

December 2008

NASDAQ Breakdown

<b>NASDAQ National Market Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	2,030,195	41.44	1313
2UBS	679,569	13.87	1293
3MORGAN STANLEY	249,597	5.09	1062
4CREDIT SUISSE	189,108	3.86	964
5MERRILL LYNCH	168,407	3.44	1099
6CITIGROUP	149,056	3.04	895
7GOLDMAN SACHS GROUP	137,665	2.81	820
8INSTINET CORPORATION	130,015	2.65	686
9JPMORGAN	86,865	1.77	805
10DEUTSCHE BANK SECURITIES, IN	84,790	1.73	719
11JEFFERIES & COMPANY, INC.	73,247	1.49	381
12ITG, INC.	61,235	1.25	514
13BANC OF AMERICA SECURITIES LLC	61,224	1.25	461
14BTIG - BASS TRADING	56,080	1.14	215
15RBC CAPITAL MARKETS	54,240	1.11	499
16JONESTRADING INSTITUTIONAL SER	45,120	0.92	227
17CANTOR, FITZGERALD & CO., INC.	43,765	0.89	275
18WEEDEN & COMPANY, INC.	37,943	0.77	362
19OPPENHEIMER & CO	37,010	0.76	224
20PIPER JAFFRAY & CO.	35,397	0.72	282
21COWEN & CO.	34,247	0.7	282
22CRT CAPITAL GROUP LLC	33,794	0.69	5
23THOMAS WEISEL PARTNERS LLC	32,526	0.66	248
24SUSQUEHANNA INTERNATIONAL GRP.	27,461	0.56	552
25RAYMOND JAMES	22,093	0.45	243

<b>NASDAQ Small Cap Market Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	271,827	47.93	550
2UBS	123,665	21.8	509
3CREDIT SUISSE	16,858	2.97	207
4MORGAN STANLEY	16,523	2.91	322
5GOLDMAN SACHS GROUP	14,603	2.57	173
6MERRILL LYNCH	12,047	2.12	291
7CITIGROUP	10,827	1.91	220
8JONESTRADING INSTITUTIONAL SER	9,088	1.6	41
9CANTOR, FITZGERALD & CO., INC.	8,682	1.53	36
10CRT CAPITAL GROUP LLC	7,862	1.39	3
11JEFFERIES & COMPANY, INC.	6,754	1.19	42
12INSTINET CORPORATION	6,124	1.08	93

NOTE: \*\* Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

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13JPMORGAN	5,642	0.99	107
14BTIG - BASS TRADING	4,468	0.79	21
15BANC OF AMERICA SECURITIES LLC	4,310	0.76	54
16HUDSON SECURITIES	4,210	0.74	21
17CRAIG-HALLUM CAPITAL GROUP	3,885	0.69	5
18OPPENHEIMER & CO	2,925	0.52	39
19ITG, INC.	2,856	0.5	43
20DEUTSCHE BANK SECURITIES, IN	2,453	0.43	98
21WEEDEN & COMPANY, INC.	2,101	0.37	28
22STIFEL NICOLAUS CAPITAL MARKET	1,957	0.34	117
23BARCLAYS CAPITAL INC.	1,929	0.34	31
24STERNE AGEE & LEACH, INC.	1,780	0.31	7
25SUSQUEHANNA INTERNATIONAL GRP.	1,779	0.31	57

<b>NASDAQ 100 Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	1,532,176	17	88
2UBS	1,138,919	12.63	88
3MORGAN STANLEY	748,204	8.3	88
4MERRILL LYNCH	669,348	7.43	88
5CITIGROUP	646,516	7.17	87
6GOLDMAN SACHS GROUP	590,682	6.55	88
7CREDIT SUISSE	522,380	5.79	88
8JPMORGAN	386,085	4.28	88
9DEUTSCHE BANK SECURITIES, IN	362,270	4.02	88
10INSTINET CORPORATION	331,542	3.68	88
11RBC CAPITAL MARKETS	254,986	2.83	88
12BANC OF AMERICA SECURITIES LLC	252,031	2.8	87
13BARCLAYS CAPITAL INC.	167,726	1.86	88
14ITG, INC.	146,056	1.62	88
15JEFFERIES & COMPANY, INC.	123,779	1.37	86
16THOMAS WEISEL PARTNERS LLC	97,527	1.08	86
17ROBERT W. BAIRD CO. INCORPORAT	87,529	0.97	86
18WEEDEN & COMPANY, INC.	67,994	0.75	88
19BNY CONVERGEX	65,194	0.72	87
20COWEN & CO.	54,936	0.61	84
21PIPER JAFFRAY & CO.	53,547	0.59	85
22HSBC SECURITIES	50,870	0.56	52
23OPPENHEIMER & CO	47,706	0.53	77
24CRT CAPITAL GROUP LLC	42,007	0.47	17
25STIFEL NICOLAUS CAPITAL MARKET	40,797	0.45	85

