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November 2007

Monthly Summary

<b>Listed Securities Top 50 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b>% of Vol. Crossed</b>
1 MORGAN STANLEY	6,682,170	13.65-	
2 UBS	5,233,295	10.69	5
3 MERRILL LYNCH, PIERCE FENNER	4,945,060	10.1	2
4 CREDIT SUISSE	3,488,992	7.13-	
5 DEUTSCHE BANK SECURITIES, IN	3,473,071	7.1	1
6 KNIGHT	3,057,369	6.25	5
7 LEHMAN BROTHERS, INC.	3,019,908	6.17	1
8 JPMORGAN	2,531,989	5.17	4
9 BANC OF AMERICA SECURITIES LLC	1,865,051	3.81	2
10 RBC CAPITAL MARKETS	1,327,598	2.71	7
11 GOLDMAN SACHS GROUP	1,294,250	2.64	13
12 BEAR STEARNS AND COMPANY	1,284,487	2.62	11
13 CITIGROUP	1,149,058	2.35	7
14 JEFFERIES & COMPANY, INC.	824,080	1.68	18
15 INSTINET CORPORATION	798,472	1.63	4
16 SUSQUEHANNA INTERNATIONAL GRP.	671,608	1.37	1
17 E-TRADE CAPITAL MARKETS	618,898	1.26	1
18 WEEDEN & COMPANY, INC.	496,139	1.01	16
19 CANTOR, FITZGERALD & CO., INC.	475,908	0.97	30
20 SANFORD C. BERNSTEIN COMPANY,	414,394	0.85	7
21 BNY BROKERAGE	356,078	0.73	1
22 WACHOVIA SECURITIES	309,109	0.63	8
23 ITG, INC.	291,700	0.6	100
24 CIBC WORLD MARKETS	268,817	0.55	1
25 RAYMOND JAMES	261,999	0.54	4
26 JONESTRADING INSTITUTIONAL SER	256,875	0.52	37
27 COWEN & CO.	227,817	0.47	8
28 SUNTRUST ROBINSON HUMPHREY	211,210	0.43	3
29 FRIEDMAN, BILLINGS, RAMSEY & C	192,968	0.39	7
30 PIPER JAFFRAY & CO.	176,391	0.36	3
31 KEEFE, BRUYETTE & WOODS, INC.	167,345	0.34	3
32 BTIG - BASS TRADING	161,284	0.33	21
33 THOMAS WEISEL PARTNERS LLC	158,413	0.32	2
34 STIFEL NICOLAUS CAPITAL MARKET	152,654	0.31	12
35 ROBERT W. BAIRD CO. INCORPORAT	115,445	0.24	5
36 HSBC SECURITIES	111,528	0.23	0
37 KEYBANC CAPITAL MARKETS INC.	93,275	0.19	6
38 CRT CAPITAL GROUP LLC	91,444	0.19	34
39 MAXIM GROUP LLC	88,980	0.18	2
40 JMP SECURITIES	82,320	0.17-	
41 WILLIAM BLAIR & COMPANY, LLC.	81,682	0.17	5
42 PALI CAPITAL LLC	78,142	0.16	5
43 THE INTERSTATE GROUP	70,215	0.14	0
44 FOX-PITT KELTON, INC.	66,943	0.14	4

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45	OPPENHEIMER & CO	55,352	0.11	4
46	CAPITAL INSTITUTIONAL SERVICES	53,783	0.11-	
47	ABEL NOSER CORP	51,403	0.11-	
48	BMO CAPITAL MARKETS	50,625	0.1	24
49	BB&T CAPITAL MARKETS	49,730	0.1	3
50	MORGAN KEEGAN & CO., INC.	48,543	0.1	11

