

The best route to global liquidity starts here.

October 2007

NASDAQ Breakdown

| NASDAQ National Market Top 25 Brokers | Advertised Vol (000) | % Of Total | # of Sec. Trd. |
|--|---------------------------------|-----------------------|---------------------------|
| 1KNIGHT | 484,935 | 34.67 | 619 |
| 2UBS | 330,978 | 23.67 | 584 |
| 3E-TRADE CAPITAL MARKETS | 184,542 | 13.2 | 226 |
| 4CITIGROUP | 51,900 | 3.71 | 49 |
| 5MORGAN STANLEY | 45,724 | 3.27 | 370 |
| 6GOLDMAN SACHS GROUP | 43,181 | 3.09 | 271 |
| 7MERRILL LYNCH, PIERCE FENNER | 26,900 | 1.92 | 355 |
| 8INSTINET CORPORATION | 17,933 | 1.28 | 144 |
| 9LEHMAN BROTHERS, INC. | 17,338 | 1.24 | 166 |
| 10CREDIT SUISSE | 15,010 | 1.07 | 279 |
| 11JEFFERIES & COMPANY, INC. | 13,193 | 0.94 | 78 |
| 12BANC OF AMERICA SECURITIES LLC | 10,777 | 0.77 | 98 |
| 13CANTOR, FITZGERALD & CO., INC. | 10,727 | 0.77 | 58 |
| 14DEUTSCHE BANK SECURITIES, IN | 10,080 | 0.72 | 146 |
| 15JPMORGAN | 9,770 | 0.7 | 107 |
| 16BEAR STEARNS AND COMPANY | 7,926 | 0.57 | 117 |
| 17BTIG - BASS TRADING | 6,630 | 0.47 | 24 |
| 18CIBC WORLD MARKETS | 6,409 | 0.46 | 55 |
| 19SUSQUEHANNA INTERNATIONAL GRP. | 6,005 | 0.43 | 173 |
| 20JONESTRADING INSTITUTIONAL SER | 5,905 | 0.42 | 24 |
| 21MAXIM GROUP LLC | 5,543 | 0.4 | 52 |
| 22RAYMOND JAMES | 4,622 | 0.33 | 28 |
| 23RBC CAPITAL MARKETS | 4,572 | 0.33 | 27 |
| 24MERRIMAN CURHAN FORD & CO. | 4,358 | 0.31 | 47 |
| 25COWEN & CO. | 4,223 | 0.3 | 33 |

| NASDAQ Small Cap Market Top 25 Brokers | Advertised Vol (000) | % Of Total | # of Sec. Trd. |
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| NASDAQ 100 | Advertised | % Of | # of |
|----------------------------------|-------------------------|---------------------|-------------------------|
| <u>Top 25 Brokers</u> | <u>Vol (000)</u> | <u>Total</u> | <u>Sec. Trd.</u> |
| 1UBS | 1,751,637 | 12.49 | 96 |
| 2MORGAN STANLEY | 1,383,956 | 9.87 | 96 |
| 3MERRILL LYNCH, PIERCE FENNER | 1,114,986 | 7.95 | 96 |
| 4KNIGHT | 1,075,831 | 7.67 | 96 |
| 5E-TRADE CAPITAL MARKETS | 833,588 | 5.94 | 89 |
| 6CREDIT SUISSE | 728,735 | 5.2 | 96 |
| 7GOLDMAN SACHS GROUP | 726,521 | 5.18 | 96 |
| 8CITIGROUP | 676,007 | 4.82 | 84 |
| 9LEHMAN BROTHERS, INC. | 667,467 | 4.76 | 95 |
| 10BANC OF AMERICA SECURITIES LLC | 639,691 | 4.56 | 96 |
| 11DEUTSCHE BANK SECURITIES, IN | 563,808 | 4.02 | 96 |
| 12JPMORGAN | 554,038 | 3.95 | 96 |
| 13BEAR STEARNS AND COMPANY | 471,916 | 3.37 | 96 |
| 14COWEN & CO. | 308,173 | 2.2 | 91 |
| 15INSTINET CORPORATION | 280,783 | 2 | 95 |
| 16RBC CAPITAL MARKETS | 269,421 | 1.92 | 95 |
| 17JEFFERIES & COMPANY, INC. | 228,866 | 1.63 | 94 |
| 18CIBC WORLD MARKETS | 156,471 | 1.12 | 94 |
| 19WACHOVIA SECURITIES | 147,107 | 1.05 | 93 |
| 20SUSQUEHANNA INTERNATIONAL GRP. | 136,014 | 0.97 | 96 |
| 21WEEDEN & COMPANY, INC. | 95,279 | 0.68 | 92 |
| 22SANFORD C. BERNSTEIN COMPANY, | 93,016 | 0.66 | 95 |
| 23PIPER JAFFRAY & CO. | 86,895 | 0.62 | 87 |
| 24CANTOR, FITZGERALD & CO., INC. | 73,396 | 0.52 | 85 |
| 25THOMAS WEISEL PARTNERS LLC | 71,060 | 0.51 | 86 |

