

The best route to global liquidity starts here.

April 2007

NASDAQ Breakdown

<b>NASDAQ National Market Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1UBS	3,023,064	11.7	2422
2KNIGHT CAPITAL GROUP	2,888,670	11.18	2428
3MORGAN STANLEY	2,496,390	9.67	2202
4MERRILL LYNCH, PIERCE FENNER	1,839,998	7.12	2134
5E-TRADE CAPITAL MARKETS	1,559,206	6.04	1351
6LEHMAN BROTHERS, INC.	1,269,392	4.91	1864
7JPMORGAN	1,157,489	4.48	1709
8BANC OF AMERICA SECURITIES LLC	1,074,675	4.16	1277
9GOLDMAN SACHS GROUP	1,049,060	4.06	2061
10CREDIT SUISSE	1,038,024	4.02	2241
11DEUTSCHE BANK SECURITIES, IN	1,018,123	3.94	1782
12BEAR STEARNS AND COMPANY	783,344	3.03	1916
13CITIGROUP	705,949	2.73	551
14COWEN & CO.	528,630	2.05	888
15INSTINET CORPORATION	368,670	1.43	1403
16JEFFERIES & COMPANY, INC.	348,451	1.35	946
17RBC CAPITAL MARKETS	318,326	1.23	574
18PIPER JAFFRAY & CO.	283,011	1.1	969
19CIBC WORLD MARKETS	259,081	1	1532
20WACHOVIA SECURITIES	216,921	0.84	547
21THOMAS WEISEL PARTNERS LLC	215,808	0.84	496
22SUSQUEHANNA INTERNATIONAL GRP.	183,805	0.71	1796
23RAYMOND, JAMES & ASSOC. INC.	172,518	0.67	1577
24ITG, INC.	164,098	0.64	1013
25WEEDEN & COMPANY, INC.	154,931	0.6	792

<b>NASDAQ Small Cap Market Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT CAPITAL GROUP	388,497	34.84	590
2UBS	253,271	22.71	575
3E-TRADE CAPITAL MARKETS	128,121	11.49	266
4MORGAN STANLEY	39,601	3.55	357
5GOLDMAN SACHS GROUP	30,327	2.72	321
6MERRILL LYNCH, PIERCE FENNER	26,914	2.41	339
7LEHMAN BROTHERS, INC.	19,123	1.71	168
8CITIGROUP	18,352	1.65	46
9CREDIT SUISSE	16,198	1.45	382
10J.P. TURNER & CO.	14,893	1.34	27
11BEAR STEARNS AND COMPANY	14,015	1.26	191
12JPMORGAN	12,147	1.09	110

The best route to global liquidity starts here.

13DEUTSCHE BANK SECURITIES, IN	8,878	0.8	136
14BANC OF AMERICA SECURITIES LLC	8,598	0.77	88
15JEFFERIES & COMPANY, INC.	7,992	0.72	47
16INSTINET CORPORATION	6,945	0.62	83
17CANTOR, FITZGERALD & CO., INC.	6,848	0.61	53
18BTIG - BASS TRADING	6,031	0.54	29
19CIBC WORLD MARKETS	5,521	0.5	71
20WEEDEN & COMPANY, INC.	5,261	0.47	29
21LAZARD CAPITAL MARKETS	5,106	0.46	8
22MERRIMAN CURHAN FORD & CO.	4,880	0.44	35
23COWEN & CO.	4,793	0.43	43
24CANACCORD ADAMS	4,333	0.39	15
25SUSQUEHANNA INTERNATIONAL GRP.	4,333	0.39	138

<b>NASDAQ 100 Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1UBS	1,231,847	11.19	100
2MORGAN STANLEY	1,181,583	10.73	100
3MERRILL LYNCH, PIERCE FENNER	1,059,039	9.62	100
4KNIGHT CAPITAL GROUP	745,093	6.77	100
5E-TRADE CAPITAL MARKETS	620,720	5.64	94
6GOLDMAN SACHS GROUP	619,101	5.62	100
7BANC OF AMERICA SECURITIES LLC	612,405	5.56	99
8JPMORGAN	583,055	5.3	100
9CITIGROUP	521,051	4.73	89
10CREDIT SUISSE	520,603	4.73	100
11LEHMAN BROTHERS, INC.	486,003	4.41	99
12DEUTSCHE BANK SECURITIES, IN	421,198	3.83	100
13BEAR STEARNS AND COMPANY	394,995	3.59	100
14RBC CAPITAL MARKETS	205,705	1.87	93
15COWEN & CO.	193,814	1.76	95
16INSTINET CORPORATION	153,909	1.4	94
17WACHOVIA SECURITIES	138,044	1.25	95
18CIBC WORLD MARKETS	84,335	0.77	99
19JEFFERIES & COMPANY, INC.	72,901	0.66	93
20ROBERT W. BAIRD CO. INCORPORAT	68,471	0.62	84
21WEEDEN & COMPANY, INC.	67,853	0.62	92
22SANFORD C. BERNSTEIN COMPANY,	65,553	0.6	99
23PIPER JAFFRAY & CO.	64,606	0.59	93
24SUSQUEHANNA INTERNATIONAL GRP.	62,789	0.57	100
25BNY BROKERAGE	59,056	0.54	99

<b>NASDAQ National Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
-------------------------------	-------------------	-------------	-------------

2

NOTE: \*\* Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

## Indicates a broker with certification pending.

Visit the Sales and Trading Group web site for more information on reporting rules.

<http://www.salesandtradinggroup.com>

The best route to global liquidity starts here.

