

The best route to global liquidity starts here.

September 2005

NASDAQ Breakdown

NASDAQ National Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1UBS	3,650,894	9.94	2658
2KNIGHT EQUITY MARKETS	2,940,021	8	2736
3MERRILL LYNCH, PIERCE FENNER	2,772,854	7.55	2259
4CITIGROUP	2,000,471	5.44	1508
5MORGAN STANLEY	1,892,499	5.15	2155
6CREDIT SUISSE FIRST BOSTON	1,795,255	4.89	2102
7BEAR STEARNS AND COMPANY	1,423,356	3.87	951
8JPMORGAN	1,306,230	3.56	2260
9DEUTSCHE BANK SECURITIES, IN	1,301,598	3.54	1554
10GOLDMAN SACHS GROUP	1,140,848	3.1	2215
11E-TRADE CAPITAL MARKETS	1,090,500	2.97	1316
12PRUDENTIAL SECURITIES, INC.	1,086,796	2.96	969
13FIDELITY CAPITAL MARKETS	1,010,803	2.75	2178
14LEHMAN BROTHERS, INC.	946,569	2.58	1049
15CIBC WORLD MARKETS	894,107	2.43	1679
16SG COWEN SECURITIES CORP.	858,592	2.34	1166
17RBC CAPITAL MARKETS	790,297	2.15	753
18PIPER JAFFRAY & CO.	654,592	1.78	879
19BANC OF AMERICA SECURITIES LLC	649,728	1.77	865
20THOMAS WEISEL PARTNERS LLC	644,343	1.75	613
21FIRST ALBANY CORPORATION	623,144	1.7	515
22JEFFERIES & COMPANY, INC.	470,901	1.28	1163
23NEEDHAM & COMPANY	458,705	1.25	465
24INSTINET CORPORATION	420,503	1.14	1319
25WACHOVIA SECURITIES	419,674	1.14	598

NASDAQ Small Cap Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT EQUITY MARKETS	338,240	27.07	511
2UBS	304,013	24.33	454
3E-TRADE CAPITAL MARKETS	108,555	8.69	169
4FIDELITY CAPITAL MARKETS	81,577	6.53	291
5TD WATERHOUSE CAPITAL MARKETS	51,857	4.15	113
6MERRILL LYNCH, PIERCE FENNER	27,200	2.18	269
7MORGAN STANLEY	21,891	1.75	188
8BEAR STEARNS AND COMPANY	19,660	1.57	71
9OPPENHEIMER & CO	18,079	1.45	62
10CITIGROUP	18,024	1.44	92
11CREDIT SUISSE FIRST BOSTON	17,395	1.39	211
12JPMORGAN	17,005	1.36	282

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13SG COWEN SECURITIES CORP.	15,618	1.25	41
14GOLDMAN SACHS GROUP	14,501	1.16	237
15DEUTSCHE BANK SECURITIES, IN	14,081	1.13	59
16HUDSON SECURITIES	13,244	1.06	188
17INSTINET CORPORATION	10,864	0.87	78
18WEEDEN & COMPANY, INC.	10,099	0.81	21
19CIBC WORLD MARKETS	9,813	0.79	66
20LEHMAN BROTHERS, INC.	9,532	0.76	28
21JEFFERIES & COMPANY, INC.	6,876	0.55	82
22PRUDENTIAL SECURITIES, INC.	6,846	0.55	20
23WEDBUSH MORGAN SECURITIES	6,761	0.54	9
24FIRST ALBANY CORPORATION	5,994	0.48	13
25LEERINK SWANN & CO.	5,456	0.44	8

NASDAQ 100 Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1MERRILL LYNCH, PIERCE FENNER	1,840,050	10.92	93
2UBS	1,401,759	8.32	93
3CITIGROUP	1,110,029	6.59	87
4CREDIT SUISSE FIRST BOSTON	978,574	5.81	93
5MORGAN STANLEY	852,441	5.06	89
6BEAR STEARNS AND COMPANY	822,228	4.88	93
7DEUTSCHE BANK SECURITIES, IN	745,389	4.42	93
8KNIGHT EQUITY MARKETS	716,961	4.25	93
9JPMORGAN	704,339	4.18	93
10GOLDMAN SACHS GROUP	699,952	4.15	93
11PRUDENTIAL SECURITIES, INC.	543,771	3.23	92
12LEHMAN BROTHERS, INC.	456,336	2.71	92
13BANC OF AMERICA SECURITIES LLC	412,269	2.45	91
14RBC CAPITAL MARKETS	406,618	2.41	93
15E-TRADE CAPITAL MARKETS	400,873	2.38	89
16FIDELITY CAPITAL MARKETS	344,676	2.05	86
17CIBC WORLD MARKETS	341,324	2.03	92
18SG COWEN SECURITIES CORP.	340,317	2.02	93
19THOMAS WEISEL PARTNERS LLC	246,739	1.46	83
20FIRST ALBANY CORPORATION	229,606	1.36	71
21PIPER JAFFRAY & CO.	219,107	1.3	90
22PACIFIC CREST SECURITIES	218,992	1.3	48
23ROBERT W. BAIRD CO. INCORPORAT	218,701	1.3	86
24WACHOVIA SECURITIES	218,584	1.3	85
25NEEDHAM & COMPANY	211,542	1.26	58

NASDAQ National Market	Advertised	% Of	# of
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NOTE: ** Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

Indicates a broker with certification pending.

Visit the Sales and Trading Group web site for more information on reporting rules.

