

The best route to global liquidity starts here.

February 2007

NASDAQ Breakdown

| <b>NASDAQ National Market<br/>Top 25 Brokers</b> | <b>Advertised<br/>Vol (000)</b> | <b>% Of<br/>Total</b> | <b># of<br/>Sec. Trd.</b> |
|--|---------------------------------|-----------------------|---------------------------|
| 1UBS   | 3,159,196                       | 11.87                 | 2427                      |
| 2KNIGHT EQUITY MARKETS                           | 2,482,025                       | 9.33                  | 2413                      |
| 3MORGAN STANLEY                                  | 2,435,009                       | 9.15                  | 2173                      |
| 4COWEN & CO.                                     | 1,853,657                       | 6.96                  | 896                       |
| 5MERRILL LYNCH, PIERCE FENNER                    | 1,730,285                       | 6.5                   | 2295                      |
| 6E-TRADE CAPITAL MARKETS                         | 1,414,921                       | 5.32                  | 1424                      |
| 7LEHMAN BROTHERS, INC.                           | 1,135,854                       | 4.27                  | 1886                      |
| 8JPMORGAN  | 1,133,394                       | 4.26                  | 1634                      |
| 9GOLDMAN SACHS GROUP                             | 1,112,910                       | 4.18                  | 2010                      |
| 10CREDIT SUISSE                                  | 1,092,185                       | 4.1                   | 2234                      |
| 11BANC OF AMERICA SECURITIES LLC                 | 1,066,539                       | 4.01                  | 1184                      |
| 12DEUTSCHE BANK SECURITIES, IN                   | 937,756                         | 3.52                  | 1825                      |
| 13BEAR STEARNS AND COMPANY                       | 856,228                         | 3.22                  | 1664                      |
| 14CITIGROUP                                      | 746,255                         | 2.8                   | 630                       |
| 15INSTINET CORPORATION                           | 478,667                         | 1.8                   | 1388                      |
| 16JEFFERIES & COMPANY, INC.                      | 346,199                         | 1.3                   | 1009                      |
| 17PIPER JAFFRAY & CO.                            | 318,929                         | 1.2                   | 1040                      |
| 18RBC CAPITAL MARKETS                            | 296,875                         | 1.12                  | 591                       |
| 19CIBC WORLD MARKETS                             | 254,370                         | 0.96                  | 1540                      |
| 20WACHOVIA SECURITIES                            | 231,328                         | 0.87                  | 568                       |
| 21SUSQUEHANNA INTERNATIONAL GRP.                 | 210,357                         | 0.79                  | 1868                      |
| 22ITG, INC.                                      | 197,870                         | 0.74                  | 1115                      |
| 23WEEDEN & COMPANY, INC.                         | 193,382                         | 0.73                  | 927                       |
| 24THOMAS WEISEL PARTNERS LLC                     | 184,141                         | 0.69                  | 543                       |
| 25RAYMOND, JAMES & ASSOC. INC.                   | 176,376                         | 0.66                  | 1279                      |

| <b>NASDAQ Small Cap Market<br/>Top 25 Brokers</b> | <b>Advertised<br/>Vol (000)</b> | <b>% Of<br/>Total</b> | <b># of<br/>Sec. Trd.</b> |
|---|---------------------------------|-----------------------|---------------------------|
| 1KNIGHT EQUITY MARKETS                            | 509,488                         | 31.33                 | 573                       |
| 2UBS  | 406,070                         | 24.97                 | 574                       |
| 3E-TRADE CAPITAL MARKETS                          | 207,999                         | 12.79                 | 285                       |
| 4CITIGROUP  | 103,485                         | 6.36                  | 63                        |
| 5MORGAN STANLEY                                   | 37,119                          | 2.28                  | 362                       |
| 6GOLDMAN SACHS GROUP                              | 33,872                          | 2.08                  | 281                       |
| 7MERRILL LYNCH, PIERCE FENNER                     | 33,142                          | 2.04                  | 436                       |
| 8J.P. TURNER & CO.                                | 24,612                          | 1.51                  | 23                        |
| 9LEHMAN BROTHERS, INC.                            | 24,283                          | 1.49                  | 185                       |
| 10BEAR STEARNS AND COMPANY                        | 21,492                          | 1.32                  | 141                       |
| 11CREDIT SUISSE                                   | 15,976                          | 0.98                  | 389                       |
| 12CANTOR, FITZGERALD & CO., INC.                  | 15,402                          | 0.95                  | 58                        |

