

July 2008

NASDAQ Breakdown

NASDAQ National Market	Advertised	% Of	# of
Top 25 Brokers	Vol (000)	Total	Sec. Trd.
1KNIGHT	984,808	21.31	1344
2UBS	631,263	13.66	1330
3MORGAN STANLEY	384,762	8.33	1104
4MERRILL LYNCH, PIERCE FENNER	278,054	6.02	1109
5CREDIT SUISSE	248,157	5.37	1083
6INSTINET CORPORATION	208,664	4.52	804
7LEHMAN BROTHERS, INC.	163,543	3.54	798
8CITIGROUP	159,644	3.45	752
9DEUTSCHE BANK SECURITIES, IN	147,083	3.18	818
10GOLDMAN SACHS GROUP	118,672	2.57	835
11JPMORGAN	110,869	2.4	785
12ITG, INC.	107,389	2.32	585
13JEFFERIES & COMPANY, INC.	93,234	2.02	411
14PIPER JAFFRAY & CO.	74,785	1.62	317
15COWEN & CO.	58,011	1.26	319
16CANTOR, FITZGERALD & CO., INC.	51,940	1.12	341
17RBC CAPITAL MARKETS	50,351	1.09	282
18THOMAS WEISEL PARTNERS LLC	45,562	0.99	235
19WEEDEN & COMPANY, INC.	41,963	0.91	396
20OPPENHEIMER & CO	36,714	0.79	234
21BANC OF AMERICA SECURITIES LLC	36,054	0.78	436
22ROBERT W. BAIRD CO. INCORPORAT	33,366	0.72	501
23BTIG - BASS TRADING	30,849	0.67	163
24SUSQUEHANNA INTERNATIONAL GRP.	30,373	0.66	725
25JONESTRADING INSTITUTIONAL SER	27,672	0.6	161

NASDAQ Small Cap Market	Advertised	% Of	# of
Top 25 Brokers	Vol (000)	Total	Sec. Trd.
1KNIGHT	158,885	34.64	541
2UBS	106,370	23.19	490
3MERRILL LYNCH, PIERCE FENNER	23,905	5.21	279
4MORGAN STANLEY	23,189	5.06	313
5CREDIT SUISSE	16,415	3.58	259
6INSTINET CORPORATION	13,029	2.84	105
7CITIGROUP	10,605	2.31	137
8CANTOR, FITZGERALD & CO., INC.	10,342	2.25	50
9GOLDMAN SACHS GROUP	9,996	2.18	174
10JPMORGAN	9,197	2.01	82
11JEFFERIES & COMPANY, INC.	6,201	1.35	38
12HUDSON SECURITIES	5,539	1.21	20

NOTE: ** Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

Indicates a broker with certification pending.

Visit the Sales and Trading Group web site for more information on reporting rules.

<http://www.salesandtradinggroup.com>



13LEHMAN BROTHERS, INC.	5,426	1.18	114
14DEUTSCHE BANK SECURITIES, IN	5,191	1.13	119
15ITG, INC.	4,422	0.96	60
16BTIG - BASS TRADING	4,064	0.89	17
17BEAR STEARNS AND COMPANY	3,736	0.81	17
18JONESTRADING INSTITUTIONAL SER	3,715	0.81	16
19BANC OF AMERICA SECURITIES LLC	2,864	0.62	61
20RBC CAPITAL MARKETS	2,501	0.55	16
21THINKEQUITY PARTNERS	2,314	0.5	8
22WEEDEN & COMPANY, INC.	2,187	0.48	29
23SUSQUEHANNA INTERNATIONAL GRP.	1,744	0.38	133
24COLLINS STEWART	1,598	0.35	7
25CRAIG-HALLUM CAPITAL GROUP	1,469	0.32	4

NASDAQ 100 Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1MORGAN STANLEY	1,375,004	11.1	92
2UBS	1,304,699	10.53	92
3MERRILL LYNCH, PIERCE FENNER	1,113,895	8.99	92
4CITIGROUP	956,272	7.72	91
5KNIGHT	932,866	7.53	92
6LEHMAN BROTHERS, INC.	721,274	5.82	91
7JPMORGAN	714,297	5.77	92
8CREDIT SUISSE	688,537	5.56	92
9GOLDMAN SACHS GROUP	629,934	5.08	92
10INSTINET CORPORATION	502,636	4.06	92
11DEUTSCHE BANK SECURITIES, IN	499,600	4.03	92
12BANC OF AMERICA SECURITIES LLC	435,448	3.51	90
13ITG, INC.	312,551	2.52	91
14RBC CAPITAL MARKETS	268,667	2.17	92
15JEFFERIES & COMPANY, INC.	262,473	2.12	92
16WACHOVIA SECURITIES	117,825	0.95	90
17COWEN & CO.	112,992	0.91	90
18ROBERT W. BAIRD CO. INCORPORAT	100,591	0.81	92
19THOMAS WEISEL PARTNERS LLC	96,507	0.78	87
20PIPER JAFFRAY & CO.	94,075	0.76	89
21OPPENHEIMER & CO	85,098	0.69	84
22WEEDEN & COMPANY, INC.	80,659	0.65	92
23SANFORD C. BERNSTEIN COMPANY,	73,395	0.59	89
24BTIG - BASS TRADING	60,961	0.49	65
25SUSQUEHANNA INTERNATIONAL GRP.	60,899	0.49	90

NASDAQ National Market	Advertised	% Of	# of
-------------------------------	-------------------	-------------	-------------

2

NOTE: ** Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

Indicates a broker with certification pending.

