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October 2007

Monthly Summary

<b>Listed Securities Top 50 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b>% of Vol. Crossed</b>
1 MORGAN STANLEY	5,459,435	12.21	0
2 UBS	5,030,259	11.25	6
3 MERRILL LYNCH, PIERCE FENNER	5,013,548	11.21	4
4 KNIGHT	3,094,091	6.92	7
5 LEHMAN BROTHERS, INC.	2,996,593	6.7	7
6 DEUTSCHE BANK SECURITIES, IN	2,864,638	6.41	2
7 CREDIT SUISSE	2,816,943	6.3	0
8 JPMORGAN	1,978,383	4.42	4
9 BANC OF AMERICA SECURITIES LLC	1,853,792	4.15	3
10 BEAR STEARNS AND COMPANY	1,394,077	3.12	13
11 GOLDMAN SACHS GROUP	1,221,326	2.73	13
12 RBC CAPITAL MARKETS	1,064,040	2.38	7
13 CITIGROUP	869,572	1.94	17
14 E-TRADE CAPITAL MARKETS	773,068	1.73	0
15 JEFFERIES & COMPANY, INC.	701,735	1.57	15
16 INSTINET CORPORATION	650,517	1.45	4
17 SUSQUEHANNA INTERNATIONAL GRP.	581,176	1.3	3
18 WEEDEN & COMPANY, INC.	434,427	0.97	19
19 SANFORD C. BERNSTEIN COMPANY,	407,465	0.91	8
20 CANTOR, FITZGERALD & CO., INC.	353,427	0.79	27
21 BNY BROKERAGE	340,763	0.76	1
22 COWEN & CO.	305,084	0.68	7
23 CIBC WORLD MARKETS	263,767	0.59	2
24 RAYMOND, JAMES & ASSOC. INC.	256,809	0.57	10
25 WACHOVIA SECURITIES	256,333	0.57	9
26 JONESTRADING INSTITUTIONAL SER	238,044	0.53	43
27 ITG, INC.	228,034	0.51	100
28 PIPER JAFFRAY & CO.	201,897	0.45	3
29 FRIEDMAN, BILLINGS, RAMSEY & C	198,694	0.44	10
30 THOMAS WEISEL PARTNERS LLC	192,523	0.43	2
31 STIFEL NICOLAUS CAPITAL MARKET	138,857	0.31	8
32 KEEFE, BRUYETTE & WOODS, INC.	138,318	0.31	4
33 ROBERT W. BAIRD CO. INCORPORAT	115,575	0.26	7
34 BTIG - BASS TRADING	107,591	0.24	31
35 KEYBANC CAPITAL MARKETS INC.	103,855	0.23	9
36 WILLIAM BLAIR & COMPANY, LLC.	90,297	0.2	12
37 CRT CAPITAL GROUP LLC	86,571	0.19	21
38 JMP SECURITIES	79,270	0.18-	
39 SUNTRUST ROBINSON HUMPHREY	77,260	0.17	7
40 CAPITAL INSTITUTIONAL SERVICES	75,746	0.17-	
41 PALI CAPITAL LLC	74,443	0.17	8
42 THE INTERSTATE GROUP	74,096	0.17-	
43 OTA OFF THE RECORD RESEARCH	73,305	0.16	13
44 MAXIM GROUP LLC	73,279	0.16	1

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45 FOX-PITT KELTON, INC.	70,553	0.16	7
46 HSBC SECURITIES	70,373	0.16-	
47 OPPENHEIMER & CO	66,508	0.15	14
48 ROCHDALE SECURITIES	61,561	0.14	3
49 MORGAN KEEGAN & CO., INC.	58,776	0.13	16
50 DAHLMAN ROSE & CO., LLC.	56,016	0.13	3

