

| Listed Securities Top 50 Brokers | Advertised Vol (000) | % of Total | % of Vol. Crossed |
|---|---------------------------------|-----------------------|------------------------------|
| 1 UBS | 5,279,389 | 11.51 | 6- |
| 2 MORGAN STANLEY | 5,276,430 | 11.5- | |
| 3 MERRILL LYNCH, PIERCE FENNER | 4,928,670 | 10.74 | 0 |
| 4 KNIGHT | 3,725,736 | 8.12 | 4- |
| 5 CREDIT SUISSE | 3,536,588 | 7.71- | |
| 6 DEUTSCHE BANK SECURITIES, IN | 3,167,991 | 6.91 | 3 |
| 7 CITIGROUP | 2,959,741 | 6.45 | 3 |
| 8 LEHMAN BROTHERS, INC. | 2,793,121 | 6.09 | 1 |
| 9 JPMORGAN | 2,450,563 | 5.34 | 8 |
| 10 INSTINET CORPORATION | 1,198,783 | 2.61 | 2 |
| 11 GOLDMAN SACHS GROUP | 1,188,563 | 2.59 | 13 |
| 12 RBC CAPITAL MARKETS | 1,160,468 | 2.53 | 7 |
| 13 BANC OF AMERICA SECURITIES LLC | 957,353 | 2.09 | 2 |
| 14 JEFFERIES & COMPANY, INC. | 834,039 | 1.82 | 14 |
| 15 WEEDEN & COMPANY, INC. | 606,392 | 1.32 | 25 |
| 16 SANFORD C. BERNSTEIN COMPANY, | 395,955 | 0.86 | 7 |
| 17 WACHOVIA SECURITIES | 341,693 | 0.74 | 5 |
| 18 CANTOR, FITZGERALD & CO., INC. | 306,208 | 0.67 | 13 |
| 19 BNY CONVERGEX | 292,536 | 0.64 | 1 |
| 20 ITG, INC. | 292,042 | 0.64 | 100 |
| 21 JONESTRADING INSTITUTIONAL SER | 254,201 | 0.55 | 36 |
| 22 FRIEDMAN, BILLINGS, RAMSEY & C | 243,874 | 0.53 | 11 |
| 23 OPPENHEIMER & CO | 237,107 | 0.52 | 0 |
| 24 COWEN & CO. | 198,171 | 0.43 | 9 |
| 25 ROBERT W. BAIRD CO. INCORPORAT | 193,850 | 0.42 | 5 |
| 26 BTIG - BASS TRADING | 177,838 | 0.39 | 21 |
| 27 STIFEL NICOLAUS CAPITAL MARKET | 174,652 | 0.38 | 5 |
| 28 RAYMOND JAMES | 150,090 | 0.33 | 6 |
| 29 PIPER JAFFRAY & CO. | 145,685 | 0.32 | 3 |
| 30 KEEFE, BRUYETTE & WOODS, INC. | 130,303 | 0.28 | 1 |
| 31 THOMAS WEISEL PARTNERS LLC | 128,038 | 0.28 | 1 |
| 32 SUSQUEHANNA INTERNATIONAL GRP. | 120,252 | 0.26 | 1 |
| 33 BEAR STEARNS AND COMPANY | 106,610 | 0.23 | 0 |
| 34 KEYBANC CAPITAL MARKETS INC. | 106,015 | 0.23 | 7 |
| 35 HSBC SECURITIES | 100,979 | 0.22 | 1 |
| 36 WILLIAM BLAIR & COMPANY, LLC. | 90,878 | 0.2 | 5 |
| 37 CRT CAPITAL GROUP LLC | 85,660 | 0.19 | 34 |
| 38 DRESDNER KLEINWORT | 73,836 | 0.16- | - |
| 39 MAXIM GROUP LLC | 69,587 | 0.15 | 10 |
| 40 SUNTRUST ROBINSON HUMPHREY | 68,446 | 0.15 | 10 |
| 41 CAPITAL INSTITUTIONAL SERVICES | 68,442 | 0.15- | |
| 42 FOX-PITT KELTON, INC. | 64,017 | 0.14 | 5 |
| 43 LAZARD CAPITAL MARKETS | 61,700 | 0.13 | 5- |
| 44 BMO CAPITAL MARKETS | 61,380 | 0.13 | 28- |

