

<b>NASDAQ National Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
<b>Top 25 Brokers</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Sec. Trd.</b>
1KNIGHT	1,255,118	24.68	1330
2UBS	780,056	15.34	1309
3MORGAN STANLEY	318,058	6.25	1113
4INSTINET CORPORATION	277,957	5.47	792
5CREDIT SUISSE	273,477	5.38	1025
6MERRILL LYNCH, PIERCE FENNER	220,135	4.33	1127
7CITIGROUP	198,574	3.91	759
8JPMORGAN	150,130	2.95	879
9DEUTSCHE BANK SECURITIES, IN	123,598	2.43	831
10ITG, INC.	116,753	2.3	623
11GOLDMAN SACHS GROUP	110,562	2.17	843
12JEFFERIES & COMPANY, INC.	96,226	1.89	459
13BANC OF AMERICA SECURITIES LLC	74,753	1.47	474
14PIPER JAFFRAY & CO.	73,067	1.44	312
15JONESTRADING INSTITUTIONAL SER	66,388	1.31	319
16RBC CAPITAL MARKETS	63,487	1.25	324
17CANTOR, FITZGERALD & CO., INC.	62,184	1.22	334
18BTIG - BASS TRADING	57,983	1.14	178
19COWEN & CO.	54,854	1.08	310
20THOMAS WEISEL PARTNERS LLC	51,082	1	244
21OPPENHEIMER & CO	47,644	0.94	297
22WEEDEN & COMPANY, INC.	39,735	0.78	399
23LEERINK SWANN & CO.	26,298	0.52	162
24PALI CAPITAL LLC	25,345	0.5	163
25LEHMAN BROTHERS, INC.	24,793	0.49	289

<b>NASDAQ Small Cap Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
<b>Top 25 Brokers</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Sec. Trd.</b>
1KNIGHT	199,082	37.75	554
2UBS	122,961	23.32	508
3MORGAN STANLEY	19,980	3.79	320
4MERRILL LYNCH, PIERCE FENNER	18,851	3.57	305
5CREDIT SUISSE	16,856	3.2	236
6CITIGROUP	15,724	2.98	165
7INSTINET CORPORATION	14,910	2.83	128
8GOLDMAN SACHS GROUP	12,383	2.35	195
9WEEDEN & COMPANY, INC.	9,853	1.87	26
10ITG, INC.	9,832	1.86	64
11CANTOR, FITZGERALD & CO., INC.	6,944	1.32	39
12JPMORGAN	6,495	1.23	130

13JONESTRADING INSTITUTIONAL SER	6,055	1.15	48
14BANC OF AMERICA SECURITIES LLC	5,159	0.98	50
15JEFFERIES & COMPANY, INC.	4,871	0.92	60
16DEUTSCHE BANK SECURITIES, IN	4,536	0.86	142
17CANACCORD ADAMS	4,323	0.82	11
18MAXIM GROUP LLC	3,935	0.75	49
19RBC CAPITAL MARKETS	3,568	0.68	20
20OPPENHEIMER & CO	2,701	0.51	40
21HUDSON SECURITIES	2,664	0.51	23
22PALI CAPITAL LLC	2,534	0.48	16
23CRAIG-HALLUM CAPITAL GROUP	2,438	0.46	7
24BTIG - BASS TRADING	2,240	0.42	11
25ROTH CAPITAL PARTNERS, LLC	1,987	0.38	12

<b>NASDAQ 100 Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	2,190,993	13.78	89
2UBS	1,878,997	11.82	89
3CITIGROUP	1,284,313	8.08	88
4MORGAN STANLEY	1,219,929	7.67	88
5MERRILL LYNCH, PIERCE FENNER	1,198,206	7.54	89
6CREDIT SUISSE	1,025,065	6.45	89
7JPMORGAN	946,307	5.95	89
8INSTINET CORPORATION	921,768	5.8	89
9GOLDMAN SACHS GROUP	724,017	4.55	89
10BANC OF AMERICA SECURITIES LLC	649,598	4.09	88
11DEUTSCHE BANK SECURITIES, IN	584,276	3.67	89
12ITG, INC.	385,810	2.43	89
13RBC CAPITAL MARKETS	355,776	2.24	89
14JEFFERIES & COMPANY, INC.	236,651	1.49	87
15MAXIM GROUP LLC	186,935	1.18	81
16LEHMAN BROTHERS, INC.	182,016	1.14	89
17ROBERT W. BAIRD CO. INCORPORAT	168,004	1.06	88
18COWEN & CO.	127,331	0.8	87
19SANFORD C. BERNSTEIN COMPANY,	109,645	0.69	87
20BNY CONVERGEX	100,779	0.63	89
21WEEDEN & COMPANY, INC.	97,858	0.62	89
22THOMAS WEISEL PARTNERS LLC	97,061	0.61	83
23OPPENHEIMER & CO	96,943	0.61	83
24WACHOVIA SECURITIES	96,859	0.61	63
25BTIG - BASS TRADING	90,128	0.57	81

<b>NASDAQ National Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
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2