<b>NASDAQ – National Market &amp; Small Cap Market</b>	<b>Advertised</b>	<b>% of</b>	<b># of</b>
<b>Top 50 Brokers</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1 UBS	4,110,015	13.26	3014
2 KNIGHT	3,854,197	12.44	3071
3 MORGAN STANLEY	2,833,801	9.15	2574
4 MERRILL LYNCH, PIERCE FENNER	2,041,928	6.59	2485
5 E-TRADE CAPITAL MARKETS	1,675,856	5.41	1502
6 LEHMAN BROTHERS, INC.	1,519,801	4.9	1996
7 CREDIT SUISSE	1,324,715	4.28	2408
8 GOLDMAN SACHS GROUP	1,203,465	3.88	2255
9 DEUTSCHE BANK SECURITIES, IN	1,121,255	3.62	1925
10 JPMORGAN	1,039,616	3.36	1877
11 BANC OF AMERICA SECURITIES LLC	999,578	3.23	1360
12 CITIGROUP	974,446	3.14	485
13 BEAR STEARNS AND COMPANY	830,590	2.68	1816
14 INSTINET CORPORATION	703,293	2.27	1929
15 COWEN & CO.	648,450	2.09	835
16 JEFFERIES & COMPANY, INC.	589,120	1.9	1179
17 RBC CAPITAL MARKETS	495,164	1.6	765
18 PIPER JAFFRAY & CO.	341,709	1.1	986
19 CIBC WORLD MARKETS	331,349	1.07	1505
20 SUSQUEHANNA INTERNATIONAL GRP.	306,948	0.99	1996
21 WACHOVIA SECURITIES	269,060	0.87	626
22 WEEDEN & COMPANY, INC.	248,231	0.8	1054
23 CANTOR, FITZGERALD & CO., INC.	244,166	0.79	992
24 THOMAS WEISEL PARTNERS LLC	216,207	0.7	658
25 ROBERT W. BAIRD CO. INCORPORAT	166,018	0.54	483
26 JONESTRADING INSTITUTIONAL SER	144,703	0.47	477
27 SANFORD C. BERNSTEIN COMPANY,	135,948	0.44	723
28 RAYMOND, JAMES & ASSOC. INC.	135,464	0.44	391
29 BTIG - BASS TRADING	120,065	0.39	409
30 BNY BROKERAGE	114,272	0.37	1091
31 FRIEDMAN, BILLINGS, RAMSEY & C	110,309	0.36	433
32 STIFEL NICOLAUS CAPITAL MARKET	107,004	0.35	774
33 THINKEQUITY PARTNERS	103,536	0.33	266
34 KEEFE, BRUYETTE & WOODS, INC.	99,545	0.32	505
35 ITG, INC.	95,624	0.31	846
36 WILLIAM BLAIR & COMPANY, LLC.	95,576	0.31	769
37 LEERINK SWANN & CO.	79,151	0.26	290

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38 OPPENHEIMER & CO	77,136	0.25	430
39 JMP SECURITIES	74,004	0.24	173
40 PACIFIC CREST SECURITIES	72,739	0.23	134
41 NEEDHAM & COMPANY	70,161	0.23	590
42 CRT CAPITAL GROUP LLC	64,347	0.21	86
43 CANACCORD ADAMS	64,261	0.21	294
44 MAXIM GROUP LLC	63,273	0.2	638
45 SUNTRUST ROBINSON HUMPHREY	61,406	0.2	605
46 CRAIG-HALLUM CAPITAL GROUP	61,028	0.2	74
47 THE BENCHMARK COMPANY, LLC	55,920	0.18	446
48 PALI CAPITAL LLC	55,278	0.18	372
49 WEDBUSH MORGAN SECURITIES	55,217	0.18	221
50 MERRIMAN CURHAN FORD & CO.	50,331	0.16	446

