



February 2008

Monthly Summary

Listed Securities Top 50 Brokers	Advertised Vol (000)	% of Total	% of Vol. Crossed
1 MORGAN STANLEY	5,258,955	11.73-	
2 MERRILL LYNCH, PIERCE FENNER	4,939,442	11.02	1
3 UBS	4,493,294	10.02	5
4 CREDIT SUISSE	3,333,893	7.44-	
5 DEUTSCHE BANK SECURITIES, IN	3,285,600	7.33	1
6 LEHMAN BROTHERS, INC.	2,976,992	6.64	0
7 KNIGHT	2,665,440	5.95	4
8 CITIGROUP	2,424,324	5.41	5
9 JPMORGAN	2,140,913	4.78	8
10 INSTINET CORPORATION	1,538,972	3.43	2
11 BEAR STEARNS AND COMPANY	1,300,888	2.9	8
12 BANC OF AMERICA SECURITIES LLC	1,277,214	2.85	3
13 RBC CAPITAL MARKETS	1,246,756	2.78	7
14 GOLDMAN SACHS GROUP	1,078,862	2.41	17
15 JEFFERIES & COMPANY, INC.	762,385	1.7	11
16 WEEDEN & COMPANY, INC.	531,546	1.19	20
17 BNY CONVERGEX	358,689	0.8	2
18 SANFORD C. BERNSTEIN COMPANY,	344,046	0.77	9
19 ITG, INC.	329,306	0.73	100
20 SUSQUEHANNA INTERNATIONAL GRP.	311,133	0.69	1
21 CANTOR, FITZGERALD & CO., INC.	295,385	0.66	18
22 WACHOVIA SECURITIES	267,035	0.6	4
23 OPPENHEIMER & CO	249,868	0.56	1
24 RAYMOND JAMES	217,681	0.49	3
25 JONESTRADING INSTITUTIONAL SER	203,938	0.45	39
26 COWEN & CO.	171,496	0.38	11
27 ROBERT W. BAIRD CO. INCORPORAT	166,357	0.37	7
28 FRIEDMAN, BILLINGS, RAMSEY & C	164,904	0.37	6
29 STIFEL NICOLAUS CAPITAL MARKET	153,628	0.34	10
30 PIPER JAFFRAY & CO.	139,925	0.31	2
31 BTIG - BASS TRADING	136,599	0.3	15
32 THOMAS WEISEL PARTNERS LLC	127,064	0.28	2
33 KEEFE, BRUYETTE & WOODS, INC.	126,301	0.28	3
34 CRT CAPITAL GROUP LLC	97,835	0.22	33
35 KEYBANC CAPITAL MARKETS INC.	95,071	0.21	5
36 MAXIM GROUP LLC	75,031	0.17	10
37 WILLIAM BLAIR & COMPANY, LLC.	73,185	0.16	5
38 INTERNATIONAL STRATEGY & INV	69,213	0.15-	
39 SUNTRUST ROBINSON HUMPHREY	68,201	0.15	11
40 ROCHDALE SECURITIES	66,289	0.15	4
41 FOX-PITT KELTON, INC.	62,576	0.14	5
42 PALI CAPITAL LLC	62,317	0.14	9
43 DRESDNER KLEINWORT	59,762	0.13-	

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44 THE INTERSTATE GROUP	59,076	0.13-	
45 SIMMONS AND COMPANY INTERNATIO	58,154	0.13-	-
46 JMP SECURITIES	57,660	0.13-	-
47 BMO CAPITAL MARKETS	57,596	0.13	46
48 HSBC SECURITIES	52,350	0.12-	-
49 LEERINK SWANN & CO.	46,943	0.1	4
50 BB&T CAPITAL MARKETS	42,589	0.1	2

