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April 2007

Monthly Summary

<b>Listed Securities Top 50 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b>% of Vol. Crossed</b>
1 MERRILL LYNCH, PIERCE FENNER	5,012,287	13.58	10
2 MORGAN STANLEY	3,866,747	10.48-	
3 UBS	3,844,241	10.42	7
4 LEHMAN BROTHERS, INC.	2,975,069	8.06	12
5 DEUTSCHE BANK SECURITIES, IN	2,381,491	6.45	2
6 JPMORGAN	1,862,506	5.05	6
7 CREDIT SUISSE	1,833,879	4.97-	
8 KNIGHT CAPITAL GROUP	1,808,262	4.9	7
9 BANC OF AMERICA SECURITIES LLC	1,571,627	4.26	1
10 GOLDMAN SACHS GROUP	1,101,845	2.99	20
11 BEAR STEARNS AND COMPANY	1,099,117	2.98	14
12 CITIGROUP	1,032,284	2.8	14
13 RBC CAPITAL MARKETS	959,580	2.6	5
14 E-TRADE CAPITAL MARKETS	544,108	1.47	1
15 JEFFERIES & COMPANY, INC.	521,316	1.41	25
16 ITG, INC.	394,468	1.07	74
17 SUSQUEHANNA INTERNATIONAL GRP.	393,685	1.07	5
18 BNY BROKERAGE	371,319	1.01	1
19 WEEDEN & COMPANY, INC.	363,199	0.98	20
20 INSTINET CORPORATION	355,710	0.96	4
21 SANFORD C. BERNSTEIN COMPANY,	342,020	0.93	8
22 COWEN & CO.	266,174	0.72	9
23 CANTOR, FITZGERALD & CO., INC.	258,112	0.7	39
24 WACHOVIA SECURITIES	256,049	0.69	4
25 CIBC WORLD MARKETS	247,172	0.67	1
26 HSBC SECURITIES	213,574	0.58-	
27 FRIEDMAN, BILLINGS, RAMSEY & C	211,259	0.57	7
28 RAYMOND, JAMES & ASSOC. INC.	162,619	0.44	6
29 JONESTRADING INSTITUTIONAL SER	154,374	0.42	46
30 THOMAS WEISEL PARTNERS LLC	152,370	0.41	2
31 DAHLMAN ROSE & CO., LLC.	150,595	0.41	1
32 PIPER JAFFRAY & CO.	141,959	0.38	4
33 STIFEL NICOLAUS CAPITAL MARKET	127,729	0.35	9
34 MAXIM GROUP LLC	117,651	0.32	0
35 KEEFE, BRUYETTE & WOODS, INC.	104,335	0.28	6
36 NOMURA SECURITIES INTERNATIONA	93,996	0.25-	
37 ROBERT W. BAIRD CO. INCORPORAT	88,859	0.24	22
38 OPPENHEIMER & CO	71,156	0.19	4
39 KEYBANC CAPITAL MARKETS INC.	68,475	0.19	1
40 BTIG - BASS TRADING	68,323	0.19	15
41 WILLIAM BLAIR & COMPANY, LLC.	66,690	0.18	6
42 CAPITAL INSTITUTIONAL SERVICES	66,674	0.18-	

NOTE: \*\* Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

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43 A.G. EDWARDS & SONS, INC.	59,389	0.16	3
44 THE INTERSTATE GROUP	55,547	0.15-	
45 CRT CAPITAL GROUP LLC	53,198	0.14	17
46 ROCHDALE SECURITIES	50,561	0.14	7
47 SUNTRUST ROBINSON HUMPHREY	48,476	0.13	6
48 BB&T CAPITAL MARKETS	42,617	0.12	6
49 FOX-PITT KELTON, INC.	41,601	0.11	16
50 MORGAN KEEGAN & CO., INC.	41,210	0.11	11