| | | | |
|-----------------------------------|--------|-------|-----|
| 45 THE INTERSTATE GROUP | 55,168 | 0.12 | 0- |
| 46 PALI CAPITAL LLC | 54,695 | 0.12 | 13- |
| 47 SIMMONS AND COMPANY INTERNATIO | 52,117 | 0.11- | |
| 48 MORGAN KEEGAN & CO., INC. | 51,695 | 0.11 | 12- |
| 49 BB&T CAPITAL MARKETS | 45,326 | 0.1 | 4 |
| 50 JMP SECURITIES | 44,418 | 0.1- | |

| NASDAQ – National Market & Small Cap Market | | | |
|--|-----------------------------|-------------------|--------------------|
| Top 50 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
| 1 KNIGHT | 3,494,211 | 13.43 | 3023 |
| 2 UBS | 3,121,210 | 11.99 | 2965 |
| 3 MORGAN STANLEY | 2,599,212 | 9.99 | 2539 |
| 4 MERRILL LYNCH, PIERCE FENNER | 1,829,361 | 7.03 | 2526 |
| 5 CREDIT SUISSE | 1,721,384 | 6.61 | 2541 |
| 6 CITIGROUP | 1,574,375 | 6.05 | 1895 |
| 7 LEHMAN BROTHERS, INC. | 1,446,970 | 5.56 | 2108 |
| 8 JPMORGAN | 1,162,584 | 4.47 | 1860 |
| 9 INSTINET CORPORATION | 995,718 | 3.83 | 1921 |
| 10 GOLDMAN SACHS GROUP | 989,608 | 3.8 | 2197 |
| 11 DEUTSCHE BANK SECURITIES, IN | 921,197 | 3.54 | 1984 |
| 12 BANC OF AMERICA SECURITIES LLC | 672,373 | 2.58 | 1510 |
| 13 JEFFERIES & COMPANY, INC. | 526,554 | 2.02 | 1115 |
| 14 RBC CAPITAL MARKETS | 464,949 | 1.79 | 877 |
| 15 PIPER JAFFRAY & CO. | 314,694 | 1.21 | 982 |
| 16 COWEN & CO. | 262,415 | 1.01 | 829 |
| 17 WACHOVIA SECURITIES | 208,449 | 0.8 | 591 |
| 18 CANTOR, FITZGERALD & CO., INC. | 207,563 | 0.8 | 914 |
| 19 WEEDEN & COMPANY, INC. | 203,525 | 0.78 | 1112 |
| 20 THOMAS WEISEL PARTNERS LLC | 201,215 | 0.77 | 727- |
| 21 OPPENHEIMER & CO | 190,524 | 0.73 | 685 |
| 22 ROBERT W. BAIRD CO. INCORPORAT | 163,885 | 0.63 | 1347 |
| 23 BTIG - BASS TRADING | 156,838 | 0.6 | 522 |
| 24 JONESTRADING INSTITUTIONAL SER | 146,701 | 0.56 | 533 |
| 25 STIFEL NICOLAUS CAPITAL MARKET | 118,107 | 0.45 | 1758 |
| 26 BNY CONVERGEX | 115,522 | 0.44 | 1263 |
| 27 SUSQUEHANNA INTERNATIONAL GRP. | 112,727 | 0.43 | 1645 |
| 28 SANFORD C. BERNSTEIN COMPANY, | 109,312 | 0.42 | 431 |
| 29 FRIEDMAN, BILLINGS, RAMSEY & C | 104,495 | 0.4 | 399 |
| 30 ITG, INC. | 101,523 | 0.39 | 911 |
| 31 WILLIAM BLAIR & COMPANY, LLC. | 99,991 | 0.38 | 737 |
| 32 RAYMOND JAMES | 98,601 | 0.38 | 675 |
| 33 CRT CAPITAL GROUP LLC | 79,227 | 0.3 | 86 |
| 34 CANACCORD ADAMS | 75,477 | 0.29 | 373 |
| 35 PALI CAPITAL LLC | 74,832 | 0.29 | 340 |
| 36 BENCHMARK COMPANY, LLC | 73,392 | 0.28 | 456 |
| 37 NEEDHAM & COMPANY | 65,445 | 0.25 | 751 |