<b>NASDAQ National Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
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<b>Top 25 Brokers YTD</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Sec. Trd.</b>
1KNIGHT	13,232,864	23.12	1494
2UBS	8,143,381	14.23	1465
3MORGAN STANLEY	4,391,087	7.67	1425
4CREDIT SUISSE	3,072,186	5.37	1335
5MERRILL LYNCH	2,720,223	4.75	1415
6INSTINET CORPORATION	2,383,356	4.16	1251
7CITIGROUP	2,119,743	3.7	1251
8LEHMAN BROTHERS, INC.	1,690,964	2.95	1085
9DEUTSCHE BANK SECURITIES, IN	1,646,593	2.88	1083
10JPMORGAN	1,588,201	2.77	1178
11GOLDMAN SACHS GROUP	1,312,620	2.29	1300
12JEFFERIES & COMPANY, INC.	1,171,418	2.05	900
13PIPER JAFFRAY & CO.	908,609	1.59	746
14BANC OF AMERICA SECURITIES LLC	895,812	1.57	905
15ITG, INC.	734,677	1.28	949
16CANTOR, FITZGERALD & CO., INC.	664,928	1.16	986
17COWEN & CO.	599,041	1.05	596
18RBC CAPITAL MARKETS	593,151	1.04	651
19BTIG - BASS TRADING	588,394	1.03	665
20JONESTRADING INSTITUTIONAL SER	524,095	0.92	791
21OPPENHEIMER & CO	511,612	0.89	517
22THOMAS WEISEL PARTNERS LLC	495,463	0.87	580
23WEEDEN & COMPANY, INC.	488,995	0.85	855
24SUSQUEHANNA INTERNATIONAL GRP.	439,828	0.77	1155
25LEERINK SWANN & CO.	311,589	0.54	328

<b>NASDAQ Small Cap Market Top 25 Brokers YTD</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	2,297,652	37.51	628
2UBS	1,442,852	23.56	581
3MORGAN STANLEY	252,183	4.12	541
4CREDIT SUISSE	208,781	3.41	444
5MERRILL LYNCH	203,007	3.31	524
6CITIGROUP	179,788	2.94	390
7INSTINET CORPORATION	143,869	2.35	271
8GOLDMAN SACHS GROUP	143,659	2.35	413
9CANTOR, FITZGERALD & CO., INC.	86,890	1.42	183
10JPMORGAN	80,161	1.31	264
11LEHMAN BROTHERS, INC.	69,604	1.14	244
12JEFFERIES & COMPANY, INC.	68,124	1.11	169
13DEUTSCHE BANK SECURITIES, IN	66,934	1.09	241
14JONESTRADING INSTITUTIONAL SER	61,809	1.01	134
15BTIG - BASS TRADING	54,325	0.89	99
16BANC OF AMERICA SECURITIES LLC	52,204	0.85	160

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17RBC CAPITAL MARKETS	48,179	0.79	84
18ITG, INC.	44,345	0.72	136
19HUDSON SECURITIES	34,805	0.57	151
20MERRIMAN CURHAN FORD & CO.	33,459	0.55	83
21WEEDEN & COMPANY, INC.	31,737	0.52	102
22CRAIG-HALLUM CAPITAL GROUP	29,803	0.49	8
23MAXIM GROUP LLC	27,369	0.45	137
24BEAR STEARNS AND COMPANY	25,798	0.42	185
25OPPENHEIMER & CO	25,590	0.42	74

<b>NASDAQ 100 Top 25 Brokers YTD</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1UBS	15,572,878	11.17	94
2MORGAN STANLEY	14,260,240	10.23	93
3KNIGHT	13,733,062	9.85	93
4MERRILL LYNCH	11,544,064	8.28	93
5CITIGROUP	10,531,920	7.55	92
6CREDIT SUISSE	8,576,469	6.15	93
7JPMORGAN	7,980,052	5.72	93
8GOLDMAN SACHS GROUP	7,253,040	5.2	93
9LEHMAN BROTHERS, INC.	6,421,932	4.61	93
10INSTINET CORPORATION	5,810,753	4.17	93
11BANC OF AMERICA SECURITIES LLC	5,765,486	4.14	93
12DEUTSCHE BANK SECURITIES, IN	5,156,202	3.7	93
13RBC CAPITAL MARKETS	3,116,883	2.24	93
14JEFFERIES & COMPANY, INC.	2,245,947	1.61	93
15ITG, INC.	1,865,316	1.34	93
16BEAR STEARNS AND COMPANY	1,206,612	0.87	93
17COWEN & CO.	1,176,716	0.84	92
18WACHOVIA SECURITIES	1,102,036	0.79	93
19ROBERT W. BAIRD CO. INCORPORAT	1,019,003	0.73	93
20PIPER JAFFRAY & CO.	928,795	0.67	93
21WEEDEN & COMPANY, INC.	927,648	0.67	93
22THOMAS WEISEL PARTNERS LLC	870,815	0.62	93
23SANFORD C. BERNSTEIN COMPANY,	850,404	0.61	93
24OPPENHEIMER & CO	760,701	0.55	92
25SUSQUEHANNA INTERNATIONAL GRP.	681,469	0.49	93

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