<b>NASDAQ – National Market &amp; Small Cap Market</b>		<b>Advertised</b>	<b>% of</b>	<b># of</b>
<b>Top 50 Brokers</b>		<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1	KNIGHT	1,593,884	22.68	2033
2	UBS	1,134,023	16.14	1970
3	MORGAN STANLEY	559,187	7.96	1631
4	E-TRADE CAPITAL MARKETS	347,823	4.95	838
5	CREDIT SUISSE	284,445	4.05	1492
6	MERRILL LYNCH, PIERCE FENNER	280,123	3.99	1435
7	LEHMAN BROTHERS, INC.	272,434	3.88	1061
8	INSTINET CORPORATION	206,513	2.94	1030
9	CITIGROUP	191,968	2.73	204
10	DEUTSCHE BANK SECURITIES, IN	191,517	2.73	973
11	GOLDMAN SACHS GROUP	175,570	2.5	1215
12	JPMORGAN	159,717	2.27	924
13	JEFFERIES & COMPANY, INC.	133,963	1.91	562
14	BANC OF AMERICA SECURITIES LLC	124,139	1.77	659
15	BEAR STEARNS AND COMPANY	102,239	1.45	1057
16	PIPER JAFFRAY & CO.	89,418	1.27	499
17	CANTOR, FITZGERALD & CO., INC.	83,930	1.19	466
18	COWEN & CO.	78,050	1.11	361
19	SUSQUEHANNA INTERNATIONAL GRP.	69,625	0.99	1079
20	JONESTRADING INSTITUTIONAL SER	67,195	0.96	231
21	CIBC WORLD MARKETS	59,455	0.85	596
22	RBC CAPITAL MARKETS	58,154	0.83	273
23	WEEDEN & COMPANY, INC.	50,499	0.72	457
24	THOMAS WEISEL PARTNERS LLC	48,921	0.7	230
25	BTIG - BASS TRADING	38,107	0.54	179
26	LEERINK SWANN & CO.	32,014	0.46	145
27	WACHOVIA SECURITIES	30,358	0.43	209
28	ITG, INC.	30,062	0.43	333
29	CRAIG-HALLUM CAPITAL GROUP	25,617	0.36	46
30	WILLIAM BLAIR & COMPANY, LLC.	24,968	0.36	316
31	NEEDHAM & COMPANY	24,543	0.35	302
32	JMP SECURITIES	22,323	0.32	60
33	MERRIMAN CURHAN FORD & CO.	22,282	0.32	217
34	THINKEQUITY PARTNERS	21,116	0.3	148
35	CANACCORD ADAMS	20,868	0.3	112
36	BNY BROKERAGE	18,565	0.26	421
37	RAYMOND JAMES	18,213	0.26	165

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38	PALI CAPITAL LLC	17,881	0.25	132
39	OPPENHEIMER & CO	17,293	0.25	132
40	FRIEDMAN, BILLINGS, RAMSEY & C	17,127	0.24	148
41	STIFEL NICOLAUS CAPITAL MARKET	16,387	0.23	312
42	CRT CAPITAL GROUP LLC	16,266	0.23	42
43	MAXIM GROUP LLC	15,007	0.21	350
44	THE BENCHMARK COMPANY, LLC	14,357	0.2	175
45	ROTH CAPITAL PARTNERS, LLC	13,687	0.19	106
46	STEPHENS INC.	12,228	0.17	107
47	ROBERT W. BAIRD CO. INCORPORAT	10,776	0.15	95
48	DAHLMAN ROSE & CO., LLC.	9,778	0.14	25
49	PACIFIC GROWTH EQUITIES	9,773	0.14	102
50	LAZARD CAPITAL MARKETS	9,327	0.13	61

