

The best route to global liquidity starts here.

March 2007

NASDAQ Breakdown

<b>NASDAQ National Market Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1UBS	10,512,532	12.4	3113
2KNIGHT CAPITAL GROUP	8,926,441	10.53	3080
3MORGAN STANLEY	7,477,507	8.82	2742
4MERRILL LYNCH, PIERCE FENNER	5,571,848	6.57	2939
5E-TRADE CAPITAL MARKETS	4,860,262	5.74	2076
6GOLDMAN SACHS GROUP	3,820,256	4.51	2557
7CREDIT SUISSE	3,796,442	4.48	2816
8LEHMAN BROTHERS, INC.	3,692,049	4.36	2272
9COWEN & CO.	3,588,430	4.23	1117
10JPMORGAN	3,561,185	4.2	2068
11BANC OF AMERICA SECURITIES LLC	3,418,056	4.03	1413
12DEUTSCHE BANK SECURITIES, IN	2,997,317	3.54	2226
13BEAR STEARNS AND COMPANY	2,696,231	3.18	2253
14CITIGROUP	2,300,601	2.71	1146
15INSTINET CORPORATION	1,641,069	1.94	1968
16JEFFERIES & COMPANY, INC.	1,030,767	1.22	1519
17RBC CAPITAL MARKETS	989,679	1.17	849
18PIPER JAFFRAY & CO.	986,674	1.16	1414
19WACHOVIA SECURITIES	862,991	1.02	625
20IBC WORLD MARKETS	767,462	0.91	1746
21SUSQUEHANNA INTERNATIONAL GRP.	637,936	0.75	2211
22WEEDEN & COMPANY, INC.	634,000	0.75	1476
23THOMAS WEISEL PARTNERS LLC	576,619	0.68	872
24ITG, INC.	576,382	0.68	1552
25CANTOR, FITZGERALD & CO., INC.	558,026	0.66	1524

<b>NASDAQ Small Cap Market Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1UBS	3,238,398	11.86	2436
2KNIGHT CAPITAL GROUP	2,704,846	9.91	2426
3MORGAN STANLEY	2,463,450	9.03	2173
4MERRILL LYNCH, PIERCE FENNER	1,857,159	6.8	2183
5E-TRADE CAPITAL MARKETS	1,474,907	5.4	1310
6GOLDMAN SACHS GROUP	1,297,759	4.75	1987
7CREDIT SUISSE	1,296,835	4.75	2234
8LEHMAN BROTHERS, INC.	1,273,377	4.67	1871
9JPMORGAN	1,254,415	4.6	1690
10BANC OF AMERICA SECURITIES LLC	1,099,735	4.03	1269
11COWEN & CO.	1,034,329	3.79	895
12DEUTSCHE BANK SECURITIES, IN	1,031,613	3.78	1862

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13BEAR STEARNS AND COMPANY	805,071	2.95	1908
14CITIGROUP	651,741	2.39	562
15INSTINET CORPORATION	602,233	2.21	1428
16RBC CAPITAL MARKETS	365,426	1.34	578
17PIPER JAFFRAY & CO.	326,114	1.19	976
18JEFFERIES & COMPANY, INC.	307,042	1.12	938
19WACHOVIA SECURITIES	264,830	0.97	560
20CIBC WORLD MARKETS	258,156	0.95	1541
21SUSQUEHANNA INTERNATIONAL GRP.	231,804	0.85	1845
22THOMAS WEISEL PARTNERS LLC	211,581	0.78	522
23WEEDEN & COMPANY, INC.	197,986	0.73	868
24CANTOR, FITZGERALD & CO., INC.	176,096	0.65	927
25RAYMOND, JAMES & ASSOC. INC.	168,985	0.62	1292

<b>NASDAQ 100 Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1UBS	1,224,135	10.55	100
2MORGAN STANLEY	1,098,125	9.46	95
3MERRILL LYNCH, PIERCE FENNER	971,002	8.37	100
4KNIGHT CAPITAL GROUP	755,129	6.51	100
5GOLDMAN SACHS GROUP	741,380	6.39	100
6JPMORGAN	733,299	6.32	100
7E-TRADE CAPITAL MARKETS	645,093	5.56	96
8CREDIT SUISSE	636,195	5.48	100
9BANC OF AMERICA SECURITIES LLC	624,752	5.38	99
10LEHMAN BROTHERS, INC.	498,290	4.29	99
11CITIGROUP	454,650	3.92	82
12DEUTSCHE BANK SECURITIES, IN	422,156	3.64	100
13BEAR STEARNS AND COMPANY	393,378	3.39	100
14COWEN & CO.	360,716	3.11	96
15INSTINET CORPORATION	281,831	2.43	99
16RBC CAPITAL MARKETS	228,892	1.97	94
17WACHOVIA SECURITIES	155,337	1.34	96
18SUSQUEHANNA INTERNATIONAL GRP.	83,771	0.72	100
19WEEDEN & COMPANY, INC.	80,761	0.7	88
20JEFFERIES & COMPANY, INC.	73,128	0.63	96
21CIBC WORLD MARKETS	72,971	0.63	100
22SANFORD C. BERNSTEIN COMPANY,	71,954	0.62	99
23BNY BROKERAGE	71,088	0.61	100
24PIPER JAFFRAY & CO.	68,196	0.59	92
25ITG, INC.	53,172	0.46	97

<b>NASDAQ National Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
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NOTE: \*\* Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

## Indicates a broker with certification pending.

Visit the Sales and Trading Group web site for more information on reporting rules.

