



March 2008

NASDAQ Breakdown

NASDAQ National Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	903,002	18.51	1391
2UBS	677,816	13.9	1374
3MORGAN STANLEY	424,330	8.7	1156
4CREDIT SUISSE	314,546	6.45	1136
5MERRILL LYNCH, PIERCE FENNER	263,472	5.4	1135
6LEHMAN BROTHERS, INC.	230,830	4.73	917
7INSTINET CORPORATION	223,357	4.58	850
8DEUTSCHE BANK SECURITIES, IN	169,569	3.48	820
9CITIGROUP	163,039	3.34	758
10GOLDMAN SACHS GROUP	124,800	2.56	919
11JPMORGAN	117,099	2.4	785
12JEFFERIES & COMPANY, INC.	103,223	2.12	421
13PIPER JAFFRAY & CO.	89,536	1.84	349
14BANC OF AMERICA SECURITIES LLC	85,881	1.76	598
15BTIG - BASS TRADING	62,338	1.28	173
16COWEN & CO.	53,441	1.1	318
17SUSQUEHANNA INTERNATIONAL GRP.	52,344	1.07	856
18BEAR STEARNS AND COMPANY	51,843	1.06	830
19RBC CAPITAL MARKETS	50,313	1.03	286
20OPPENHEIMER & CO	50,207	1.03	300
21CANTOR, FITZGERALD & CO., INC.	45,681	0.94	369
22THOMAS WEISEL PARTNERS LLC	40,162	0.82	246
23WEEDEN & COMPANY, INC.	39,844	0.82	385
24JONESTRADING INSTITUTIONAL SER	35,712	0.73	154
25ITG, INC.	35,068	0.72	419

NASDAQ Small Cap Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	329,040	51.78	550
2UBS	133,043	20.94	519
3GOLDMAN SACHS GROUP	17,771	2.8	197
4MORGAN STANLEY	17,469	2.75	290
5CREDIT SUISSE	17,009	2.68	293
6CITIGROUP	13,774	2.17	155
7MERRILL LYNCH, PIERCE FENNER	13,667	2.15	294
8INSTINET CORPORATION	11,569	1.82	110
9LEHMAN BROTHERS, INC.	8,819	1.39	154
10DEUTSCHE BANK SECURITIES, IN	6,436	1.01	121
11BEAR STEARNS AND COMPANY	4,462	0.7	122
12CANTOR, FITZGERALD & CO., INC.	4,099	0.65	56

NOTE: ** Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.
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13BTIG - BASS TRADING	3,964	0.62	16
14BANC OF AMERICA SECURITIES LLC	3,921	0.62	76
15JEFFERIES & COMPANY, INC.	3,782	0.6	48
16MERRIMAN CURHAN FORD & CO.	3,711	0.58	32
17MAXIM GROUP LLC	2,975	0.47	26
18JONESTRADING INSTITUTIONAL SER	2,893	0.46	13
19JPMORGAN	2,833	0.45	78
20RBC CAPITAL MARKETS	2,215	0.35	23
21SUSQUEHANNA INTERNATIONAL GRP.	2,182	0.34	164
22RAYMOND JAMES	2,165	0.34	32
23CRAIG-HALLUM CAPITAL GROUP	2,098	0.33	6
24OPPENHEIMER & CO	2,049	0.32	29
25ITG, INC.	1,832	0.29	16

NASDAQ 100 Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1MORGAN STANLEY	1,311,988	11.65	93
2UBS	1,270,721	11.29	93
3MERRILL LYNCH, PIERCE FENNER	940,147	8.35	93
4CITIGROUP	897,774	7.97	92
5LEHMAN BROTHERS, INC.	844,069	7.5	93
6CREDIT SUISSE	793,127	7.05	93
7KNIGHT	792,598	7.04	93
8JPMORGAN	646,613	5.74	93
9GOLDMAN SACHS GROUP	607,604	5.4	92
10INSTINET CORPORATION	487,227	4.33	93
11BANC OF AMERICA SECURITIES LLC	390,442	3.47	93
12DEUTSCHE BANK SECURITIES, IN	389,371	3.46	93
13RBC CAPITAL MARKETS	235,979	2.1	91
14BEAR STEARNS AND COMPANY	182,421	1.62	93
15JEFFERIES & COMPANY, INC.	156,914	1.39	90
16WACHOVIA SECURITIES	93,419	0.83	90
17COWEN & CO.	92,504	0.82	88
18WEEDEN & COMPANY, INC.	72,681	0.65	90
19ROBERT W. BAIRD CO. INCORPORAT	65,033	0.58	93
20PIPER JAFFRAY & CO.	63,078	0.56	84
21ITG, INC.	62,729	0.56	91
22SUSQUEHANNA INTERNATIONAL GRP.	62,701	0.56	92
23PALI CAPITAL LLC	61,491	0.55	62
24CANTOR, FITZGERALD & CO., INC.	59,877	0.53	86
25THOMAS WEISEL PARTNERS LLC	52,291	0.46	92