NASDAQ – National Market & Small Cap Market		Advertised	% of	# of
Top 50 Brokers		Vol (000)	Total	Stocks
1 KNIGHT		3,417,399	11.83	3117
2 UBS		3,365,045	11.65	3060
3 MORGAN STANLEY		2,933,578	10.15	2607
4 MERRILL LYNCH, PIERCE FENNER		1,988,483	6.88	2595
5 LEHMAN BROTHERS, INC.		1,810,743	6.27	2132
6 CREDIT SUISSE		1,719,521	5.95	2457
7 CITIGROUP		1,644,260	5.69	1861
8 INSTINET CORPORATION		1,329,459	4.6	2024
9 JPMORGAN		1,326,749	4.59	1905
10 GOLDMAN SACHS GROUP		1,097,528	3.8	2199
11 DEUTSCHE BANK SECURITIES, IN		1,030,388	3.57	1953
12 BANC OF AMERICA SECURITIES LLC		908,460	3.14	1553
13 BEAR STEARNS AND COMPANY		840,160	2.91	2143
14 JEFFERIES & COMPANY, INC.		553,828	1.92	1154
15 RBC CAPITAL MARKETS		499,909	1.73	770
16 PIPER JAFFRAY & CO.		318,304	1.1	960
17 COWEN & CO.		260,037	0.9	779
18 CANTOR, FITZGERALD & CO., INC.		256,034	0.89	982
19 WACHOVIA SECURITIES		226,118	0.78	604
20 OPPENHEIMER & CO		218,446	0.76	732-
21 SUSQUEHANNA INTERNATIONAL GRP.		204,491	0.71	2015
22 WEEDEN & COMPANY, INC.		201,989	0.7	1181
23 THOMAS WEISEL PARTNERS LLC		192,209	0.67	605
24 ITG, INC.		168,471	0.58	996
25 BTIG - BASS TRADING		134,251	0.46	463
26 RAYMOND JAMES		130,240	0.45	679
27 JONESTRADING INSTITUTIONAL SER		124,918	0.43	519
28 SANFORD C. BERNSTEIN COMPANY,		117,929	0.41	471
29 BNY CONVERGEX		112,432	0.39	1189
30 ROBERT W. BAIRD CO. INCORPORAT		111,948	0.39	468
31 FRIEDMAN, BILLINGS, RAMSEY & C		105,293	0.36	373
32 WILLIAM BLAIR & COMPANY, LLC.		89,491	0.31	705
33 STIFEL NICOLAUS CAPITAL MARKET		89,180	0.31	782
34 PALI CAPITAL LLC		87,891	0.3	302
35 THINKEQUITY PARTNERS		70,637	0.24	230
36 NEEDHAM & COMPANY		65,682	0.23	638

37	LEERINK SWANN & CO.	59,199	0.2	333
38	KEEFE, BRUYETTE & WOODS, INC.	55,803	0.19	451
39	CANACCORD ADAMS	52,646	0.18	341
40	JMP SECURITIES	47,828	0.17	125-
41	WEDBUSH MORGAN SECURITIES	46,985	0.16	208
42	PACIFIC CREST SECURITIES	45,821	0.16	124
43	BENCHMARK COMPANY, LLC	44,082	0.15	432
44	CRT CAPITAL GROUP LLC	40,725	0.14	83
45	BMO CAPITAL MARKETS	38,218	0.13	98
46	MERRIMAN CURHAN FORD & CO.	36,031	0.12	350
47	SUNTRUST ROBINSON HUMPHREY	33,015	0.11	403
48	STEPHENS INC.	31,763	0.11	265
49	LAZARD CAPITAL MARKETS	29,889	0.1	123
50	HSBC SECURITIES	28,333	0.1	385-

