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February 2007

Monthly Summary

<b>Listed Securities Top 50 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b>% of Vol. Crossed</b>
1 MERRILL LYNCH, PIERCE FENNER	4,766,291	13	8
2 UBS	4,171,544	11.38	6
3 MORGAN STANLEY	3,520,456	9.6-	
4 LEHMAN BROTHERS, INC.	2,806,384	7.65	13
5 DEUTSCHE BANK SECURITIES, IN	2,164,460	5.9	2
6 CREDIT SUISSE	2,027,238	5.53	0
7 KNIGHT EQUITY MARKETS	1,735,078	4.73	7
8 JPMORGAN	1,627,416	4.44	6
9 GOLDMAN SACHS GROUP	1,465,741	4	21
10 BANC OF AMERICA SECURITIES LLC	1,296,789	3.54	3
11 CITIGROUP	1,208,564	3.3	14
12 BEAR STEARNS AND COMPANY	1,040,354	2.84	13
13 COWEN & CO.	946,701	2.58	2
14 RBC CAPITAL MARKETS	783,884	2.14	2
15 JEFFERIES & COMPANY, INC.	487,428	1.33	21
16 ITG, INC.	476,608	1.3	66
17 WEEDEN & COMPANY, INC.	467,223	1.27	2
18 E-TRADE CAPITAL MARKETS	466,462	1.27	1
19 SUSQUEHANNA INTERNATIONAL GRP.	364,276	0.99	3
20 INSTINET CORPORATION	359,364	0.98	7
21 BNY BROKERAGE	276,651	0.75	2
22 SANFORD C. BERNSTEIN COMPANY,	266,516	0.73	12
23 HSBC SECURITIES	235,548	0.64	0
24 CIBC WORLD MARKETS	215,387	0.59	4
25 JONESTRADING INSTITUTIONAL SER	212,064	0.58	52
26 CANTOR, FITZGERALD & CO., INC.	207,529	0.57	36
27 WACHOVIA SECURITIES	198,026	0.54	9
28 PIPER JAFFRAY & CO.	198,014	0.54	4
29 RAYMOND, JAMES & ASSOC. INC.	177,204	0.48	5
30 DRESDNER KLEINWORT	151,516	0.41-	
31 THOMAS WEISEL PARTNERS LLC	150,141	0.41	2
32 DAHLMAN ROSE & CO., LLC.	138,138	0.38	1
33 FRIEDMAN, BILLINGS, RAMSEY & C	135,325	0.37	5
34 STIFEL NICOLAUS CAPITAL MARKET	129,978	0.35	1
35 PRUDENTIAL SECURITIES, INC.	122,289	0.33	12
36 ROBERT W. BAIRD CO. INCORPORAT	88,513	0.24	19
37 NOMURA SECURITIES INTERNATIONA	77,242	0.21	1
38 KEEFE, BRUYETTE & WOODS, INC.	75,312	0.21	7
39 MCDONALD INVESTMENTS, INC.	74,280	0.2	1
40 OPPENHEIMER & CO	65,954	0.18	8
41 CAPITAL INSTITUTIONAL SERVICES	65,938	0.18-	
42 WILLIAM BLAIR & COMPANY, LLC.	63,550	0.17	6

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NOTE: \*\* Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

## Indicates a broker with certification pending.

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43	BTIG - BASS TRADING	61,683	0.17	27
44	ROCHDALE SECURITIES	56,254	0.15	6
45	THE INTERSTATE GROUP	55,607	0.15	0
46	A.G. EDWARDS & SONS, INC.	52,934	0.14	8
47	SUNTRUST ROBINSON HUMPHREY	50,136	0.14	5
48	BB&T CAPITAL MARKETS	45,734	0.12	2
49	FOX-PITT KELTON, INC.	45,145	0.12	22
50	JMP SECURITIES	44,701	0.12	4