| | | | | |
|----|-------------------------------|--------|------|------|
| 38 | MERRIMAN CURHAN FORD & CO. | 61,108 | 0.23 | 369 |
| 39 | PACIFIC CREST SECURITIES | 57,145 | 0.22 | 132 |
| 40 | THINKEQUITY PARTNERS | 55,261 | 0.21 | 253- |
| 41 | JMP SECURITIES | 54,611 | 0.21 | 185 |
| 42 | LEERINK SWANN & CO. | 52,961 | 0.2 | 270 |
| 43 | HUDSON SECURITIES | 51,188 | 0.2 | 807 |
| 44 | WEDBUSH MORGAN SECURITIES | 50,341 | 0.19 | 235 |
| 45 | KEEFE, BRUYETTE & WOODS, INC. | 49,863 | 0.19 | 471 |
| 46 | BMO CAPITAL MARKETS | 42,898 | 0.16 | 127 |
| 47 | MAXIM GROUP LLC | 41,235 | 0.16 | 587 |
| 48 | SUNTRUST ROBINSON HUMPHREY | 36,305 | 0.14 | 439 |
| 49 | CRAIG-HALLUM CAPITAL GROUP | 35,528 | 0.14 | 68 |
| 50 | COLLINS STEWART | 32,643 | 0.13 | 295- |

| Listed Securities Non-NY Brokers Top 25 Broker - Dealer | | Advertised Vol.(000) | % of Vol. Crossed |
|--|--------------------------------|---------------------------------|------------------------------|
| 1 | BANC OF AMERICA SECURITIES LLC | 957,353 | 2 |
| 2 | WEEDEN & COMPANY, INC. | 606,392 | 25 |
| 3 | WACHOVIA SECURITIES | 341,693 | 5 |
| 4 | CANTOR, FITZGERALD & CO., INC. | 306,208 | 13 |
| 5 | FRIEDMAN, BILLINGS, RAMSEY & C | 243,874 | 11 |
| 6 | ROBERT W. BAIRD CO. INCORPORAT | 193,850 | 5 |
| 7 | THOMAS WEISEL PARTNERS LLC | 128,038 | 1 |
| 8 | SUSQUEHANNA INTERNATIONAL GRP. | 120,252 | 1 |
| 9 | WILLIAM BLAIR & COMPANY, LLC. | 90,878 | 5 |
| 10 | CAPITAL INSTITUTIONAL SERVICES | 68,442 | - |
| 11 | THE INTERSTATE GROUP | 55,168 | 0 |
| 12 | SIMMONS AND COMPANY INTERNATIO | 52,117 | - |
| 13 | MORGAN KEEGAN & CO., INC. | 51,695 | 12 |
| 14 | JOHNSON RICE & COMPANY | 30,217 | - |
| 15 | WEDBUSH MORGAN SECURITIES | 29,460 | 3 |
| 16 | STEPHENS INC. | 27,483 | 4 |
| 17 | COLLINS STEWART | 26,204 | 2 |
| 18 | ABN-AMRO | 24,759 | - |
| 19 | MKM PARTNERS | 23,067 | 3 |
| 20 | MIDWEST RESEARCH | 19,918 | 5 |
| 21 | GREEN STREET ADVISORS | 17,249 | 14 |
| 22 | THINKEQUITY PARTNERS | 13,381 | 16 |
| 23 | D.A. DAVIDSON & CO. | 12,474 | 3 |
| 24 | PACIFIC CREST SECURITIES | 11,197 | 14 |
| 25 | BULLTICK | 9,410 | - |

| NASDAQ – National Market & Small Cap Market Non NY Brokers Top 25 Broker-Dealer | | Advertised Vol.(000) | # of Stocks |
|--|--------------------------------|---------------------------------|------------------------|
| 1 | BANC OF AMERICA SECURITIES LLC | 672,373 | 1,510 |

NOTE: ** Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

Indicates a broker with certification pending.