Listed Securities Non-NY Brokers Top 25 Broker - Dealer		Advertised Vol.(000)	% of Vol. Crossed
1	BANC OF AMERICA SECURITIES LLC	1,278,912	3
2	WEEDEN & COMPANY, INC.	531,544	20
3	SUSQUEHANNA INTERNATIONAL GRP.	311,083	1
4	CANTOR, FITZGERALD & CO., INC.	295,362	18
5	WACHOVIA SECURITIES	267,035	4
6	ROBERT W. BAIRD CO. INCORPORAT	166,207	7
7	FRIEDMAN, BILLINGS, RAMSEY & C	164,904	6
8	THOMAS WEISEL PARTNERS LLC	127,064	2
9	WILLIAM BLAIR & COMPANY, LLC.	73,185	5
10	THE INTERSTATE GROUP	59,056	-
11	SIMMONS AND COMPANY INTERNATIO	58,154	-
12	ABN-AMRO	40,423	-
13	MORGAN KEEGAN & CO., INC.	39,270	10
14	CAPITAL INSTITUTIONAL SERVICES	36,722	-
15	WEDBUSH MORGAN SECURITIES	25,295	2
16	GREEN STREET ADVISORS	23,053	13
17	STEPHENS INC.	20,312	1
18	JOHNSON RICE & COMPANY	16,947	-
19	MKM PARTNERS	16,750	4
20	THINKEQUITY PARTNERS	16,491	13
21	MIDWEST RESEARCH	12,782	13
22	D.A. DAVIDSON & CO.	11,247	3
23	BULLTICK	7,102	-
24	PACIFIC GROWTH EQUITIES	6,935	8
25	PACIFIC CREST SECURITIES	5,637	5

NASDAQ – National Market & Small Cap Market Non NY Brokers Top 25 Broker-Dealer		Advertised Vol.(000)	# of Stocks
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1	BANC OF AMERICA SECURITIES LLC	907,405	1,546
2	WACHOVIA SECURITIES	226,118	604
3	SUSQUEHANNA INTERNATIONAL GRP.	204,309	2,002
4	WEEDEN & COMPANY, INC.	198,368	1,175
5	THOMAS WEISEL PARTNERS LLC	188,478	602
6	ROBERT W. BAIRD CO. INCORPORAT	111,823	466
7	FRIEDMAN, BILLINGS, RAMSEY & C	105,293	373
8	WILLIAM BLAIR & COMPANY, LLC.	89,473	703
9	THINKEQUITY PARTNERS	70,592	229
10	WEDBUSH MORGAN SECURITIES	46,985	208
11	PACIFIC CREST SECURITIES	45,821	124
12	STEPHENS INC.	31,763	265
13	MORGAN KEEGAN & CO., INC.	24,927	137
14	CRAIG-HALLUM CAPITAL GROUP	23,980	77
15	CAPITAL INSTITUTIONAL SERVICES	17,210	255
16	PACIFIC GROWTH EQUITIES	16,336	139
17	D.A. DAVIDSON & CO.	15,186	153
18	THE INTERSTATE GROUP	8,276	118
19	MKM PARTNERS	8,187	76
20	SIMMONS AND COMPANY INTERNATIO	7,193	43
21	MIDWEST RESEARCH	6,256	64
22	SANDERS MORRIS HARRIS	6,184	29
23	JOHNSON RICE & COMPANY	5,002	63
24	BULLTICK	2,742	33
25	W.R. HAMBRECHT & COMPANY, LLC	2,500	14

Ordinary Securities				
Europe				
Top 15 Brokers				
	Advertised	% of	# of	
	Vol (000)	Total	Stocks	
1	LEHMAN BROTHERS, INC.	19,217,735	17.39	1756
2	DEUTSCHE BANK SECURITIES, IN	13,338,430	12.07	2673
3	CREDIT SUISSE	12,282,709	11.12	2132
4	CITIGROUP	11,355,361	10.28	1948
5	UBS	11,301,944	10.23	1407
6	MERRILL LYNCH, PIERCE FENNER	6,421,397	5.81	1949
7	SHORE CAPITAL STOCKBROKERS LTD	5,165,705	4.68	380
8	MORGAN STANLEY EUROPE	4,257,985	3.85	1530
9	ABN-AMRO	3,932,723	3.56	1667
10	DRESDNER KLEINWORT	3,866,411	3.5	2576
11	JPMORGAN	3,636,589	3.29	1751
12	GOLDMAN SACHS GROUP	3,217,605	2.91	2230
13	BEAR STEARNS AND COMPANY	2,883,374	2.61	1452
14	CAZENOVE SECURITIES	1,567,260	1.42	787
15	INSTINET CORPORATION	1,067,454	0.97	1259