<b>NASDAQ – National Market &amp; Small Cap Market</b>		<b>Advertised</b>	<b>% of</b>	<b># of</b>
<b>Top 50 Brokers</b>		<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1	UBS	3,571,513	12.62	10
2	KNIGHT EQUITY MARKETS	3,009,241	10.63	10
3	MORGAN STANLEY	2,472,129	8.74	0
4	COWEN & CO.	1,866,635	6.6	2
5	MERRILL LYNCH, PIERCE FENNER	1,763,430	6.23	4
6	E-TRADE CAPITAL MARKETS	1,638,470	5.79	0
7	LEHMAN BROTHERS, INC.	1,160,137	4.1	2
8	GOLDMAN SACHS GROUP	1,146,899	4.05	1
9	JPMORGAN	1,145,120	4.05	3
10	CREDIT SUISSE	1,108,261	3.92-	
11	BANC OF AMERICA SECURITIES LLC	1,075,828	3.8	1
12	DEUTSCHE BANK SECURITIES, IN	949,318	3.35	4
13	BEAR STEARNS AND COMPANY	877,719	3.1	3
14	CITIGROUP	866,740	3.06	17
15	INSTINET CORPORATION	491,734	1.74	4
16	JEFFERIES & COMPANY, INC.	359,574	1.27	12
17	PIPER JAFFRAY & CO.	321,793	1.14	5
18	RBC CAPITAL MARKETS	301,125	1.06	8
19	CIBC WORLD MARKETS	258,085	0.91	2
20	WACHOVIA SECURITIES	231,951	0.82	1
21	SUSQUEHANNA INTERNATIONAL GRP.	216,692	0.77	1
22	WEEDEN & COMPANY, INC.	202,265	0.71	21
23	ITG, INC.	200,476	0.71	63
24	CANTOR, FITZGERALD & CO., INC.	189,923	0.67	20
25	THOMAS WEISEL PARTNERS LLC	186,591	0.66	8
26	RAYMOND, JAMES & ASSOC. INC.	180,382	0.64	3
27	ROBERT W. BAIRD CO. INCORPORAT	118,248	0.42	5
28	JONESTRADING INSTITUTIONAL SER	116,233	0.41	44
29	FRIEDMAN, BILLINGS, RAMSEY & C	111,565	0.39	18
30	BNY BROKERAGE	103,944	0.37	8
31	KEEFE, BRUYETTE & WOODS, INC.	102,439	0.36	4
32	FIRST ALBANY CORPORATION	93,892	0.33	4
33	PRUDENTIAL SECURITIES, INC.	90,187	0.32	3

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34	BTIG - BASS TRADING	81,363	0.29	22
35	CRT CAPITAL GROUP LLC	80,298	0.28	5
36	SANFORD C. BERNSTEIN COMPANY,	78,747	0.28	7
37	OPPENHEIMER & CO	75,437	0.27	0
38	WILLIAM BLAIR & COMPANY, LLC.	69,873	0.25	6
39	A.G. EDWARDS & SONS, INC.	68,859	0.24	2
40	MAXIM GROUP LLC	60,715	0.21-	
41	DRESDNER KLEINWORT	60,279	0.21-	
42	NEEDHAM & COMPANY	59,314	0.21	11
43	CANACCORD ADAMS	56,217	0.2	14
44	LEERINK SWANN & CO.	56,019	0.2	17
45	J.P. TURNER & CO.	50,163	0.18-	
46	THINKEQUITY PARTNERS	47,063	0.17	13
47	PACIFIC CREST SECURITIES	45,914	0.16	11
48	JMP SECURITIES	45,127	0.16	5
49	WEDBUSH MORGAN SECURITIES	44,098	0.16	3
50	SUNTRUST ROBINSON HUMPHREY	44,073	0.16	5