<b>Listed Securities Non-NY Brokers Top 25 Broker – Dealer</b>	<b>Advertised Vol.(000)</b>	<b>% of Vol. Crossed</b>	
1	BANC OF AMERICA SECURITIES LLC	1865051	2
2	SUSQUEHANNA INTERNATIONAL GRP.	671608	1
3	WEEDEN & COMPANY, INC.	496139	16
4	CANTOR, FITZGERALD & CO., INC.	475908	30
5	WACHOVIA SECURITIES	309109	8
6	FRIEDMAN, BILLINGS, RAMSEY & C	192968	7
7	THOMAS WEISEL PARTNERS LLC	158413	2
8	ROBERT W. BAIRD CO. INCORPORAT	115445	5
9	WILLIAM BLAIR & COMPANY, LLC.	81682	5
10	THE INTERSTATE GROUP	70215	0
11	CAPITAL INSTITUTIONAL SERVICES	53783	-
12	MORGAN KEEGAN & CO., INC.	48543	11
13	SIMMONS AND COMPANY INTERNATIO	47395	-
14	ABN-AMRO	43632	-
15	WEDBUSH MORGAN SECURITIES	38657	10
16	STEPHENS INC.	31216	-
17	THINKEQUITY PARTNERS	29928	15
18	JOHNSON RICE & COMPANY	28263	-
19	GREEN STREET ADVISORS	26738	7
20	MIDWEST RESEARCH	23677	7
21	MKM PARTNERS	17272	0
22	D.A. DAVIDSON & CO.	12679	2
23	PACIFIC GROWTH EQUITIES	12522	1
24	COLLINS STEWART	11438	45
25	JANNEY MONTGOMERY SCOTT, INC.	6705	-

<b>NASDAQ – National Market &amp; Small Cap Market Non NY Brokers Top 25 Broker-Dealer</b>	<b>Advertised Vol.(000)</b>	<b># of Stocks</b>
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1	BANC OF AMERICA SECURITIES LLC	124139	659
2	SUSQUEHANNA INTERNATIONAL GRP.	69625	1079
3	WEEDEN & COMPANY, INC.	50499	457
4	THOMAS WEISEL PARTNERS LLC	48921	230
5	WACHOVIA SECURITIES	30358	209
6	CRAIG-HALLUM CAPITAL GROUP	25617	46
7	WILLIAM BLAIR & COMPANY, LLC.	24968	316
8	THINKEQUITY PARTNERS	21116	148
9	FRIEDMAN, BILLINGS, RAMSEY & C	17127	148
10	STEPHENS INC.	12228	107
11	ROBERT W. BAIRD CO. INCORPORAT	10776	95
12	PACIFIC GROWTH EQUITIES	9773	102
13	WEDBUSH MORGAN SECURITIES	6212	53
14	MORGAN KEEGAN & CO., INC.	5351	42
15	PACIFIC CREST SECURITIES	4286	26
16	D.A. DAVIDSON & CO.	3730	43
17	SANDERS MORRIS HARRIS	3655	12
18	W.R. HAMBRECHT & COMPANY, LLC	3439	16
19	CAPITAL INSTITUTIONAL SERVICES	3307	78
20	TEJAS SECURITIES GROUP	2387	1
21	SIMMONS AND COMPANY INTERNATIO	1756	14
22	MKM PARTNERS	1366	17
23	GREEN STREET ADVISORS	884	3
24	THE INTERSTATE GROUP	647	18
25	JOHNSON RICE & COMPANY	626	21

## Ordinary Securities

<b>Europe</b>	<b>Advertised</b>	<b>% of</b>	<b># of</b>	
<b>Top 15 Brokers</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>	
1	LEHMAN BROTHERS, INC.	21,976,311	17.61	1881
2	UBS	17,348,062	13.9	3280
3	DEUTSCHE BANK SECURITIES, IN	14,016,224	11.23	2827
4	CREDIT SUISSE	13,760,847	11.03	2030
5	CITIGROUP	11,893,530	9.53	2202
6	MERRILL LYNCH, PIERCE FENNER	6,891,699	5.52	1965
7	MORGAN STANLEY EUROPE	6,286,745	5.04	1855
8	GOLDMAN SACHS GROUP	5,753,624	4.61	2370
9	ABN-AMRO	5,058,082	4.05	1967
10	DRESDNER KLEINWORT	4,510,656	3.61	2277
11	JPMORGAN	4,158,129	3.33	1634
12	CAZENOVE SECURITIES	1,879,869	1.51	853
13	EVOLUTION SECURITIES	1,232,369	0.99	371
14	HSBC SECURITIES	1,123,697	0.9	2521
15	ING FINANCIAL MARKETS	1,115,617	0.89	641

