

<b>Listed Securities Top 50 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b>% of Vol. Crossed</b>
1 MORGAN STANLEY	6,730,019	12.28-	-
2 UBS	6,321,191	11.53	6
3 MERRILL LYNCH, PIERCE FENNER	5,920,278	10.8	0
4 CREDIT SUISSE	4,392,370	8.01-	-
5 DEUTSCHE BANK SECURITIES, IN	4,040,454	7.37	5
6 KNIGHT	3,726,121	6.8	5
7 LEHMAN BROTHERS, INC.	3,419,829	6.24	0
8 CITIGROUP	3,075,900	5.61	2
9 JPMORGAN	2,755,110	5.03	5
10 INSTINET CORPORATION	1,760,291	3.21	2
11 RBC CAPITAL MARKETS	1,604,878	2.93	6
12 GOLDMAN SACHS GROUP	1,362,040	2.48	13
13 BANC OF AMERICA SECURITIES LLC	1,191,809	2.17	3
14 JEFFERIES & COMPANY, INC.	873,243	1.59	11
15 BEAR STEARNS AND COMPANY	777,235	1.42	8
16 WEEDEN & COMPANY, INC.	587,727	1.07	14
17 ITG, INC.	436,878	0.8	100
18 WACHOVIA SECURITIES	401,755	0.73	8
19 SANFORD C. BERNSTEIN COMPANY,	395,345	0.72	11
20 CANTOR, FITZGERALD & CO., INC.	376,458	0.69	15
21 BNY CONVERGEX	363,580	0.66	3
22 SUSQUEHANNA INTERNATIONAL GRP.	326,428	0.6	1
23 OPPENHEIMER & CO	316,006	0.58	0
24 JONESTRADING INSTITUTIONAL SER	261,844	0.48	32
25 RAYMOND JAMES	257,044	0.47	1
26 COWEN & CO.	207,038	0.38	10
27 FRIEDMAN, BILLINGS, RAMSEY & C	193,235	0.35	6
28 ROBERT W. BAIRD CO. INCORPORAT	181,477	0.33	4
29 BTIG - BASS TRADING	178,947	0.33	13
30 KEEFE, BRUYETTE & WOODS, INC.	166,570	0.3	2
31 THOMAS WEISEL PARTNERS LLC	153,622	0.28	2
32 PIPER JAFFRAY & CO.	147,043	0.27	2
33 STIFEL NICOLAUS CAPITAL MARKET	140,231	0.26	11
34 KEYBANC CAPITAL MARKETS INC.	98,578	0.18	8
35 CAPITAL INSTITUTIONAL SERVICES	88,126	0.16-	
36 PALI CAPITAL LLC	80,402	0.15	21
37 WILLIAM BLAIR & COMPANY, LLC.	76,142	0.14	4
38 CRT CAPITAL GROUP LLC	69,745	0.13	29-
39 FOX-PITT KELTON, INC.	69,167	0.13	1
40 MAXIM GROUP LLC	68,429	0.12	8
41 SUNTRUST ROBINSON HUMPHREY	65,000	0.12	6
42 HSBC SECURITIES	63,535	0.12	4
43 JMP SECURITIES	62,675	0.11-	-
44 LEERINK SWANN & CO.	55,064	0.1	3-

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45	DRESDNER KLEINWORT	53,163	0.1-	-
46	THE INTERSTATE GROUP	48,637	0.09	0-
47	MORGAN KEEGAN & CO., INC.	46,242	0.08	10
48	BB&T CAPITAL MARKETS	46,026	0.08	2-
49	SIMMONS AND COMPANY INTERNATIO	45,829	0.08-	
50	BMO CAPITAL MARKETS	42,872	0.08	22

