



April 2008

NASDAQ Breakdown

NASDAQ National Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	942,729	19.83	1384
2UBS	710,341	14.94	1369
3MORGAN STANLEY	377,480	7.94	1153
4CREDIT SUISSE	249,533	5.25	1133
5LEHMAN BROTHERS, INC.	237,812	5	914
6INSTINET CORPORATION	211,848	4.46	819
7MERRILL LYNCH, PIERCE FENNER	209,191	4.4	1160
8CITIGROUP	168,809	3.55	769
9DEUTSCHE BANK SECURITIES, IN	152,643	3.21	789
10JPMORGAN	129,335	2.72	767
11GOLDMAN SACHS GROUP	111,303	2.34	933
12JEFFERIES & COMPANY, INC.	104,467	2.2	396
13PIPER JAFFRAY & CO.	101,318	2.13	343
14BANC OF AMERICA SECURITIES LLC	70,082	1.47	582
15CANTOR, FITZGERALD & CO., INC.	66,169	1.39	372
16COWEN & CO.	58,141	1.22	347
17THOMAS WEISEL PARTNERS LLC	50,342	1.06	227
18BTIG - BASS TRADING	48,254	1.02	194
19SUSQUEHANNA INTERNATIONAL GRP.	43,459	0.91	726
20OPPENHEIMER & CO	42,528	0.89	227
21JONESTRADING INSTITUTIONAL SER	42,206	0.89	199
22RBC CAPITAL MARKETS	42,065	0.88	268
23WEEDEN & COMPANY, INC.	33,978	0.71	334
24ITG, INC.	30,251	0.64	341
25LEERINK SWANN & CO.	26,122	0.55	145

NASDAQ Small Cap Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	232,650	37.94	555
2UBS	141,888	23.14	519
3CITIGROUP	36,626	5.97	161
4MERRILL LYNCH, PIERCE FENNER	19,077	3.11	313
5MORGAN STANLEY	18,939	3.09	316
6CREDIT SUISSE	17,710	2.89	285
7GOLDMAN SACHS GROUP	16,745	2.73	217
8DEUTSCHE BANK SECURITIES, IN	11,869	1.94	113
9INSTINET CORPORATION	11,025	1.8	104
10LEHMAN BROTHERS, INC.	10,633	1.73	146
11JEFFERIES & COMPANY, INC.	8,154	1.33	40
12CANTOR, FITZGERALD & CO., INC.	7,706	1.26	42

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13RBC CAPITAL MARKETS	7,195	1.17	21
14JONESTRADING INSTITUTIONAL SER	6,707	1.09	21
15BTIG - BASS TRADING	6,516	1.06	13
16JPMORGAN	4,397	0.72	83
17HUDSON SECURITIES	4,301	0.7	84
18CRAIG-HALLUM CAPITAL GROUP	3,832	0.62	5
19BENCHMARK COMPANY, LLC	3,108	0.51	11
20OPPENHEIMER & CO	2,795	0.46	29
21BANC OF AMERICA SECURITIES LLC	2,333	0.38	76
22PACIFIC GROWTH EQUITIES	2,284	0.37	3
23MAXIM GROUP LLC	2,095	0.34	24
24RAYMOND JAMES	2,090	0.34	31
25MERRIMAN CURHAN FORD & CO.	1,893	0.31	21

NASDAQ 100 Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1UBS	1,211,812	11.6	93
2MORGAN STANLEY	1,132,456	10.84	93
3CITIGROUP	863,377	8.27	92
4MERRILL LYNCH, PIERCE FENNER	859,506	8.23	93
5KNIGHT	801,465	7.67	93
6CREDIT SUISSE	754,275	7.22	93
7LEHMAN BROTHERS, INC.	724,349	6.94	93
8GOLDMAN SACHS GROUP	577,983	5.53	92
9JPMORGAN	535,799	5.13	93
10INSTINET CORPORATION	489,959	4.69	93
11DEUTSCHE BANK SECURITIES, IN	399,733	3.83	93
12BANC OF AMERICA SECURITIES LLC	344,369	3.3	93
13RBC CAPITAL MARKETS	219,008	2.1	91
14JEFFERIES & COMPANY, INC.	149,691	1.43	92
15WACHOVIA SECURITIES	90,112	0.86	91
16PIPER JAFFRAY & CO.	80,537	0.77	85
17ROBERT W. BAIRD CO. INCORPORAT	79,366	0.76	93
18COWEN & CO.	76,204	0.73	89
19OPPENHEIMER & CO	64,010	0.61	88
20SUSQUEHANNA INTERNATIONAL GRP.	62,665	0.6	92
21THOMAS WEISEL PARTNERS LLC	59,961	0.57	86
22SANFORD C. BERNSTEIN COMPANY,	58,282	0.56	89
23WEEDEN & COMPANY, INC.	58,076	0.56	91
24CANTOR, FITZGERALD & CO., INC.	49,032	0.47	81
25ITG, INC.	44,573	0.43	90

