

<b>Listed Securities Top 50 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b>% of Vol. Crossed</b>
1 UBS	7,973,426	12.01	6-
2 MORGAN STANLEY	7,196,964	10.84-	
3 MERRILL LYNCH, PIERCE FENNER	7,080,196	10.66	0
4 KNIGHT	6,463,252	9.73	6-
5 DEUTSCHE BANK SECURITIES, IN	4,151,765	6.25	1
6 CITIGROUP	4,109,315	6.19	2
7 CREDIT SUISSE	4,000,232	6.02-	
8 LEHMAN BROTHERS, INC.	3,292,901	4.96	0
9 JPMORGAN	3,254,108	4.9	5
10 INSTINET CORPORATION	2,502,725	3.77	4
11 ITG, INC.	2,196,264	3.31	18
12 RBC CAPITAL MARKETS	1,913,408	2.88	4
13 GOLDMAN SACHS GROUP	1,622,909	2.44	7
14 BANC OF AMERICA SECURITIES LLC	1,143,743	1.72	3
15 JEFFERIES & COMPANY, INC.	1,052,508	1.59	12
16 WEEDEN & COMPANY, INC.	712,983	1.07	11
17 SANFORD C. BERNSTEIN COMPANY,	540,146	0.81	8
18 OPPENHEIMER & CO	443,048	0.67	1
19 CANTOR, FITZGERALD & CO., INC.	390,188	0.59	13
20 WACHOVIA SECURITIES	322,688	0.49	4
21 JONESTRADING INSTITUTIONAL SER	307,212	0.46	33
22 ROBERT W. BAIRD CO. INCORPORAT	304,131	0.46	4
23 BNY CONVERGEX	298,723	0.45	2
24 COWEN & CO.	297,383	0.45	11
25 FRIEDMAN, BILLINGS, RAMSEY & C	274,979	0.41	7
26 BTIG - BASS TRADING	272,094	0.41	9
27 STIFEL NICOLAUS CAPITAL MARKET	266,697	0.4	3
28 THOMAS WEISEL PARTNERS LLC	262,533	0.4	2
29 SUSQUEHANNA INTERNATIONAL GRP.	260,994	0.39	1
30 PIPER JAFFRAY & CO.	221,042	0.33	9
31 CRT CAPITAL GROUP LLC	214,270	0.32	18
32 KEEFE, BRUYETTE & WOODS, INC.	212,639	0.32	1
33 RAYMOND JAMES	188,915	0.28	2
34 BEAR STEARNS AND COMPANY	148,088	0.22	5
35 FOX-PITT KELTON, INC.	134,885	0.2	4
36 HSBC SECURITIES	133,181	0.2	0
37 WILLIAM BLAIR & COMPANY, LLC.	118,917	0.18	5
38 KEYBANC CAPITAL MARKETS INC.	111,878	0.17	7-
39 PALI CAPITAL LLC	108,936	0.16	5
40 DRESDNER KLEINWORT	102,101	0.15-	
41 CAPITAL INSTITUTIONAL SERVICES	88,376	0.13-	
42 LEERINK SWANN & CO.	87,150	0.13	4
43 SUNTRUST ROBINSON HUMPHREY	80,653	0.12	9-
44 MAXIM GROUP LLC	74,132	0.11	3-

45	MORGAN KEEGAN & CO., INC.	73,084	0.11	5-
46	LABRANCHE FINANCIAL SERVICES	70,094	0.11	2-
47	SIMMONS AND COMPANY INTERNATIO	67,206	0.1-	
48	BB&T CAPITAL MARKETS	65,147	0.1	2-
49	THE INTERSTATE GROUP	63,889	0.1	0
50	HUDSON SECURITIES	60,732	0.09	3