<b>Listed Securities Non-NY Brokers</b>	<b>Advertised</b>	<b>% of Vol.</b>
<b>Top 25 Broker - Dealer</b>	<b>Vol.(000)</b>	<b>Crossed</b>
1	BANC OF AMERICA SECURITIES LLC	3
2	WEEDEN & COMPANY, INC.	2
3	SUSQUEHANNA INTERNATIONAL GRP.	3
4	CANTOR, FITZGERALD & CO., INC.	36
5	WACHOVIA SECURITIES	9
6	RAYMOND, JAMES & ASSOC. INC.	5
7	THOMAS WEISEL PARTNERS LLC	2
8	FRIEDMAN, BILLINGS, RAMSEY & C	5
9	ROBERT W. BAIRD CO. INCORPORAT	19
10	MCDONALD INVESTMENTS, INC.	1
11	CAPITAL INSTITUTIONAL SERVICES	-
12	WILLIAM BLAIR & COMPANY, LLC.	6
13	THE INTERSTATE GROUP	0
14	A.G. EDWARDS & SONS, INC.	8
15	FIRST ALBANY CORPORATION	2
16	MIDWEST RESEARCH	30
17	MORGAN KEEGAN & CO., INC.	11
18	GREEN STREET ADVISORS	11
19	ABN-AMRO	0
20	SIMMONS AND COMPANY INTERNATIO	-
21	THINKEQUITY PARTNERS	25
22	MKM PARTNERS	28
23	JOHNSON RICE & COMPANY	10
24	STEPHENS INC.	2

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25 DAVENPORT & COMPANY

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<b>NASDAQ – National Market &amp; Small Cap Market Non NY Brokers</b>			
<b>Top 25 Broker-Dealer</b>	<b>Advertised Vol.(000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 BANC OF AMERICA SECURITIES LLC	1075223		1246
2 WACHOVIA SECURITIES	231940		575
3 SUSQUEHANNA INTERNATIONAL GRP.	215409		2024
4 WEEDEN & COMPANY, INC.	202251		968
5 THOMAS WEISEL PARTNERS LLC	186584		560
6 RAYMOND, JAMES & ASSOC. INC.	179470		1450
7 ROBERT W. BAIRD CO. INCORPORAT	118248		396
8 FRIEDMAN, BILLINGS, RAMSEY & C	98580		446
9 FIRST ALBANY CORPORATION	93892		263
10 WILLIAM BLAIR & COMPANY, LLC.	69873		668
11 A.G. EDWARDS & SONS, INC.	68859		327
12 THINKEQUITY PARTNERS	47063		245
13 PACIFIC CREST SECURITIES	45914		115
14 WEDBUSH MORGAN SECURITIES	44098		234
15 CRAIG-HALLUM CAPITAL GROUP	42593		70
16 D.A. DAVIDSON & CO.	27920		144
17 STEPHENS INC.	27670		173
18 CAPITAL INSTITUTIONAL SERVICES	23342		298
19 MORGAN KEEGAN & CO., INC.	22228		152
20 MCDONALD INVESTMENTS, INC.	22174		151
21 W.R. HAMBRECHT & COMPANY, LLC	14985		95
22 PACIFIC GROWTH EQUITIES	14958		152
23 SANDERS MORRIS HARRIS	10100		77
24 MIDWEST RESEARCH	7801		87
25 THE INTERSTATE GROUP	5869		84

<b>Ordinary Securities</b>			
<b>Europe Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 LEHMAN BROTHERS, INC.	14,368,514	15.86	1851
2 DEUTSCHE BANK SECURITIES, IN	11,522,882	12.72	2922
3 CREDIT SUISSE	10,067,807	11.11	1410
4 CITIGROUP	8,937,860	9.86	2289
5 UBS	8,360,812	9.23	3343
6 MORGAN STANLEY	7,294,914	8.05	1800
7 DRESDNER KLEINWORT	5,842,564	6.45	2698
8 GOLDMAN SACHS GROUP	4,718,363	5.21	2302
9 ABN-AMRO	4,081,559	4.5	1976