<b>Listed Securities Non-NY Brokers Top 25 Broker – Dealer</b>	<b>Advertised Vol.(000)</b>	<b>% of Vol. Crossed</b>
1 BANC OF AMERICA SECURITIES LLC	1,853,793	3
2 SUSQUEHANNA INTERNATIONAL GRP.	581,176	3
3 WEEDEN & COMPANY, INC.	434,427	19
4 CANTOR, FITZGERALD & CO., INC.	353,427	27
5 WACHOVIA SECURITIES	256,333	9
6 FRIEDMAN, BILLINGS, RAMSEY & C	198,694	10
7 THOMAS WEISEL PARTNERS LLC	192,523	2
8 ROBERT W. BAIRD CO. INCORPORAT	115,575	7
9 WILLIAM BLAIR & COMPANY, LLC.	90,297	12
10 CAPITAL INSTITUTIONAL SERVICES	75,746	
11 THE INTERSTATE GROUP	74,096	
12 MORGAN KEEGAN & CO., INC.	58,776	16
13 SIMMONS AND COMPANY INTERNATIO	46,877	
14 GREEN STREET ADVISORS	34,765	15
15 WEDBUSH MORGAN SECURITIES	33,315	2
16 MIDWEST RESEARCH	33,271	5
17 THINKEQUITY PARTNERS	30,867	4
18 STEPHENS INC.	27,668	4
19 ABN-AMRO	26,335	
20 JOHNSON RICE & COMPANY	24,646	
21 A.G. EDWARDS & SONS, INC.	17,223	1
22 MKM PARTNERS	13,400	2
23 D.A. DAVIDSON & CO.	13,271	3
24 PACIFIC CREST SECURITIES	9,277	8
25 PACIFIC GROWTH EQUITIES	7,952	

<b>NASDAQ – National Market &amp; Small Cap Market Non NY Brokers Top 25 Broker-Dealer</b>	<b>Advertised Vol.(000)</b>	<b># of Stocks</b>
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1 BANC OF AMERICA SECURITIES LLC	999,578	1,360
2 SUSQUEHANNA INTERNATIONAL GRP.	306,948	1,996
3 WACHOVIA SECURITIES	269,060	626
4 WEEDEN & COMPANY, INC.	248,231	1,054
5 THOMAS WEISEL PARTNERS LLC	216,207	658
6 ROBERT W. BAIRD CO. INCORPORAT	166,018	483
7 FRIEDMAN, BILLINGS, RAMSEY & C	110,309	433
8 THINKEQUITY PARTNERS	103,536	266
9 WILLIAM BLAIR & COMPANY, LLC.	95,576	769
10 PACIFIC CREST SECURITIES	72,739	134
11 CRAIG-HALLUM CAPITAL GROUP	61,028	74
12 WEDBUSH MORGAN SECURITIES	55,217	221
13 STEPHENS INC.	40,270	345
14 CAPITAL INSTITUTIONAL SERVICES	35,096	391
15 MORGAN KEEGAN & CO., INC.	29,885	163
16 PACIFIC GROWTH EQUITIES	23,172	191
17 D.A. DAVIDSON & CO.	20,131	154
18 MIDWEST RESEARCH	11,520	96
19 MKM PARTNERS	7,278	79
20 SIMMONS AND COMPANY INTERNATIO	6,734	45
21 W.R. HAMBRECHT & COMPANY, LLC	6,156	28
22 THE INTERSTATE GROUP	6,015	98
23 JOHNSON RICE & COMPANY	5,852	86
24 SANDERS MORRIS HARRIS	3,905	29
25 JANNEY MONTGOMERY SCOTT, INC.	2,724	16

## Ordinary Securities

Europe	Advertised	% of	# of
Top 15 Brokers	Vol (000)	Total	Stocks
1 LEHMAN BROTHERS, INC.	18,578,122	15.59	1883
2 UBS	14,950,321	12.54	3364
3 DEUTSCHE BANK SECURITIES, IN	13,219,612	11.09	2862
4 CREDIT SUISSE	12,182,133	10.22	1942
5 CITIGROUP	9,132,992	7.66	1953
6 MERRILL LYNCH, PIERCE FENNER	7,491,593	6.29	2090
7 DRESDNER KLEINWORT	7,463,691	6.26	2153
8 JPMORGAN	6,067,191	5.09	1649
9 MORGAN STANLEY EUROPE	6,052,737	5.08	1823
10 GOLDMAN SACHS GROUP	5,946,507	4.99	2479
11 ABN-AMRO	4,487,482	3.76	2212
12 HSBC SECURITIES	2,088,716	1.75	2552
13 CAZENOVE SECURITIES	2,023,776	1.7	886
14 EVOLUTION SECURITIES	1,452,809	1.22	375
15 ING FINANCIAL MARKETS	1,082,075	0.91	723