<b>NASDAQ – National Market &amp; Small Cap Market</b>		<b>Advertised</b>	<b>% of</b>	<b># of</b>
<b>Top 50 Brokers</b>		<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1	KNIGHT	3,537,741	12.13	2984
2	UBS	3,262,442	11.19	2919
3	MORGAN STANLEY	3,049,043	10.46	2499
4	MERRILL LYNCH, PIERCE FENNER	2,361,511	8.1	2478
5	CITIGROUP	1,678,509	5.76	1860
6	CREDIT SUISSE	1,558,167	5.34	2430
7	LEHMAN BROTHERS, INC.	1,438,202	4.93	1939
8	JPMORGAN	1,341,524	4.6	1889
9	INSTINET CORPORATION	1,277,089	4.38	1901
10	DEUTSCHE BANK SECURITIES, IN	1,124,545	3.86	1971
11	GOLDMAN SACHS GROUP	1,032,651	3.54	2043
12	ITG, INC.	784,162	2.69	1578
13	JEFFERIES & COMPANY, INC.	676,277	2.32	1143
14	RBC CAPITAL MARKETS	640,552	2.2	912
15	BANC OF AMERICA SECURITIES LLC	633,466	2.17	1206
16	PIPER JAFFRAY & CO.	304,418	1.04	887
17	COWEN & CO.	263,613	0.9	877
18	WACHOVIA SECURITIES	254,375	0.87	633
19	ROBERT W. BAIRD CO. INCORPORAT	229,791	0.79	1379
20	THOMAS WEISEL PARTNERS LLC	223,653	0.77	763-
21	WEEDEN & COMPANY, INC.	220,254	0.76	1187
22	CANTOR, FITZGERALD & CO., INC.	218,546	0.75	929
23	OPPENHEIMER & CO	216,599	0.74	692
24	BTIG - BASS TRADING	169,681	0.58	509
25	SUSQUEHANNA INTERNATIONAL GRP.	152,923	0.52	1778
26	STIFEL NICOLAUS CAPITAL MARKET	142,804	0.49	1700
27	SANFORD C. BERNSTEIN COMPANY,	123,512	0.42	545
28	JONESTRADING INSTITUTIONAL SER	118,475	0.41	507
29	RAYMOND JAMES	109,652	0.38	765
30	WILLIAM BLAIR & COMPANY, LLC.	102,836	0.35	730
31	BNY CONVERGEX	96,044	0.33	860
32	KEEFE, BRUYETTE & WOODS, INC.	94,310	0.32	523
33	FRIEDMAN, BILLINGS, RAMSEY & C	91,047	0.31	418
34	CANACCORD ADAMS	87,319	0.3	430
35	PALI CAPITAL LLC	80,054	0.27	474
36	MAXIM GROUP LLC	75,367	0.26	607
37	LEERINK SWANN & CO.	66,644	0.23	302

38 HUDSON SECURITIES	65,703	0.23	334
39 NEEDHAM & COMPANY	65,146	0.22	765
40 JMP SECURITIES	64,871	0.22	157-
41 BENCHMARK COMPANY, LLC	63,300	0.22	453
42 PACIFIC CREST SECURITIES	61,355	0.21	135
43 THINKEQUITY PARTNERS	60,161	0.21	308
44 HSBC SECURITIES	58,473	0.2	444
45 WEDBUSH MORGAN SECURITIES	57,363	0.2	225
46 CRT CAPITAL GROUP LLC	41,075	0.14	91
47 COLLINS STEWART	38,432	0.13	325
48 MERRIMAN CURHAN FORD & CO.	38,040	0.13	400
49 SUNTRUST ROBINSON HUMPHREY	37,231	0.13	396
50 LABRANCHE FINANCIAL SERVICES	34,722	0.12	299-

