

Listed Securities Top 050 Brokers March 2001			
	<u>Advertised Vol (000)</u>	<u>% of Total</u>	<u>% of Vol. Crossed</u>
1 MERRILL LYNCH, PIERCE FENNER &	4,064,635	12.59	21.19
2 SALOMON SMITH BARNEY INC.	3,864,418	11.97	12.54
3 CREDIT SUISSE FIRST BOSTON	2,451,929	7.59	15.97
4 MORGAN STANLEY & COMPANY, INC.	2,425,907	7.51	11.44
5 GOLDMAN, SACHS & COMPANY	1,903,678	5.90	15.13
6 UBS WARBURG	1,842,220	5.71	21.05
7 LEHMAN BROTHERS, INC.	1,611,528	4.99	19.17
8 BEAR STEARNS AND COMPANY	1,337,228	4.14	14.17
9 KNIGHT/TRIMARK GROUP INC.	1,276,373	3.95	6.89
10 DEUTSCHE BANC ALEX. BROWN.	1,060,594	3.28	8.75
11 JP MORGAN H&Q	970,257	3.01	24.16
12 BANC OF AMERICA SECURITIES LLC	934,747	2.90	12.37
13 PRUDENTIAL SECURITIES, INC.	641,913	1.99	16.09
14 RBC DOMINION SECURITIES	523,174	1.62	0.00
15 ITG, INC.	459,770	1.42	55.58
16 SANFORD C. BERNSTEIN COMPANY,	445,649	1.38	5.00
17 SG COWEN SECURITIES CORP.	421,007	1.30	26.96
18 CIBC WORLD MARKETS	358,672	1.11	19.07
19 ABN-AMRO	331,393	1.03	8.47
20 ROBERTSON STEPHENS	315,951	0.98	21.38
21 SUSQUEHANNA PARTNERS	309,794	0.96	0.00
22 THOMAS WEISEL PARTNERS LLC	258,569	0.80	21.34
23 JEFFERIES & COMPANY, INC.	226,114	0.70	31.63
24 LYNCH JONES & RYAN/INSTINET	220,414	0.68	13.23
25 WEEDEN & COMPANY, INC.	216,356	0.67	10.48
26 FIRST UNION SECURITIES, INC.	216,059	0.67	15.72
27 SCHWAB CAPITAL MARKETS L.P.	200,963	0.62	3.05
28 U.S. BANCORP PIPER JAFFRAY	160,788	0.50	15.14
29 FIDELITY CAPITAL MARKETS	160,154	0.50	3.60
30 OTA LIMITED PARTNERSHIP.	155,479	0.48	59.94
31 CANTOR, FITZGERALD & CO., INC.	150,352	0.47	35.67
32 JONES & ASSOCIATES, INC.	139,919	0.43	30.44
33 GERARD, KLAUER, MATTISON & COM	120,887	0.37	9.59
34 AUTRANET, INC.	113,079	0.35	6.30
35 LAZARD FRERES & CO	109,745	0.34	1.83
36 CAPITAL INSTITUTIONAL SERVICES	101,860	0.32	1.41
37 DRESDNER KLEINWORT WASSERSTEIN	99,617	0.31	14.10
38 THE INTERSTATE GROUP	94,352	0.29	7.10
39 WIT SOUNDVIEW	92,111	0.29	2.51
40 RAYMOND, JAMES & ASSOC. INC.	85,864	0.27	9.46
41 LEGG MASON WOOD WALKER, INC.	82,903	0.26	11.07
42 BNY ESI & COMPANY	81,345	0.25	2.66
43 WILLIAM BLAIR & COMPANY, LLC.	79,430	0.25	1.55
44 ROBINSON HUMPHREY COMPANY,	76,096	0.24	13.60
45 GARBAN EQUITIES	74,902	0.23	18.67
46 ROBERT W. BAIRD CO. INCORPORAT	74,477	0.23	8.21
47 DAIN RAUSCHER WESSELS	72,809	0.23	22.31
48 FIRST ALBANY CORPORATION	72,142	0.22	5.74
49 ROCHDALE SECS CORP	62,666	0.19	0.00
50 KEEFE, BRUYETTE & WOODS, INC.	57,834	0.18	1.40