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10	JPMORGAN	3,414,822	3.77	1726
11	HSBC SECURITIES	1,752,417	1.93	2959
12	CAZENOVE SECURITIES	1,558,494	1.72	859
13	ING FINANCIAL MARKETS	1,156,920	1.28	759
14	EVOLUTION SECURITIES	1,010,984	1.12	335
15	PANMURE & CO. LTD	785,754	0.87	550

<b>Ordinary Securities</b>				
<b>Far East Asia / Pacific Rim</b>				
<b>Top 15 Brokers</b>				
		<b>Advertised</b>	<b>% of</b>	<b># of</b>
		<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1	CITIGROUP	22,839,748	30.34	3047
2	UBS	19,769,276	26.26	4948
3	DEUTSCHE BANK SECURITIES, IN	8,521,817	11.32	3074
4	JPMORGAN	7,360,955	9.78	1429
5	CREDIT SUISSE	4,335,935	5.76	1103
6	HSBC SECURITIES	4,102,174	5.45	2017
7	MORGAN STANLEY	3,499,695	4.65	297
8	BNP PARIBAS	3,474,233	4.61	1613
9	ABN-AMRO	731,248	0.97	574
10	DRESDNER KLEINWORT	315,859	0.42	830
11	MERRILL LYNCH, PIERCE FENNER	107,426	0.14	148
12	LEHMAN BROTHERS, INC.	56,447	0.07	23
13	ING FINANCIAL MARKETS	49,356	0.07	150
14	RBC CAPITAL MARKETS	26,995	0.04	2
15	EVOLUTION SECURITIES	19,815	0.03	6

<b>Ordinary Securities</b>				
<b>Latin America (Excluding Brazil)</b>				
<b>Top 15 Brokers</b>				
		<b>Advertised</b>	<b>% of</b>	<b># of</b>
		<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1	JPMORGAN	138,283	30.16	49
2	BEAR STEARNS AND COMPANY	135,420	29.53	21
3	CITIGROUP	101,680	22.18	36
4	MORGAN STANLEY	73,074	15.94	29
5	UBS	3,435	0.75	23
6	MERRILL LYNCH, PIERCE FENNER	3,283	0.72	17
7	DEUTSCHE BANK SECURITIES, IN	2,375	0.52	8
8	CREDIT SUISSE	613	0.13	2
9	KNIGHT EQUITY MARKETS	234	0.05	5
10	LEHMAN BROTHERS, INC.	128	0.03	2
11	DRESDNER KLEINWORT	1	0	1
12	GOLDMAN SACHS GROUP	0	0	1
13	BB&T CAPITAL MARKETS	0	0	1

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<b>ADR Securities Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 UBS	1,031,310	14.11	870
2 MERRILL LYNCH, PIERCE FENNER	793,356	10.85	861
3 KNIGHT EQUITY MARKETS	717,479	9.82	858
4 MORGAN STANLEY	715,861	9.79	627
5 JPMORGAN	451,108	6.17	441
6 DEUTSCHE BANK SECURITIES, IN	443,364	6.07	622
7 LEHMAN BROTHERS, INC.	394,775	5.4	558
8 CREDIT SUISSE	375,841	5.14	657
9 CITIGROUP	331,541	4.54	446
10 E-TRADE CAPITAL MARKETS	259,380	3.55	466
11 GOLDMAN SACHS GROUP	229,861	3.15	673
12 BEAR STEARNS AND COMPANY	212,896	2.91	526
13 COWEN & CO.	211,932	2.9	188
14 BANC OF AMERICA SECURITIES LLC	91,107	1.25	398
15 RBC CAPITAL MARKETS	86,914	1.19	184
16 INSTINET CORPORATION	73,654	1.01	313
17 ABN-AMRO	63,086	0.86	131
18 CANTOR, FITZGERALD & CO., INC.	56,528	0.77	138
19 CIBC WORLD MARKETS	50,294	0.69	253
20 SUSQUEHANNA INTERNATIONAL GRP.	50,240	0.69	453
21 HSBC SECURITIES	45,244	0.62	186
22 JEFFERIES & COMPANY, INC.	45,143	0.62	288
23 BULLTICK	42,771	0.59	176
24 ING FINANCIAL MARKETS	37,783	0.52	45
25 DRESDNER KLEINWORT	31,631	0.43	234