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|                                  |        |      |     |
|----------------------------------|--------|------|-----|
| 13JEFFERIES & COMPANY, INC.      | 13,375 | 0.82 | 82  |
| 14INSTINET CORPORATION           | 13,067 | 0.8  | 96  |
| 15COWEN & CO.                    | 12,977 | 0.8  | 39  |
| 16JPMORGAN                       | 11,727 | 0.72 | 87  |
| 17DEUTSCHE BANK SECURITIES, IN   | 11,562 | 0.71 | 177 |
| 18BANC OF AMERICA SECURITIES LLC | 9,288  | 0.57 | 60  |
| 19WEEDEN & COMPANY, INC.         | 8,882  | 0.55 | 38  |
| 20MAXIM GROUP LLC                | 7,675  | 0.47 | 48  |
| 21SUSQUEHANNA INTERNATIONAL GRP. | 6,335  | 0.39 | 155 |
| 22MERRIMAN CURHAN FORD & CO.     | 6,016  | 0.37 | 35  |
| 23HSBC SECURITIES                | 5,352  | 0.33 | 37  |
| 24RBC CAPITAL MARKETS            | 4,250  | 0.26 | 19  |
| 25RAYMOND, JAMES & ASSOC. INC.   | 3,905  | 0.24 | 170 |

| <b>NASDAQ 100<br/>Top 25 Brokers</b> | <b>Advertised<br/>Vol (000)</b> | <b>% Of<br/>Total</b> | <b># of<br/>Sec. Trd.</b> |
|--------------------------------------|---------------------------------|-----------------------|---------------------------|
| 1UBS                                 | 1,284,444                       | 11.12                 | 100                       |
| 2MORGAN STANLEY                      | 1,135,724                       | 9.84                  | 94                        |
| 3MERRILL LYNCH, PIERCE FENNER        | 954,915                         | 8.27                  | 100                       |
| 4KNIGHT EQUITY MARKETS               | 763,628                         | 6.61                  | 100                       |
| 5E-TRADE CAPITAL MARKETS             | 726,338                         | 6.29                  | 96                        |
| 6BANC OF AMERICA SECURITIES LLC      | 664,251                         | 5.75                  | 98                        |
| 7GOLDMAN SACHS GROUP                 | 661,285                         | 5.73                  | 100                       |
| 8COWEN & CO.                         | 655,076                         | 5.67                  | 97                        |
| 9JPMORGAN                            | 582,696                         | 5.05                  | 100                       |
| 10CITIGROUP                          | 506,029                         | 4.38                  | 86                        |
| 11CREDIT SUISSE                      | 485,475                         | 4.2                   | 100                       |
| 12BEAR STEARNS AND COMPANY           | 431,047                         | 3.73                  | 100                       |
| 13LEHMAN BROTHERS, INC.              | 422,831                         | 3.66                  | 100                       |
| 14DEUTSCHE BANK SECURITIES, IN       | 404,888                         | 3.51                  | 100                       |
| 15INSTINET CORPORATION               | 208,195                         | 1.8                   | 99                        |
| 16RBC CAPITAL MARKETS                | 186,598                         | 1.62                  | 93                        |
| 17WACHOVIA SECURITIES                | 118,314                         | 1.02                  | 94                        |
| 18JEFFERIES & COMPANY, INC.          | 96,038                          | 0.83                  | 95                        |
| 19SUSQUEHANNA INTERNATIONAL GRP.     | 83,810                          | 0.73                  | 100                       |
| 20WEEDEN & COMPANY, INC.             | 75,832                          | 0.66                  | 94                        |
| 21ITG, INC.                          | 74,166                          | 0.64                  | 96                        |
| 22CIBC WORLD MARKETS                 | 71,447                          | 0.62                  | 99                        |
| 23PIPER JAFFRAY & CO.                | 69,270                          | 0.6                   | 94                        |
| 24SANFORD C. BERNSTEIN COMPANY,      | 61,734                          | 0.53                  | 86                        |
| 25BNY BROKERAGE                      | 61,281                          | 0.53                  | 100                       |

## NASDAQ National Market

Advertised

% Of

# of

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NOTE: \*\* Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

## Indicates a broker with certification pending.

Visit the Sales and Trading Group web site for more information on reporting rules.

