

NASDAQ NATIONAL MARKET & SMALL CAP MARKET			
Top 25 Brokers			
March 2001			
	Advertised	% Of	# of
	Vol (000)	Total	Sec. Trd.
1 KNIGHT SECURITIES, INC.	3,700,472	7.69	4,519
2 SALOMON SMITH BARNEY INC.	3,590,988	7.46	1,584
3 MORGAN STANLEY & COMPANY, INC.	3,468,421	7.21	1,040
4 SCHWAB CAPITAL MARKETS L.P.	3,004,877	6.25	4,730
5 MERRILL LYNCH, PIERCE FENNER &	2,901,562	6.03	707
6 CREDIT SUISSE FIRST BOSTON	2,525,118	5.25	872
7 GOLDMAN, SACHS & COMPANY	2,464,867	5.12	388
8 HERZOG HEINE GEDULD, INC.	2,319,790	4.82	4,534
9 SPEAR, LEEDS & KELLOGG CAPITA	2,174,685	4.52	4,317
10 LEHMAN BROTHERS, INC.	1,582,161	3.29	511
11 BANC OF AMERICA SECURITIES LLC	1,472,763	3.06	540
12 UBS WARBURG	1,381,527	2.87	648
13 ROBERTSON STEPHENS	1,375,226	2.86	563
14 NDB CAPITAL MARKETS	1,326,914	2.76	3,512
15 JP MORGAN H&Q	1,261,700	2.62	741
16 BEAR STEARNS AND COMPANY	1,218,787	2.53	718
17 DEUTSCHE BANC ALEX. BROWN.	1,035,324	2.15	1,532
18 PRUDENTIAL SECURITIES, INC.	808,879	1.68	579
19 THOMAS WEISEL PARTNERS LLC	696,476	1.45	261
20 CANTOR, FITZGERALD & CO., INC.	675,682	1.40	805
21 FIDELITY CAPITAL MARKETS	644,856	1.34	548
22 JEFFERIES & COMPANY, INC.	639,024	1.33	1,109
23 CIBC WORLD MARKETS	636,679	1.32	494
24 FLEET TRADING	627,579	1.30	2,913
25 FIRST UNION SECURITIES, INC.	533,670	1.11	511

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	Vol (000)	Total	Sec. Trd.
1 KNIGHT SECURITIES, INC.	3,592,494	7.54	3,919
2 SALOMON SMITH BARNEY INC.	3,581,439	7.52	1,518
3 MORGAN STANLEY & COMPANY, INC.	3,467,052	7.28	1,024
4 SCHWAB CAPITAL MARKETS L.P.	2,937,897	6.17	4,012
5 MERRILL LYNCH, PIERCE FENNER &	2,901,482	6.09	705
6 CREDIT SUISSE FIRST BOSTON	2,524,909	5.30	865
7 GOLDMAN, SACHS & COMPANY	2,464,622	5.17	386
8 HERZOG HEINE GEDULD, INC.	2,234,527	4.69	3,904
9 SPEAR, LEEDS & KELLOGG CAPITA	2,125,225	4.46	3,542
10 LEHMAN BROTHERS, INC.	1,581,800	3.32	507
11 BANC OF AMERICA SECURITIES LLC	1,471,782	3.09	537
12 UBS WARBURG	1,380,706	2.90	642
13 ROBERTSON STEPHENS	1,375,120	2.89	562
14 NDB CAPITAL MARKETS	1,289,222	2.71	3,064
15 JP MORGAN H&Q	1,260,716	2.65	735
16 BEAR STEARNS AND COMPANY	1,217,513	2.56	710
17 DEUTSCHE BANC ALEX. BROWN.	1,035,267	2.17	1,521
18 PRUDENTIAL SECURITIES, INC.	808,420	1.70	575
19 THOMAS WEISEL PARTNERS LLC	696,406	1.46	260
20 CANTOR, FITZGERALD & CO., INC.	674,752	1.42	799
21 FIDELITY CAPITAL MARKETS	642,362	1.35	543
22 CIBC WORLD MARKETS	635,443	1.33	488
23 JEFFERIES & COMPANY, INC.	635,249	1.33	1,094
24 FLEET TRADING	599,502	1.26	2,617
25 FIRST UNION SECURITIES, INC.	531,413	1.12	501