<b>NASDAQ – National Market &amp; Small Cap Market Top 50 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 KNIGHT CAPITAL GROUP	3,277,167	12.17	3018
2 UBS	3,276,335	12.17	2997
3 MORGAN STANLEY	2,535,991	9.42	2559
4 MERRILL LYNCH, PIERCE FENNER	1,866,770	6.93	2473
5 E-TRADE CAPITAL MARKETS	1,687,327	6.27	1617
6 LEHMAN BROTHERS, INC.	1,288,515	4.78	2032
7 JPMORGAN	1,169,636	4.34	1819
8 BANC OF AMERICA SECURITIES LLC	1,083,273	4.02	1365
9 GOLDMAN SACHS GROUP	1,066,584	3.96	2381
10 CREDIT SUISSE	1,054,222	3.91	2623
11 DEUTSCHE BANK SECURITIES, IN	1,027,001	3.81	1918
12 BEAR STEARNS AND COMPANY	797,359	2.96	2107
13 CITIGROUP	724,301	2.69	597
14 COWEN & CO.	533,422	1.98	931
15 INSTINET CORPORATION	375,615	1.39	1486
16 JEFFERIES & COMPANY, INC.	356,443	1.32	993
17 RBC CAPITAL MARKETS	321,331	1.19	590
18 PIPER JAFFRAY & CO.	285,857	1.06	1016
19 CIBC WORLD MARKETS	264,602	0.98	1603
20 WACHOVIA SECURITIES	217,551	0.81	556
21 THOMAS WEISEL PARTNERS LLC	217,144	0.81	507
22 SUSQUEHANNA INTERNATIONAL GRP.	188,138	0.7	1934
23 RAYMOND, JAMES & ASSOC. INC.	175,843	0.65	1787
24 ITG, INC.	166,124	0.62	1044
25 WEEDEN & COMPANY, INC.	160,191	0.59	821
26 ROBERT W. BAIRD CO. INCORPORAT	155,415	0.58	418
27 CANTOR, FITZGERALD & CO., INC.	151,406	0.56	881
28 FRIEDMAN, BILLINGS, RAMSEY & C	136,512	0.51	447
29 KEEFE, BRUYETTE & WOODS, INC.	121,290	0.45	549
30 BNY BROKERAGE	113,520	0.42	1246
31 SANFORD C. BERNSTEIN COMPANY,	100,845	0.37	737
32 STIFEL NICOLAUS CAPITAL MARKET	95,908	0.36	631
33 BTIG - BASS TRADING	94,895	0.35	497

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34	JONESTRADING INSTITUTIONAL SER	94,578	0.35	379
35	A.G. EDWARDS & SONS, INC.	76,866	0.29	411
36	CRT CAPITAL GROUP LLC	76,017	0.28	96
37	WILLIAM BLAIR & COMPANY, LLC.	75,471	0.28	693
38	FIRST ALBANY CORPORATION	70,491	0.26	295
39	NEEDHAM & COMPANY	65,358	0.24	495
40	THINKEQUITY PARTNERS	61,738	0.23	278
41	PACIFIC CREST SECURITIES	61,169	0.23	118
42	OPPENHEIMER & CO	60,957	0.23	371
43	CANACCORD ADAMS	60,723	0.23	291
44	MAXIM GROUP LLC	58,796	0.22	578
45	LAZARD CAPITAL MARKETS	57,667	0.21	176
46	LEERINK SWANN & CO.	56,539	0.21	250
47	WEDBUSH MORGAN SECURITIES	52,074	0.19	193
48	SUNTRUST ROBINSON HUMPHREY	48,252	0.18	569
49	JMP SECURITIES	47,396	0.18	166
50	RYAN, BECK & CO.	47,339	0.18	52