| NASDAQ National Market | Advertised | % Of | # of |
|----------------------------------|-------------------------|---------------------|-------------------------|
| <u>Top 25 Brokers YTD</u> | <u>Vol (000)</u> | <u>Total</u> | <u>Sec. Trd.</u> |
| 1UBS | 31,763,774 | 11.92 | 2693 |
| 2KNIGHT | 28,137,074 | 10.56 | 2678 |
| 3MORGAN STANLEY | 25,959,934 | 9.74 | 2556 |

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|----------------------------------|------------|------|------|
| 4MERRILL LYNCH, PIERCE FENNER | 19,060,063 | 7.15 | 2608 |
| 5E-TRADE CAPITAL MARKETS | 13,690,716 | 5.14 | 2037 |
| 6LEHMAN BROTHERS, INC. | 13,020,312 | 4.89 | 2307 |
| 7CREDIT SUISSE | 12,288,825 | 4.61 | 2509 |
| 8GOLDMAN SACHS GROUP | 11,846,057 | 4.45 | 2461 |
| 9BANC OF AMERICA SECURITIES LLC | 11,401,590 | 4.28 | 1406 |
| 10JPMORGAN | 11,339,426 | 4.26 | 2284 |
| 11DEUTSCHE BANK SECURITIES, IN | 10,076,147 | 3.78 | 2221 |
| 12BEAR STEARNS AND COMPANY | 8,062,995 | 3.03 | 2434 |
| 13CITIGROUP | 7,241,329 | 2.72 | 1416 |
| 14INSTINET CORPORATION | 5,199,313 | 1.95 | 2347 |
| 15COWEN & CO. | 4,885,221 | 1.83 | 1363 |
| 16JEFFERIES & COMPANY, INC. | 4,040,497 | 1.52 | 1887 |
| 17RBC CAPITAL MARKETS | 3,818,157 | 1.43 | 1304 |
| 18PIPER JAFFRAY & CO. | 2,938,598 | 1.1 | 1743 |
| 19WACHOVIA SECURITIES | 2,726,795 | 1.02 | 868 |
| 20CIBC WORLD MARKETS | 2,443,764 | 0.92 | 1869 |
| 21SUSQUEHANNA INTERNATIONAL GRP. | 2,284,320 | 0.86 | 2285 |
| 22WEEDEN & COMPANY, INC. | 2,064,696 | 0.77 | 1893 |
| 23THOMAS WEISEL PARTNERS LLC | 1,953,083 | 0.73 | 1269 |
| 24CANTOR, FITZGERALD & CO., INC. | 1,857,582 | 0.7 | 1967 |
| 25ITG, INC. | 1,500,361 | 0.56 | 1821 |