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<b>Ordinary Securities</b>				
<b>Far East Asia / Pacific Rim</b>				
<b>Top 15 Brokers</b>		<b>Advertised</b>	<b>% of</b>	<b># of</b>
		<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1	UBS	32,275,346	22.66	5836
2	MERRILL LYNCH, PIERCE FENNER	30,205,234	21.2	3538
3	CITIGROUP	29,409,551	20.65	2867
4	DEUTSCHE BANK SECURITIES, IN	16,477,363	11.57	2345
5	JPMORGAN	7,051,044	4.95	1413
6	LEHMAN BROTHERS, INC.	5,754,137	4.04	3099
7	CREDIT SUISSE	5,382,895	3.78	1371
8	HSBC SECURITIES	4,636,094	3.25	522
9	MORGAN STANLEY ASIA	3,969,087	2.79	272
10	ABN-AMRO	3,517,960	2.47	391
11	BEAR STEARNS AND COMPANY	3,430,960	2.41	2102
12	DRESDNER KLEINWORT	75,716	0.05	476
13	ING FINANCIAL MARKETS	51,183	0.04	162
14	MORGAN STANLEY EUROPE	45,176	0.03	17
15	GOLDMAN SACHS GROUP	39,598	0.03	21

<b>Ordinary Securities</b>				
<b>Latin America (Excluding Brazil)</b>				
<b>Top 15 Brokers</b>		<b>Advertised</b>	<b>% of</b>	<b># of</b>
		<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1	CITIGROUP	199,408	30.93	59
2	MORGAN STANLEY	164,292	25.48	46
3	MERRILL LYNCH, PIERCE FENNER	130,777	20.28	26
4	JPMORGAN	73,968	11.47	57
5	BEAR STEARNS AND COMPANY	54,030	8.38	22
6	DEUTSCHE BANK SECURITIES, IN	8,265	1.28	8
7	GOLDMAN SACHS GROUP	8,099	1.26	4
8	UBS	3,507	0.54	13
9	E-TRADE CAPITAL MARKETS	1,249	0.19	1
10	CREDIT SUISSE	329	0.05	4
11	KNIGHT	268	0.04	2
12	HSBC SECURITIES	218	0.03	1
13	DRESDNER KLEINWORT	174	0.03	1
14	HILL THOMPSON MAGID CO. INC.	63	0.01	1
15	LEHMAN BROTHERS, INC.	50	0.01	1

<b>ADR Securities</b>				
<b>Top 25 Brokers</b>		<b>Advertised</b>	<b>% of</b>	<b># of</b>
		<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1	UBS	850,428	14.4	666
2	MERRILL LYNCH, PIERCE FENNER	685,426	11.61	687
3	MORGAN STANLEY	643,377	10.89	600
4	KNIGHT	569,893	9.65	633
5	CREDIT SUISSE	545,684	9.24	467

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6	DEUTSCHE BANK SECURITIES, IN	459,767	7.79	410
7	JPMORGAN	327,081	5.54	361
8	CITIGROUP	258,937	4.38	305
9	GOLDMAN SACHS GROUP	187,292	3.17	492
10	LEHMAN BROTHERS, INC.	179,187	3.03	400
11	E-TRADE CAPITAL MARKETS	139,176	2.36	299
12	BEAR STEARNS AND COMPANY	117,063	1.98	383
13	RBC CAPITAL MARKETS	72,484	1.23	124
14	ING FINANCIAL MARKETS	70,924	1.2	40
15	MORGAN STANLEY EUROPE	70,555	1.19	39
16	ABN-AMRO	63,951	1.08	119
17	INSTINET CORPORATION	61,665	1.04	238
18	JEFFERIES & COMPANY, INC.	59,734	1.01	231
19	HSBC SECURITIES	59,521	1.01	170
20	SUSQUEHANNA INTERNATIONAL GRP.	54,856	0.93	298
21	BULLTICK	47,271	0.8	127
22	BANC OF AMERICA SECURITIES LLC	37,168	0.63	300
23	CANTOR, FITZGERALD & CO., INC.	33,669	0.57	123
24	SANFORD C. BERNSTEIN COMPANY,	25,479	0.43	112
25	WEEDEN & COMPANY, INC.	23,873	0.4	129