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|----|--------------------------------|---------|-------|
| 2 | WACHOVIA SECURITIES | 208,449 | 591 |
| 3 | WEEDEN & COMPANY, INC. | 203,525 | 1,112 |
| 4 | THOMAS WEISEL PARTNERS LLC | 201,215 | 727 |
| 5 | ROBERT W. BAIRD CO. INCORPORAT | 163,885 | 1,347 |
| 6 | SUSQUEHANNA INTERNATIONAL GRP. | 112,727 | 1,645 |
| 7 | FRIEDMAN, BILLINGS, RAMSEY & C | 104,495 | 399 |
| 8 | WILLIAM BLAIR & COMPANY, LLC. | 99,991 | 737 |
| 9 | PACIFIC CREST SECURITIES | 57,145 | 132 |
| 10 | THINKEQUITY PARTNERS | 55,261 | 253 |
| 11 | WEDBUSH MORGAN SECURITIES | 50,341 | 235 |
| 12 | CRAIG-HALLUM CAPITAL GROUP | 35,528 | 68 |
| 13 | STEPHENS INC. | 32,208 | 282 |
| 14 | MORGAN KEEGAN & CO., INC. | 31,786 | 174 |
| 15 | CAPITAL INSTITUTIONAL SERVICES | 25,314 | 279 |
| 16 | PACIFIC GROWTH EQUITIES | 20,151 | 113 |
| 17 | D.A. DAVIDSON & CO. | 19,043 | 175 |
| 18 | MIDWEST RESEARCH | 9,800 | 69 |
| 19 | MKM PARTNERS | 8,973 | 141 |
| 20 | JOHNSON RICE & COMPANY | 8,740 | 112 |
| 21 | BULLTICK | 6,274 | 65 |
| 22 | THE INTERSTATE GROUP | 5,425 | 91 |
| 23 | SIMMONS AND COMPANY INTERNATIO | 4,995 | 48 |
| 24 | SANDERS MORRIS HARRIS | 4,487 | 22 |
| 25 | JANNEY MONTGOMERY SCOTT, INC. | 2,063 | 20 |

| Ordinary Securities | | | |
|----------------------------|--------------------------------|--------------|---------------|
| Europe | | | |
| Top 15 Brokers | | | |
| | Advertised | % of | # of |
| | Vol (000) | Total | Stocks |
| 1 | LEHMAN BROTHERS, INC. | 17,035,979 | 1736 |
| 2 | UBS | 16,939,912 | 2157 |
| 3 | DEUTSCHE BANK SECURITIES, IN | 13,050,916 | 2742 |
| 4 | CREDIT SUISSE | 8,195,283 | 1915 |
| 5 | JPMORGAN | 8,180,397 | 1451 |
| 6 | CITIGROUP | 8,050,347 | 1835 |
| 7 | MERRILL LYNCH, PIERCE FENNER | 6,282,900 | 1715 |
| 8 | GOLDMAN SACHS GROUP | 5,151,458 | 2158 |
| 9 | MORGAN STANLEY EUROPE | 5,079,930 | 1244 |
| 10 | DRESDNER KLEINWORT | 3,897,729 | 2571 |
| 11 | ABN-AMRO | 3,626,372 | 1724 |
| 12 | HSBC SECURITIES | 2,821,337 | 2406 |
| 13 | CAZENOVE SECURITIES | 1,439,237 | 848 |
| 14 | ING FINANCIAL MARKETS | 908,296 | 513 |
| 15 | SHORE CAPITAL STOCKBROKERS LTD | 851,952 | 282 |

Ordinary Securities

NOTE: ** Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.
 ## Indicates a broker with certification pending.
 Visit the Sales and Trading Group web site for more information on reporting rules.
<http://www.salesandtradinggroup.com>

| Far East Asia / Pacific Rim Top 15 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
|---|---------------------------------|-----------------------|------------------------|
| 1 DEUTSCHE BANK SECURITIES, IN | 10,802,784 | 30.26 | 2837 |
| 2 HSBC SECURITIES | 6,037,235 | 16.91 | 2430 |
| 3 LEHMAN BROTHERS, INC. | 5,125,504 | 14.36 | 3248 |
| 4 BNP PARIBAS | 3,513,073 | 9.84 | 1707 |
| 5 CITIGROUP | 2,618,747 | 7.34 | 1425 |
| 6 MERRILL LYNCH, PIERCE FENNER | 2,072,665 | 5.81 | 1671 |
| 7 MORGAN STANLEY ASIA | 1,953,808 | 5.47 | 253 |
| 8 ABN-AMRO | 1,677,404 | 4.7 | 338 |
| 9 JPMORGAN | 971,252 | 2.72 | 664 |
| 10 BEAR STEARNS AND COMPANY | 482,053 | 1.35 | 1438 |
| 11 DRESDNER KLEINWORT | 202,013 | 0.57 | 838 |
| 12 KNIGHT | 44,926 | 0.13 | 193 |
| 13 UBS | 41,734 | 0.12 | 45 |
| 14 CREDIT SUISSE | 33,189 | 0.09 | 64 |
| 15 NUMIS SECURITIES LIMITED | 23,890 | 0.07 | 3 |