| NASDAQ Small Cap Market Top 25 Brokers YTD | Advertised Vol (000) | % Of Total | # of Sec. Trd. |
|---|---------------------------------|-----------------------|---------------------------|
| 1KNIGHT | 3,876,310 | 32.58 | 695 |
| 2UBS | 2,895,713 | 24.34 | 667 |
| 3E-TRADE CAPITAL MARKETS | 1,391,317 | 11.69 | 407 |
| 4MORGAN STANLEY | 391,017 | 3.29 | 507 |
| 5CITIGROUP | 340,668 | 2.86 | 183 |
| 6GOLDMAN SACHS GROUP | 314,516 | 2.64 | 467 |
| 7MERRILL LYNCH, PIERCE FENNER | 274,737 | 2.31 | 608 |
| 8LEHMAN BROTHERS, INC. | 218,907 | 1.84 | 339 |
| 9CREDIT SUISSE | 195,044 | 1.64 | 502 |
| 10JEFFERIES & COMPANY, INC. | 142,036 | 1.19 | 220 |
| 11BEAR STEARNS AND COMPANY | 139,770 | 1.17 | 400 |
| 12INSTINET CORPORATION | 125,117 | 1.05 | 292 |
| 13JPMORGAN | 103,505 | 0.87 | 243 |
| 14DEUTSCHE BANK SECURITIES, IN | 98,290 | 0.83 | 275 |
| 15BANC OF AMERICA SECURITIES LLC | 97,313 | 0.82 | 121 |
| 16CANTOR, FITZGERALD & CO., INC. | 86,599 | 0.73 | 185 |
| 17J.P. TURNER & CO. | 84,379 | 0.71 | 33 |
| 18BTIG - BASS TRADING | 58,709 | 0.49 | 113 |
| 19SUSQUEHANNA INTERNATIONAL GRP. | 53,006 | 0.45 | 324 |
| 20WEEDEN & COMPANY, INC. | 52,197 | 0.44 | 136 |
| 21CIBC WORLD MARKETS | 48,748 | 0.41 | 120 |
| 22MERRIMAN CURHAN FORD & CO. | 45,122 | 0.38 | 112 |

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|----------------------------------|--------|------|-----|
| 23MAXIM GROUP LLC | 44,258 | 0.37 | 186 |
| 24JONESTRADING INSTITUTIONAL SER | 41,693 | 0.35 | 120 |
| 25RBC CAPITAL MARKETS | 40,562 | 0.34 | 73 |

| NASDAQ 100 | Advertised | % Of | # of |
|----------------------------------|-------------------------|---------------------|-------------------------|
| <u>Top 25 Brokers YTD</u> | <u>Vol (000)</u> | <u>Total</u> | <u>Sec. Trd.</u> |
| 1UBS | 13,815,758 | 11.66 | 100 |
| 2MORGAN STANLEY | 12,231,515 | 10.33 | 100 |
| 3MERRILL LYNCH, PIERCE FENNER | 10,209,666 | 8.62 | 100 |
| 4KNIGHT | 8,646,730 | 7.3 | 100 |
| 5GOLDMAN SACHS GROUP | 7,080,001 | 5.98 | 100 |
| 6BANC OF AMERICA SECURITIES LLC | 6,931,232 | 5.85 | 100 |
| 7E-TRADE CAPITAL MARKETS | 6,759,131 | 5.71 | 100 |
| 8JPMORGAN | 6,035,497 | 5.1 | 100 |
| 9CREDIT SUISSE | 5,919,815 | 5 | 100 |
| 10CITIGROUP | 5,091,852 | 4.3 | 100 |
| 11LEHMAN BROTHERS, INC. | 4,952,175 | 4.18 | 100 |
| 12DEUTSCHE BANK SECURITIES, IN | 4,437,913 | 3.75 | 100 |
| 13BEAR STEARNS AND COMPANY | 4,092,438 | 3.45 | 100 |
| 14RBC CAPITAL MARKETS | 2,210,537 | 1.87 | 99 |
| 15COWEN & CO. | 2,032,276 | 1.72 | 98 |
| 16INSTINET CORPORATION | 1,975,131 | 1.67 | 100 |
| 17WACHOVIA SECURITIES | 1,587,115 | 1.34 | 100 |
| 18JEFFERIES & COMPANY, INC. | 1,312,889 | 1.11 | 100 |
| 19SUSQUEHANNA INTERNATIONAL GRP. | 920,164 | 0.78 | 100 |
| 20CIBC WORLD MARKETS | 810,571 | 0.68 | 100 |
| 21SANFORD C. BERNSTEIN COMPANY, | 749,213 | 0.63 | 100 |
| 22WEEDEN & COMPANY, INC. | 731,024 | 0.62 | 100 |
| 23PIPER JAFFRAY & CO. | 666,116 | 0.56 | 100 |
| 24BNY BROKERAGE | 611,925 | 0.52 | 100 |
| 25ROBERT W. BAIRD CO. INCORPORAT | 561,906 | 0.47 | 95 |