NASDAQ / OTC Securities			
Top 050 Brokers			
March 2001			
	Advertised Vol (000)	% of Total	# of Stocks
1 KNIGHT SECURITIES, INC.	6,283,995	11.62	6,839
2 SCHWAB CAPITAL MARKETS L.P.	3,754,562	6.94	6,816
3 HERZOG HEINE GEDULD, INC.	3,631,158	6.71	6,804
4 SALOMON SMITH BARNEY INC.	3,591,924	6.64	1,599
5 MORGAN STANLEY & COMPANY, INC.	3,472,324	6.42	1,068
6 MERRILL LYNCH, PIERCE FENNER &	2,902,469	5.37	713
7 CREDIT SUISSE FIRST BOSTON	2,526,016	4.67	882
8 GOLDMAN, SACHS & COMPANY	2,477,304	4.58	389
9 SPEAR, LEEDS & KELLOGG CAPITA	2,325,765	4.30	5,181
10 LEHMAN BROTHERS, INC.	1,583,242	2.93	514
11 NDB CAPITAL MARKETS	1,527,345	2.82	3,999
12 BANC OF AMERICA SECURITIES LLC	1,472,763	2.72	540
13 UBS WARBURG	1,385,881	2.56	662
14 ROBERTSON STEPHENS	1,376,424	2.55	564
15 JP MORGAN H&Q	1,261,989	2.33	744
16 BEAR STEARNS AND COMPANY	1,219,426	2.25	723
17 DEUTSCHE BANC ALEX. BROWN.	1,039,653	1.92	1,534
18 FLEET TRADING	944,603	1.75	4,591
19 PRUDENTIAL SECURITIES, INC.	808,879	1.50	579
20 THOMAS WEISEL PARTNERS LLC	696,477	1.29	262
21 JEFFERIES & COMPANY, INC.	683,583	1.26	1,179
22 CANTOR, FITZGERALD & CO., INC.	678,103	1.25	810
23 FIDELITY CAPITAL MARKETS	644,856	1.19	548
24 CIBC WORLD MARKETS	636,931	1.18	496
25 FIRST UNION SECURITIES, INC.	533,826	0.99	514
26 U.S. BANCORP PIPER JAFFRAY	525,340	0.97	455
27 M.H. MEYERSON & CO., INC.	508,396	0.94	2,597
28 SG COWEN SECURITIES CORP.	428,653	0.79	280
29 WIT SOUNDVIEW	421,974	0.78	196
30 DAIN RAUSCHER WESSELS	389,211	0.72	454
31 SUSQUEHANNA PARTNERS	388,918	0.72	339
32 RBC DOMINION SECURITIES	199,383	0.37	498
33 ABN-AMRO	188,487	0.35	230
34 ROBERT W. BAIRD CO. INCORPORAT	188,039	0.35	221
35 DEMPSEY & COMPANY	179,822	0.33	77
36 WEEDEN & COMPANY, INC.	178,950	0.33	521
37 LADENBURG THALMANN & CO., INC	174,356	0.32	621
38 RAYMOND, JAMES & ASSOC. INC.	153,788	0.28	307
39 ADAMS, HARKNESS & HILL, INC.	139,896	0.26	274
40 GERARD, KLAUER, MATTISON & COM	138,046	0.26	142
41 LEGG MASON WOOD WALKER, INC.	134,025	0.25	230
42 A.G. EDWARDS & SONS, INC.	125,323	0.23	213
43 C.E. UNTERBERG, TOWBIN	110,539	0.20	213
44 WILLIAM BLAIR & COMPANY, LLC.	107,723	0.20	215
45 OLDE DISCOUNT CORPORATION	102,510	0.19	177
46 NEEDHAM & COMPANY	99,954	0.18	285
47 FAHNESTOCK & CO.	91,349	0.17	402
48 MCDONALD & COMPANY SECURITIES,	88,528	0.16	188
49 PAINWEBBER INC.	80,579	0.15	281
50 SOUTHWEST SECURITIES, INC.	78,349	0.14	577