<b>Listed Securities Non-NY Brokers Top 25 Broker - Dealer</b>	<b>Advertised Vol.(000)</b>	<b>% of Vol. Crossed</b>	
1	BANC OF AMERICA SECURITIES LLC	1573149	1
2	SUSQUEHANNA INTERNATIONAL GRP.	393685	5
3	WEEDEN & COMPANY, INC.	363199	20
4	CANTOR, FITZGERALD & CO., INC.	258112	39
5	WACHOVIA SECURITIES	256039	4
6	FRIEDMAN, BILLINGS, RAMSEY & C	211259	7
7	RAYMOND, JAMES & ASSOC. INC.	162619	6
8	THOMAS WEISEL PARTNERS LLC	152370	2
9	ROBERT W. BAIRD CO. INCORPORAT	88859	22
10	WILLIAM BLAIR & COMPANY, LLC.	66690	6
11	CAPITAL INSTITUTIONAL SERVICES	66674	-
12	A.G. EDWARDS & SONS, INC.	59389	3
13	THE INTERSTATE GROUP	55547	-
14	MORGAN KEEGAN & CO., INC.	41210	11
15	SIMMONS AND COMPANY INTERNATIO	34997	0
16	ABN-AMRO	30799	1
17	THINKEQUITY PARTNERS	23914	13
18	MIDWEST RESEARCH	20974	7
19	STEPHENS INC.	20411	0
20	JOHNSON RICE & COMPANY	20001	0
21	GREEN STREET ADVISORS	17327	17
22	MKM PARTNERS	14564	9
23	WEDBUSH MORGAN SECURITIES	13002	1
24	PACIFIC CREST SECURITIES	10689	22

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25 FIRST ALBANY CORPORATION

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<b>NASDAQ – National Market &amp; Small Cap Market Non NY Brokers</b>		
<b>Top 25 Broker-Dealer</b>	<b>Advertised Vol.(000)</b>	<b># of Stocks</b>
1 BANC OF AMERICA SECURITIES LLC	1083273	1365
2 WACHOVIA SECURITIES	217551	556
3 THOMAS WEISEL PARTNERS LLC	217144	507
4 SUSQUEHANNA INTERNATIONAL GRP.	188138	1934
5 RAYMOND, JAMES & ASSOC. INC.	175843	1787
6 WEEDEN & COMPANY, INC.	160191	821
7 ROBERT W. BAIRD CO. INCORPORAT	155415	418
8 FRIEDMAN, BILLINGS, RAMSEY & C	136512	447
9 A.G. EDWARDS & SONS, INC.	76866	411
10 WILLIAM BLAIR & COMPANY, LLC.	75471	693
11 FIRST ALBANY CORPORATION	70491	295
12 THINKEQUITY PARTNERS	61738	278
13 PACIFIC CREST SECURITIES	61169	118
14 WEDBUSH MORGAN SECURITIES	52074	193
15 PACIFIC GROWTH EQUITIES	33240	170
16 CRAIG-HALLUM CAPITAL GROUP	30354	63
17 STEPHENS INC.	29240	213
18 CAPITAL INSTITUTIONAL SERVICES	23177	354
19 MORGAN KEEGAN & CO., INC.	21538	150
20 D.A. DAVIDSON & CO.	16580	154
21 W.R. HAMBRECHT & COMPANY, LLC	10521	73
22 MIDWEST RESEARCH	6815	81
23 SANDERS MORRIS HARRIS	6656	36
24 THE INTERSTATE GROUP	4935	88
25 JOHNSON RICE & COMPANY	4571	75

<b>Ordinary Securities</b>			
<b>Europe Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 LEHMAN BROTHERS, INC.	15,513,686	15.41	1842
2 UBS	12,660,178	12.58	3490
3 DEUTSCHE BANK SECURITIES, IN	11,066,566	10.99	2973
4 CITIGROUP	9,066,493	9.01	2273
5 CREDIT SUISSE	8,502,348	8.45	1504
6 MERRILL LYNCH, PIERCE FENNER	6,974,915	6.93	2124
7 MORGAN STANLEY	6,176,903	6.14	1778
8 DRESDNER KLEINWORT	5,517,514	5.48	2755
9 JPMORGAN	4,823,600	4.79	1591