<b>Listed Securities Non-NY Brokers Top 25 Broker - Dealer</b>	<b>Advertised Vol.(000)</b>	<b>% of Vol. Crossed</b>
1 BANC OF AMERICA SECURITIES LLC	1,423,781	3
2 WEEDEN & COMPANY, INC.	712,983	11
3 CANTOR, FITZGERALD & CO., INC.	390,225	13
4 WACHOVIA SECURITIES	322,738	4
5 ROBERT W. BAIRD CO. INCORPORAT	304,134	4
6 FRIEDMAN, BILLINGS, RAMSEY & C	274,979	7
7 THOMAS WEISEL PARTNERS LLC	263,911	2
8 SUSQUEHANNA INTERNATIONAL GRP.	260,994	1
9 WILLIAM BLAIR & COMPANY, LLC.	118,917	5
10 CAPITAL INSTITUTIONAL SERVICES	88,407	-
11 MORGAN KEEGAN & CO., INC.	73,084	5
12 SIMMONS AND COMPANY INTERNATIO	67,206	-
13 THE INTERSTATE GROUP	63,889	0
14 WEDBUSH MORGAN SECURITIES	42,852	2
15 MKM PARTNERS	41,928	2
16 COLLINS STEWART	36,100	5
17 JOHNSON RICE & COMPANY	34,607	-
18 GREEN STREET ADVISORS	29,951	8
19 STEPHENS INC.	27,934	2
20 ABN-AMRO	24,921	-
21 THINKEQUITY PARTNERS	20,770	4
22 BULLTICK	20,456	-
23 MIDWEST RESEARCH	19,974	3
24 TORONTO DOMINION SECURITIES (U	15,130	-
25 D.A. DAVIDSON & CO.	13,042	1

<b>NASDAQ – National Market &amp; Small Cap Market Non NY Brokers Top 25 Broker-Dealer</b>	<b>Advertised Vol.(000)</b>	<b># of Stocks</b>
1 BANC OF AMERICA SECURITIES LLC	778,052	1,873

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NOTE: \*\* Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

## Indicates a broker with certification pending.

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<http://www.salesandtradinggroup.com>

2	WACHOVIA SECURITIES	254,375	633
3	ROBERT W. BAIRD CO. INCORPORAT	229,791	1,379
4	THOMAS WEISEL PARTNERS LLC	223,653	763
5	WEEDEN & COMPANY, INC.	220,254	1,187
6	SUSQUEHANNA INTERNATIONAL GRP.	152,923	1,778
7	WILLIAM BLAIR & COMPANY, LLC.	102,836	730
8	FRIEDMAN, BILLINGS, RAMSEY & C	91,047	418
9	PACIFIC CREST SECURITIES	61,355	135
10	THINKEQUITY PARTNERS	60,161	308
11	WEDBUSH MORGAN SECURITIES	57,363	225
12	MORGAN KEEGAN & CO., INC.	34,163	264
13	STEPHENS INC.	32,951	285
14	CAPITAL INSTITUTIONAL SERVICES	21,998	265
15	D.A. DAVIDSON & CO.	20,808	187
16	CRAIG-HALLUM CAPITAL GROUP	19,755	74
17	PACIFIC GROWTH EQUITIES	18,590	135
18	MKM PARTNERS	14,564	125
19	JOHNSON RICE & COMPANY	10,179	105
20	SIMMONS AND COMPANY INTERNATIO	9,810	65
21	MIDWEST RESEARCH	8,962	86
22	THE INTERSTATE GROUP	7,538	114
23	JANNEY MONTGOMERY SCOTT, INC.	6,946	49
24	BULLTICK	3,670	58
25	DAVENPORT & COMPANY	518	6