Ordinary Securities			
Far East Asia / Pacific Rim	Advertised	% of	# of
Top 15 Brokers	Vol (000)	Total	Stocks
1 CITIGROUP	24,695,740	38.68	3035
2 DEUTSCHE BANK SECURITIES, IN	11,517,504	18.04	2632
3 JPMORGAN	6,813,125	10.67	1237
4 MERRILL LYNCH, PIERCE FENNER	4,707,203	7.37	1736
5 LEHMAN BROTHERS, INC.	4,667,075	7.31	3041
6 HSBC SECURITIES	2,680,255	4.2	1147
7 ABN-AMRO	2,208,483	3.46	350
8 CREDIT SUISSE	1,970,171	3.09	900
9 BEAR STEARNS AND COMPANY	1,936,248	3.03	1867
10 MORGAN STANLEY ASIA	1,037,434	1.62	236
11 BNP PARIBAS	671,581	1.05	818
12 MORGAN STANLEY	612,845	0.96	168
13 DRESDNER KLEINWORT	146,248	0.23	721
14 NUMIS SECURITIES LIMITED	36,705	0.06	4
15 UBS	31,989	0.05	48

Ordinary Securities			
Latin America (Excluding Brazil)	Advertised	% of	# of
Top 15 Brokers	Vol (000)	Total	Stocks
1 JPMORGAN	291,953	29.79	50
2 MERRILL LYNCH, PIERCE FENNER	264,918	27.03	27
3 MORGAN STANLEY	173,308	17.68	46
4 BEAR STEARNS AND COMPANY	150,727	15.38	19
5 CITIGROUP	85,867	8.76	32
6 GOLDMAN SACHS GROUP	8,245	0.84	9
7 DEUTSCHE BANK SECURITIES, IN	2,466	0.25	8
8 CREDIT SUISSE	1,449	0.15	4
9 LEHMAN BROTHERS, INC.	396	0.04	2
10 KNIGHT	268	0.03	5
11 UBS	199	0.02	3
12 DRESDNER KLEINWORT	160	0.02	3
13 JEFFERIES & COMPANY, INC.	11	0	1
14 SUNTRUST ROBINSON HUMPHREY	5	0	1
15 WILLIAM BLAIR & COMPANY, LLC.	0	0	1

ADR Securities			
Top 25 Brokers	Advertised	% of	# of
	Vol (000)	Total	Stocks
1 MERRILL LYNCH, PIERCE FENNER	705,764	13.95	670
2 MORGAN STANLEY	624,344	12.34	604
3 UBS	522,511	10.33	634
4 DEUTSCHE BANK SECURITIES, IN	466,013	9.21	372
5 CREDIT SUISSE	453,815	8.97	419
6 CITIGROUP	360,715	7.13	415

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7	KNIGHT	318,538	6.3	650
8	JPMORGAN	286,212	5.66	329
9	BEAR STEARNS AND COMPANY	178,136	3.52	483
10	LEHMAN BROTHERS, INC.	174,024	3.44	408
11	INSTINET CORPORATION	152,169	3.01	278
12	GOLDMAN SACHS GROUP	151,168	2.99	482
13	MORGAN STANLEY EUROPE	77,861	1.54	32
14	RBC CAPITAL MARKETS	72,088	1.42	111
15	ABN-AMRO	51,545	1.02	115
16	HSBC SECURITIES	45,668	0.9	189
17	JEFFERIES & COMPANY, INC.	39,272	0.78	196
18	ING FINANCIAL MARKETS	32,186	0.64	26
19	SUSQUEHANNA INTERNATIONAL GRP.	27,810	0.55	263
20	CANTOR, FITZGERALD & CO., INC.	25,547	0.5	93
21	BANC OF AMERICA SECURITIES LLC	25,250	0.5	246
22	BULLTICK	22,570	0.45	117
23	SANFORD C. BERNSTEIN COMPANY,	20,442	0.4	105
24	OPPENHEIMER & CO	19,954	0.39	130
25	DRESDNER KLEINWORT	17,914	0.35	176