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10	GOLDMAN SACHS GROUP	4,688,973	4.66	2396
11	ABN-AMRO	3,322,439	3.3	1975
12	HSBC SECURITIES	3,164,632	3.14	2942
13	CAZENOVE SECURITIES	1,419,726	1.41	838
14	ING FINANCIAL MARKETS	920,980	0.91	660
15	SHORE CAPITAL STOCKBROKERS LTD	906,884	0.9	379

## Ordinary Securities

### Far East Asia / Pacific Rim

#### Top 15 Brokers

		Advertised Vol (000)	% of Total	# of Stocks
1	UBS	23,050,499	27.24	5238
2	CITIGROUP	21,102,823	24.94	3100
3	DEUTSCHE BANK SECURITIES, IN	12,650,354	14.95	3078
4	JPMORGAN	7,468,425	8.83	1649
5	HSBC SECURITIES	5,383,911	6.36	1911
6	BNP PARIBAS	3,907,014	4.62	1815
7	LEHMAN BROTHERS, INC.	3,562,607	4.21	2720
8	CREDIT SUISSE	3,097,932	3.66	1057
9	MORGAN STANLEY	2,891,447	3.42	293
10	ABN-AMRO	938,856	1.11	428
11	BEAR STEARNS AND COMPANY	228,519	0.27	1518
12	DRESDNER KLEINWORT	71,293	0.08	725
13	ING FINANCIAL MARKETS	65,426	0.08	102
14	PANMURE & CO. LTD	58,361	0.07	14
15	SHORE CAPITAL STOCKBROKERS LTD	49,695	0.06	21

## Ordinary Securities

### Latin America (Excluding Brazil)

#### Top 15 Brokers

		Advertised Vol (000)	% of Total	# of Stocks
1	JPMORGAN	101,064	28.6	40
2	BEAR STEARNS AND COMPANY	90,930	25.74	19
3	CITIGROUP	79,659	22.55	52
4	MORGAN STANLEY	68,602	19.42	27
5	GOLDMAN SACHS GROUP	8,090	2.29	7
6	UBS	3,399	0.96	31
7	MERRILL LYNCH, PIERCE FENNER	650	0.18	15
8	LEHMAN BROTHERS, INC.	375	0.11	1
9	DEUTSCHE BANK SECURITIES, IN	343	0.1	4
10	ING FINANCIAL MARKETS	163	0.05	2
11	KNIGHT	22	0.01	2
12	FERRIS BAKER WATTS & CO.,INC	8	0	1
13	DRESDNER KLEINWORT	4	0	18
14	ABN-AMRO	4	0	2

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15 HSBC SECURITIES

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<b>ADR Securities Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 UBS	929,829	14.41	864
2 KNIGHT CAPITAL GROUP	831,972	12.9	839
3 MERRILL LYNCH, PIERCE FENNER	770,831	11.95	825
4 MORGAN STANLEY	653,173	10.12	640
5 DEUTSCHE BANK SECURITIES, IN	434,776	6.74	608
6 CREDIT SUISSE	361,904	5.61	625
7 JPMORGAN	326,405	5.06	443
8 CITIGROUP	304,968	4.73	405
9 E-TRADE CAPITAL MARKETS	282,753	4.38	440
10 LEHMAN BROTHERS, INC.	274,286	4.25	452
11 GOLDMAN SACHS GROUP	157,864	2.45	598
12 BEAR STEARNS AND COMPANY	142,547	2.21	490
13 BANC OF AMERICA SECURITIES LLC	69,715	1.08	383
14 ABN-AMRO	61,455	0.95	176
15 COWEN & CO.	54,634	0.85	182
16 RBC CAPITAL MARKETS	52,058	0.81	167
17 INSTINET CORPORATION	49,678	0.77	223
18 SUSQUEHANNA INTERNATIONAL GRP.	49,336	0.76	467
19 CANTOR, FITZGERALD & CO., INC.	44,320	0.69	153
20 HSBC SECURITIES	42,840	0.66	233
21 WEEDEN & COMPANY, INC.	38,144	0.59	147
22 BULLTICK	37,182	0.58	169
23 JEFFERIES & COMPANY, INC.	36,404	0.56	256
24 CIBC WORLD MARKETS	31,688	0.49	248
25 NOMURA SECURITIES INTERNATIONA	22,589	0.35	224