<b>NASDAQ – National Market &amp; Small Cap Market</b>		<b>Advertised</b>	<b>% of</b>	<b># of</b>
<b>Top 50 Brokers</b>		<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1	UBS	3,209,403	11.77	3019
2	KNIGHT	3,189,441	11.69	3063
3	MORGAN STANLEY	3,034,775	11.13	2548
4	MERRILL LYNCH, PIERCE FENNER	1,914,270	7.02	2528
5	CREDIT SUISSE	1,870,654	6.86	2539
6	LEHMAN BROTHERS, INC.	1,799,109	6.6	2148
7	CITIGROUP	1,548,072	5.68	1887
8	INSTINET CORPORATION	1,284,100	4.71	1976
9	JPMORGAN	1,194,509	4.38	1884
10	GOLDMAN SACHS GROUP	1,043,046	3.82	2184
11	DEUTSCHE BANK SECURITIES, IN	996,326	3.65	1999
12	BANC OF AMERICA SECURITIES LLC	698,522	2.56	1557
13	RBC CAPITAL MARKETS	526,817	1.93	919
14	JEFFERIES & COMPANY, INC.	497,168	1.82	1154
15	BEAR STEARNS AND COMPANY	357,563	1.31	1981
16	PIPER JAFFRAY & CO.	272,719	1	910
17	COWEN & CO.	253,405	0.93	864
18	SUSQUEHANNA INTERNATIONAL GRP.	216,064	0.79	1998
19	ITG, INC.	207,645	0.76	1216
20	WACHOVIA SECURITIES	198,381	0.73	651-
21	WEEDEN & COMPANY, INC.	195,691	0.72	1155
22	CANTOR, FITZGERALD & CO., INC.	190,683	0.7	992
23	OPPENHEIMER & CO	177,817	0.65	820
24	THOMAS WEISEL PARTNERS LLC	163,850	0.6	747
25	BTIG - BASS TRADING	161,437	0.59	479
26	ROBERT W. BAIRD CO. INCORPORAT	153,088	0.56	1293
27	JONESTRADING INSTITUTIONAL SER	133,206	0.49	470
28	RAYMOND JAMES	116,858	0.43	704
29	PALI CAPITAL LLC	109,348	0.4	289
30	BNY CONVERGEX	101,297	0.37	1287
31	WILLIAM BLAIR & COMPANY, LLC.	87,771	0.32	749
32	SANFORD C. BERNSTEIN COMPANY,	79,084	0.29	385
33	FRIEDMAN, BILLINGS, RAMSEY & C	78,067	0.29	366
34	STIFEL NICOLAUS CAPITAL MARKET	75,392	0.28	845
35	LEERINK SWANN & CO.	68,856	0.25	365
36	KEEFE, BRUYETTE & WOODS, INC.	64,841	0.24	481
37	NEEDHAM & COMPANY	55,788	0.2	656

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38	BMO CAPITAL MARKETS	54,654	0.2	128
39	PACIFIC CREST SECURITIES	53,006	0.19	123
40	JMP SECURITIES	51,361	0.19	130-
41	CANACCORD ADAMS	49,974	0.18	357
42	BENCHMARK COMPANY, LLC	46,735	0.17	384
43	MERRIMAN CURHAN FORD & CO.	43,188	0.16	410
44	THINKEQUITY PARTNERS	39,440	0.14	263
45	SUNTRUST ROBINSON HUMPHREY	37,349	0.14	451
46	CRT CAPITAL GROUP LLC	34,177	0.13	88
47	WEDBUSH MORGAN SECURITIES	30,615	0.11	194
48	CAPITAL INSTITUTIONAL SERVICES	28,980	0.11	492
49	STEPHENS INC.	27,796	0.1	282
50	CRAIG-HALLUM CAPITAL GROUP	24,570	0.09	70-