CVT BOND COUPON Securities		Advertised	% of	# of
Top 25 Brokers		Vol (000)	Total	Stocks
1	CREDIT SUISSE	130,963	52.99	4
2	CRT CAPITAL GROUP LLC	76,900	31.12	13
3	THOMAS WEISEL PARTNERS LLC	37,250	15.07	4
4	JPMORGAN	1,062	0.43	6
5	J GIORDANO SECURITIES	650	0.26	2
6	MERRILL LYNCH, PIERCE FENNER	182	0.07	6
7	KNIGHT	55	0.02	7
8	UBS	33	0.01	7
9	WILLIAM BLAIR & COMPANY, LLC.	11	0	5
10	CITIGROUP	8	0	1
11	INSTINET CORPORATION	8	0	2
12	MORGAN STANLEY	5	0	3
13	LEHMAN BROTHERS, INC.	4	0	2
14	KEEFE, BRUYETTE & WOODS, INC.	4	0	2
15	HILL THOMPSON MAGID CO. INC.	3	0	2
16	BNY CONVERGEX	2	0	1
17	DEUTSCHE BANK SECURITIES, IN	2	0	1
18	SUSQUEHANNA INTERNATIONAL GRP.	1	0	2
19	BEAR STEARNS AND COMPANY	0	0	1

Mandatory CVT Securities Top 15 Brokers		Advertised Vol (000)	% of Total	# of Stocks
1	CITIGROUP	1,890	31.36	8
2	CREDIT SUISSE	1,479	24.54	4
3	LAZARD CAPITAL MARKETS	773	12.83	2
4	GOLDMAN SACHS GROUP	484	8.04	6
5	MERRILL LYNCH, PIERCE FENNER	411	6.83	5
6	KNIGHT	282	4.67	6
7	UBS	196	3.25	6
8	MORGAN STANLEY EUROPE	139	2.3	2
9	JPMORGAN	94	1.56	2
10	WILLIAM BLAIR & COMPANY, LLC.	88	1.46	1
11	SUNTRUST ROBINSON HUMPHREY	71	1.18	2
12	MORGAN STANLEY	45	0.75	6
13	CANTOR, FITZGERALD & CO., INC.	29	0.48	1
14	BANC OF AMERICA SECURITIES LLC	24	0.39	1
15	LEHMAN BROTHERS, INC.	8	0.14	4

CVT Bond Zero Securities Top 15 Brokers		Advertised Vol (000)	% of Total	# of Stocks
1	CREDIT SUISSE	14,353	100	1

CVT Preferred Securities Top 15 Brokers		Advertised Vol (000)	% of Total	# of Stocks
1	GOLDMAN SACHS GROUP	14,196	30.32	31
2	JPMORGAN	13,218	28.24	41
3	CITIGROUP	5,559	11.87	57
4	KNIGHT	2,455	5.24	59
5	MERRILL LYNCH, PIERCE FENNER	2,004	4.28	48

6	UBS	1,534	3.28	33
7	LEHMAN BROTHERS, INC.	1,411	3.01	36
8	CREDIT SUISSE	1,326	2.83	8
9	BANC OF AMERICA SECURITIES LLC	1,082	2.31	7
10	MORGAN STANLEY	840	1.79	67
11	WILLIAM BLAIR & COMPANY, LLC.	752	1.61	31
12	LAZARD CAPITAL MARKETS	534	1.14	5
13	DEUTSCHE BANK SECURITIES, IN	385	0.82	8
14	INSTINET CORPORATION	245	0.52	11
15	MAXIM GROUP LLC	240	0.51	1