Visit the Sales and Trading Group web site for more information on reporting rules.

<http://www.salesandtradinggroup.com>



Top 25 Brokers YTD	Vol (000)	Total	Sec. Trd.
1KNIGHT	6,967,290	20.09	1456
2UBS	4,991,577	14.39	1437
3MORGAN STANLEY	2,823,310	8.14	1366
4CREDIT SUISSE	1,949,399	5.62	1305
5MERRILL LYNCH, PIERCE FENNER	1,737,768	5.01	1369
6INSTINET CORPORATION	1,463,162	4.22	1191
7LEHMAN BROTHERS, INC.	1,422,164	4.1	1052
8CITIGROUP	1,350,108	3.89	1133
9DEUTSCHE BANK SECURITIES, IN	1,076,821	3.1	1034
10JPMORGAN	967,817	2.79	1078
11GOLDMAN SACHS GROUP	806,136	2.32	1242
12JEFFERIES & COMPANY, INC.	780,328	2.25	814
13PIPER JAFFRAY & CO.	606,280	1.75	691
14BANC OF AMERICA SECURITIES LLC	488,629	1.41	652
15CANTOR, FITZGERALD & CO., INC.	404,812	1.17	870
16COWEN & CO.	388,771	1.12	529
17RBC CAPITAL MARKETS	343,768	0.99	483
18BTIG - BASS TRADING	343,615	0.99	544
19THOMAS WEISEL PARTNERS LLC	311,213	0.9	480
20SUSQUEHANNA INTERNATIONAL GRP.	301,696	0.87	1120
21OPPENHEIMER & CO	298,958	0.86	446
22WEEDEN & COMPANY, INC.	297,978	0.86	767
23ITG, INC.	289,059	0.83	761
24JONESTRADING INSTITUTIONAL SER	280,592	0.81	584
25BEAR STEARNS AND COMPANY	266,964	0.77	1054

NASDAQ Small Cap Market	Advertised	% Of	# of
Top 25 Brokers YTD	Vol (000)	Total	Sec. Trd.
1KNIGHT	1,344,574	35.84	604
2UBS	914,977	24.39	565
3MORGAN STANLEY	155,804	4.15	490
4CREDIT SUISSE	133,210	3.55	421
5MERRILL LYNCH, PIERCE FENNER	131,140	3.5	495
6CITIGROUP	129,467	3.45	320
7GOLDMAN SACHS GROUP	92,407	2.46	374
8INSTINET CORPORATION	89,184	2.38	224
9LEHMAN BROTHERS, INC.	61,201	1.63	227
10CANTOR, FITZGERALD & CO., INC.	52,583	1.4	143
11DEUTSCHE BANK SECURITIES, IN	47,062	1.25	217
12JEFFERIES & COMPANY, INC.	43,870	1.17	134
13JPMORGAN	41,016	1.09	190
14BTIG - BASS TRADING	35,574	0.95	77
15RBC CAPITAL MARKETS	35,145	0.94	45
16JONESTRADING INSTITUTIONAL SER	28,755	0.77	74

3

NOTE: ** Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

Indicates a broker with certification pending.

Visit the Sales and Trading Group web site for more information on reporting rules.

<http://www.salesandtradinggroup.com>

17MERRIMAN CURHAN FORD & CO.	27,425	0.73	65
18BEAR STEARNS AND COMPANY	26,040	0.69	189
19BANC OF AMERICA SECURITIES LLC	22,907	0.61	96
20PALI CAPITAL LLC	19,821	0.53	34
21CRAIG-HALLUM CAPITAL GROUP	17,989	0.48	8
22BENCHMARK COMPANY, LLC	15,913	0.42	49
23HUDSON SECURITIES	15,373	0.41	126
24OPPENHEIMER & CO	14,750	0.39	57
25RAYMOND JAMES	14,602	0.39	89

NASDAQ 100 Top 25 Brokers YTD	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1UBS	8,969,794	11	94
2MORGAN STANLEY	8,895,585	10.91	93
3MERRILL LYNCH, PIERCE FENNER	7,015,928	8.61	93
4CITIGROUP	6,295,447	7.72	92
5KNIGHT	6,206,283	7.61	93
6LEHMAN BROTHERS, INC.	5,272,542	6.47	93
7CREDIT SUISSE	5,221,380	6.4	93
8JPMORGAN	4,726,050	5.8	93
9GOLDMAN SACHS GROUP	4,466,787	5.48	93
10BANC OF AMERICA SECURITIES LLC	3,425,983	4.2	93
11INSTINET CORPORATION	3,122,998	3.83	93
12DEUTSCHE BANK SECURITIES, IN	3,062,489	3.76	93
13RBC CAPITAL MARKETS	1,599,923	1.96	93
14JEFFERIES & COMPANY, INC.	1,268,947	1.56	93
15BEAR STEARNS AND COMPANY	1,206,612	1.48	93
16COWEN & CO.	728,116	0.89	92
17WACHOVIA SECURITIES	711,693	0.87	93
18ITG, INC.	593,059	0.73	92
19PIPER JAFFRAY & CO.	566,596	0.7	93
20WEEDEN & COMPANY, INC.	548,983	0.67	93
21SANFORD C. BERNSTEIN COMPANY,	481,640	0.59	93
22THOMAS WEISEL PARTNERS LLC	469,311	0.58	93
23OPPENHEIMER & CO	452,486	0.56	92
24SUSQUEHANNA INTERNATIONAL GRP.	444,859	0.55	93
25ROBERT W. BAIRD CO. INCORPORAT	439,466	0.54	93