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September 2007

Monthly Summary

<u>Listed Securities</u> <u>Top 50 Brokers</u>	<u>Advertised</u> <u>Vol (000)</u>	<u>% of</u> <u>Total</u>	<u>% of Vol.</u> <u>Crossed</u>
1 MORGAN STANLEY	4,639,442	13.45-	
2 UBS	3,986,110	11.56	5
3 MERRILL LYNCH, PIERCE FENNER	3,828,678	11.1	4
4 LEHMAN BROTHERS, INC.	2,330,618	6.76	11
5 CREDIT SUISSE	2,291,193	6.64-	
6 KNIGHT	2,170,753	6.29	6
7 DEUTSCHE BANK SECURITIES, IN	2,015,392	5.84	1
8 JPMORGAN	1,606,885	4.66	9
9 BANC OF AMERICA SECURITIES LLC	1,403,844	4.07	2
10 BEAR STEARNS AND COMPANY	1,156,328	3.35	9
11 RBC CAPITAL MARKETS	931,352	2.7	5
12 GOLDMAN SACHS GROUP	926,176	2.69	12
13 CITIGROUP	605,430	1.76	16
14 E-TRADE CAPITAL MARKETS	571,726	1.66	0
15 JEFFERIES & COMPANY, INC.	473,413	1.37	14
16 SUSQUEHANNA INTERNATIONAL GRP.	448,804	1.3	1
17 INSTINET CORPORATION	424,610	1.23	3
18 WEEDEN & COMPANY, INC.	395,867	1.15	17
19 SANFORD C. BERNSTEIN COMPANY,	260,558	0.76	8
20 CANTOR, FITZGERALD & CO., INC.	259,646	0.75	24
21 BNY BROKERAGE	226,463	0.66	1
22 COWEN & CO.	225,696	0.65	11
23 ITG, INC.	209,811	0.61	100
24 WACHOVIA SECURITIES	190,996	0.55	9
25 CIBC WORLD MARKETS	186,762	0.54	0
26 JONESTRADING INSTITUTIONAL SER	178,897	0.52	44
27 RAYMOND, JAMES & ASSOC. INC.	177,996	0.52	1
28 FRIEDMAN, BILLINGS, RAMSEY & C	143,265	0.42	6
29 PIPER JAFFRAY & CO.	136,452	0.4	2
30 THOMAS WEISEL PARTNERS LLC	134,413	0.39	1
31 NOMURA SECURITIES INTERNATIONA	104,978	0.3-	
32 STIFEL NICOLAUS CAPITAL MARKET	88,291	0.26	8
33 KEEFE, BRUYETTE & WOODS, INC.	85,991	0.25	6
34 ROBERT W. BAIRD CO. INCORPORAT	77,790	0.23	9
35 SUNTRUST ROBINSON HUMPHREY	72,661	0.21	9
36 KEYBANC CAPITAL MARKETS INC.	71,173	0.21	3
37 BTIG - BASS TRADING	68,793	0.2	29
38 OPPENHEIMER & CO	62,752	0.18	4
39 MAXIM GROUP LLC	61,821	0.18	0
40 HSBC SECURITIES	59,012	0.17-	
41 CAPITAL INSTITUTIONAL SERVICES	58,787	0.17-	
42 CRT CAPITAL GROUP LLC	56,416	0.16	17
43 THE INTERSTATE GROUP	53,546	0.16-	
44 WILLIAM BLAIR & COMPANY, LLC.	52,447	0.15	2

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45 PALI CAPITAL LLC	49,325	0.14	17
46 JMP SECURITIES	48,754	0.14	2
47 ROCHDALE SECURITIES	48,571	0.14	4
48 BB&T CAPITAL MARKETS	43,479	0.13	4
49 FOX-PITT KELTON, INC.	42,767	0.12	13
50 A.G. EDWARDS & SONS, INC.	40,257	0.12	10

