SECURITIES LLC	112,998	0.83	166
18PANMURE & CO. LTD	105,052	0.77	80
19SUSQUEHANNA INTERNATIONAL GRP.	101,241	0.75	104
20JEFFERIES & COMPANY, INC.	62,269	0.46	263
21EVOLUTION SECURITIES	56,421	0.42	14
22CIBC WORLD MARKETS	39,230	0.29	70
23ABN-AMRO	38,695	0.28	126
24MERRIMAN CURHAN FORD & CO.	35,859	0.26	41
25SHORE CAPITAL STOCKBROKERS LTD	35,657	0.26	11

Ordinary Securities Top 15 Brokers Europe	Advertised Vol (000)	% of Total	# of Stocks
1LEHMAN BROTHERS, INC.	209,451,687	16.01	2373
2UBS	157,217,048	12.02	5251
3DEUTSCHE BANK SECURITIES, IN	145,595,626	11.13	4512
4CREDIT SUISSE	135,170,411	10.33	2906
5CITIGROUP	112,978,196	8.64	3615
6MERRILL LYNCH, PIERCE FENNER	79,751,404	6.1	3452
7DRESNER KLEINWORT	64,582,944	4.94	4108
8MORGAN STANLEY	58,088,829	4.44	2945
9GOLDMAN SACHS GROUP	57,674,541	4.41	4069
10JPMORGAN	57,311,869	4.38	2713
11ABN-AMRO	51,496,623	3.94	3512
12HSBC SECURITIES	28,900,850	2.21	4911
13MORGAN STANLEY EUROPE	21,018,489	1.61	2350
14CAZENOVE SECURITIES	19,388,775	1.48	1892
15ING FINANCIAL MARKETS	12,428,426	0.95	1699

Ordinary Securities Top 15 Brokers Far East / Pac Rim	Advertised Vol (000)	% of Total	# of Stocks
1UBS	333,954,100	28.02	8546

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2CITIGROUP	193,038,510	16.2	5364
3DEUTSCHE BANK SECURITIES, IN	159,698,533	13.4	5530
4JPMORGAN	101,672,828	8.53	3388
5MERRILL LYNCH, PIERCE FENNER	97,750,402	8.2	4682
6HSBC SECURITIES	77,849,067	6.53	4288
7CREDIT SUISSE	59,135,656	4.96	2685
8BNP PARIBAS	47,649,908	4	4363
9LEHMAN BROTHERS, INC.	37,104,803	3.11	4793
10MORGAN STANLEY	24,738,132	2.08	614
11BEAR STEARNS AND COMPANY	24,151,998	2.03	4045
12ABN-AMRO	19,777,381	1.66	1062
13MORGAN STANLEY ASIA	10,235,651	0.86	416
14DRESDNER KLEINWORT	2,153,840	0.18	1787
15ING FINANCIAL MARKETS	815,947	0.07	738

Ordinary Securities Top 15 Brokers Latin America (Ex. Brazil)	Advertised Vol (000)	% of Total	# of Stocks
1JPMORGAN	1,417,433	22.38	113
2BEAR STEARNS AND COMPANY	1,414,086	22.33	42
3MORGAN STANLEY	1,148,256	18.13	64
4MERRILL LYNCH, PIERCE FENNER	1,129,727	17.84	76
5CITIGROUP	981,076	15.49	86
6GOLDMAN SACHS GROUP	125,003	1.97	23
7UBS	62,477	0.99	69
8DEUTSCHE BANK SECURITIES, IN	37,099	0.59	27
9E-TRADE CAPITAL MARKETS	5,992	0.09	1
10LEHMAN BROTHERS, INC.	5,101	0.08	29
11KNIGHT	2,676	0.04	26
12CREDIT SUISSE	2,600	0.04	15
13LAZARD CAPITAL MARKETS	1,233	0.02	2
14HSBC SECURITIES	224	0	3
15ING FINANCIAL MARKETS	203	0	3

ADR Securities Top 15 Brokers Argentina	Advertised Vol (000)	% of Total	# of Stocks
1MERRILL LYNCH, PIERCE FENNER	69,459	13.15	14

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2UBS	65,629	12.42	12
3DEUTSCHE BANK SECURITIES, IN	47,786	9.05	12
4KNIGHT	47,247	8.94	13
5MORGAN STANLEY	44,906	8.5	12
6BEAR STEARNS AND COMPANY	43,503	8.23	11
7JPMORGAN	40,511	7.67	9
8LEHMAN BROTHERS, INC.	36,595	6.93	12
9BULLTICK	28,601	5.41	12
10CREDIT SUISSE	28,543	5.4	12
11GOLDMAN SACHS GROUP	11,248	2.13	9
12CITIGROUP	7,470	1.41	10
13ABN-AMRO	7,322	1.39	5
14KEEFE, BRUYETTE & WOODS, INC.	6,968	1.32	2
15INSTINET CORPORATION	6,532	1.24	7