Advertised Trade Volume Listed Securities Top 25 Brokers - Non NY Brokers		
<u>Broker-Dealer</u>	<u>Advertised Vol.(000)</u>	<u>% of Vol. Crossed</u>
1 UBS WARBURG	1,842,220	21.05
2 BANC OF AMERICA SECURITIES LLC	934,747	12.37
3 ABN-AMRO	331,393	8.47
4 ROBERTSON STEPHENS	315,951	21.38
5 SUSQUEHANNA PARTNERS	309,794	0.00
6 THOMAS WEISEL PARTNERS LLC	258,569	21.34
7 WEEDEN & COMPANY, INC.	216,356	10.48
8 FIRST UNION SECURITIES, INC.	216,059	15.72
9 U.S. BANCORP PIPER JAFFRAY	160,788	15.14
10 FIDELITY CAPITAL MARKETS	160,154	3.60
11 OTA LIMITED PARTNERSHIP.	155,479	59.94
12 CANTOR, FITZGERALD & CO., INC.	150,352	35.67
13 JONES & ASSOCIATES, INC.	139,919	30.44
14 CAPITAL INSTITUTIONAL SERVICES	101,860	1.41
15 THE INTERSTATE GROUP	94,352	7.10
16 RAYMOND, JAMES & ASSOC. INC.	85,864	9.46
17 LEGG MASON WOOD WALKER, INC.	82,903	11.07
18 WILLIAM BLAIR & COMPANY, LLC.	79,430	1.55
19 ROBINSON HUMPHREY COMPANY,	76,096	13.6
20 ROBERT W. BAIRD CO. INCORPORAT	74,477	8.21
21 DAIN RAUSCHER WESSELS	72,809	22.31
22 FIRST ALBANY CORPORATION	72,142	5.74
23 A.G. EDWARDS & SONS, INC.	56,434	9.57
24 MCDONALD & COMPANY SECURITIES,	49,808	6.65
25 HOWARD, WEIL, LABOUISSSE, FRE	40,325	2.70

Advertised Trade Volume NASDAQ / OTC Securities Top 25 Brokers - Non NY Brokers		
<u>Broker-Dealer</u>	<u>Advertised Vol.(000)</u>	<u># of Stocks</u>
1 BANC OF AMERICA SECURITIES LLC	1,472,763	540
2 UBS WARBURG	1,385,881	662
3 ROBERTSON STEPHENS	1,376,424	564
4 DEUTSCHE BANC ALEX. BROWN.	1,039,653	1,534
5 THOMAS WEISEL PARTNERS LLC	696,477	262
6 JEFFERIES & COMPANY, INC.	683,583	1,179
7 FIRST UNION SECURITIES, INC.	533,826	514
8 U.S. BANCORP PIPER JAFFRAY	525,340	455
9 DAIN RAUSCHER WESSELS	389,211	454
10 SUSQUEHANNA PARTNERS	388,918	339
11 ROBERT W. BAIRD CO. INCORPORAT	188,039	221
12 WEEDEN & COMPANY, INC.	178,950	521
13 RAYMOND, JAMES & ASSOC. INC.	153,788	307
14 ADAMS, HARKNESS & HILL, INC.	139,896	274
15 LEGG MASON WOOD WALKER, INC.	134,025	230
16 A.G. EDWARDS & SONS, INC.	125,323	213
17 WILLIAM BLAIR & COMPANY, LLC.	107,723	215
18 OLDE DISCOUNT CORPORATION	102,510	177
19 MCDONALD & COMPANY SECURITIES,	88,528	188
20 SOUTHWEST SECURITIES, INC.	78,349	577
21 ROBINSON HUMPHREY COMPANY,	77,026	269
22 JONES & ASSOCIATES, INC.	68,445	213
23 FIRST ALBANY CORPORATION	63,889	105
24 W.R. HAMBRECHT & COMPANY, LLC	47,923	177
25 FRIEDMAN, BILLINGS, RAMSEY & C	45,149	261