NASDAQ – National Market & Small Cap Market	Advertised	% of	# of
Top 50 Brokers	Vol (000)	Total	Stocks
1 KNIGHT	2,901,124	12.71	3064
2 UBS	2,759,629	12.09	2995
3 MORGAN STANLEY	2,271,409	9.95	2488
4 MERRILL LYNCH, PIERCE FENNER	1,542,497	6.76	2481
5 LEHMAN BROTHERS, INC.	1,116,966	4.9	1982
6 E-TRADE CAPITAL MARKETS	1,094,468	4.8	1363
7 BANC OF AMERICA SECURITIES LLC	1,028,194	4.51	1357
8 GOLDMAN SACHS GROUP	999,970	4.38	2203
9 CREDIT SUISSE	989,745	4.34	2403
10 JPMORGAN	855,066	3.75	1645
11 CITIGROUP	698,707	3.06	438
12 DEUTSCHE BANK SECURITIES, IN	683,885	3	1886
13 BEAR STEARNS AND COMPANY	583,647	2.56	1848
14 COWEN & CO.	451,899	1.98	784
15 INSTINET CORPORATION	421,569	1.85	1826
16 RBC CAPITAL MARKETS	408,354	1.79	801
17 JEFFERIES & COMPANY, INC.	389,701	1.71	1004
18 WACHOVIA SECURITIES	242,074	1.06	595
19 PIPER JAFFRAY & CO.	224,049	0.98	894
20 SUSQUEHANNA INTERNATIONAL GRP.	208,000	0.91	1947
21 WEEDEN & COMPANY, INC.	183,080	0.8	1217
22 CIBC WORLD MARKETS	172,677	0.76	1473
23 CANTOR, FITZGERALD & CO., INC.	158,322	0.69	837
24 THOMAS WEISEL PARTNERS LLC	158,295	0.69	579
25 BTIG - BASS TRADING	102,414	0.45	406
26 ROBERT W. BAIRD CO. INCORPORAT	100,205	0.44	377
27 RAYMOND, JAMES & ASSOC. INC.	97,742	0.43	354
28 ITG, INC.	94,571	0.41	780
29 BNY BROKERAGE	93,500	0.41	1175
30 JONESTRADING INSTITUTIONAL SER	83,738	0.37	390
31 MAXIM GROUP LLC	80,360	0.35	626
32 SANFORD C. BERNSTEIN COMPANY,	80,233	0.35	687
33 FRIEDMAN, BILLINGS, RAMSEY & C	76,150	0.33	351
34 JMP SECURITIES	72,547	0.32	141
35 STIFEL NICOLAUS CAPITAL MARKET	70,788	0.31	756
36 WILLIAM BLAIR & COMPANY, LLC.	67,148	0.29	663
37 OPPENHEIMER & CO	63,695	0.28	372

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38	THINKEQUITY PARTNERS	61,633	0.27	272
39	LEERINK SWANN & CO.	60,241	0.26	256
40	NEEDHAM & COMPANY	53,579	0.23	597
41	SUNTRUST ROBINSON HUMPHREY	47,134	0.21	538
42	KEEFE, BRUYETTE & WOODS, INC.	46,689	0.2	447
43	NOMURA SECURITIES INTERNATIONAL	45,591	0.2	946
44	CANACCORD ADAMS	44,314	0.19	246
45	PALI CAPITAL LLC	43,788	0.19	323
46	CRAIG-HALLUM CAPITAL GROUP	43,454	0.19	74
47	A.G. EDWARDS & SONS, INC.	41,135	0.18	361
48	CRT CAPITAL GROUP LLC	40,087	0.18	78
49	PACIFIC CREST SECURITIES	39,559	0.17	109
50	WEDBUSH MORGAN SECURITIES	37,094	0.16	181