<b>Listed Securities Non-NY Brokers Top 25 Broker - Dealer</b>		<b>Advertised Vol.(000)</b>	<b>% of Vol. Crossed</b>
1	BANC OF AMERICA SECURITIES LLC	1,191,809	3
2	WEEDEN & COMPANY, INC.	587,727	14
3	WACHOVIA SECURITIES	401,755	8
4	CANTOR, FITZGERALD & CO., INC.	376,458	15
5	SUSQUEHANNA INTERNATIONAL GRP.	326,428	1
6	FRIEDMAN, BILLINGS, RAMSEY & C	193,235	6
7	ROBERT W. BAIRD CO. INCORPORAT	181,477	4
8	THOMAS WEISEL PARTNERS LLC	153,622	2
9	CAPITAL INSTITUTIONAL SERVICES	88,126	-
10	WILLIAM BLAIR & COMPANY, LLC.	76,142	4
11	THE INTERSTATE GROUP	48,637	0
12	MORGAN KEEGAN & CO., INC.	46,242	10
13	SIMMONS AND COMPANY INTERNATIO	45,829	-
14	ABN-AMRO	32,330	1
15	GREEN STREET ADVISORS	30,634	13
16	WEDBUSH MORGAN SECURITIES	27,508	5
17	JOHNSON RICE & COMPANY	27,395	-
18	STEPHENS INC.	26,800	1
19	MIDWEST RESEARCH	22,284	13
20	MKM PARTNERS	19,757	5
21	BULLTICK	18,551	-
22	THINKEQUITY PARTNERS	14,918	15
23	D.A. DAVIDSON & CO.	13,315	2
24	COLLINS STEWART	10,431	8
25	PACIFIC GROWTH EQUITIES	9,595	-

<b>NASDAQ – National Market &amp; Small Cap Market Non NY Brokers Top 25 Broker-Dealer</b>		<b>Advertised Vol.(000)</b>	<b># of Stocks</b>
1	BANC OF AMERICA SECURITIES LLC	698,522	1,557

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2	SUSQUEHANNA INTERNATIONAL GRP.	216,064	1,998
3	WACHOVIA SECURITIES	198,381	651
4	WEEDEN & COMPANY, INC.	195,691	1,155
5	THOMAS WEISEL PARTNERS LLC	163,850	747
6	ROBERT W. BAIRD CO. INCORPORAT	153,088	1,293
7	WILLIAM BLAIR & COMPANY, LLC.	87,771	749
8	FRIEDMAN, BILLINGS, RAMSEY & C	78,067	366
9	PACIFIC CREST SECURITIES	53,006	123
10	THINKEQUITY PARTNERS	39,440	263
11	WEDBUSH MORGAN SECURITIES	30,615	194
12	CAPITAL INSTITUTIONAL SERVICES	28,980	492
13	STEPHENS INC.	27,796	282
14	CRAIG-HALLUM CAPITAL GROUP	24,570	70
15	MORGAN KEEGAN & CO., INC.	20,716	136
16	D.A. DAVIDSON & CO.	19,420	135
17	PACIFIC GROWTH EQUITIES	11,577	117
18	MIDWEST RESEARCH	9,999	73
19	JOHNSON RICE & COMPANY	7,117	71
20	MKM PARTNERS	5,629	111
21	THE INTERSTATE GROUP	5,300	156
22	SIMMONS AND COMPANY INTERNATIO	3,602	46
23	SANDERS MORRIS HARRIS	3,496	23
24	BULLTICK	2,980	90
25	W.R. HAMBRECHT & COMPANY, LLC	1,840	10

<b>Ordinary Securities</b>				
<b>Europe</b>				
<b>Top 15 Brokers</b>				
	<b>Advertised</b>	<b>% of</b>	<b># of</b>	
	<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>	
1	LEHMAN BROTHERS, INC.	18,010,930	16.59	1674
2	UBS	12,164,496	11.2	2206
3	DEUTSCHE BANK SECURITIES, IN	11,848,696	10.91	2610
4	CREDIT SUISSE	10,971,525	10.1	1981
5	CITIGROUP	10,730,140	9.88	2110
6	MERRILL LYNCH, PIERCE FENNER	7,401,245	6.82	1787
7	ABN-AMRO	5,774,398	5.32	1840
8	GOLDMAN SACHS GROUP	5,078,914	4.68	2313
9	DRESDNER KLEINWORT	4,552,666	4.19	2589
10	MORGAN STANLEY EUROPE	3,982,559	3.67	1333
11	JPMORGAN	3,962,933	3.65	1492
12	BEAR STEARNS AND COMPANY	1,873,050	1.73	1310
13	CAZENOVE SECURITIES	1,574,014	1.45	873
14	HSBC SECURITIES	1,460,890	1.35	2430
15	SHORE CAPITAL STOCKBROKERS LTD	1,267,064	1.17	347