Ordinary Securities			
Top 15 Brokers - Europe	Advertised	% of	# of
	Vol (000)	Total	Stocks
1 DEUTSCHE BANC ALEX. BROWN.	16,313,278	15.72	1,951
2 UBS WARBURG	11,485,598	11.07	2,401
3 CREDIT SUISSE FIRST BOSTON	9,645,887	9.30	1,373
4 MERRILL LYNCH, PIERCE FENNER &	9,355,526	9.02	2,705
5 MORGAN STANLEY & COMPANY, INC.	6,807,841	6.56	1,375
6 ABN-AMRO	6,215,450	5.99	1,722
7 SALOMON SMITH BARNEY INC.	5,892,682	5.68	1,598
8 GOLDMAN, SACHS & COMPANY	5,727,487	5.52	1,370
9 DRESDNER KLEINWORT WASSERSTEIN	5,623,808	5.42	2,564
10 LEHMAN BROTHERS, INC.	5,480,176	5.28	1,300
11 JP MORGAN H&Q	4,104,575	3.96	815
12 HSBC SECURITIES	3,465,309	3.34	2,266
13 COMMERZ BANK	1,925,424	1.86	717
14 SANTANDER INVESTMENT SECURITIE	1,521,931	1.47	155
15 SOCIETE GENERALE	1,510,005	1.46	1,329

Ordinary Securities			
Top 15 Brokers - Far East Asia / Pacific Rim	Advertised	% of	# of
	Vol (000)	Total	Stocks
1 MERRILL LYNCH, PIERCE FENNER &	11,389,664	25.78	3,521
2 MORGAN STANLEY & COMPANY, INC.	6,660,491	15.07	2,289
3 SALOMON SMITH BARNEY INC.	4,548,938	10.30	1,720
4 DEUTSCHE BANC ALEX. BROWN.	4,224,154	9.56	1,834
5 GOLDMAN, SACHS & COMPANY	4,202,049	9.51	1,272
6 UBS WARBURG	2,558,965	5.79	1,912
7 HSBC SECURITIES	2,521,663	5.71	2,051
8 LEHMAN BROTHERS, INC.	2,263,205	5.12	1,140
9 ABN-AMRO	1,545,814	3.50	1,022
10 DRESDNER KLEINWORT WASSERSTEIN	1,178,699	2.67	1,386
11 ROBERT FLEMING, INC.	1,118,402	2.53	718
12 ING BARINGS	653,332	1.48	457
13 E*TRADE INSTITUTIONAL SECURITI	388,036	0.88	760
14 EUROMOBILIARE SIM SPA	198,337	0.45	1
15 SOCIETE GENERALE	186,040	0.42	1,029

Ordinary Securities			
Top 15 Brokers - Latin America (Exc. Brazil)	Advertised	% of	# of
	Vol (000)	Total	Stocks
1 SANTANDER INVESTMENT SECURITIE	629,753	21.21	132
2 MORGAN STANLEY & COMPANY, INC.	554,933	18.69	68
3 MERRILL LYNCH, PIERCE FENNER &	465,894	15.69	87
4 CREDIT SUISSE FIRST BOSTON	366,459	12.34	60
5 GOLDMAN, SACHS & COMPANY	190,604	6.42	53
6 JP MORGAN H&Q	174,539	5.88	40
7 SALOMON SMITH BARNEY INC.	164,570	5.54	43
8 UBS WARBURG	138,300	4.66	73
9 BBV SECURITIES	110,898	3.73	53
10 DRESDNER KLEINWORT WASSERSTEIN	84,588	2.85	25
11 BEAR STEARNS AND COMPANY	62,724	2.11	48
12 RBC DOMINION SECURITIES	10,840	0.37	1
13 CREDIT LYONNAIS	7,441	0.25	12
14 LEHMAN BROTHERS, INC.	4,430	0.15	6
15 ABN-AMRO	1,500	0.05	1