<b>CVT BOND COUPON Securities</b>		<b>Advertised</b>	<b>% of</b>	<b># of</b>
<b>Top 15 Brokers</b>		<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1	CREDIT SUISSE	193,412	70.56	3
2	THOMAS WEISEL PARTNERS LLC	33,717	12.3	13-
3	CRT CAPITAL GROUP LLC	26,000	9.49	4-
4	J GIORDANO SECURITIES	15,520	5.66	15-
5	LAZARD CAPITAL MARKETS	5,000	1.82	2
6	MERRILL LYNCH, PIERCE FENNER	154	0.06	7-
7	UBS	77	0.03	7-
8	JPMORGAN	68	0.02	3-
9	KNIGHT	51	0.02	6-
10	DEUTSCHE BANK SECURITIES, IN	31	0.01	2-
11	KEEFE, BRUYETTE & WOODS, INC.	16	0.01	2-
12	HILL THOMPSON MAGID CO. INC.	16	0.01	4-
13	FERRIS BAKER WATTS & CO.,INC	14	0.01	1
14	MORGAN STANLEY	13	0	7-
15	INSTINET CORPORATION	6	0	1-

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<b>Mandatory CVT Securities Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 MERRILL LYNCH, PIERCE FENNER	1,692	24.92	8
2 DEUTSCHE BANK SECURITIES, IN	854	12.58	4
3 UBS	818	12.04	6
4 CREDIT SUISSE	746	10.98	7
5 KNIGHT	481	7.08	8
6 CITIGROUP	458	6.74	4
7 GOLDMAN SACHS GROUP	422	6.21	8
8 LAZARD CAPITAL MARKETS	323	4.76	3
9 JPMORGAN	320	4.71	4
10 MORGAN STANLEY EUROPE	274	4.03	2
11 MORGAN STANLEY	79	1.16	7
12 LEHMAN BROTHERS, INC.	58	0.86	4
13 INSTINET CORPORATION	55	0.81	1
14 WILLIAM BLAIR & COMPANY, LLC.	52	0.77	3
15 BNY BROKERAGE	47	0.7	3

<b>CVT Bond Zero Securities Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 CREDIT SUISSE	9,866	76.58	
2 HSBC SECURITIES	2,581	20.03	
3 THOMAS WEISEL PARTNERS LLC	236	1.83	
4 J GIORDANO SECURITIES	200	1.55	

<b>CVT Preferred Securities Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 GOLDMAN SACHS GROUP	2,509	20.95	12
2 JPMORGAN	2,147	17.92	13
3 MERRILL LYNCH, PIERCE FENNER	1,613	13.47	21
4 KNIGHT	1,401	11.7	33

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5	CITIGROUP	952	7.95	18
6	CREDIT SUISSE	858	7.17	10
7	UBS	801	6.69	29
8	MORGAN STANLEY	413	3.45	28
9	LEHMAN BROTHERS, INC.	245	2.04	12
10	DEUTSCHE BANK SECURITIES, IN	200	1.67	3
11	WILLIAM BLAIR & COMPANY, LLC.	152	1.27	11
12	J GIORDANO SECURITIES	128	1.07	1
13	INSTINET CORPORATION	117	0.98	5
14	LAZARD CAPITAL MARKETS	117	0.97	7
15	CANTOR, FITZGERALD & CO., INC.	103	0.86	4