**Ordinary Securities**

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<b>Far East Asia / Pacific Rim Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 CITIGROUP	30,994,497	44.63	3084
2 DEUTSCHE BANK SECURITIES, IN	13,252,367	19.08	2528
3 HSBC SECURITIES	6,139,783	8.84	1843
4 MERRILL LYNCH, PIERCE FENNER	5,710,931	8.22	2675
5 LEHMAN BROTHERS, INC.	4,430,778	6.38	3078
6 ABN-AMRO	2,278,534	3.28	696
7 JPMORGAN	2,121,382	3.05	811
8 BEAR STEARNS AND COMPANY	1,532,968	2.21	1810
9 MORGAN STANLEY ASIA	916,032	1.32	232
10 CREDIT SUISSE	712,961	1.03	529
11 GOLDMAN SACHS GROUP	601,167	0.87	902
12 DRESDNER KLEINWORT	415,498	0.6	800
13 BNP PARIBAS	112,258	0.16	557
14 UBS	50,338	0.07	40
15 ING FINANCIAL MARKETS	40,777	0.06	67

<b>Ordinary Securities Latin America (Excluding Brazil) Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 JPMORGAN	343,705	30.46	70
2 MERRILL LYNCH, PIERCE FENNER	330,544	29.3	38
3 CITIGROUP	228,881	20.29	68
4 MORGAN STANLEY	148,771	13.19	47
5 GOLDMAN SACHS GROUP	44,460	3.94	9
6 BEAR STEARNS AND COMPANY	23,222	2.06	14
7 DEUTSCHE BANK SECURITIES, IN	7,294	0.65	15
8 CREDIT SUISSE	647	0.06	7
9 KNIGHT	317	0.03	3
10 UBS	225	0.02	26
11 GARBAN INSTITUTIONAL	70	0.01	1
12 ROBERT W. BAIRD CO. INCORPORAT	28	0	2
13 BNY CONVERGEX	20	0	1
14 HSBC SECURITIES	17	0	1
15 LEHMAN BROTHERS, INC.	10	0	1

<b>ADR Securities Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 MORGAN STANLEY	739,475	13.43	601
2 MERRILL LYNCH, PIERCE FENNER	694,372	12.61	690
3 UBS	582,945	10.59	639
4 DEUTSCHE BANK SECURITIES, IN	542,434	9.85	369
5 CREDIT SUISSE	477,345	8.67	428
6 CITIGROUP	410,408	7.46	459
7 KNIGHT	346,795	6.3	642

8	JPMORGAN	320,731	5.83	350
9	LEHMAN BROTHERS, INC.	226,123	4.11	386
10	GOLDMAN SACHS GROUP	200,904	3.65	550
11	INSTINET CORPORATION	183,018	3.32	267
12	BEAR STEARNS AND COMPANY	87,593	1.59	350
13	MORGAN STANLEY EUROPE	61,985	1.13	31
14	RBC CAPITAL MARKETS	55,622	1.01	109
15	BULLTICK	52,212	0.95	134
16	JEFFERIES & COMPANY, INC.	45,053	0.82	198
17	HSBC SECURITIES	44,649	0.81	164
18	ABN-AMRO	38,268	0.7	110
19	ING FINANCIAL MARKETS	34,791	0.63	23
20	SUSQUEHANNA INTERNATIONAL GRP.	29,696	0.54	274
21	WEEDEN & COMPANY, INC.	26,751	0.49	211
22	BANC OF AMERICA SECURITIES LLC	25,676	0.47	272
23	FRIEDMAN, BILLINGS, RAMSEY & C	24,179	0.44	38
24	BNY CONVERGEX	17,833	0.32	287
25	OPPENHEIMER & CO	17,073	0.31	131