NASDAQ SMALL CAP MARKET				
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March 2001				
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	Vol (000)	Total	Sec. Trd.	
1	KNIGHT SECURITIES, INC.	107,978	22.87	600
2	HERZOG HEINE GEDULD, INC.	85,263	18.06	630
3	SCHWAB CAPITAL MARKETS L.P.	66,981	14.19	718
4	SPEAR, LEEDS & KELLOGG CAPITA	49,460	10.48	775
5	NDB CAPITAL MARKETS	37,692	7.98	448
6	FLEET TRADING	28,077	5.95	296
7	M.H. MEYERSON & CO., INC.	11,886	2.52	296
8	SALOMON SMITH BARNEY INC.	9,549	2.02	66
9	FAHNESTOCK & CO.	6,345	1.34	50
10	INVESTEC ERNST & COMPANY	6,208	1.31	130
11	GRUNTAL & COMPANY	5,893	1.25	50
12	WEEDEN & COMPANY, INC.	3,901	0.83	10
13	JEFFERIES & COMPANY, INC.	3,775	0.80	15
14	LADENBURG THALMANN & CO., INC	3,090	0.65	36
15	JOHN G. KINNARD & CO.,INC.	2,672	0.57	26
16	AM CAPITAL MANAGEMENT	2,623	0.56	68
17	FIDELITY CAPITAL MARKETS	2,494	0.53	5
18	ROTH CAPITAL PARTNERS, INC.	2,353	0.50	14
19	C.E. UNTERBERG, TOWBIN	2,308	0.49	3
20	FIRST UNION SECURITIES, INC.	2,258	0.48	10
21	TUCKER ANTHONY CAPITAL MARKETS	2,012	0.43	27
22	GVR COMPANY	1,711	0.36	11
23	RAYMOND, JAMES & ASSOC. INC.	1,551	0.33	12
24	MORGAN STANLEY & COMPANY, INC.	1,369	0.29	16
25	BEAR STEARNS AND COMPANY	1,274	0.27	8

NASDAQ 100				
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	Vol (000)	Total	Sec. Trd.	
1	MORGAN STANLEY & COMPANY, INC.	2,765,342	9.47	100
2	SALOMON SMITH BARNEY INC.	2,607,466	8.93	100
3	MERRILL LYNCH, PIERCE FENNER &	2,260,855	7.74	100
4	GOLDMAN, SACHS & COMPANY	2,152,008	7.37	92
5	CREDIT SUISSE FIRST BOSTON	1,760,754	6.03	99
6	SCHWAB CAPITAL MARKETS L.P.	1,500,029	5.14	100
7	KNIGHT SECURITIES, INC.	1,396,008	4.78	100
8	LEHMAN BROTHERS, INC.	1,233,005	4.22	99
9	UBS WARBURG	1,094,222	3.75	100
10	BANC OF AMERICA SECURITIES LLC	1,019,233	3.49	99
11	SPEAR, LEEDS & KELLOGG CAPITA	991,108	3.39	100
12	ROBERTSON STEPHENS	864,247	2.96	83
13	BEAR STEARNS AND COMPANY	846,618	2.90	99
14	DEUTSCHE BANC ALEX. BROWN.	825,117	2.83	100
15	HERZOG HEINE GEDULD, INC.	794,711	2.72	100
16	NDB CAPITAL MARKETS	609,235	2.09	100
17	JP MORGAN H&Q	601,823	2.06	98
18	PRUDENTIAL SECURITIES, INC.	579,016	1.98	96
19	FIDELITY CAPITAL MARKETS	438,964	1.50	100
20	CIBC WORLD MARKETS	381,998	1.31	66
21	CANTOR, FITZGERALD & CO., INC.	380,534	1.30	100
22	THOMAS WEISEL PARTNERS LLC	336,469	1.15	53
23	WIT SOUNDVIEW	289,828	0.99	57
24	FIRST UNION SECURITIES, INC.	285,434	0.98	94
25	SUSQUEHANNA PARTNERS	279,748	0.96	100