<http://www.salesandtradinggroup.com>

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| <u>Top 25 Brokers YTD</u>        | <u>Vol (000)</u> | <u>Total</u> | <u>Sec. Trd.</u> |
|----------------------------------|------------------|--------------|------------------|
| 1UBS                             | 6,101,128        | 11.45        | 2481             |
| 2KNIGHT EQUITY MARKETS           | 4,977,537        | 9.34         | 2448             |
| 3MORGAN STANLEY                  | 4,901,437        | 9.2          | 2261             |
| 4MERRILL LYNCH, PIERCE FENNER    | 3,620,742        | 6.8          | 2389             |
| 5E-TRADE CAPITAL MARKETS         | 2,924,855        | 5.49         | 1633             |
| 6COWEN & CO.                     | 2,525,495        | 4.74         | 991              |
| 7CREDIT SUISSE                   | 2,431,573        | 4.56         | 2307             |
| 8GOLDMAN SACHS GROUP             | 2,422,896        | 4.55         | 2139             |
| 9LEHMAN BROTHERS, INC.           | 2,347,306        | 4.41         | 1983             |
| 10BANC OF AMERICA SECURITIES LLC | 2,285,407        | 4.29         | 1228             |
| 11JPMORGAN                       | 2,281,086        | 4.28         | 1837             |
| 12DEUTSCHE BANK SECURITIES, IN   | 1,934,857        | 3.63         | 1906             |
| 13BEAR STEARNS AND COMPANY       | 1,829,752        | 3.43         | 1845             |
| 14CITIGROUP                      | 1,479,783        | 2.78         | 918              |
| 15INSTINET CORPORATION           | 1,002,658        | 1.88         | 1652             |
| 16JEFFERIES & COMPANY, INC.      | 687,001          | 1.29         | 1252             |
| 17PIPER JAFFRAY & CO.            | 653,633          | 1.23         | 1225             |
| 18RBC CAPITAL MARKETS            | 612,956          | 1.15         | 750              |
| 19WACHOVIA SECURITIES            | 593,461          | 1.11         | 598              |
| 20CIBC WORLD MARKETS             | 491,543          | 0.92         | 1608             |
| 21WEEDEN & COMPANY, INC.         | 416,808          | 0.78         | 1276             |
| 22ITG, INC.                      | 402,930          | 0.76         | 1385             |
| 23SUSQUEHANNA INTERNATIONAL GRP. | 389,135          | 0.73         | 1958             |
| 24THOMAS WEISEL PARTNERS LLC     | 357,050          | 0.67         | 748              |
| 25CANTOR, FITZGERALD & CO., INC. | 351,699          | 0.66         | 1220             |

| <u>NASDAQ Small Cap Market</u><br><u>Top 25 Brokers YTD</u> | <u>Advertised</u><br><u>Vol (000)</u> | <u>% Of</u><br><u>Total</u> | <u># of</u><br><u>Sec. Trd.</u> |
|---|---------------------------------------|-----------------------------|---------------------------------|
| 1UBS  | 904,994                               | 29.89                       | 596                             |
| 2KNIGHT EQUITY MARKETS                                      | 886,307                               | 29.27                       | 595                             |
| 3E-TRADE CAPITAL MARKETS                                    | 351,651                               | 11.61                       | 334                             |
| 4CITIGROUP  | 125,327                               | 4.14                        | 99                              |
| 5MORGAN STANLEY   | 76,337                                | 2.52                        | 411                             |
| 6GOLDMAN SACHS GROUP  | 68,675                                | 2.27                        | 348                             |
| 7MERRILL LYNCH, PIERCE FENNER                               | 67,429                                | 2.23                        | 506                             |
| 8LEHMAN BROTHERS, INC.                                      | 45,396                                | 1.5                         | 217                             |
| 9CREDIT SUISSE  | 40,923                                | 1.35                        | 450                             |
| 10BEAR STEARNS AND COMPANY                                  | 40,787                                | 1.35                        | 177                             |
| 11J.P. TURNER & CO.   | 38,341                                | 1.27                        | 28                              |
| 12INSTINET CORPORATION                                      | 26,089                                | 0.86                        | 133                             |
| 13CANTOR, FITZGERALD & CO., INC.                            | 23,730                                | 0.78                        | 90                              |
| 14JEFFERIES & COMPANY, INC.                                 | 23,719                                | 0.78                        | 114                             |
| 15DEUTSCHE BANK SECURITIES, IN                              | 20,814                                | 0.69                        | 209                             |