Listed Securities Non-NY Brokers		Advertised	% of Vol.
Top 25 Broker - Dealer		Vol.(000)	Crossed
1	BANC OF AMERICA SECURITIES LLC	1,404,049	2
2	SUSQUEHANNA INTERNATIONAL GRP.	449,422	1
3	WEEDEN & COMPANY, INC.	397,164	17
4	CANTOR, FITZGERALD & CO., INC.	259,706	24
5	WACHOVIA SECURITIES	191,013	9
6	RAYMOND, JAMES & ASSOC. INC.	177,996	1
7	FRIEDMAN, BILLINGS, RAMSEY & C	143,474	6
8	THOMAS WEISEL PARTNERS LLC	134,413	1
9	ROBERT W. BAIRD CO. INCORPORAT	77,790	9
10	CAPITAL INSTITUTIONAL SERVICES	58,787	-
11	THE INTERSTATE GROUP	53,546	-
12	WILLIAM BLAIR & COMPANY, LLC.	52,452	2
13	A.G. EDWARDS & SONS, INC.	40,257	10
14	SIMMONS AND COMPANY INTERNATIO	37,784	-
15	MORGAN KEEGAN & CO., INC.	31,923	9
16	GREEN STREET ADVISORS	25,853	17
17	ABN-AMRO	21,071	-
18	JOHNSON RICE & COMPANY	20,329	-
19	MIDWEST RESEARCH	18,598	3
20	WEDBUSH MORGAN SECURITIES	18,559	2
21	MKM PARTNERS	17,772	8
22	THINKEQUITY PARTNERS	14,471	16
23	STEPHENS INC.	10,915	-
24	D.A. DAVIDSON & CO.	7,166	-
25	DAVENPORT & COMPANY	6,171	3

NASDAQ – National Market & Small Cap Market		Advertised	# of
Non NY Brokers		Vol.(000)	Stocks
Top 25 Broker-Dealer			
1	BANC OF AMERICA SECURITIES LLC	911,959	1,357

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2	SUSQUEHANNA INTERNATIONAL GRP.	204,712	1,948
3	WACHOVIA SECURITIES	200,914	594
4	WEEDEN & COMPANY, INC.	182,751	1,217
5	THOMAS WEISEL PARTNERS LLC	157,796	579
6	ROBERT W. BAIRD CO. INCORPORAT	99,448	376
7	RAYMOND, JAMES & ASSOC. INC.	98,066	355
8	FRIEDMAN, BILLINGS, RAMSEY & C	75,150	350
9	WILLIAM BLAIR & COMPANY, LLC.	67,130	663
10	THINKEQUITY PARTNERS	61,264	272
11	CRAIG-HALLUM CAPITAL GROUP	43,467	75
12	A.G. EDWARDS & SONS, INC.	40,201	360
13	PACIFIC CREST SECURITIES	39,539	108
14	WEDBUSH MORGAN SECURITIES	37,094	181
15	MORGAN KEEGAN & CO., INC.	29,307	157
16	STEPHENS INC.	26,505	172
17	PACIFIC GROWTH EQUITIES	20,970	146
18	CAPITAL INSTITUTIONAL SERVICES	19,603	303
19	D.A. DAVIDSON & CO.	14,571	131
20	SANDERS MORRIS HARRIS	8,361	31
21	MIDWEST RESEARCH	7,971	86
22	JOHNSON RICE & COMPANY	4,762	77
23	MKM PARTNERS	3,976	63
24	W.R. HAMBRECHT & COMPANY, LLC	3,953	40
25	THE INTERSTATE GROUP	3,724	81

Ordinary Securities

Europe	Advertised	% of	# of	
Top 15 Brokers	Vol (000)	Total	Stocks	
1	LEHMAN BROTHERS, INC.	16,117,720	16.03	1863
2	UBS	12,738,794	12.67	3229
3	DEUTSCHE BANK SECURITIES, IN	11,234,823	11.18	2710
4	CREDIT SUISSE	10,397,413	10.34	2065
5	CITIGROUP	8,559,629	8.52	2008
6	MERRILL LYNCH, PIERCE FENNER	5,705,770	5.68	2076
7	JPMORGAN	5,431,197	5.4	1608
8	DRESDNER KLEINWORT	5,026,885	5	2119
9	ABN-AMRO	4,446,071	4.42	1869
10	MORGAN STANLEY EUROPE	4,323,316	4.3	1649
11	GOLDMAN SACHS GROUP	3,847,519	3.83	2238
12	HSBC SECURITIES	2,995,923	2.98	2477
13	CAZENOVE SECURITIES	1,516,143	1.51	766
14	BEAR STEARNS AND COMPANY	1,231,850	1.23	1110
15	ING FINANCIAL MARKETS	847,475	0.84	649