<b>CVT BOND COUPON Securities Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 UBS	657,030	38.04	77
2 THOMAS WEISEL PARTNERS LLC	492,482	28.51	44
3 CREDIT SUISSE	455,368	26.36	4
4 CRT CAPITAL GROUP LLC	53,390	3.09	18
5 J GIORDANO SECURITIES	30,634	1.77	13
6 PIPER JAFFRAY & CO.	30,497	1.77	9
7 LAZARD CAPITAL MARKETS	7,650	0.44	4
8 JPMORGAN	105	0.01	1
9 MERRILL LYNCH, PIERCE FENNER	40	0	5
10 KNIGHT EQUITY MARKETS	30	0	5
11 DEUTSCHE BANK SECURITIES, IN	8	0	2

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12	GOLDMAN SACHS GROUP	1	0	3
13	JEFFERIES & COMPANY, INC.	1	0	1
14	BEAR STEARNS AND COMPANY	0	0	1

<b>Mandatory CVT Securities Top 15 Brokers</b>		<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1	LAZARD CAPITAL MARKETS	5,397	49.46	19
2	LEHMAN BROTHERS, INC.	1,151	10.55	9
3	UBS	1,136	10.41	9
4	CREDIT SUISSE	1,094	10.02	9
5	MORGAN STANLEY	1,082	9.91	7
6	GOLDMAN SACHS GROUP	457	4.18	6
7	MERRILL LYNCH, PIERCE FENNER	202	1.85	5
8	CITIGROUP	112	1.03	9
9	COWEN & CO.	93	0.85	3
10	JEFFERIES & COMPANY, INC.	88	0.81	7
11	KNIGHT EQUITY MARKETS	43	0.39	5
12	JPMORGAN	41	0.38	1
13	SUSQUEHANNA INTERNATIONAL GRP.	6	0.05	2
14	RBC CAPITAL MARKETS	5	0.05	1
15	CANTOR, FITZGERALD & CO., INC.	3	0.03	1

<b>CVT Bond Zero Securities Top 15 Brokers</b>		<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1	THOMAS WEISEL PARTNERS LLC	67,068	35.69	6
2	UBS	58,500	31.13	10
3	PIPER JAFFRAY & CO.	25,178	13.4	3
4	CREDIT SUISSE	21,047	11.2	1
5	COWEN & CO.	8,998	4.79	1
6	J GIORDANO SECURITIES	7,150	3.8	2

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<b>CVT Preferred Securities Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 LAZARD CAPITAL MARKETS	8,050	24.92	18
2 MORGAN STANLEY	7,848	24.29	8
3 GOLDMAN SACHS GROUP	5,207	16.12	18
4 LEHMAN BROTHERS, INC.	3,462	10.71	13
5 UBS	1,706	5.28	33
6 CITIGROUP	1,679	5.2	12
7 DEUTSCHE BANK SECURITIES, IN	1,523	4.71	7
8 CANTOR, FITZGERALD & CO., INC.	640	1.98	2
9 KNIGHT EQUITY MARKETS	580	1.79	28
10 CREDIT SUISSE	560	1.73	8
11 MERRILL LYNCH, PIERCE FENNER	421	1.3	24
12 CRT CAPITAL GROUP LLC	358	1.11	1
13 J GIORDANO SECURITIES	129	0.4	3
14 JEFFERIES & COMPANY, INC.	39	0.12	6
15 E-TRADE CAPITAL MARKETS	25	0.08	1