

February 2008

NASDAQ Breakdown

NASDAQ National Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	953,245	19.02	1425
2UBS	704,711	14.06	1394
3MORGAN STANLEY	389,082	7.76	1179
4INSTINET CORPORATION	250,990	5.01	884
5LEHMAN BROTHERS, INC.	243,464	4.86	914
6CREDIT SUISSE	239,444	4.78	1106
7MERRILL LYNCH, PIERCE FENNER	234,911	4.69	1164
8CITIGROUP	176,679	3.53	780
9JPMORGAN	163,351	3.26	802
10DEUTSCHE BANK SECURITIES, IN	157,635	3.15	798
11JEFFERIES & COMPANY, INC.	124,316	2.48	429
12GOLDMAN SACHS GROUP	116,838	2.33	943
13PIPER JAFFRAY & CO.	92,032	1.84	359
14CANTOR, FITZGERALD & CO., INC.	83,012	1.66	376
15BANC OF AMERICA SECURITIES LLC	81,660	1.63	595
16BEAR STEARNS AND COMPANY	80,338	1.6	920
17RBC CAPITAL MARKETS	58,338	1.16	226
18THOMAS WEISEL PARTNERS LLC	55,756	1.11	204
19BTIG - BASS TRADING	52,558	1.05	160
20SUSQUEHANNA INTERNATIONAL GRP.	48,726	0.97	849
21JONESTRADING INSTITUTIONAL SER	47,097	0.94	195
22COWEN & CO.	44,193	0.88	269
23WEEDEN & COMPANY, INC.	43,071	0.86	418
24OPPENHEIMER & CO	42,510	0.85	259
25ITG, INC.	28,400	0.57	332

NASDAQ Small Cap Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	244,950	42.61	543
2UBS	143,128	24.9	517
3MORGAN STANLEY	22,493	3.91	310
4CITIGROUP	15,060	2.62	161
5CREDIT SUISSE	14,082	2.45	231
6INSTINET CORPORATION	13,168	2.29	113
7GOLDMAN SACHS GROUP	12,703	2.21	197
8MERRILL LYNCH, PIERCE FENNER	12,179	2.12	313
9LEHMAN BROTHERS, INC.	10,113	1.76	140
10JONESTRADING INSTITUTIONAL SER	6,225	1.08	22
11BEAR STEARNS AND COMPANY	6,204	1.08	138
12JEFFERIES & COMPANY, INC.	5,882	1.02	48

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13JPMORGAN	5,356	0.93	85
14DEUTSCHE BANK SECURITIES, IN	4,879	0.85	107
15OPPENHEIMER & CO	4,845	0.84	23
16CANTOR, FITZGERALD & CO., INC.	4,392	0.76	48
17RBC CAPITAL MARKETS	4,188	0.73	14
18MERRIMAN CURHAN FORD & CO.	3,588	0.62	22
19BTIG - BASS TRADING	3,133	0.55	13
20MAXIM GROUP LLC	3,035	0.53	35
21BANC OF AMERICA SECURITIES LLC	3,032	0.53	76
22THOMAS WEISEL PARTNERS LLC	1,951	0.34	9
23NORTHLAND SECURITIES	1,877	0.33	5
24SUSQUEHANNA INTERNATIONAL GRP.	1,837	0.32	159
25THINKEQUITY PARTNERS	1,379	0.24	12

NASDAQ 100 Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1UBS	1,441,615	10.99	93
2MORGAN STANLEY	1,341,734	10.23	93
3MERRILL LYNCH, PIERCE FENNER	1,098,744	8.38	93
4CITIGROUP	1,011,512	7.71	92
5KNIGHT	937,376	7.15	93
6LEHMAN BROTHERS, INC.	890,164	6.79	93
7CREDIT SUISSE	872,250	6.65	93
8JPMORGAN	753,894	5.75	93
9GOLDMAN SACHS GROUP	688,885	5.25	92
10BANC OF AMERICA SECURITIES LLC	599,525	4.57	93
11INSTINET CORPORATION	555,610	4.24	93
12BEAR STEARNS AND COMPANY	510,692	3.89	93
13DEUTSCHE BANK SECURITIES, IN	457,532	3.49	93
14RBC CAPITAL MARKETS	233,235	1.78	91
15JEFFERIES & COMPANY, INC.	160,562	1.22	91
16COWEN & CO.	117,820	0.9	89
17CANTOR, FITZGERALD & CO., INC.	95,826	0.73	86
18WACHOVIA SECURITIES	95,129	0.73	90
19WEEDEN & COMPANY, INC.	89,187	0.68	91
20PIPER JAFFRAY & CO.	83,785	0.64	84
21OPPENHEIMER & CO	79,893	0.61	85
22SANFORD C. BERNSTEIN COMPANY,	77,606	0.59	85
23ITG, INC.	62,442	0.48	86
24THOMAS WEISEL PARTNERS LLC	61,228	0.47	79
25SUSQUEHANNA INTERNATIONAL GRP.	60,691	0.46	93