ADR Securities			
Top 15 Brokers	Advertised	% of	# of
Hong Kong	Vol (000)	Total	Stocks
1MERRILL LYNCH, PIERCE FENNER	237,044	25.61	58
2UBS	167,025	18.05	52
3KNIGHT	139,338	15.06	45
4MORGAN STANLEY	71,491	7.72	38
5E-TRADE CAPITAL MARKETS	51,229	5.54	35
6DEUTSCHE BANK SECURITIES, IN	38,403	4.15	15
7LEHMAN BROTHERS, INC.	37,140	4.01	25
8CREDIT SUISSE	32,939	3.56	22
9JPMORGAN	21,432	2.32	19
10BEAR STEARNS AND COMPANY	18,690	2.02	29
11GOLDMAN SACHS GROUP	18,462	1.99	44
12CITIGROUP	17,137	1.85	22
13HSBC SECURITIES	14,673	1.59	10
14ABN-AMRO	10,305	1.11	17
15INSTINET CORPORATION	7,295	0.79	10

Ordinary Securities			
Top 25 Brokers	Advertised	% of	# of
United Kingdom	Vol (000)	Total	Stocks
1LEHMAN BROTHERS, INC.	121,738,505	15.71	738
2UBS	95,035,787	12.27	1882

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3DEUTSCHE BANK SECURITIES, IN	80,952,264	10.45	1714
4CREDIT SUISSE	76,142,834	9.83	1016
5CITIGROUP	65,878,247	8.5	1339
6MERRILL LYNCH, PIERCE FENNER	56,513,193	7.29	1284
7DRESNER KLEINWORT	43,114,464	5.56	1522
8MORGAN STANLEY	34,082,851	4.4	1168
9GOLDMAN SACHS GROUP	33,364,235	4.31	1421
10ABN-AMRO	30,028,863	3.88	1116
11JPMORGAN	23,938,656	3.09	933
12HSBC SECURITIES	20,578,162	2.66	2414
13CAZENOVE SECURITIES	17,050,801	2.2	901
14EVOLUTION SECURITIES	12,134,125	1.57	883
15MORGAN STANLEY EUROPE	11,896,250	1.54	904
16SHORE CAPITAL STOCKBROKERS LTD	8,693,483	1.12	1010
17NUMIS SECURITIES LIMITED	7,070,592	0.91	466
18PANMURE & CO. LTD	5,963,365	0.77	882
19INVESTEC SECURITIES LTD	5,930,604	0.77	335
20BEAR STEARNS AND COMPANY	5,426,778	0.7	727
21SANFORD C. BERNSTEIN COMPANY,	3,631,278	0.47	366
22INSTINET CORPORATION	3,309,948	0.43	1029
23LANDSBANKI.	3,208,294	0.41	771
24ARBUTHNOT	1,845,860	0.24	468
25EXANE BNP PARIBAS	1,432,446	0.18	374

Listed Securities

Top 10 Brokers

	Average	# of
Average Vol. Per Security	Vol (000)	Stocks
1MORGAN STANLEY	16,797	3414
2MERRILL LYNCH, PIERCE FENNER	15,940	3679
3UBS	14,351	3836
4DEUTSCHE BANK SECURITIES, IN	11,289	2968
5LEHMAN BROTHERS, INC.	11,018	3326
6CREDIT SUISSE	9,153	3289
7JPMORGAN	8,338	2763
8BANC OF AMERICA SECURITIES LLC	8,252	2489
9KNIGHT	7,554	3677
10RBC CAPITAL MARKETS	7,021	1761

NASDAQ/OTC Securities

Top 10 Brokers

	Average	# of
Average Vol. Per Security	Vol (000)	Stocks
1KNIGHT	8,492	2395
2UBS	7,100	2381

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3E-TRADE CAPITAL MARKETS	4,301	1645
4CRAIG-HALLUM CAPITAL GROUP	3,838	92
5MORGAN STANLEY	3,136	2177
6BANC OF AMERICA SECURITIES LLC	2,702	912
7DAHLMAN ROSE & CO., LLC.	2,327	42
8CITIGROUP	2,190	1335
9LEHMAN BROTHERS, INC.	2,168	1695
10MERRILL LYNCH, PIERCE FENNER	2,121	2267