<b>CVT BOND COUPON Securities Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 THOMAS WEISEL PARTNERS LLC	293,972	43.12	38
2 CREDIT SUISSE	216,803	31.8	4
3 J GIORDANO SECURITIES	110,690	16.24	29
4 CRT CAPITAL GROUP LLC	29,625	4.35	5
5 UBS	10,532	1.54	11
6 PIPER JAFFRAY & CO.	10,493	1.54	5
7 LAZARD CAPITAL MARKETS	9,500	1.39	3
8 KNIGHT CAPITAL GROUP	60	0.01	6
9 MERRILL LYNCH, PIERCE FENNER	35	0.01	5
10 JPMORGAN	21	0	1
11 HILL, THOMPSON, MAGID & CO.	10	0	3

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12 WEEDEN & COMPANY, INC.	7	0	1
13 SUSQUEHANNA INTERNATIONAL GRP.	3	0	2
14 DEUTSCHE BANK SECURITIES, IN	1	0	1
15 BNY BROKERAGE	1	0	1
16 KEEFE, BRUYETTE & WOODS, INC.	1	0	1
17 MORGAN STANLEY	0	0	1
18 SANFORD C. BERNSTEIN COMPANY,	0	0	1
19 WILLIAM BLAIR & COMPANY, LLC.	0	0	2
20 BEAR STEARNS AND COMPANY	0	0	1
21 GOLDMAN SACHS GROUP	0	0	1

<b>Mandatory CVT Securities Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 LAZARD CAPITAL MARKETS	8,194	41.91	15
2 CREDIT SUISSE	6,451	33	10
3 MORGAN STANLEY	2,904	14.85	11
4 DEUTSCHE BANK SECURITIES, IN	600	3.07	1
5 CITIGROUP	392	2	5
6 JPMORGAN	372	1.9	12
7 MERRILL LYNCH, PIERCE FENNER	298	1.52	5
8 UBS	94	0.48	6
9 J GIORDANO SECURITIES	80	0.41	1
10 KNIGHT CAPITAL GROUP	60	0.31	5
11 SUSQUEHANNA INTERNATIONAL GRP.	38	0.19	3
12 LEHMAN BROTHERS, INC.	33	0.17	2
13 JONESTRADING INSTITUTIONAL SER	25	0.13	1
14 BNY BROKERAGE	8	0.04	2
15 BB&T CAPITAL MARKETS	2	0.01	1

<b>CVT Bond Zero Securities Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 THOMAS WEISEL PARTNERS LLC	16,115	44.98	3
2 CREDIT SUISSE	14,712	41.06	1
3 UBS	5,000	13.96	1

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<b>CVT Preferred Securities Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 LAZARD CAPITAL MARKETS	1,279	24.17	18
2 JPMORGAN	857	16.2	9
3 KNIGHT CAPITAL GROUP	569	10.75	26
4 MORGAN STANLEY	448	8.46	11
5 CITIGROUP	389	7.36	9
6 UBS	284	5.37	27
7 MERRILL LYNCH, PIERCE FENNER	275	5.2	18
8 CANTOR, FITZGERALD & CO., INC.	240	4.54	2
9 CREDIT SUISSE	238	4.5	4
10 DEUTSCHE BANK SECURITIES, IN	237	4.47	3
11 LEHMAN BROTHERS, INC.	179	3.39	16
12 INSTINET CORPORATION	138	2.61	5
13 BANC OF AMERICA SECURITIES LLC	49	0.93	1
14 SUSQUEHANNA INTERNATIONAL GRP.	42	0.8	12
15 D.A. DAVIDSON & CO.	30	0.57	1