<b>Ordinary Securities</b>				
<b>Europe</b>				
<b>Top 15 Brokers</b>				
	<b>Advertised</b>	<b>% of</b>	<b># of</b>	
	<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>	
1	UBS	21,559,727	16.48	2356
2	LEHMAN BROTHERS, INC.	20,316,276	15.53	2473
3	DEUTSCHE BANK SECURITIES, IN	17,681,074	13.51	2692
4	CREDIT SUISSE	12,743,320	9.74	1860
5	CITIGROUP	11,348,199	8.67	1908
6	JPMORGAN	7,553,593	5.77	1528
7	GOLDMAN SACHS GROUP	7,479,876	5.72	2073
8	MORGAN STANLEY EUROPE	6,469,639	4.94	1573
9	MERRILL LYNCH, PIERCE FENNER	5,121,720	3.91	1936
10	ABN-AMRO	4,083,505	3.12	1687
11	DRESDNER KLEINWORT	3,969,962	3.03	2195
12	CAZENOVE SECURITIES	2,434,155	1.86	867
13	HSBC SECURITIES	1,526,314	1.17	2392
14	EXANE BNP PARIBAS	1,209,345	0.92	853
15	ING FINANCIAL MARKETS	1,064,583	0.81	435

**Ordinary Securities**

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<http://www.salesandtradinggroup.com>

<b>Far East Asia / Pacific Rim Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 DEUTSCHE BANK SECURITIES, IN	10,566,598	29.94	2785
2 HSBC SECURITIES	6,664,874	18.89	2310
3 BNP PARIBAS	4,732,478	13.41	2082
4 LEHMAN BROTHERS, INC.	4,259,951	12.07	3060
5 MERRILL LYNCH, PIERCE FENNER	3,370,277	9.55	1558
6 CITIGROUP	1,871,639	5.3	1425
7 ABN-AMRO	1,236,532	3.5	434
8 JPMORGAN	1,018,446	2.89	689
9 MORGAN STANLEY ASIA	656,649	1.86	110
10 BEAR STEARNS AND COMPANY	361,510	1.02	1114
11 DRESDNER KLEINWORT	102,769	0.29	689
12 GOLDMAN SACHS GROUP	88,896	0.25	50
13 UBS	73,502	0.21	41
14 NUMIS SECURITIES LIMITED	42,190	0.12	3
15 SHORE CAPITAL STOCKBROKERS LTD	35,476	0.1	32

<b>Ordinary Securities Latin America (Excluding Brazil) Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 MORGAN STANLEY	566,404	41.87	58
2 MERRILL LYNCH, PIERCE FENNER	411,169	30.39	40
3 JPMORGAN	167,752	12.4	48
4 CITIGROUP	163,189	12.06	44
5 GOLDMAN SACHS GROUP	33,225	2.46	6
6 UBS	4,978	0.37	17
7 DEUTSCHE BANK SECURITIES, IN	2,993	0.22	5
8 BEAR STEARNS AND COMPANY	1,205	0.09	14
9 KNIGHT	1,040	0.08	7
10 ABN-AMRO	600	0.04	1
11 CREDIT SUISSE	121	0.01	10
12 HUDSON SECURITIES	31	0	1
13 LEHMAN BROTHERS, INC.	20	0	3
14 JEFFERIES & COMPANY, INC.	19	0	1
15 STIFEL NICOLAUS CAPITAL MARKET	9	0	1

<b>ADR Securities Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 MORGAN STANLEY	920,202	12.6	567
2 MERRILL LYNCH, PIERCE FENNER	848,026	11.61	664
3 UBS	847,946	11.61	669
4 CITIGROUP	680,078	9.31	414
5 CREDIT SUISSE	658,867	9.02	388
6 DEUTSCHE BANK SECURITIES, IN	638,880	8.75	364
7 JPMORGAN	490,180	6.71	329

8	KNIGHT	472,165	6.47	649
9	GOLDMAN SACHS GROUP	285,432	3.91	473
10	LEHMAN BROTHERS, INC.	240,207	3.29	412
11	INSTINET CORPORATION	236,121	3.23	277
12	HSBC SECURITIES	117,133	1.6	169
13	ITG, INC.	103,141	1.41	209
14	RBC CAPITAL MARKETS	76,880	1.05	125
15	MORGAN STANLEY EUROPE	72,271	0.99	28
16	BULLTICK	47,936	0.66	141
17	ING FINANCIAL MARKETS	42,080	0.58	21
18	BANC OF AMERICA SECURITIES LLC	38,718	0.53	99
19	JEFFERIES & COMPANY, INC.	36,969	0.51	201
20	SANFORD C. BERNSTEIN COMPANY,	34,703	0.48	117
21	CANTOR, FITZGERALD & CO., INC.	29,126	0.4	55
22	ABN-AMRO	28,933	0.4	92
23	OPPENHEIMER & CO	25,006	0.34	130
24	WEEDEN & COMPANY, INC.	22,715	0.31	186
25	DRESDNER KLEINWORT	19,552	0.27	137