ADR Securities Top 25 Brokers	Advertised Vol (000)	% of Total	# of Stocks
1 MERRILL LYNCH, PIERCE FENNER &	912,730	11.95	769
2 MORGAN STANLEY & COMPANY, INC.	912,566	11.95	439
3 SALOMON SMITH BARNEY INC.	796,945	10.44	490
4 CREDIT SUISSE FIRST BOSTON	636,141	8.33	437
5 GOLDMAN, SACHS & COMPANY	559,070	7.32	431
6 UBS WARBURG	526,555	6.90	433
7 DEUTSCHE BANC ALEX. BROWN.	394,708	5.17	304
8 LEHMAN BROTHERS, INC.	266,602	3.49	192
9 ABN-AMRO	186,521	2.44	191
10 JP MORGAN H&Q	180,818	2.37	206
11 BEAR STEARNS AND COMPANY	147,294	1.93	215
12 KNIGHT SECURITIES, INC.	139,717	1.83	423
13 SCHWAB CAPITAL MARKETS L.P.	129,584	1.70	584
14 HSBC SECURITIES	125,052	1.64	240
15 ING BARINGS	121,394	1.59	153
16 DRESDNER KLEINWORT WASSERSTEIN	117,189	1.53	321
17 KNIGHT/TRIMARK GROUP INC.	107,906	1.41	302
18 BANC OF AMERICA SECURITIES LLC	105,901	1.39	150
19 SPEAR, LEEDS & KELLOGG CAPITA	102,985	1.35	445
20 HERZOG HEINE GEDULD, INC.	101,370	1.33	437
21 CANTOR, FITZGERALD & CO., INC.	97,581	1.28	194
22 ROBERTSON STEPHENS	73,623	0.96	53
23 CIBC WORLD MARKETS	67,179	0.88	85
24 PRUDENTIAL SECURITIES, INC.	59,731	0.78	169
25 SOCIETE GENERALE	58,319	0.76	225

CVT Bond Coupon Securities Top 25 Brokers	Advertised Vol (000)	% of Total	# of Stocks
1 CREDIT SUISSE FIRST BOSTON	2,804,917	18.36	110
2 SALOMON SMITH BARNEY INC.	2,057,825	13.47	83
3 KBC FINANCIAL HOLDINGS INC.	1,542,497	10.10	106
4 MERRILL LYNCH, PIERCE FENNER &	975,192	6.38	35
5 ROBERTSON STEPHENS	965,450	6.32	59
6 BEAR STEARNS AND COMPANY	762,126	4.99	81
7 THOMAS WEISEL PARTNERS LLC	715,773	4.69	26
8 JEFFERIES & COMPANY, INC.	692,370	4.53	92
9 UBS WARBURG	665,212	4.35	45
10 BANC OF AMERICA SECURITIES LLC	617,673	4.04	44
11 DEUTSCHE BANC ALEX. BROWN.	607,275	3.98	38
12 CREDIT RESEARCH & TRADING CORP	599,207	3.92	58
13 FIRST UNION SECURITIES, INC.	566,899	3.71	67
14 CIBC WORLD MARKETS	396,066	2.59	49
15 ABN-AMRO	395,995	2.59	51
16 ING BARINGS	277,300	1.82	5
17 SG COWEN SECURITIES CORP.	184,049	1.20	25
18 MCMAHAN SECURITIES COMPANY, LT	179,500	1.17	28
19 WILLIAM BLAIR & COMPANY, LLC.	98,454	0.64	20
20 BAIRD PATRICK - CONVERTIBLES	75,674	0.50	16
21 PRUDENTIAL SECURITIES, INC.	59,700	0.39	11
22 U.S. BANCORP PIPER JAFFRAY	30,250	0.20	10
23 RAYMOND, JAMES & ASSOC. INC.	3,970	0.03	1
24 HSBC SECURITIES	3,820	0.03	2