NASDAQ National Market	Advertised	% Of	# of
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Top 25 Brokers YTD	Vol (000)	Total	Sec. Trd.
1KNIGHT	2,839,220	18.82	1431
2UBS	2,109,906	13.99	1403
3MORGAN STANLEY	1,226,405	8.13	1303
4CREDIT SUISSE	813,361	5.39	1244
5MERRILL LYNCH, PIERCE FENNER	793,768	5.26	1271
6LEHMAN BROTHERS, INC.	670,821	4.45	985
7INSTINET CORPORATION	661,798	4.39	1073
8DEUTSCHE BANK SECURITIES, IN	503,324	3.34	955
9CITIGROUP	485,549	3.22	981
10JPMORGAN	457,134	3.03	980
11JEFFERIES & COMPANY, INC.	365,184	2.42	650
12GOLDMAN SACHS GROUP	348,832	2.31	1119
13BANC OF AMERICA SECURITIES LLC	272,863	1.81	637
14PIPER JAFFRAY & CO.	270,453	1.79	546
15BEAR STEARNS AND COMPANY	241,081	1.6	1011
16CANTOR, FITZGERALD & CO., INC.	174,592	1.16	648
17RBC CAPITAL MARKETS	168,813	1.12	379
18SUSQUEHANNA INTERNATIONAL GRP.	163,494	1.08	1033
19COWEN & CO.	162,116	1.07	407
20BTIG - BASS TRADING	156,482	1.04	326
21THOMAS WEISEL PARTNERS LLC	135,792	0.9	363
22WEEDEN & COMPANY, INC.	135,673	0.9	635
23OPPENHEIMER & CO	130,269	0.86	387
24JONESTRADING INSTITUTIONAL SER	126,485	0.84	370
25LEERINK SWANN & CO.	100,024	0.66	262

NASDAQ Small Cap Market	Advertised	% Of	# of
Top 25 Brokers YTD	Vol (000)	Total	Sec. Trd.
1KNIGHT	927,324	40.91	578
2UBS	472,847	20.86	541
3CITIGROUP	267,929	11.82	239
4MORGAN STANLEY	61,723	2.72	418
5GOLDMAN SACHS GROUP	52,343	2.31	304
6CREDIT SUISSE	46,564	2.05	366
7MERRILL LYNCH, PIERCE FENNER	42,194	1.86	428
8INSTINET CORPORATION	37,452	1.65	176
9E-TRADE CAPITAL MARKETS	36,002	1.59	77
10LEHMAN BROTHERS, INC.	30,586	1.35	192
11DEUTSCHE BANK SECURITIES, IN	29,787	1.31	179
12JEFFERIES & COMPANY, INC.	20,271	0.89	89
13CANTOR, FITZGERALD & CO., INC.	18,775	0.83	94
14BEAR STEARNS AND COMPANY	18,438	0.81	179
15JPMORGAN	15,437	0.68	131
16MAXIM GROUP LLC	12,865	0.57	61

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17JONESTRADING INSTITUTIONAL SER	12,835	0.57	40
18BANC OF AMERICA SECURITIES LLC	11,058	0.49	86
19MERRIMAN CURHAN FORD & CO.	10,113	0.45	46
20RBC CAPITAL MARKETS	9,411	0.42	34
21BTIG - BASS TRADING	9,202	0.41	36
22OPPENHEIMER & CO	8,654	0.38	45
23RAYMOND JAMES	7,266	0.32	54
24SUSQUEHANNA INTERNATIONAL GRP.	7,038	0.31	242
25ITG, INC.	5,009	0.22	35

NASDAQ 100 Top 25 Brokers YTD	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1UBS	4,300,991	11.08	93
2MORGAN STANLEY	4,279,723	11.03	93
3MERRILL LYNCH, PIERCE FENNER	3,184,239	8.21	93
4CITIGROUP	2,993,878	7.72	92
5KNIGHT	2,799,321	7.21	93
6LEHMAN BROTHERS, INC.	2,625,187	6.77	93
7CREDIT SUISSE	2,610,967	6.73	93
8JPMORGAN	2,211,208	5.7	93
9GOLDMAN SACHS GROUP	2,116,028	5.45	92
10BANC OF AMERICA SECURITIES LLC	1,753,200	4.52	93
11DEUTSCHE BANK SECURITIES, IN	1,388,102	3.58	93
12INSTINET CORPORATION	1,357,063	3.5	93
13BEAR STEARNS AND COMPANY	1,164,011	3	93
14RBC CAPITAL MARKETS	768,246	1.98	92
15JEFFERIES & COMPANY, INC.	465,379	1.2	93
16COWEN & CO.	346,877	0.89	92
17WACHOVIA SECURITIES	315,386	0.81	92
18WEEDEN & COMPANY, INC.	267,687	0.69	92
19SUSQUEHANNA INTERNATIONAL GRP.	247,341	0.64	93
20CANTOR, FITZGERALD & CO., INC.	243,361	0.63	92
21PIPER JAFFRAY & CO.	232,227	0.6	90
22SANFORD C. BERNSTEIN COMPANY,	216,951	0.56	93
23BNY CONVERGEX	192,614	0.5	93
24THOMAS WEISEL PARTNERS LLC	183,950	0.47	93
25OPPENHEIMER & CO	177,835	0.46	90