



Aug 2008

NASDAQ Breakdown

<b>NASDAQ National Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
<b>Top 25 Brokers</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Sec. Trd.</b>
1KNIGHT	856,116	21.34	1336
2UBS	552,037	13.76	1314
3MORGAN STANLEY	374,258	9.33	1105
4CREDIT SUISSE	231,104	5.76	1055
5MERRILL LYNCH, PIERCE FENNER	215,624	5.37	1072
6LEHMAN BROTHERS, INC.	184,461	4.6	770
7INSTINET CORPORATION	171,841	4.28	779
8CITIGROUP	133,830	3.34	704
9JPMORGAN	112,237	2.8	818
10DEUTSCHE BANK SECURITIES, IN	99,251	2.47	780
11ITG, INC.	95,113	2.37	618
12GOLDMAN SACHS GROUP	67,811	1.69	772
13JEFFERIES & COMPANY, INC.	65,078	1.62	360
14PIPER JAFFRAY & CO.	61,790	1.54	304
15CANTOR, FITZGERALD & CO., INC.	51,637	1.29	294
16JONESTRADING INSTITUTIONAL SER	43,730	1.09	230
17WEEDEN & COMPANY, INC.	41,670	1.04	368
18BANC OF AMERICA SECURITIES LLC	41,078	1.02	579
19COWEN & CO.	38,064	0.95	293
20RBC CAPITAL MARKETS	36,034	0.9	264
21OPPENHEIMER & CO	35,182	0.88	328
22BTIG - BASS TRADING	34,204	0.85	167
23SUSQUEHANNA INTERNATIONAL GRP.	32,727	0.82	694
24PALI CAPITAL LLC	27,701	0.69	176
25CANACCORD ADAMS	27,698	0.69	158

<b>NASDAQ Small Cap Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
<b>Top 25 Brokers</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Sec. Trd.</b>
1KNIGHT	153,468	35.98	532
2UBS	105,854	24.82	481
3MORGAN STANLEY	21,114	4.95	297
4CREDIT SUISSE	19,783	4.64	246
5JONESTRADING INSTITUTIONAL SER	11,895	2.79	25
6INSTINET CORPORATION	11,195	2.62	109
7MERRILL LYNCH, PIERCE FENNER	10,880	2.55	271
8GOLDMAN SACHS GROUP	8,262	1.94	144
9JPMORGAN	8,213	1.93	120
10CITIGROUP	7,915	1.86	119
11ITG, INC.	5,784	1.36	61
12LEHMAN BROTHERS, INC.	5,414	1.27	111

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13CANACCORD ADAMS	4,708	1.1	16
14DEUTSCHE BANK SECURITIES, IN	3,563	0.84	113
15CANTOR, FITZGERALD & CO., INC.	3,492	0.82	28
16JEFFERIES & COMPANY, INC.	3,210	0.75	32
17WEEDEN & COMPANY, INC.	3,039	0.71	32
18WEDBUSH MORGAN SECURITIES	2,660	0.62	5
19SUSQUEHANNA INTERNATIONAL GRP.	2,138	0.5	119
20CRAIG-HALLUM CAPITAL GROUP	2,085	0.49	5
21HUDSON SECURITIES	2,036	0.48	8
22MAXIM GROUP LLC	1,953	0.46	37
23MERRIMAN CURHAN FORD & CO.	1,936	0.45	17
24STIFEL NICOLAUS CAPITAL MARKET	1,629	0.38	87
25BANC OF AMERICA SECURITIES LLC	1,574	0.37	71

<b>NASDAQ 100 Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1MORGAN STANLEY	1,151,848	12.09	89
2UBS	1,050,797	11.03	90
3KNIGHT	914,506	9.6	90
4MERRILL LYNCH, PIERCE FENNER	841,408	8.83	90
5CITIGROUP	679,155	7.13	89
6LEHMAN BROTHERS, INC.	547,648	5.75	90
7JPMORGAN	533,713	5.6	90
8CREDIT SUISSE	427,604	4.49	90
9BANC OF AMERICA SECURITIES LLC	404,909	4.25	90
10INSTINET CORPORATION	395,120	4.15	90
11GOLDMAN SACHS GROUP	366,670	3.85	90
12DEUTSCHE BANK SECURITIES, IN	310,698	3.26	90
13ITG, INC.	247,352	2.6	89
14RBC CAPITAL MARKETS	218,058	2.29	90
15JEFFERIES & COMPANY, INC.	199,713	2.1	89
16ROBERT W. BAIRD CO. INCORPORAT	89,858	0.94	89
17COWEN & CO.	80,399	0.84	85
18SANFORD C. BERNSTEIN COMPANY,	71,187	0.75	86
19PIPER JAFFRAY & CO.	64,149	0.67	85
20THOMAS WEISEL PARTNERS LLC	63,929	0.67	79
21WACHOVIA SECURITIES	63,490	0.67	86
22DRESDNER KLEINWORT	52,867	0.55	71
23STIFEL NICOLAUS CAPITAL MARKET	50,915	0.53	83
24WEEDEN & COMPANY, INC.	50,060	0.53	89
25MAXIM GROUP LLC	49,150	0.52	81