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|                                  |        |      |     |
|----------------------------------|--------|------|-----|
| 16BANC OF AMERICA SECURITIES LLC | 19,837 | 0.66 | 65  |
| 17COWEN & CO.                    | 19,158 | 0.63 | 50  |
| 18JPMORGAN                       | 18,713 | 0.62 | 110 |
| 19WEEDEN & COMPANY, INC.         | 12,687 | 0.42 | 54  |
| 20RAYMOND, JAMES & ASSOC. INC.   | 12,350 | 0.41 | 223 |
| 21SUSQUEHANNA INTERNATIONAL GRP. | 10,377 | 0.34 | 175 |
| 22CIBC WORLD MARKETS             | 9,728  | 0.32 | 86  |
| 23MERRIMAN CURHAN FORD & CO.     | 9,704  | 0.32 | 49  |
| 24MAXIM GROUP LLC                | 9,685  | 0.32 | 76  |
| 25RBC CAPITAL MARKETS            | 9,467  | 0.31 | 29  |

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|--|---------------------------------|-----------------------|---------------------------|
| 1UBS                                     | 2,810,883                       | 11.49                 | 100                       |
| 2MORGAN STANLEY                          | 2,332,449                       | 9.53                  | 95                        |
| 3MERRILL LYNCH, PIERCE FENNER            | 2,089,213                       | 8.54                  | 100                       |
| 4KNIGHT EQUITY MARKETS                   | 1,596,935                       | 6.53                  | 100                       |
| 5E-TRADE CAPITAL MARKETS                 | 1,553,285                       | 6.35                  | 98                        |
| 6GOLDMAN SACHS GROUP                     | 1,508,439                       | 6.16                  | 100                       |
| 7BANC OF AMERICA SECURITIES LLC          | 1,463,649                       | 5.98                  | 99                        |
| 8JPMORGAN                                | 1,212,433                       | 4.95                  | 100                       |
| 9CREDIT SUISSE                           | 1,184,759                       | 4.84                  | 100                       |
| 10CITIGROUP                              | 977,064                         | 3.99                  | 98                        |
| 11COWEN & CO.                            | 935,431                         | 3.82                  | 98                        |
| 12LEHMAN BROTHERS, INC.                  | 933,451                         | 3.81                  | 100                       |
| 13BEAR STEARNS AND COMPANY               | 908,789                         | 3.71                  | 100                       |
| 14DEUTSCHE BANK SECURITIES, IN           | 875,033                         | 3.58                  | 100                       |
| 15INSTINET CORPORATION                   | 439,808                         | 1.8                   | 100                       |
| 16RBC CAPITAL MARKETS                    | 380,228                         | 1.55                  | 97                        |
| 17WACHOVIA SECURITIES                    | 325,675                         | 1.33                  | 98                        |
| 18JEFFERIES & COMPANY, INC.              | 189,051                         | 0.77                  | 100                       |
| 19SUSQUEHANNA INTERNATIONAL GRP.         | 167,215                         | 0.68                  | 100                       |
| 20WEEDEN & COMPANY, INC.                 | 162,398                         | 0.66                  | 99                        |
| 21PIPER JAFFRAY & CO.                    | 155,263                         | 0.63                  | 98                        |
| 22CIBC WORLD MARKETS                     | 140,992                         | 0.58                  | 99                        |
| 23ITG, INC.                              | 140,986                         | 0.58                  | 100                       |
| 24BNY BROKERAGE                          | 125,405                         | 0.51                  | 100                       |
| 25SANFORD C. BERNSTEIN COMPANY,          | 125,220                         | 0.51                  | 95                        |