Ordinary Securities

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Far East Asia / Pacific Rim Top 15 Brokers	Advertised Vol (000)	% of Total	# of Stocks
1 UBS	25,906,354	35.41	5560
2 DEUTSCHE BANK SECURITIES, IN	11,842,193	16.19	3331
3 HSBC SECURITIES	8,019,569	10.96	1864
4 JPMORGAN	7,990,195	10.92	1785
5 MERRILL LYNCH, PIERCE FENNER	5,505,310	7.52	2889
6 BNP PARIBAS	4,665,194	6.38	1803
7 CREDIT SUISSE	3,574,008	4.89	1278
8 MORGAN STANLEY ASIA	1,960,164	2.68	275
9 BEAR STEARNS AND COMPANY	1,864,713	2.55	1879
10 ABN-AMRO	1,308,117	1.79	566
11 CITIGROUP	163,124	0.22	115
12 LEHMAN BROTHERS, INC.	148,375	0.2	797
13 DRESDNER KLEINWORT	45,208	0.06	204
14 ING FINANCIAL MARKETS	39,096	0.05	122
15 EVOLUTION SECURITIES	21,269	0.03	14

Ordinary Securities Latin America (Excluding Brazil) Top 15 Brokers	Advertised Vol (000)	% of Total	# of Stocks
1 JPMORGAN	166,741	30.27	51
2 BEAR STEARNS AND COMPANY	135,569	24.61	20
3 MERRILL LYNCH, PIERCE FENNER	129,266	23.47	43
4 MORGAN STANLEY	97,529	17.7	34
5 GOLDMAN SACHS GROUP	11,941	2.17	8
6 CITIGROUP	4,502	0.82	22
7 DEUTSCHE BANK SECURITIES, IN	4,194	0.76	9
8 LEHMAN BROTHERS, INC.	366	0.07	2
9 E-TRADE CAPITAL MARKETS	365	0.07	1
10 KNIGHT	296	0.05	3
11 UBS	116	0.02	6
12 ABN-AMRO	3	0	1
13 BB&T CAPITAL MARKETS	1	0	2
14 CREDIT SUISSE	0	0	1

ADR Securities Top 25 Brokers	Advertised Vol (000)	% of Total	# of Stocks
1 UBS	911,149	14.54	825
2 KNIGHT	746,492	11.92	814
3 MORGAN STANLEY	673,385	10.75	616
4 MERRILL LYNCH, PIERCE FENNER	665,871	10.63	859
5 CREDIT SUISSE	492,649	7.86	607
6 DEUTSCHE BANK SECURITIES, IN	377,772	6.03	547

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7	JPMORGAN	321,372	5.13	435
8	GOLDMAN SACHS GROUP	241,001	3.85	595
9	E-TRADE CAPITAL MARKETS	237,672	3.79	416
10	LEHMAN BROTHERS, INC.	213,073	3.4	457
11	BEAR STEARNS AND COMPANY	173,471	2.77	542
12	CITIGROUP	139,389	2.23	196
13	RBC CAPITAL MARKETS	102,061	1.63	167
14	HSBC SECURITIES	71,152	1.14	221
15	INSTINET CORPORATION	64,385	1.03	276
16	BANC OF AMERICA SECURITIES LLC	59,618	0.95	419
17	ABN-AMRO	56,806	0.91	121
18	MORGAN STANLEY EUROPE	55,049	0.88	41
19	COWEN & CO.	52,818	0.84	145
20	SUSQUEHANNA INTERNATIONAL GRP.	52,319	0.84	435
21	JEFFERIES & COMPANY, INC.	50,395	0.8	237
22	CIBC WORLD MARKETS	46,295	0.74	230
23	BULLTICK	39,744	0.63	188
24	CANTOR, FITZGERALD & CO., INC.	30,023	0.48	161
25	ING FINANCIAL MARKETS	23,275	0.37	28

