



June 2008

NASDAQ Breakdown

<b>NASDAQ National Market Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	1,030,202	20.27	1362
2UBS	708,621	13.94	1342
3MORGAN STANLEY	442,030	8.7	1127
4CITIGROUP	380,883	7.49	823
5CREDIT SUISSE	350,500	6.89	1139
6MERRILL LYNCH, PIERCE FENNER	213,291	4.2	1132
7INSTINET CORPORATION	199,791	3.93	863
8JPMORGAN	144,970	2.85	748
9LEHMAN BROTHERS, INC.	141,581	2.79	809
10DEUTSCHE BANK SECURITIES, IN	127,324	2.5	754
11JEFFERIES & COMPANY, INC.	118,700	2.34	500
12GOLDMAN SACHS GROUP	116,772	2.3	902
13PIPER JAFFRAY & CO.	72,455	1.43	349
14BTIG - BASS TRADING	61,807	1.22	234
15COWEN & CO.	59,343	1.17	304
16CANTOR, FITZGERALD & CO., INC.	50,123	0.99	334
17BANC OF AMERICA SECURITIES LLC	48,480	0.95	503
18JONESTRADING INSTITUTIONAL SER	48,192	0.95	173
19WEEDEN & COMPANY, INC.	44,523	0.88	398
20OPPENHEIMER & CO	44,344	0.87	225
21RBC CAPITAL MARKETS	44,054	0.87	293
22THOMAS WEISEL PARTNERS LLC	37,565	0.74	212
23ITG, INC.	33,696	0.66	401
24PALI CAPITAL LLC	33,455	0.66	125
25SUSQUEHANNA INTERNATIONAL GRP.	32,906	0.65	675

<b>NASDAQ Small Cap Market Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	377,718	41.04	540
2CITIGROUP	223,415	24.28	174
3UBS	130,820	14.22	501
4CREDIT SUISSE	25,946	2.82	280
5MORGAN STANLEY	25,772	2.8	302
6MERRILL LYNCH, PIERCE FENNER	22,746	2.47	286
7INSTINET CORPORATION	13,896	1.51	111
8GOLDMAN SACHS GROUP	11,468	1.25	189
9CANTOR, FITZGERALD & CO., INC.	7,546	0.82	42
10JPMORGAN	7,451	0.81	87
11HUDSON SECURITIES	5,857	0.64	28
12DEUTSCHE BANK SECURITIES, IN	4,949	0.54	101

NOTE: \*\* Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.  
 ## Indicates a broker with certification pending.  
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13BTIG - BASS TRADING	4,949	0.54	21
14JEFFERIES & COMPANY, INC.	4,254	0.46	55
15LEHMAN BROTHERS, INC.	3,595	0.39	117
16BANC OF AMERICA SECURITIES LLC	2,690	0.29	65
17RBC CAPITAL MARKETS	2,601	0.28	23
18MERRIMAN CURHAN FORD & CO.	2,429	0.26	23
19SUSQUEHANNA INTERNATIONAL GRP.	2,365	0.26	135
20MAXIM GROUP LLC	2,280	0.25	44
21RAYMOND JAMES	2,114	0.23	35
22STIFEL NICOLAUS CAPITAL MARKET	1,944	0.21	161
23PALI CAPITAL LLC	1,860	0.2	7
24WEEDEN & COMPANY, INC.	1,723	0.19	24
25ITG, INC.	1,536	0.17	25

<b>NASDAQ 100 Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1UBS	1,085,341	10.92	92
2MORGAN STANLEY	1,016,961	10.23	92
3MERRILL LYNCH, PIERCE FENNER	939,467	9.45	92
4KNIGHT	843,510	8.48	92
5CITIGROUP	694,417	6.98	91
6JPMORGAN	676,602	6.81	92
7GOLDMAN SACHS GROUP	585,962	5.89	91
8LEHMAN BROTHERS, INC.	583,615	5.87	91
9BANC OF AMERICA SECURITIES LLC	519,431	5.22	91
10CREDIT SUISSE	485,277	4.88	91
11INSTINET CORPORATION	401,695	4.04	92
12DEUTSCHE BANK SECURITIES, IN	389,253	3.92	92
13JEFFERIES & COMPANY, INC.	239,861	2.41	90
14RBC CAPITAL MARKETS	144,432	1.45	88
15WACHOVIA SECURITIES	101,694	1.02	88
16COWEN & CO.	92,738	0.93	90
17WEEDEN & COMPANY, INC.	75,461	0.76	92
18PIPER JAFFRAY & CO.	72,095	0.73	84
19SANFORD C. BERNSTEIN COMPANY,	71,090	0.72	88
20OPPENHEIMER & CO	69,490	0.7	86
21THOMAS WEISEL PARTNERS LLC	58,760	0.59	88
22ROBERT W. BAIRD CO. INCORPORAT	54,704	0.55	92
23SUSQUEHANNA INTERNATIONAL GRP.	51,469	0.52	91
24CANTOR, FITZGERALD & CO., INC.	50,738	0.51	85
25ITG, INC.	38,749	0.39	89