<b>NASDAQ National Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
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<b>Top 25 Brokers YTD</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Sec. Trd.</b>
1KNIGHT	7,823,798	20.17	1466
2UBS	5,543,907	14.29	1443
3MORGAN STANLEY	3,197,636	8.24	1382
4CREDIT SUISSE	2,180,515	5.62	1311
5MERRILL LYNCH, PIERCE FENNER	1,953,400	5.03	1382
6INSTINET CORPORATION	1,635,005	4.21	1204
7LEHMAN BROTHERS, INC.	1,606,645	4.14	1070
8CITIGROUP	1,483,938	3.82	1155
9DEUTSCHE BANK SECURITIES, IN	1,176,075	3.03	1045
10JPMORGAN	1,080,054	2.78	1113
11GOLDMAN SACHS GROUP	873,950	2.25	1259
12JEFFERIES & COMPANY, INC.	845,406	2.18	835
13PIPER JAFFRAY & CO.	668,070	1.72	705
14BANC OF AMERICA SECURITIES LLC	624,605	1.61	895
15CANTOR, FITZGERALD & CO., INC.	456,456	1.18	900
16COWEN & CO.	426,836	1.1	542
17ITG, INC.	384,172	0.99	828
18RBC CAPITAL MARKETS	379,802	0.98	496
19BTIG - BASS TRADING	377,820	0.97	567
20THOMAS WEISEL PARTNERS LLC	338,710	0.87	498
21WEEDEN & COMPANY, INC.	335,712	0.87	789
22SUSQUEHANNA INTERNATIONAL GRP.	334,423	0.86	1135
23OPPENHEIMER & CO	334,140	0.86	481
24JONESTRADING INSTITUTIONAL SER	324,322	0.84	640
25BEAR STEARNS AND COMPANY	266,964	0.69	1054

<b>NASDAQ Small Cap Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
<b>Top 25 Brokers YTD</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Sec. Trd.</b>
1KNIGHT	1,498,042	35.78	609
2UBS	1,020,831	24.38	568
3MORGAN STANLEY	176,918	4.23	503
4CREDIT SUISSE	152,994	3.65	426
5MERRILL LYNCH, PIERCE FENNER	142,020	3.39	502
6CITIGROUP	137,382	3.28	329
7GOLDMAN SACHS GROUP	100,669	2.4	380
8INSTINET CORPORATION	100,379	2.4	235
9LEHMAN BROTHERS, INC.	66,615	1.59	233
10CANTOR, FITZGERALD & CO., INC.	56,074	1.34	148
11DEUTSCHE BANK SECURITIES, IN	50,624	1.21	222
12JPMORGAN	49,228	1.18	219
13JEFFERIES & COMPANY, INC.	47,080	1.12	137
14JONESTRADING INSTITUTIONAL SER	40,650	0.97	85
15BTIG - BASS TRADING	37,016	0.88	79
16RBC CAPITAL MARKETS	36,278	0.87	46

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17BANC OF AMERICA SECURITIES LLC	33,536	0.8	154
18MERRIMAN CURHAN FORD & CO.	29,361	0.7	69
19BEAR STEARNS AND COMPANY	26,040	0.62	189
20PALI CAPITAL LLC	20,371	0.49	40
21CRAIG-HALLUM CAPITAL GROUP	20,073	0.48	8
22ITG, INC.	19,725	0.47	101
23HUDSON SECURITIES	17,410	0.42	128
24BENCHMARK COMPANY, LLC	16,988	0.41	54
25SUSQUEHANNA INTERNATIONAL GRP.	16,485	0.39	296

<b>NASDAQ 100 Top 25 Brokers YTD</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1MORGAN STANLEY	10,047,432	11.02	93
2UBS	10,020,591	11	94
3MERRILL LYNCH, PIERCE FENNER	7,857,336	8.62	93
4KNIGHT	7,120,789	7.81	93
5CITIGROUP	6,974,602	7.65	92
6LEHMAN BROTHERS, INC.	5,820,190	6.39	93
7CREDIT SUISSE	5,648,984	6.2	93
8JPMORGAN	5,259,762	5.77	93
9GOLDMAN SACHS GROUP	4,833,456	5.3	93
10BANC OF AMERICA SECURITIES LLC	3,934,833	4.32	93
11INSTINET CORPORATION	3,518,119	3.86	93
12DEUTSCHE BANK SECURITIES, IN	3,373,187	3.7	93
13RBC CAPITAL MARKETS	1,817,981	1.99	93
14JEFFERIES & COMPANY, INC.	1,468,660	1.61	93
15BEAR STEARNS AND COMPANY	1,206,612	1.32	93
16ITG, INC.	840,411	0.92	92
17COWEN & CO.	808,515	0.89	92
18WACHOVIA SECURITIES	775,182	0.85	93
19PIPER JAFFRAY & CO.	630,745	0.69	93
20WEEDEN & COMPANY, INC.	573,266	0.63	93
21SANFORD C. BERNSTEIN COMPANY,	552,827	0.61	93
22THOMAS WEISEL PARTNERS LLC	533,240	0.59	93
23ROBERT W. BAIRD CO. INCORPORAT	529,325	0.58	93
24OPPENHEIMER & CO	491,680	0.54	92
25SUSQUEHANNA INTERNATIONAL GRP.	489,819	0.54	93

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