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<b>Ordinary Securities</b>			
<b>Far East Asia / Pacific Rim</b>			
<b>Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 UBS	37,718,502	36.98	6058
2 DEUTSCHE BANK SECURITIES, IN	16,714,131	16.39	3302
3 MERRILL LYNCH, PIERCE FENNER	11,146,437	10.93	3274
4 JPMORGAN	10,487,371	10.28	1899
5 HSBC SECURITIES	7,487,427	7.34	1393
6 CREDIT SUISSE	6,419,680	6.29	1499
7 BEAR STEARNS AND COMPANY	3,166,273	3.1	2005
8 MORGAN STANLEY ASIA	2,778,115	2.72	319
9 ABN-AMRO	2,524,400	2.48	421
10 BNP PARIBAS	1,963,717	1.93	1078
11 LEHMAN BROTHERS, INC.	736,188	0.72	1671
12 DRESDNER KLEINWORT	400,832	0.39	435
13 CITIGROUP	184,437	0.18	126
14 ING FINANCIAL MARKETS	49,433	0.05	138
15 EVOLUTION SECURITIES	41,335	0.04	13

<b>Ordinary Securities</b>			
<b>Latin America (Excluding Brazil)</b>			
<b>Top 15 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 JPMORGAN	168,285	25.96	53
2 MORGAN STANLEY	155,374	23.97	34
3 MERRILL LYNCH, PIERCE FENNER	123,124	19	43
4 BEAR STEARNS AND COMPANY	116,006	17.9	18
5 CITIGROUP	47,379	7.31	34
6 GOLDMAN SACHS GROUP	25,805	3.98	8
7 UBS	7,824	1.21	31
8 DEUTSCHE BANK SECURITIES, IN	3,021	0.47	9
9 E-TRADE CAPITAL MARKETS	851	0.13	1
10 KNIGHT	360	0.06	3
11 LEHMAN BROTHERS, INC.	138	0.02	3
12 MAXIM GROUP LLC	10	0	1
13 JEFFERIES & COMPANY, INC.	5	0	1

<b>ADR Securities</b>			
<b>Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Stocks</b>
1 UBS	1,136,581	14.67	812
2 KNIGHT	877,762	11.33	803
3 MERRILL LYNCH, PIERCE FENNER	823,408	10.63	835
4 MORGAN STANLEY	778,405	10.05	667

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5 CREDIT SUISSE	621,579	8.02	601
6 DEUTSCHE BANK SECURITIES, IN	522,584	6.74	543
7 JPMORGAN	485,367	6.26	438
8 E-TRADE CAPITAL MARKETS	292,688	3.78	411
9 GOLDMAN SACHS GROUP	273,151	3.53	594
10 LEHMAN BROTHERS, INC.	261,887	3.38	458
11 CITIGROUP	254,781	3.29	242
12 BEAR STEARNS AND COMPANY	171,806	2.22	518
13 HSBC SECURITIES	156,365	2.02	217
14 INSTINET CORPORATION	104,490	1.35	303
15 RBC CAPITAL MARKETS	88,490	1.14	168
16 BANC OF AMERICA SECURITIES LLC	80,619	1.04	402
17 MORGAN STANLEY EUROPE	71,129	0.92	44
18 ABN-AMRO	65,654	0.85	132
19 JEFFERIES & COMPANY, INC.	63,173	0.82	240
20 COWEN & CO.	60,375	0.78	153
21 SUSQUEHANNA INTERNATIONAL GRP.	53,786	0.69	392
22 CIBC WORLD MARKETS	41,418	0.53	246
23 ING FINANCIAL MARKETS	39,148	0.51	36
24 CANTOR, FITZGERALD & CO., INC.	32,097	0.41	163
25 SANFORD C. BERNSTEIN COMPANY,	25,417	0.33	158