<b>NASDAQ National Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
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2

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<b>Top 25 Brokers YTD</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Sec. Trd.</b>
1KNIGHT	5,982,482	19.91	1453
2UBS	4,360,314	14.51	1431
3MORGAN STANLEY	2,438,548	8.12	1358
4CREDIT SUISSE	1,701,242	5.66	1298
5MERRILL LYNCH, PIERCE FENNER	1,452,085	4.83	1357
6LEHMAN BROTHERS, INC.	1,258,594	4.19	1039
7INSTINET CORPORATION	1,254,498	4.18	1180
8CITIGROUP	1,190,472	3.96	1108
9DEUTSCHE BANK SECURITIES, IN	922,512	3.07	1018
10JPMORGAN	856,947	2.85	1065
11GOLDMAN SACHS GROUP	687,464	2.29	1229
12JEFFERIES & COMPANY, INC.	687,094	2.29	794
13PIPER JAFFRAY & CO.	531,495	1.77	671
14BANC OF AMERICA SECURITIES LLC	452,575	1.51	647
15CANTOR, FITZGERALD & CO., INC.	352,872	1.17	822
16COWEN & CO.	330,766	1.1	510
17BTIG - BASS TRADING	312,767	1.04	518
18RBC CAPITAL MARKETS	293,418	0.98	467
19SUSQUEHANNA INTERNATIONAL GRP.	271,323	0.9	1089
20THOMAS WEISEL PARTNERS LLC	265,650	0.88	453
21OPPENHEIMER & CO	262,244	0.87	437
22BEAR STEARNS AND COMPANY	262,171	0.87	1052
23WEEDEN & COMPANY, INC.	256,015	0.85	742
24JONESTRADING INSTITUTIONAL SER	252,920	0.84	553
25ITG, INC.	181,670	0.6	673

<b>NASDAQ Small Cap Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
<b>Top 25 Brokers YTD</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Sec. Trd.</b>
1KNIGHT	1,779,654	39	600
2UBS	908,444	19.91	565
3CITIGROUP	620,417	13.6	308
4MORGAN STANLEY	137,615	3.02	481
5CREDIT SUISSE	117,749	2.58	417
6MERRILL LYNCH, PIERCE FENNER	107,027	2.35	485
7GOLDMAN SACHS GROUP	100,101	2.19	365
8INSTINET CORPORATION	76,155	1.67	214
9LEHMAN BROTHERS, INC.	55,770	1.22	216
10DEUTSCHE BANK SECURITIES, IN	53,030	1.16	211
11CANTOR, FITZGERALD & CO., INC.	42,225	0.93	131
12JEFFERIES & COMPANY, INC.	37,669	0.83	127
13E-TRADE CAPITAL MARKETS	35,918	0.79	76
14RBC CAPITAL MARKETS	32,644	0.72	42
15JPMORGAN	31,767	0.7	177
16BTIG - BASS TRADING	31,507	0.69	70

3

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17MERRIMAN CURHAN FORD & CO.	26,270	0.58	61
18JONESTRADING INSTITUTIONAL SER	25,041	0.55	68
19BEAR STEARNS AND COMPANY	22,304	0.49	187
20BANC OF AMERICA SECURITIES LLC	19,422	0.43	93
21PALI CAPITAL LLC	19,107	0.42	29
22MAXIM GROUP LLC	18,960	0.42	93
23CRAIG-HALLUM CAPITAL GROUP	16,520	0.36	7
24BENCHMARK COMPANY, LLC	15,434	0.34	44
25HUDSON SECURITIES	15,266	0.33	122

<b>NASDAQ 100 Top 25 Brokers YTD</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1UBS	7,665,096	11.1	94
2MORGAN STANLEY	7,520,581	10.89	93
3MERRILL LYNCH, PIERCE FENNER	5,878,048	8.51	93
4CITIGROUP	5,339,197	7.73	92
5KNIGHT	5,273,417	7.63	93
6LEHMAN BROTHERS, INC.	4,545,899	6.58	93
7CREDIT SUISSE	4,532,843	6.56	93
8JPMORGAN	4,012,135	5.81	93
9GOLDMAN SACHS GROUP	3,836,853	5.55	92