

The best route to global liquidity starts here.

January 2002

NASDAQ Breakdown

NASDAQ National Market		Advertised	% Of	# of
Top 25 Brokers		Vol (000)	Total	Sec. Trd.
1	KNIGHT SECURITIES, INC.	4,124,093	9.24	3082
2	SALOMON SMITH BARNEY INC.	3,321,206	7.44	965
3	SCHWAB CAPITAL MARKETS L.P.	2,693,266	6.04	2839
4	MORGAN STANLEY & COMPANY, INC.	2,590,634	5.81	1477
5	MERRILL LYNCH, PIERCE FENNER &	2,507,951	5.62	755
6	GOLDMAN, SACHS & COMPANY	2,221,844	4.98	1569
7	CREDIT SUISSE FIRST BOSTON	2,155,763	4.83	630
8	HERZOG HEINE GEDULD, INC.	1,804,808	4.04	2783
9	SPEAR, LEEDS & KELLOGG CAPITA	1,658,553	3.72	3054
10	UBS WARBURG	1,623,000	3.64	1708
11	BANC OF AMERICA SECURITIES LLC	1,576,835	3.53	789
12	LEHMAN BROTHERS, INC.	1,521,516	3.41	542
13	NDB CAPITAL MARKETS	1,377,308	3.09	2676
14	BEAR STEARNS AND COMPANY	1,336,104	2.99	986
15	DEUTSCHE BANC ALEX. BROWN.	1,206,404	2.7	1569
16	PRUDENTIAL SECURITIES, INC.	1,132,730	2.54	437
17	ROBERTSON STEPHENS	982,972	2.2	490
18	JP MORGAN H&Q	892,659	2	702
19	THOMAS WEISEL PARTNERS LLC	740,459	1.66	338
20	CIBC WORLD MARKETS	734,194	1.65	425
21	JEFFERIES & COMPANY, INC.	692,087	1.55	1270
22	U.S. BANCORP PIPER JAFFRAY	651,403	1.46	450
23	FIDELITY CAPITAL MARKETS	627,256	1.41	366
24	RBC CAPITAL MARKETS	623,828	1.4	580
25	WACHOVIA SECURITIES	502,064	1.13	463

NASDAQ Small Cap Market		Advertised	% Of	# of
Top 25 Brokers		Vol (000)	Total	Sec. Trd.
1	KNIGHT SECURITIES, INC.	160,665	27.7	643
2	HERZOG HEINE GEDULD, INC.	110,623	19.07	626
3	SCHWAB CAPITAL MARKETS L.P.	71,214	12.28	581
4	SPEAR, LEEDS & KELLOGG CAPITA	48,649	8.39	679
5	NDB CAPITAL MARKETS	40,815	7.04	429
6	GVR COMPANY	17,940	3.09	58
7	FLEET TRADING	14,316	2.47	151
8	FAHNESTOCK & CO.	11,262	1.94	49
9	SALOMON SMITH BARNEY INC.	9,425	1.63	9
10	M.H. MEYERSON & CO., INC.	9,424	1.62	208
11	UBS WARBURG	9,396	1.62	64
12	INVESTEC ERNST & COMPANY	9,179	1.58	85

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13	JEFFERIES & COMPANY, INC.	8,225	1.42	29
14	LADENBURG THALMANN & CO., INC	4,475	0.77	82
15	BEAR STEARNS AND COMPANY	4,331	0.75	12
16	CIBC WORLD MARKETS	4,304	0.74	2
17	ABN-AMRO	3,645	0.63	11
18	MORGAN KEEGAN & CO., INC.	3,216	0.55	17
19	W.R. HAMBRECHT & COMPANY, LLC	2,662	0.46	2
20	PACIFIC GROWTH EQUITIES	2,527	0.44	4
21	STIFEL, NICOLAUS INC.	2,159	0.37	4
22	THOMAS WEISEL PARTNERS LLC	2,052	0.35	2
23	MILLER, JOHNSON, STEICHEN, KIN	2,036	0.35	10
24	WEDBUSH MORGAN SECURITIES	1,828	0.32	3
25	C.E. UNTERBERG, TOWBIN	1,634	0.28	3

NASDAQ 100		Advertised	% Of	# of
Top 25 Brokers		Vol (000)	Total	Sec. Trd.
1	SALOMON SMITH BARNEY INC.	2,255,779	8.81	99
2	MORGAN STANLEY & COMPANY, INC.	2,005,307	7.83	94
3	GOLDMAN, SACHS & COMPANY	1,915,562	7.48	100
4	MERRILL LYNCH, PIERCE FENNER &	1,827,798	7.14	100
5	CREDIT SUISSE FIRST BOSTON	1,512,688	5.91	98
6	KNIGHT SECURITIES, INC.	1,183,793	4.62	100
7	UBS WARBURG	1,181,728	4.61	100
8	SCHWAB CAPITAL MARKETS L.P.	1,145,650	4.47	100
9	BANC OF AMERICA SECURITIES LLC	1,088,693	4.25	99
10	LEHMAN BROTHERS, INC.	1,069,294	4.18	100
11	BEAR STEARNS AND COMPANY	868,332	3.39	100
12	DEUTSCHE BANC ALEX. BROWN.	810,718	3.17	100
13	PRUDENTIAL SECURITIES, INC.	774,797	3.03	100
14	SPEAR, LEEDS & KELLOGG CAPITA	671,476	2.62	100
15	NDB CAPITAL MARKETS	601,664	2.35	100
16	HERZOG HEINE GEDULD, INC.	572,862	2.24	100
17	CIBC WORLD MARKETS	543,702	2.12	97
18	ROBERTSON STEPHENS	528,113	2.06	84
19	JP MORGAN H&Q	475,099	1.86	100
20	FIDELITY CAPITAL MARKETS	380,110	1.48	98
21	RBC CAPITAL MARKETS	375,069	1.46	91
22	ABN-AMRO	352,697	1.38	99
23	THOMAS WEISEL PARTNERS LLC	331,671	1.30	76
24	SG COWEN SECURITIES CORP.	319,707	1.25	92
25	U.S. BANCORP PIPER JAFFRAY	309,766	1.21	76