| Ordinary Securities Latin America (Excluding Brazil) Top 15 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
|--|---------------------------------|-----------------------|------------------------|
| 1 MERRILL LYNCH, PIERCE FENNER | 170,684 | 25.9 | 40 |
| 2 CITIGROUP | 140,895 | 21.38 | 44 |
| 3 JPMORGAN | 137,259 | 20.83 | 60 |
| 4 MORGAN STANLEY | 114,663 | 17.4 | 42 |
| 5 GOLDMAN SACHS GROUP | 55,356 | 8.4 | 7 |
| 6 UBS | 32,085 | 4.87 | 28 |
| 7 LEHMAN BROTHERS, INC. | 3,376 | 0.51 | 5 |
| 8 HSBC SECURITIES | 2,500 | 0.38 | 2 |
| 9 DEUTSCHE BANK SECURITIES, IN | 1,956 | 0.3 | 13 |
| 10 KNIGHT | 182 | 0.03 | 5 |
| 11 CREDIT SUISSE | 97 | 0.01 | 9 |
| 12 JEFFERIES & COMPANY, INC. | 17 | 0 | 2 |
| 13 FERRIS BAKER WATTS & CO.,INC | 13 | 0 | 1 |
| 14 ROBERT W. BAIRD CO. INCORPORAT | 12 | 0 | 2 |
| 15 STIFEL NICOLAUS CAPITAL MARKET | 5 | 0 | 1 |

| ADR Securities Top 25 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
|--|---------------------------------|-----------------------|------------------------|
| 1 MERRILL LYNCH, PIERCE FENNER | 743,451 | 13.07 | 661 |
| 2 MORGAN STANLEY | 688,506 | 12.11 | 577 |
| 3 UBS | 675,698 | 11.88 | 656 |
| 4 CREDIT SUISSE | 607,657 | 10.68 | 431 |
| 5 DEUTSCHE BANK SECURITIES, IN | 516,914 | 9.09 | 367 |
| 6 KNIGHT | 435,206 | 7.65 | 657 |
| 7 CITIGROUP | 389,899 | 6.86 | 443 |

| | | | | |
|----|--------------------------------|---------|------|-----|
| 8 | JPMORGAN | 245,298 | 4.31 | 339 |
| 9 | GOLDMAN SACHS GROUP | 222,132 | 3.91 | 478 |
| 10 | LEHMAN BROTHERS, INC. | 195,696 | 3.44 | 360 |
| 11 | INSTINET CORPORATION | 138,878 | 2.44 | 282 |
| 12 | MORGAN STANLEY ASIA | 101,582 | 1.79 | 1 |
| 13 | HSBC SECURITIES | 81,522 | 1.43 | 167 |
| 14 | MORGAN STANLEY EUROPE | 76,141 | 1.34 | 35 |
| 15 | ING FINANCIAL MARKETS | 60,756 | 1.07 | 26 |
| 16 | JEFFERIES & COMPANY, INC. | 46,224 | 0.81 | 202 |
| 17 | RBC CAPITAL MARKETS | 38,878 | 0.68 | 106 |
| 18 | BULLTICK | 28,527 | 0.5 | 130 |
| 19 | ABN-AMRO | 25,244 | 0.44 | 105 |
| 20 | BNY CONVERGEX | 25,141 | 0.44 | 271 |
| 21 | DRESDNER KLEINWORT | 22,859 | 0.4 | 146 |
| 22 | OPPENHEIMER & CO | 22,086 | 0.39 | 143 |
| 23 | CANTOR, FITZGERALD & CO., INC. | 21,043 | 0.37 | 93 |
| 24 | BEAR STEARNS AND COMPANY | 20,701 | 0.36 | 215 |
| 25 | BANC OF AMERICA SECURITIES LLC | 20,255 | 0.36 | 203 |