<b>CVT BOND COUPON Securities</b>		<b>Advertised</b>	<b>% of</b>	<b># of</b>
<b>Top 25 Brokers</b>		<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1	CRT CAPITAL GROUP LLC	174,600	80.09	9
2	THOMAS WEISEL PARTNERS LLC	42,710	19.59	7
3	KNIGHT	306	0.14	6
4	UBS	223	0.1	17
5	MERRILL LYNCH, PIERCE FENNER	89	0.04	6
6	HILL THOMPSON MAGID CO. INC.	19	0.01	2
7	CITIGROUP	14	0.01	1
8	KEEFE, BRUYETTE & WOODS, INC.	14	0.01	2
9	THE INTERSTATE GROUP	10	0	1
10	MORGAN STANLEY	8	0	5
11	ROBERT W. BAIRD CO. INCORPORAT	5	0	4
12	GOLDMAN SACHS GROUP	4	0	1
13	DEUTSCHE BANK SECURITIES, IN	4	0	1
14	WILLIAM BLAIR & COMPANY, LLC.	3	0	4
15	INSTINET CORPORATION	2	0	1
16	LEHMAN BROTHERS, INC.	1	0	2
17	CREDIT SUISSE	1	0	1
18	JPMORGAN	1	0	2
19	SUSQUEHANNA INTERNATIONAL GRP.	0	0	1

<b>Mandatory CVT Securities Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 CITIGROUP	5,355	48.95	9
2 CREDIT SUISSE	2,355	21.53	5
3 MERRILL LYNCH, PIERCE FENNER	789	7.21	5
4 KNIGHT	702	6.41	7
5 UBS	524	4.79	9
6 DEUTSCHE BANK SECURITIES, IN	321	2.94	1
7 SANFORD C. BERNSTEIN COMPANY,	304	2.78	3
8 JPMORGAN	164	1.5	3
9 GOLDMAN SACHS GROUP	149	1.36	4
10 MORGAN STANLEY	123	1.12	4
11 MAXIM GROUP LLC	61	0.56	3
12 LEHMAN BROTHERS, INC.	43	0.39	3
13 MORGAN STANLEY EUROPE	13	0.12	2
14 THE INTERSTATE GROUP	11	0.1	1
15 ROBERT W. BAIRD CO. INCORPORAT	10	0.09	4

<b>CVT Bond Zero Securities Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 N/A			

<b>CVT Preferred Securities Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 CITIGROUP	21,501	26.45	68
2 GOLDMAN SACHS GROUP	18,062	22.22	34
3 JPMORGAN	9,452	11.63	38
4 MERRILL LYNCH, PIERCE FENNER	8,555	10.53	31
5 UBS	5,669	6.97	121
6 KNIGHT	4,821	5.93	76

7	LEHMAN BROTHERS, INC.	3,889	4.78	37
8	MORGAN STANLEY	3,197	3.93	59
9	CREDIT SUISSE	2,098	2.58	17
10	DEUTSCHE BANK SECURITIES, IN	1,126	1.39	8
11	WILLIAM BLAIR & COMPANY, LLC.	972	1.2	44
12	BANC OF AMERICA SECURITIES LLC	401	0.49	1
13	PALI CAPITAL LLC	358	0.44	1
14	BENCHMARK COMPANY, LLC	288	0.35	10
15	INSTINET CORPORATION	242	0.3	15