<b>CVT BOND COUPON Securities</b>		<b>Advertised</b>	<b>% of</b>	<b># of</b>
<b>Top 25 Brokers</b>		<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1	CREDIT SUISSE	321,452	81.79	4
2	THOMAS WEISEL PARTNERS LLC	36,382	9.26	20
3	CRT CAPITAL GROUP LLC	26,076	6.63	9
4	J GIORDANO SECURITIES	8,000	2.04	2
5	JPMORGAN	711	0.18	2
6	MERRILL LYNCH, PIERCE FENNER	158	0.04	6
7	CITIGROUP	79	0.02	4
8	KNIGHT	69	0.02	7
9	UBS	62	0.02	6
10	DEUTSCHE BANK SECURITIES, IN	5	0	1
11	HILL THOMPSON MAGID CO. INC.	5	0	2
12	MORGAN STANLEY	4	0	2
13	ROBERT W. BAIRD CO. INCORPORAT	3	0	3
14	KEEFE, BRUYETTE & WOODS, INC.	2	0	2
15	BNY CONVERGEX	2	0	1
16	SUSQUEHANNA INTERNATIONAL GRP.	1	0	1
17	SANFORD C. BERNSTEIN COMPANY,	1	0	1
18	WILLIAM BLAIR & COMPANY, LLC.	1	0	3
19	BB&T CAPITAL MARKETS	0	0	1

<b>Mandatory CVT Securities Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 CREDIT SUISSE	2,300	45.65	5
2 CITIGROUP	1,002	19.89	9
3 MERRILL LYNCH, PIERCE FENNER	574	11.39	5
4 MORGAN STANLEY EUROPE	326	6.47	2
5 UBS	263	5.22	5
6 KNIGHT	246	4.88	8
7 LEHMAN BROTHERS, INC.	79	1.57	7
8 GOLDMAN SACHS GROUP	42	0.82	4
9 LAZARD CAPITAL MARKETS	40	0.79	1
10 WILLIAM BLAIR & COMPANY, LLC.	39	0.78	2
11 BEAR STEARNS AND COMPANY	29	0.57	2
12 MORGAN STANLEY	24	0.48	5
13 CANTOR, FITZGERALD & CO., INC.	20	0.4	1
14 JPMORGAN	15	0.3	2
15 WEEDEN & COMPANY, INC.	14	0.28	1

<b>CVT Bond Zero Securities Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 THOMAS WEISEL PARTNERS LLC	15,011	63.22	2
2 CREDIT SUISSE	8,735	36.78	1

<b>CVT Preferred Securities Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 CITIGROUP	16,810	25.28	63
2 GOLDMAN SACHS GROUP	14,603	21.96	36
3 JPMORGAN	11,599	17.45	35
4 LEHMAN BROTHERS, INC.	3,908	5.88	47
5 KNIGHT	3,888	5.85	66
6 MERRILL LYNCH, PIERCE FENNER	2,268	3.41	41

7	CREDIT SUISSE	2,255	3.39	21
8	CRT CAPITAL GROUP LLC	2,025	3.05	2
9	UBS	1,932	2.91	31
10	MORGAN STANLEY	1,547	2.33	50
11	WILLIAM BLAIR & COMPANY, LLC.	1,415	2.13	43
12	BANC OF AMERICA SECURITIES LLC	1,321	1.99	5
13	DEUTSCHE BANK SECURITIES, IN	960	1.44	6
14	INSTINET CORPORATION	454	0.68	15
15	MAXIM GROUP LLC	379	0.57	1