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	Vol (000)	Total	Sec. Trd.
1 KNIGHT SECURITIES, INC.	11,797,575	8.59	4,831
2 SALOMON SMITH BARNEY INC.	10,252,974	7.47	1,672
3 SCHWAB CAPITAL MARKETS L.P.	9,538,427	6.95	5,246
4 MORGAN STANLEY & COMPANY, INC.	9,519,634	6.93	1,797
5 MERRILL LYNCH, PIERCE FENNER &	7,770,581	5.66	735
6 CREDIT SUISSE FIRST BOSTON	7,330,849	5.34	1,534
7 HERZOG HEINE GEDULD, INC.	7,320,311	5.33	4,860
8 GOLDMAN, SACHS & COMPANY	6,326,795	4.61	440
9 SPEAR, LEEDS & KELLOGG CAPITA	5,874,397	4.28	4,503
10 LEHMAN BROTHERS, INC.	4,289,534	3.12	579
11 ROBERTSON STEPHENS	4,036,703	2.94	605
12 BANC OF AMERICA SECURITIES LLC	3,965,431	2.89	586
13 NDB CAPITAL MARKETS	3,933,214	2.86	3,688
14 BEAR STEARNS AND COMPANY	3,226,620	2.35	876
15 DEUTSCHE BANC ALEX. BROWN.	3,145,240	2.29	1,912
16 UBS WARBURG	2,948,845	2.15	744
17 JP MORGAN H&Q	2,872,455	2.09	815
18 PRUDENTIAL SECURITIES, INC.	2,346,965	1.71	615
19 FIDELITY CAPITAL MARKETS	1,938,273	1.41	610
20 CANTOR, FITZGERALD & CO., INC.	1,886,896	1.37	1,171
21 FLEET TRADING	1,831,431	1.33	3,230
22 THOMAS WEISEL PARTNERS LLC	1,763,742	1.28	269
23 JEFFERIES & COMPANY, INC.	1,714,114	1.25	1,575
24 CIBC WORLD MARKETS	1,576,241	1.15	556
25 U.S. BANCORP PIPER JAFFRAY	1,515,613	1.10	480

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YTD March 2001	Advertised	% Of	# of
	Vol (000)	Total	Sec. Trd.
1 KNIGHT SECURITIES, INC.	11,401,344	8.40	4,210
2 SALOMON SMITH BARNEY INC.	10,234,226	7.54	1,601
3 MORGAN STANLEY & COMPANY, INC.	9,516,480	7.02	1,749
4 SCHWAB CAPITAL MARKETS L.P.	9,291,458	6.85	4,438
5 MERRILL LYNCH, PIERCE FENNER &	7,768,683	5.73	731
6 CREDIT SUISSE FIRST BOSTON	7,329,806	5.40	1,511
7 HERZOG HEINE GEDULD, INC.	7,027,482	5.18	4,206
8 GOLDMAN, SACHS & COMPANY	6,323,886	4.66	437
9 SPEAR, LEEDS & KELLOGG CAPITA	5,735,565	4.23	3,678
10 LEHMAN BROTHERS, INC.	4,287,480	3.16	574
11 ROBERTSON STEPHENS	4,034,176	2.97	602
12 BANC OF AMERICA SECURITIES LLC	3,963,257	2.92	583
13 NDB CAPITAL MARKETS	3,786,230	2.79	3,189
14 BEAR STEARNS AND COMPANY	3,221,948	2.38	866
15 DEUTSCHE BANC ALEX. BROWN.	3,145,096	2.32	1,880
16 UBS WARBURG	2,944,447	2.17	730
17 JP MORGAN H&Q	2,870,090	2.12	807
18 PRUDENTIAL SECURITIES, INC.	2,345,698	1.73	611
19 FIDELITY CAPITAL MARKETS	1,930,156	1.42	603
20 CANTOR, FITZGERALD & CO., INC.	1,884,123	1.39	1,154
21 THOMAS WEISEL PARTNERS LLC	1,763,672	1.30	268
22 FLEET TRADING	1,737,108	1.28	2,900
23 JEFFERIES & COMPANY, INC.	1,706,332	1.26	1,537
24 CIBC WORLD MARKETS	1,571,251	1.16	547
25 U.S. BANCORP PIPER JAFFRAY	1,513,124	1.12	473