CVT BOND COUPON Securities		Advertised	% of	# of
Top 25 Brokers		Vol (000)	Total	Stocks
1	THOMAS WEISEL PARTNERS LLC	87,731	44.38	28
2	CREDIT SUISSE	43,856	22.18	2
3	CRT CAPITAL GROUP LLC	39,900	20.18	9
4	J GIORDANO SECURITIES	24,282	12.28	12
5	LAZARD CAPITAL MARKETS	1,500	0.76	1
6	JPMORGAN	136	0.07	2
7	KNIGHT	76	0.04	7
8	MORGAN STANLEY	74	0.04	2
9	MERRILL LYNCH, PIERCE FENNER	53	0.03	5
10	UBS	44	0.02	8
11	LEHMAN BROTHERS, INC.	16	0.01	3
12	INSTINET CORPORATION	10	0.01	1
13	KEEFE, BRUYETTE & WOODS, INC.	5	0	2
14	GOLDMAN SACHS GROUP	1	0	1
15	BNY BROKERAGE	1	0	1
16	BEAR STEARNS AND COMPANY	0	0	1

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Mandatory CVT Securities Top 15 Brokers	Advertised Vol (000)	% of Total	# of Stocks
1 CANTOR, FITZGERALD & CO., INC.	1,800	23.95	1
2 GOLDMAN SACHS GROUP	1,368	18.2	7
3 MERRILL LYNCH, PIERCE FENNER	1,321	17.57	9
4 CREDIT SUISSE	1,241	16.51	6
5 LAZARD CAPITAL MARKETS	650	8.65	5
6 UBS	504	6.71	7
7 KNIGHT	402	5.35	7
8 JPMORGAN	69	0.92	4
9 DEUTSCHE BANK SECURITIES, IN	68	0.91	1
10 MORGAN STANLEY	26	0.35	4
11 LEHMAN BROTHERS, INC.	12	0.16	7
12 BEAR STEARNS AND COMPANY	12	0.16	4
13 SUSQUEHANNA INTERNATIONAL GRP.	11	0.15	3
14 SUNTRUST ROBINSON HUMPHREY	7	0.1	3
15 THE INTERSTATE GROUP	6	0.08	1

CVT Bond Zero Securities Top 15 Brokers	Advertised Vol (000)	% of Total	# of Stocks
1 CREDIT SUISSE	16,389	81.49	1
2 THOMAS WEISEL PARTNERS LLC	2,190	10.89	5
3 COWEN & CO.	1,533	7.62	1

CVT Preferred Securities Top 15 Brokers	Advertised Vol (000)	% of Total	# of Stocks
1 GOLDMAN SACHS GROUP	2,955	40.68	11
2 MERRILL LYNCH, PIERCE FENNER	1,143	15.74	20
3 KNIGHT	908	12.5	30
4 LAZARD CAPITAL MARKETS	625	8.6	9

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5	UBS	534	7.36	27
6	LEHMAN BROTHERS, INC.	238	3.27	11
7	JPMORGAN	179	2.46	6
8	WILLIAM BLAIR & COMPANY, LLC.	130	1.78	6
9	MORGAN STANLEY	111	1.53	8
10	INSTINET CORPORATION	105	1.45	3
11	CREDIT SUISSE	87	1.19	4
12	BNY BROKERAGE	68	0.93	2
13	DEUTSCHE BANK SECURITIES, IN	40	0.55	1
14	COWEN & CO.	38	0.52	2
15	SUSQUEHANNA INTERNATIONAL GRP.	34	0.47	6