| CVT BOND COUPON Securities | | Advertised | % of | # of |
|-----------------------------------|--------------------------------|-------------------|--------------|---------------|
| Top 25 Brokers | | Vol (000) | Total | Stocks |
| 1 | CREDIT SUISSE | 2,168,871 | 91.02 | 3 |
| 2 | THOMAS WEISEL PARTNERS LLC | 131,487 | 5.52 | 32 |
| 3 | CRT CAPITAL GROUP LLC | 81,650 | 3.43 | 14 |
| 4 | JPMORGAN | 189 | 0.01 | 7 |
| 5 | TORONTO DOMINION SECURITIES (U | 122 | 0.01 | 1 |
| 6 | GOLDMAN SACHS GROUP | 106 | 0 | 2 |
| 7 | MERRILL LYNCH, PIERCE FENNER | 103 | 0 | 5 |
| 8 | KNIGHT | 93 | 0 | 6 |
| 9 | UBS | 90 | 0 | 16 |
| 10 | HILL THOMPSON MAGID CO. INC. | 46 | 0 | 2 |
| 11 | CITIGROUP | 45 | 0 | 2 |
| 12 | KEEFE, BRUYETTE & WOODS, INC. | 24 | 0 | 2 |
| 13 | DEUTSCHE BANK SECURITIES, IN | 16 | 0 | 1 |
| 14 | FOX-PITT KELTON, INC. | 15 | 0 | 1 |
| 15 | MORGAN STANLEY | 8 | 0 | 6 |
| 16 | ROBERT W. BAIRD CO. INCORPORAT | 2 | 0 | 2 |
| 17 | BNY CONVERGEX | 1 | 0 | 1 |
| 18 | LEHMAN BROTHERS, INC. | 1 | 0 | 1 |
| 19 | STIFEL NICOLAUS CAPITAL MARKET | 0 | 0 | 1 |

| Mandatory CVT Securities Top 15 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
|--|---------------------------------|-----------------------|------------------------|
| 1 CITIGROUP | 2,732 | 56.51 | 6 |
| 2 KNIGHT | 419 | 8.67 | 6 |
| 3 MERRILL LYNCH, PIERCE FENNER | 418 | 8.64 | 5 |
| 4 CREDIT SUISSE | 405 | 8.38 | 3 |
| 5 MORGAN STANLEY EUROPE | 389 | 8.04 | 1 |
| 6 UBS | 271 | 5.61 | 9 |
| 7 WEEDEN & COMPANY, INC. | 53 | 1.1 | 2 |
| 8 MORGAN STANLEY | 28 | 0.59 | 6 |
| 9 INSTINET CORPORATION | 24 | 0.5 | 2 |
| 10 MAXIM GROUP LLC | 22 | 0.46 | 1 |
| 11 GOLDMAN SACHS GROUP | 19 | 0.4 | 2 |
| 12 ROBERT W. BAIRD CO. INCORPORAT | 14 | 0.29 | 4 |
| 13 LEHMAN BROTHERS, INC. | 13 | 0.27 | 2 |
| 14 CAPITAL INSTITUTIONAL SERVICES | 9 | 0.2 | 1 |
| 15 SANFORD C. BERNSTEIN COMPANY, | 6 | 0.13 | 2 |

| CVT Bond Zero Securities Top 15 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
|--|---------------------------------|-----------------------|------------------------|
| 1 CREDIT SUISSE | 9,446 | 62.5 | 1 |
| 2 THOMAS WEISEL PARTNERS LLC | 5,668 | 37.5 | 1 |

| CVT Preferred Securities Top 15 Brokers | Advertised Vol (000) | % of Total | # of Stocks |
|--|---------------------------------|-----------------------|------------------------|
| 1 JPMORGAN | 17,136 | 27.92 | 36 |
| 2 CITIGROUP | 14,179 | 23.1 | 71 |
| 3 GOLDMAN SACHS GROUP | 9,904 | 16.14 | 29 |
| 4 KNIGHT | 3,678 | 5.99 | 77 |
| 5 CREDIT SUISSE | 3,665 | 5.97 | 18 |
| 6 UBS | 2,507 | 4.09 | 100 |

| | | | | |
|----|--------------------------------|-------|------|----|
| 7 | MERRILL LYNCH, PIERCE FENNER | 2,489 | 4.06 | 35 |
| 8 | MORGAN STANLEY | 2,316 | 3.77 | 55 |
| 9 | LEHMAN BROTHERS, INC. | 1,821 | 2.97 | 43 |
| 10 | BANC OF AMERICA SECURITIES LLC | 1,257 | 2.05 | 5 |
| 11 | WILLIAM BLAIR & COMPANY, LLC. | 468 | 0.76 | 34 |
| 12 | MAXIM GROUP LLC | 355 | 0.58 | 2 |
| 13 | JEFFERIES & COMPANY, INC. | 341 | 0.56 | 7 |
| 14 | DEUTSCHE BANK SECURITIES, IN | 189 | 0.31 | 7 |
| 15 | HUDSON SECURITIES | 180 | 0.29 | 5 |