NASDAQ National Market	Advertised	% Of	# of
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Top 25 Brokers YTD	Vol (000)	Total	Sec. Trd.
1KNIGHT	2,805,652	18.84	1465
2UBS	2,111,704	14.18	1437
3MORGAN STANLEY	1,167,015	7.84	1321
4CREDIT SUISSE	784,760	5.27	1247
5MERRILL LYNCH, PIERCE FENNER	756,980	5.08	1294
6LEHMAN BROTHERS, INC.	682,083	4.58	991
7INSTINET CORPORATION	630,828	4.24	1073
8CITIGROUP	554,193	3.72	987
9DEUTSCHE BANK SECURITIES, IN	495,247	3.33	951
10JPMORGAN	439,314	2.95	985
11JEFFERIES & COMPANY, INC.	372,807	2.5	656
12GOLDMAN SACHS GROUP	364,473	2.45	1136
13BANC OF AMERICA SECURITIES LLC	261,878	1.76	649
14PIPER JAFFRAY & CO.	252,165	1.69	536
15BEAR STEARNS AND COMPANY	245,685	1.65	1026
16CANTOR, FITZGERALD & CO., INC.	177,540	1.19	630
17RBC CAPITAL MARKETS	161,379	1.08	374
18BTIG - BASS TRADING	157,165	1.06	314
19SUSQUEHANNA INTERNATIONAL GRP.	150,531	1.01	1031
20COWEN & CO.	150,437	1.01	397
21WEEDEN & COMPANY, INC.	142,187	0.95	638
22THOMAS WEISEL PARTNERS LLC	140,608	0.94	346
23JONESTRADING INSTITUTIONAL SER	122,981	0.83	371
24OPPENHEIMER & CO	118,607	0.8	382
25LEERINK SWANN & CO.	97,030	0.65	262

NASDAQ Small Cap Market	Advertised	% Of	# of
Top 25 Brokers YTD	Vol (000)	Total	Sec. Trd.
1KNIGHT	619,642	36.89	589
2UBS	352,262	20.97	558
3CITIGROUP	261,310	15.56	236
4MORGAN STANLEY	44,992	2.68	402
5E-TRADE CAPITAL MARKETS	37,533	2.23	86
6GOLDMAN SACHS GROUP	35,519	2.11	283
7CREDIT SUISSE	30,118	1.79	332
8MERRILL LYNCH, PIERCE FENNER	29,501	1.76	408
9INSTINET CORPORATION	26,052	1.55	155
10DEUTSCHE BANK SECURITIES, IN	23,393	1.39	160
11LEHMAN BROTHERS, INC.	22,344	1.33	174
12JEFFERIES & COMPANY, INC.	16,751	1	68
13CANTOR, FITZGERALD & CO., INC.	14,678	0.87	67
14BEAR STEARNS AND COMPANY	14,455	0.86	174
15JPMORGAN	12,699	0.76	116
16JONESTRADING INSTITUTIONAL SER	9,942	0.59	34

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17MAXIM GROUP LLC	9,915	0.59	55
18RBC CAPITAL MARKETS	7,197	0.43	25
19BANC OF AMERICA SECURITIES LLC	7,155	0.43	87
20OPPENHEIMER & CO	6,605	0.39	35
21MERRIMAN CURHAN FORD & CO.	6,405	0.38	40
22BTIG - BASS TRADING	5,238	0.31	26
23RAYMOND JAMES	5,151	0.31	43
24SUSQUEHANNA INTERNATIONAL GRP.	4,860	0.29	223
25PALI CAPITAL LLC	4,172	0.25	12

NASDAQ 100 Top 25 Brokers YTD	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1UBS	3,052,767	11.07	93
2MORGAN STANLEY	2,967,735	10.76	93
3MERRILL LYNCH, PIERCE FENNER	2,244,092	8.14	93
4CITIGROUP	2,096,104	7.6	92
5KNIGHT	2,006,722	7.28	93
6CREDIT SUISSE	1,817,839	6.59	93
7LEHMAN BROTHERS, INC.	1,781,119	6.46	93
8JPMORGAN	1,564,596	5.67	93
9GOLDMAN SACHS GROUP	1,508,424	5.47	92
10BANC OF AMERICA SECURITIES LLC	1,362,758	4.94	93
11DEUTSCHE BANK SECURITIES, IN	998,731	3.62	93
12BEAR STEARNS AND COMPANY	981,591	3.56	93
13INSTINET CORPORATION	869,837	3.15	93
14RBC CAPITAL MARKETS	532,266	1.93	92
15JEFFERIES & COMPANY, INC.	308,465	1.12	93
16COWEN & CO.	254,373	0.92	92
17WACHOVIA SECURITIES	221,967	0.81	90
18WEEDEN & COMPANY, INC.	195,006	0.71	92
19SUSQUEHANNA INTERNATIONAL GRP.	184,640	0.67	93
20CANTOR, FITZGERALD & CO., INC.	183,485	0.67	91
21PIPER JAFFRAY & CO.	169,149	0.61	88
22SANFORD C. BERNSTEIN COMPANY,	167,671	0.61	91
23BNY CONVERGEX	151,469	0.55	93
24THOMAS WEISEL PARTNERS LLC	131,659	0.48	90
25OPPENHEIMER & CO	126,403	0.46	88

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