<http://www.salesandtradinggroup.com>

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<u>Top 25 Brokers YTD</u>	<u>Vol (000)</u>	<u>Total</u>	<u>Sec. Trd.</u>
1UBS	31,665,949	9.47	2923
2KNIGHT EQUITY MARKETS	26,606,753	7.96	2950
3MERRILL LYNCH, PIERCE FENNER	20,632,553	6.17	2650
4CITIGROUP	20,530,188	6.14	1973
5MORGAN STANLEY	18,094,347	5.41	2581
6CREDIT SUISSE FIRST BOSTON	16,170,976	4.84	2582
7GOLDMAN SACHS GROUP	13,354,420	3.99	2637
8BEAR STEARNS AND COMPANY	12,854,929	3.85	1560
9PRUDENTIAL SECURITIES, INC.	11,099,755	3.32	1088
10SG COWEN SECURITIES CORP.	10,253,901	3.07	1468
11LEHMAN BROTHERS, INC.	10,203,087	3.05	1482
12JPMORGAN	9,954,633	2.98	2743
13E-TRADE CAPITAL MARKETS	9,868,022	2.95	2274
14FIDELITY CAPITAL MARKETS	9,425,450	2.82	2641
15DEUTSCHE BANK SECURITIES, IN	8,553,859	2.56	1883
16RBC CAPITAL MARKETS	7,417,001	2.22	1131
17FIRST ALBANY CORPORATION	6,918,926	2.07	597
18CIBC WORLD MARKETS	6,433,681	1.92	1917
19PIPER JAFFRAY & CO.	6,067,899	1.82	1774
20THOMAS WEISEL PARTNERS LLC	5,993,481	1.79	1134
21JEFFERIES & COMPANY, INC.	5,918,551	1.77	2108
22BANC OF AMERICA SECURITIES LLC	4,806,928	1.44	945
23INSTINET CORPORATION	4,697,452	1.41	2339
24WACHOVIA SECURITIES	3,938,533	1.18	735
25NEEDHAM & COMPANY	3,776,644	1.13	527

<u>NASDAQ Small Cap Market</u> <u>Top 25 Brokers YTD</u>	<u>Advertised</u> <u>Vol (000)</u>	<u>% Of</u> <u>Total</u>	<u># of</u> <u>Sec. Trd.</u>
1KNIGHT EQUITY MARKETS	4,386,030	33.48	578
2UBS	3,120,097	23.82	558
3E-TRADE CAPITAL MARKETS	1,073,940	8.2	338
4FIDELITY CAPITAL MARKETS	656,839	5.01	401
5TD WATERHOUSE CAPITAL MARKETS	338,070	2.58	127
6MERRILL LYNCH, PIERCE FENNER	324,423	2.48	381
7CITIGROUP	234,257	1.79	169
8GOLDMAN SACHS GROUP	183,509	1.4	386
9MORGAN STANLEY	179,909	1.37	349
10BEAR STEARNS AND COMPANY	170,639	1.3	110
11CREDIT SUISSE FIRST BOSTON	167,863	1.28	346
12JPMORGAN	166,761	1.27	467
13HUDSON SECURITIES	164,029	1.25	297
14SG COWEN SECURITIES CORP.	138,260	1.06	83
15OPPENHEIMER & CO	124,494	0.95	86

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16JEFFERIES & COMPANY, INC.	122,109	0.93	209
17DEUTSCHE BANK SECURITIES, IN	119,965	0.92	129
18PRUDENTIAL SECURITIES, INC.	115,031	0.88	26
19FIRST ALBANY CORPORATION	110,010	0.84	17
20INSTINET CORPORATION	97,744	0.75	199
21RBC CAPITAL MARKETS	76,070	0.58	45
22CANTOR, FITZGERALD & CO., INC.	69,476	0.53	112
23CIBC WORLD MARKETS	53,950	0.41	85
24LEHMAN BROTHERS, INC.	53,431	0.41	49
25RAYMOND, JAMES & ASSOC. INC.	49,062	0.37	316

NASDAQ 100	Advertised	% Of	# of
Top 25 Brokers YTD	Vol (000)	Total	Sec. Trd.
1UBS	12,546,691	8.14	97
2MERRILL LYNCH, PIERCE FENNER	12,244,603	7.94	97
3CITIGROUP	11,182,993	7.25	97
4CREDIT SUISSE FIRST BOSTON	9,385,195	6.09	97
5MORGAN STANLEY	8,939,817	5.8	97
6GOLDMAN SACHS GROUP	8,845,147	5.74	97
7BEAR STEARNS AND COMPANY	7,962,499	5.17	97
8LEHMAN BROTHERS, INC.	6,175,132	4.01	96
9KNIGHT EQUITY MARKETS	6,166,111	4	97
10PRUDENTIAL SECURITIES, INC.	5,548,779	3.6	95
11JPMORGAN	5,153,096	3.34	97
12DEUTSCHE BANK SECURITIES, IN	4,285,076	2.78	97
13SG COWEN SECURITIES CORP.	4,137,695	2.68	97
14RBC CAPITAL MARKETS	3,715,771	2.41	97
15E-TRADE CAPITAL MARKETS	3,711,413	2.41	97
16FIDELITY CAPITAL MARKETS	3,377,022	2.19	96
17FIRST ALBANY CORPORATION	2,938,357	1.91	76
18CIBC WORLD MARKETS	2,747,958	1.78	97
19BANC OF AMERICA SECURITIES LLC	2,747,732	1.78	95
20THOMAS WEISEL PARTNERS LLC	2,254,148	1.46	95
21WACHOVIA SECURITIES	2,153,176	1.4	90
22PIPER JAFFRAY & CO.	2,136,477	1.39	96
23JEFFERIES & COMPANY, INC.	2,063,397	1.34	97
24PACIFIC CREST SECURITIES	2,037,918	1.32	69
25TD WATERHOUSE CAPITAL MARKETS	1,902,541	1.23	96