Mandatory CVT Securities			
Top 15 Brokers	Advertised Vol (000)	% of Total	# of Stocks
1 MERRILL LYNCH, PIERCE FENNER &	55,526	35.18	26
2 MORGAN STANLEY & COMPANY, INC.	38,961	24.69	39
3 KBC FINANCIAL HOLDINGS INC.	14,099	8.93	23
4 BANC OF AMERICA SECURITIES LLC	11,907	7.54	22
5 RBC DOMINION SECURITIES	9,239	5.85	3
6 CREDIT SUISSE FIRST BOSTON	7,257	4.60	16
7 PRUDENTIAL SECURITIES, INC.	4,706	2.98	25
8 SALOMON SMITH BARNEY INC.	3,898	2.47	14
9 FIRST UNION SECURITIES, INC.	2,563	1.62	17
10 GOLDMAN, SACHS & COMPANY	2,218	1.41	5
11 ABN-AMRO	2,070	1.31	10
12 DEUTSCHE BANC ALEX. BROWN.	1,310	0.83	3
13 BEAR STEARNS AND COMPANY	1,302	0.83	7
14 SG COWEN SECURITIES CORP.	668	0.42	4
15 MCMAHAN SECURITIES COMPANY, LT	495	0.31	2

CVT Bond Zero Securities			
Top 15 Brokers	Advertised Vol (000)	% of Total	# of Stocks
1 CREDIT SUISSE FIRST BOSTON	5,545,597	24.01	47
2 MERRILL LYNCH, PIERCE FENNER &	4,907,497	21.24	40
3 KBC FINANCIAL HOLDINGS INC.	3,295,448	14.27	48
4 DEUTSCHE BANC ALEX. BROWN.	1,722,504	7.46	36
5 SALOMON SMITH BARNEY INC.	1,591,600	6.89	37
6 UBS WARBURG	1,159,913	5.02	34
7 THOMAS WEISEL PARTNERS LLC	983,525	4.26	10
8 ROBERTSON STEPHENS	843,385	3.65	10
9 BANC OF AMERICA SECURITIES LLC	825,524	3.57	25
10 BEAR STEARNS AND COMPANY	792,382	3.43	39
11 MCMAHAN SECURITIES COMPANY, LT	438,700	1.90	23
12 SG COWEN SECURITIES CORP.	275,495	1.19	13
13 CIBC WORLD MARKETS	203,288	0.88	13
14 JEFFERIES & COMPANY, INC.	179,000	0.77	24
15 ABN-AMRO	105,550	0.46	13

CVT Preferred Securities			
Top 15 Brokers	Advertised Vol (000)	% of Total	# of Stocks
1 CREDIT SUISSE FIRST BOSTON	20,914	23.63	47
2 KBC FINANCIAL HOLDINGS INC.	17,796	20.11	28
3 SALOMON SMITH BARNEY INC.	11,474	12.96	22
4 PRUDENTIAL SECURITIES, INC.	8,527	9.63	35
5 MERRILL LYNCH, PIERCE FENNER &	6,912	7.81	21
6 ABN-AMRO	5,430	6.14	21
7 BANC OF AMERICA SECURITIES LLC	3,078	3.48	10
8 MCMAHAN SECURITIES COMPANY, LT	2,161	2.44	13
9 FIRST UNION SECURITIES, INC.	1,838	2.08	12
10 MORGAN STANLEY & COMPANY, INC.	1,833	2.07	8
11 BEAR STEARNS AND COMPANY	1,605	1.81	16
12 UBS WARBURG	1,310	1.48	8
13 BAIRD PATRICK - CONVERTIBLES	857	0.97	7
14 SG COWEN SECURITIES CORP.	799	0.90	5
15 WILLIAM BLAIR & COMPANY, LLC.	567	0.64	14