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	Vol (000)	Total	Sec. Trd.	
1	KNIGHT SECURITIES, INC.	396,232	23.97	621
2	HERZOG HEINE GEDULD, INC.	292,830	17.71	654
3	SCHWAB CAPITAL MARKETS L.P.	246,968	14.94	808
4	NDB CAPITAL MARKETS	146,984	8.89	499
5	SPEAR, LEEDS & KELLOGG CAPITA	138,831	8.40	825
6	FLEET TRADING	94,323	5.71	330
7	M.H. MEYERSON & CO., INC.	44,987	2.72	327
8	FAHNESTOCK & CO.	30,652	1.85	55
9	INVESTEC ERNST & COMPANY	20,767	1.26	143
10	SALOMON SMITH BARNEY INC.	18,748	1.13	71
11	GRUNTAL & COMPANY	17,756	1.07	76
12	LADENBURG THALMANN & CO., INC	12,843	0.78	41
13	TUCKER ANTHONY CAPITAL MARKETS	9,482	0.57	33
14	JOHN G. KINNARD & CO.,INC.	8,835	0.53	39
15	AM CAPITAL MANAGEMENT	8,314	0.50	77
16	FIDELITY CAPITAL MARKETS	8,118	0.49	7
17	JEFFERIES & COMPANY, INC.	7,782	0.47	38
18	BREAN MURRAY & CO. INC.	7,399	0.45	22
19	LEGG MASON WOOD WALKER, INC.	6,751	0.41	10
20	GVR COMPANY	6,503	0.39	19
21	WEEDEN & COMPANY, INC.	6,384	0.39	14
22	SOUTHWEST SECURITIES, INC.	6,250	0.38	38
23	ROTH CAPITAL PARTNERS, INC.	5,673	0.34	16
24	FIRST UNION SECURITIES, INC.	5,400	0.33	11
25	CIBC WORLD MARKETS	4,990	0.30	9

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	Advertised	% Of	# of	
	Vol (000)	Total	Sec. Trd.	
1	SALOMON SMITH BARNEY INC.	7,192,255	9.32	100
2	MORGAN STANLEY & COMPANY, INC.	7,099,395	9.20	100
3	MERRILL LYNCH, PIERCE FENNER &	5,685,939	7.37	100
4	GOLDMAN, SACHS & COMPANY	5,205,175	6.75	92
5	CREDIT SUISSE FIRST BOSTON	4,890,166	6.34	100
6	SCHWAB CAPITAL MARKETS L.P.	4,327,818	5.61	100
7	KNIGHT SECURITIES, INC.	3,808,359	4.94	100
8	LEHMAN BROTHERS, INC.	3,284,511	4.26	99
9	BANC OF AMERICA SECURITIES LLC	2,664,147	3.45	99
10	SPEAR, LEEDS & KELLOGG CAPITA	2,662,028	3.45	100
11	UBS WARBURG	2,487,134	3.22	100
12	ROBERTSON STEPHENS	2,293,862	2.97	84
13	DEUTSCHE BANC ALEX. BROWN.	2,288,209	2.97	100
14	BEAR STEARNS AND COMPANY	2,165,197	2.81	99
15	HERZOG HEINE GEDULD, INC.	2,104,612	2.73	100
16	PRUDENTIAL SECURITIES, INC.	1,601,935	2.08	96
17	NDB CAPITAL MARKETS	1,543,263	2.00	100
18	JP MORGAN H&Q	1,223,171	1.59	99
19	FIDELITY CAPITAL MARKETS	1,172,304	1.52	100
20	CANTOR, FITZGERALD & CO., INC.	1,041,408	1.35	100
21	THOMAS WEISEL PARTNERS LLC	844,825	1.10	53
22	CIBC WORLD MARKETS	833,821	1.08	70
23	SG COWEN SECURITIES CORP.	814,674	1.06	72
24	SUSQUEHANNA PARTNERS	794,621	1.03	100
25	WIT SOUNDVIEW	793,199	1.03	59