<b>CVT BOND COUPON Securities</b>	<b>Advertised</b>	<b>% of</b>	<b># of</b>
<b>Top 15 Brokers</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1 THOMAS WEISEL PARTNERS LLC	76,129	47.31	21
2 CREDIT SUISSE	65,105	40.46	2-
3 J GIORDANO SECURITIES	9,100	5.66	6-
4 CRT CAPITAL GROUP LLC	6,250	3.88	3-
5 CANTOR, FITZGERALD & CO., INC.	4,000	2.49	1
6 JPMORGAN	108	0.07	3-
7 MERRILL LYNCH, PIERCE FENNER	64	0.04	6-
8 KNIGHT	59	0.04	7-
9 BNY BROKERAGE	33	0.02	1-
10 UBS	24	0.01	7-
11 KEEFE, BRUYETTE & WOODS, INC.	13	0.01	2-
12 MORGAN STANLEY	9	0.01	6-
13 SANFORD C. BERNSTEIN COMPANY,	5	0	1
14 HILL THOMPSON MAGID CO. INC.	3	0	1-
15 STIFEL NICOLAUS CAPITAL MARKET	2	0	1-

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<b>Mandatory CVT Securities</b>	<b>Advertised</b>	<b>% of</b>	<b># of</b>
<b>Top 15 Brokers</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1 CREDIT SUISSE	3,738	47.12	9
2 MERRILL LYNCH, PIERCE FENNER	1,337	16.85	8
3 CREDIT SUISSE	703	8.87	7
4 GOLDMAN SACHS GROUP	482	6.08	6
5 MORGAN STANLEY EUROPE	420	5.3	1
6 UBS	417	5.26	9
7 KNIGHT	351	4.42	8
8 DEUTSCHE BANK SECURITIES, IN	272	3.42	2
9 JPMORGAN	74	0.94	4
10 BEAR STEARNS AND COMPANY	49	0.61	4
11 BNY BROKERAGE	40	0.51	2
12 MORGAN STANLEY	38	0.48	8
13 LEHMAN BROTHERS, INC.	8	0.1	3
14 SUSQUEHANNA INTERNATIONAL GRP.	3	0.04	2
15 SANDLER O'NEIL & PARTNERS, L.P	1	0.01	1

<b>CVT Bond Zero Securities</b>	<b>Advertised</b>	<b>% of</b>	<b># of</b>
<b>Top 15 Brokers</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1 CREDIT SUISSE	6,969	70.71	1
2 THOMAS WEISEL PARTNERS LLC	1,840	18.67	5
3 COWEN & CO.	1,047	10.62	1

<b>CVT Preferred Securities</b>	<b>Advertised</b>	<b>% of</b>	<b># of</b>
<b>Top 15 Brokers</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1 JPMORGAN	1,874	20.36	10
2 LAZARD CAPITAL MARKETS	1,397	15.18	10
3 MERRILL LYNCH, PIERCE FENNER	1,292	14.03	20

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4 KNIGHT	1,075	11.68	34
5 GOLDMAN SACHS GROUP	896	9.73	11
6 UBS	707	7.68	25
7 WILLIAM BLAIR & COMPANY, LLC.	659	7.16	9
8 INSTINET CORPORATION	308	3.35	3
9 LEHMAN BROTHERS, INC.	293	3.18	12
10 MORGAN STANLEY	178	1.94	20
11 CREDIT SUISSE	165	1.79	7
12 JONESTRADING INSTITUTIONAL SER	100	1.09	1
13 BNY BROKERAGE	63	0.69	3
14 SUSQUEHANNA INTERNATIONAL GRP.	57	0.61	4
15 DEUTSCHE BANK SECURITIES, IN	48	0.52	2