<u>Top 25 Brokers YTD</u>	<u>Vol (000)</u>	<u>Total</u>	<u>Sec. Trd.</u>
1UBS	12,369,698	11.86	2536
2KNIGHT CAPITAL GROUP	10,581,534	10.15	2503
3MORGAN STANLEY	9,862,635	9.46	2379
4MERRILL LYNCH, PIERCE FENNER	7,319,250	7.02	2443
5E-TRADE CAPITAL MARKETS	5,962,695	5.72	1785
6LEHMAN BROTHERS, INC.	4,890,907	4.69	2090
7GOLDMAN SACHS GROUP	4,772,882	4.58	2264
8CREDIT SUISSE	4,769,929	4.57	2373
9JPMORGAN	4,693,074	4.5	1995
10BANC OF AMERICA SECURITIES LLC	4,460,353	4.28	1340
11DEUTSCHE BANK SECURITIES, IN	3,984,787	3.82	2048
12BEAR STEARNS AND COMPANY	3,419,384	3.28	2086
13CITIGROUP	2,841,122	2.72	1130
14INSTINET CORPORATION	1,973,685	1.89	1925
15COWEN & CO.	1,934,077	1.85	1152
16JEFFERIES & COMPANY, INC.	1,342,479	1.29	1479
17RBC CAPITAL MARKETS	1,296,307	1.24	886
18PIPER JAFFRAY & CO.	1,262,665	1.21	1411
19WACHOVIA SECURITIES	1,075,212	1.03	626
20CIBC WORLD MARKETS	1,009,110	0.97	1700
21SUSQUEHANNA INTERNATIONAL GRP.	804,787	0.77	2065
22THOMAS WEISEL PARTNERS LLC	784,443	0.75	939
23WEEDEN & COMPANY, INC.	769,826	0.74	1469
24ITG, INC.	734,057	0.7	1570
25RAYMOND, JAMES & ASSOC. INC.	675,636	0.65	1937

<u>NASDAQ Small Cap Market</u> <u>Top 25 Brokers YTD</u>	<u>Advertised</u> <u>Vol (000)</u>	<u>% Of</u> <u>Total</u>	<u># of</u> <u>Sec. Trd.</u>
1UBS	1,622,074	31	623
2UBS	1,419,169	27.12	614
3E-TRADE CAPITAL MARKETS	584,894	11.18	358
4CITIGROUP	183,781	3.51	123
5MORGAN STANLEY	150,862	2.88	444
6GOLDMAN SACHS GROUP	126,762	2.42	406
7MERRILL LYNCH, PIERCE FENNER	119,510	2.28	534
8LEHMAN BROTHERS, INC.	89,656	1.71	248
9CREDIT SUISSE	80,735	1.54	473
10J.P. TURNER & CO.	77,523	1.48	32
11BEAR STEARNS AND COMPANY	74,206	1.42	239
12JEFFERIES & COMPANY, INC.	44,731	0.85	139
13INSTINET CORPORATION	42,999	0.82	173
14BANC OF AMERICA SECURITIES LLC	40,976	0.78	102
15DEUTSCHE BANK SECURITIES, IN	39,531	0.76	239

The best route to global liquidity starts here.

16JPMORGAN	37,748	0.72	154
17CANTOR, FITZGERALD & CO., INC.	36,689	0.7	121
18WEEDEN & COMPANY, INC.	24,366	0.47	75
19CIBC WORLD MARKETS	22,954	0.44	93
20SUSQUEHANNA INTERNATIONAL GRP.	21,288	0.41	216
21RAYMOND, JAMES & ASSOC. INC.	19,324	0.37	319
22MERRIMAN CURHAN FORD & CO.	18,883	0.36	68
23MAXIM GROUP LLC	16,065	0.31	116
24JONESTRADING INSTITUTIONAL SER	15,450	0.3	61
25COWEN & CO.	14,883	0.28	76

<b>NASDAQ 100 Top 25 Brokers YTD</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1UBS	5,266,865	11.37	100
2MORGAN STANLEY	4,612,157	9.95	100
3MERRILL LYNCH, PIERCE FENNER	4,119,253	8.89	100
4KNIGHT CAPITAL GROUP	3,097,157	6.68	100
5GOLDMAN SACHS GROUP	2,868,919	6.19	100
6E-TRADE CAPITAL MARKETS	2,819,099	6.08	100
7BANC OF AMERICA SECURITIES LLC	2,700,806	5.83	99
8JPMORGAN	2,528,787	5.46	100
9CREDIT SUISSE	2,341,556	5.05	100
10CITIGROUP	1,952,765	4.21	100
11LEHMAN BROTHERS, INC.	1,917,744	4.14	100
12DEUTSCHE BANK SECURITIES, IN	1,718,386	3.71	100
13BEAR STEARNS AND COMPANY	1,697,162	3.66	100
14INSTINET CORPORATION	875,548	1.89	100
15RBC CAPITAL MARKETS	814,825	1.76	99
16COWEN & CO.	741,625	1.6	98
17WACHOVIA SECURITIES	619,055	1.34	98
18JEFFERIES & COMPANY, INC.	335,080	0.72	100
19SUSQUEHANNA INTERNATIONAL GRP.	313,775	0.68	100
20WEEDEN & COMPANY, INC.	311,012	0.67	100
21CIBC WORLD MARKETS	298,298	0.64	100
22PIPER JAFFRAY & CO.	288,064	0.62	98
23SANFORD C. BERNSTEIN COMPANY,	262,727	0.57	100
24BNY BROKERAGE	255,550	0.55	100
25ITG, INC.	244,306	0.53	100