<http://www.salesandtradinggroup.com>

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<u>Top 25 Brokers YTD</u>	<u>Vol (000)</u>	<u>Total</u>	<u>Sec. Trd.</u>
1UBS	10,512,532	12.4	3113
2KNIGHT CAPITAL GROUP	8,926,441	10.53	3080
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23THOMAS WEISEL PARTNERS LLC	576,619	0.68	872
24ITG, INC.	576,382	0.68	1552
25CANTOR, FITZGERALD & CO., INC.	558,026	0.66	1524

<u>NASDAQ Small Cap Market Top 25 Brokers YTD</u>	<u>Advertised Vol (000)</u>	<u>% Of Total</u>	<u># of Sec. Trd.</u>
1UBS	9,345,726	11.59	2515
2KNIGHT CAPITAL GROUP	7,692,864	9.54	2479
3MORGAN STANLEY	7,366,246	9.14	2319
4MERRILL LYNCH, PIERCE FENNER	5,479,252	6.8	2416
5E-TRADE CAPITAL MARKETS	4,403,489	5.46	1729
6CREDIT SUISSE	3,731,905	4.63	2347
7GOLDMAN SACHS GROUP	3,723,822	4.62	2191
8LEHMAN BROTHERS, INC.	3,621,515	4.49	2037
9COWEN & CO.	3,560,418	4.42	1058
10JPMORGAN	3,535,584	4.39	1936
11BANC OF AMERICA SECURITIES LLC	3,385,678	4.2	1320
12DEUTSCHE BANK SECURITIES, IN	2,966,664	3.68	1995
13BEAR STEARNS AND COMPANY	2,636,040	3.27	2036
14CITIGROUP	2,135,173	2.65	1031
15INSTINET CORPORATION	1,605,015	1.99	1809

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16JEFFERIES & COMPANY, INC.	994,028	1.23	1388
17PIPER JAFFRAY & CO.	979,654	1.22	1335
18RBC CAPITAL MARKETS	977,981	1.21	814
19WACHOVIA SECURITIES	858,290	1.06	617
20CIBC WORLD MARKETS	750,030	0.93	1655
21SUSQUEHANNA INTERNATIONAL GRP.	620,981	0.77	2019
22WEEDEN & COMPANY, INC.	614,895	0.76	1405
23ITG, INC.	569,960	0.71	1488
24THOMAS WEISEL PARTNERS LLC	568,634	0.71	845
25CANTOR, FITZGERALD & CO., INC.	528,185	0.66	1417

<b>NASDAQ 100 Top 25 Brokers YTD</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1UBS	4,035,018	11.18	100
2MORGAN STANLEY	3,430,574	9.51	95
3MERRILL LYNCH, PIERCE FENNER	3,060,214	8.48	100
4KNIGHT CAPITAL GROUP	2,352,064	6.52	100
5GOLDMAN SACHS GROUP	2,249,819	6.24	100
6E-TRADE CAPITAL MARKETS	2,198,379	6.09	100
7BANC OF AMERICA SECURITIES LLC	2,088,401	5.79	99
8JPMORGAN	1,945,732	5.39	100
9CREDIT SUISSE	1,820,953	5.05	100
10LEHMAN BROTHERS, INC.	1,431,742	3.97	100
11CITIGROUP	1,431,714	3.97	99
12BEAR STEARNS AND COMPANY	1,302,167	3.61	100
13DEUTSCHE BANK SECURITIES, IN	1,297,189	3.6	100
14COWEN & CO.	1,296,147	3.59	98
15INSTINET CORPORATION	721,640	2	100
16RBC CAPITAL MARKETS	609,120	1.69	98
17WACHOVIA SECURITIES	481,012	1.33	98
18JEFFERIES & COMPANY, INC.	262,179	0.73	100
19SUSQUEHANNA INTERNATIONAL GRP.	250,986	0.7	100
20WEEDEN & COMPANY, INC.	243,159	0.67	100
21PIPER JAFFRAY & CO.	223,459	0.62	98
22CIBC WORLD MARKETS	213,963	0.59	100
23SANFORD C. BERNSTEIN COMPANY,	197,174	0.55	100
24BNY BROKERAGE	196,494	0.54	100
25ITG, INC.	194,158	0.54	100