NASDAQ National Market	Advertised	% Of	# of
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Top 25 Brokers YTD	Vol (000)	Total	Sec. Trd.
1KNIGHT	3,752,430	18.94	1437
2UBS	2,838,036	14.32	1413
3MORGAN STANLEY	1,603,885	8.09	1322
4CREDIT SUISSE	1,062,893	5.36	1268
5MERRILL LYNCH, PIERCE FENNER	1,002,958	5.06	1313
6LEHMAN BROTHERS, INC.	908,634	4.59	1009
7INSTINET CORPORATION	873,646	4.41	1100
8DEUTSCHE BANK SECURITIES, IN	655,966	3.31	977
9CITIGROUP	640,769	3.23	1034
10JPMORGAN	586,469	2.96	1017
11JEFFERIES & COMPANY, INC.	469,651	2.37	696
12GOLDMAN SACHS GROUP	460,134	2.32	1173
13PIPER JAFFRAY & CO.	371,772	1.88	594
14BANC OF AMERICA SECURITIES LLC	342,944	1.73	639
15BEAR STEARNS AND COMPANY	247,541	1.25	1013
16CANTOR, FITZGERALD & CO., INC.	240,761	1.22	725
17COWEN & CO.	220,257	1.11	456
18RBC CAPITAL MARKETS	210,877	1.06	411
19SUSQUEHANNA INTERNATIONAL GRP.	206,953	1.04	1060
20BTIG - BASS TRADING	204,736	1.03	392
21THOMAS WEISEL PARTNERS LLC	186,134	0.94	398
22OPPENHEIMER & CO	172,796	0.87	411
23WEEDEN & COMPANY, INC.	169,651	0.86	674
24JONESTRADING INSTITUTIONAL SER	168,691	0.85	453
25ITG, INC.	129,657	0.65	615

NASDAQ Small Cap Market	Advertised	% Of	# of
Top 25 Brokers YTD	Vol (000)	Total	Sec. Trd.
1KNIGHT	1,158,027	40.24	589
2UBS	615,169	21.38	547
3CITIGROUP	304,515	10.58	267
4MORGAN STANLEY	80,645	2.8	450
5GOLDMAN SACHS GROUP	69,081	2.4	339
6CREDIT SUISSE	64,273	2.23	385
7MERRILL LYNCH, PIERCE FENNER	61,265	2.13	449
8INSTINET CORPORATION	48,477	1.68	195
9DEUTSCHE BANK SECURITIES, IN	41,656	1.45	190
10LEHMAN BROTHERS, INC.	41,185	1.43	201
11E-TRADE CAPITAL MARKETS	35,918	1.25	76
12JEFFERIES & COMPANY, INC.	28,425	0.99	99
13CANTOR, FITZGERALD & CO., INC.	26,287	0.91	108
14JPMORGAN	19,825	0.69	145
15BEAR STEARNS AND COMPANY	19,817	0.69	178
16JONESTRADING INSTITUTIONAL SER	19,542	0.68	52

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17RBC CAPITAL MARKETS	16,606	0.58	40
18BTIG - BASS TRADING	15,718	0.55	45
19MAXIM GROUP LLC	14,960	0.52	66
20BANC OF AMERICA SECURITIES LLC	13,390	0.47	89
21MERRIMAN CURHAN FORD & CO.	12,005	0.42	52
22OPPENHEIMER & CO	11,448	0.4	50
23RAYMOND JAMES	9,356	0.33	60
24SUSQUEHANNA INTERNATIONAL GRP.	8,898	0.31	257
25CRAIG-HALLUM CAPITAL GROUP	8,706	0.3	6

NASDAQ 100 Top 25 Brokers YTD	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1UBS	5,542,013	11.24	94
2MORGAN STANLEY	5,412,179	10.98	93
3MERRILL LYNCH, PIERCE FENNER	4,043,744	8.2	93
4CITIGROUP	3,857,255	7.83	92
5KNIGHT	3,600,786	7.31	93
6CREDIT SUISSE	3,365,242	6.83	93
7LEHMAN BROTHERS, INC.	3,349,537	6.8	93
8JPMORGAN	2,747,008	5.57	93
9GOLDMAN SACHS GROUP	2,694,011	5.47	92
10BANC OF AMERICA SECURITIES LLC	2,097,569	4.26	93
11INSTINET CORPORATION	1,847,023	3.75	93
12DEUTSCHE BANK SECURITIES, IN	1,787,835	3.63	93
13BEAR STEARNS AND COMPANY	1,181,347	2.4	93
14RBC CAPITAL MARKETS	987,254	2	92
15JEFFERIES & COMPANY, INC.	615,070	1.25	93
16COWEN & CO.	423,081	0.86	92
17WACHOVIA SECURITIES	405,499	0.82	93
18WEEDEN & COMPANY, INC.	325,763	0.66	93
19PIPER JAFFRAY & CO.	312,764	0.63	90
20SUSQUEHANNA INTERNATIONAL GRP.	310,006	0.63	93
21CANTOR, FITZGERALD & CO., INC.	292,393	0.59	92
22SANFORD C. BERNSTEIN COMPANY,	275,233	0.56	93
23THOMAS WEISEL PARTNERS LLC	243,911	0.49	93
24OPPENHEIMER & CO	241,845	0.49	92
25ROBERT W. BAIRD CO. INCORPORAT	236,351	0.48	93

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