NOTE: \*\* Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

## Indicates a broker with certification pending.

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<b>Top 25 Brokers YTD</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Sec. Trd.</b>
1KNIGHT	10,026,422	20.7	1478
2UBS	6,904,974	14.26	1452
3MORGAN STANLEY	3,901,743	8.06	1407
4CREDIT SUISSE	2,705,239	5.59	1325
5MERRILL LYNCH, PIERCE FENNER	2,387,765	4.93	1399
6INSTINET CORPORATION	2,124,826	4.39	1229
7CITIGROUP	1,825,329	3.77	1189
8LEHMAN BROTHERS, INC.	1,681,047	3.47	1085
9DEUTSCHE BANK SECURITIES, IN	1,456,831	3.01	1068
10JPMORGAN	1,395,226	2.88	1154
11GOLDMAN SACHS GROUP	1,084,112	2.24	1282
12JEFFERIES & COMPANY, INC.	1,029,756	2.13	865
13PIPER JAFFRAY & CO.	816,544	1.69	724
14BANC OF AMERICA SECURITIES LLC	778,104	1.61	902
15ITG, INC.	612,692	1.27	910
16CANTOR, FITZGERALD & CO., INC.	572,812	1.18	937
17COWEN & CO.	528,023	1.09	566
18RBC CAPITAL MARKETS	491,889	1.02	523
19BTIG - BASS TRADING	483,360	1	612
20JONESTRADING INSTITUTIONAL SER	446,073	0.92	732
21OPPENHEIMER & CO	434,432	0.9	505
22THOMAS WEISEL PARTNERS LLC	429,906	0.89	541
23WEEDEN & COMPANY, INC.	414,991	0.86	826
24SUSQUEHANNA INTERNATIONAL GRP.	387,470	0.8	1148
25LEERINK SWANN & CO.	278,299	0.57	319

<b>NASDAQ Small Cap Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
<b>Top 25 Brokers YTD</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Sec. Trd.</b>
1KNIGHT	1,849,244	36	622
2UBS	1,234,226	24.02	577
3MORGAN STANLEY	219,641	4.28	523
4CREDIT SUISSE	180,880	3.52	436
5MERRILL LYNCH, PIERCE FENNER	180,036	3.5	515
6CITIGROUP	158,871	3.09	351
7INSTINET CORPORATION	128,621	2.5	259
8GOLDMAN SACHS GROUP	119,729	2.33	400
9CANTOR, FITZGERALD & CO., INC.	70,027	1.36	159
10LEHMAN BROTHERS, INC.	69,072	1.34	243
11JPMORGAN	65,452	1.27	248
12DEUTSCHE BANK SECURITIES, IN	60,529	1.18	233
13JEFFERIES & COMPANY, INC.	59,450	1.16	151
14JONESTRADING INSTITUTIONAL SER	49,292	0.96	114
15BANC OF AMERICA SECURITIES LLC	42,975	0.84	156
16RBC CAPITAL MARKETS	42,377	0.82	50

3

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17BTIG - BASS TRADING	41,021	0.8	88
18ITG, INC.	33,990	0.66	128
19MERRIMAN CURHAN FORD & CO.	32,589	0.63	80
20HUDSON SECURITIES	28,598	0.56	139
21BEAR STEARNS AND COMPANY	25,798	0.5	185
22WEEDEN & COMPANY, INC.	25,410	0.49	91
23PALI CAPITAL LLC	23,633	0.46	51
24MAXIM GROUP LLC	23,594	0.46	129
25CRAIG-HALLUM CAPITAL GROUP	23,408	0.46	8

<b>NASDAQ 100 Top 25 Brokers YTD</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1UBS	13,233,356	11.07	94
2MORGAN STANLEY	12,597,567	10.54	93
3KNIGHT	10,586,840	8.86	93
4MERRILL LYNCH, PIERCE FENNER	10,086,853	8.44	93
5CITIGROUP	9,094,073	7.61	92
6CREDIT SUISSE	7,455,535	6.24	93
7JPMORGAN	6,990,988	5.85	93
8LEHMAN BROTHERS, INC.	6,334,856	5.3	93
9GOLDMAN SACHS GROUP	6,089,062	5.09	93
10BANC OF AMERICA SECURITIES LLC	5,196,389	4.35	93
11INSTINET CORPORATION	5,005,894	4.19	93
12DEUTSCHE BANK SECURITIES, IN	4,380,054	3.66	93
13RBC CAPITAL MARKETS	2,474,545	2.07	93
14JEFFERIES & COMPANY, INC.	1,947,850	1.63	93
15ITG, INC.	1,496,035	1.25	93
16BEAR STEARNS AND COMPANY	1,206,612	1.01	93
17COWEN & CO.	1,046,807	0.88	92
18WACHOVIA SECURITIES	987,926	0.83	93
19PIPER JAFFRAY & CO.	809,121	0.68	93
20ROBERT W. BAIRD CO. INCORPORAT	804,820	0.67	93
21WEEDEN & COMPANY, INC.	751,906	0.63	93
22SANFORD C. BERNSTEIN COMPANY,	740,573	0.62	93
23THOMAS WEISEL PARTNERS LLC	704,093	0.59	93
24OPPENHEIMER & CO	655,955	0.55	92
25SUSQUEHANNA INTERNATIONAL GRP.	628,236	0.53	93

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