

The best route to global liquidity starts here.

September 2007

NASDAQ Breakdown

NASDAQ National Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	2,614,181	11.89	2447
2UBS	2,576,281	11.71	2427
3MORGAN STANLEY	2,240,114	10.19	2156
4MERRILL LYNCH, PIERCE FENNER	1,521,798	6.92	2145
5LEHMAN BROTHERS, INC.	1,100,225	5	1815
6BANC OF AMERICA SECURITIES LLC	1,021,833	4.65	1261
7E-TRADE CAPITAL MARKETS	1,005,162	4.57	1164
8CREDIT SUISSE	979,157	4.45	2116
9GOLDMAN SACHS GROUP	974,327	4.43	1966
10JPMORGAN	849,852	3.86	1551
11CITIGROUP	681,694	3.1	393
12DEUTSCHE BANK SECURITIES, IN	677,922	3.08	1755
13BEAR STEARNS AND COMPANY	578,974	2.63	1727
14COWEN & CO.	448,044	2.04	756
15INSTINET CORPORATION	412,083	1.87	1696
16RBC CAPITAL MARKETS	405,575	1.84	775
17JEFFERIES & COMPANY, INC.	375,038	1.71	944
18WACHOVIA SECURITIES	241,744	1.1	585
19PIPER JAFFRAY & CO.	223,176	1.01	865
20SUSQUEHANNA INTERNATIONAL GRP.	204,595	0.93	1748
21WEEDEN & COMPANY, INC.	180,624	0.82	1167
22CIBC WORLD MARKETS	170,095	0.77	1420
23THOMAS WEISEL PARTNERS LLC	157,344	0.72	562
24CANTOR, FITZGERALD & CO., INC.	154,185	0.7	791
25ROBERT W. BAIRD CO. INCORPORAT	99,446	0.45	366

NASDAQ Small Cap Market Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1KNIGHT	286,943	34.76	617
2UBS	183,348	22.21	568
3E-TRADE CAPITAL MARKETS	89,306	10.82	199
4MORGAN STANLEY	31,295	3.79	332
5GOLDMAN SACHS GROUP	25,644	3.11	237
6MERRILL LYNCH, PIERCE FENNER	20,698	2.51	336
7CITIGROUP	17,013	2.06	45
8LEHMAN BROTHERS, INC.	16,741	2.03	167
9JEFFERIES & COMPANY, INC.	14,662	1.78	60
10BTIG - BASS TRADING	12,280	1.49	22
11CREDIT SUISSE	10,588	1.28	287
12INSTINET CORPORATION	9,486	1.15	130

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13JONESTRADING INSTITUTIONAL SER	6,622	0.8	25
14BANC OF AMERICA SECURITIES LLC	6,360	0.77	96
15DEUTSCHE BANK SECURITIES, IN	5,964	0.72	131
16CRAIG-HALLUM CAPITAL GROUP	5,596	0.68	7
17JPMORGAN	5,214	0.63	94
18BEAR STEARNS AND COMPANY	4,673	0.57	121
19MAXIM GROUP LLC	4,665	0.57	46
20CANTOR, FITZGERALD & CO., INC.	4,136	0.5	46
21MERRIMAN CURHAN FORD & CO.	4,063	0.49	35
22COWEN & CO.	3,855	0.47	28
23SUSQUEHANNA INTERNATIONAL GRP.	3,405	0.41	199
24LABRANCHE FINANCIAL SERVICES	3,273	0.4	11
25RBC CAPITAL MARKETS	2,779	0.34	26

NASDAQ 100 Top 25 Brokers	Advertised Vol (000)	% Of Total	# of Sec. Trd.
1UBS	1,072,544	11.11	97
2MORGAN STANLEY	1,031,306	10.68	96
3KNIGHT	842,687	8.73	97
4MERRILL LYNCH, PIERCE FENNER	810,636	8.4	97
5E-TRADE CAPITAL MARKETS	553,348	5.73	90
6GOLDMAN SACHS GROUP	547,904	5.68	96
7BANC OF AMERICA SECURITIES LLC	539,557	5.59	96
8JPMORGAN	510,413	5.29	97
9CREDIT SUISSE	510,223	5.29	97
10LEHMAN BROTHERS, INC.	386,564	4	96
11CITIGROUP	337,410	3.5	78
12BEAR STEARNS AND COMPANY	299,135	3.1	97
13DEUTSCHE BANK SECURITIES, IN	298,814	3.1	97
14RBC CAPITAL MARKETS	234,673	2.43	94
15COWEN & CO.	212,696	2.2	92
16INSTINET CORPORATION	140,732	1.46	95
17JEFFERIES & COMPANY, INC.	136,431	1.41	91
18WACHOVIA SECURITIES	111,006	1.15	95
19SUSQUEHANNA INTERNATIONAL GRP.	94,628	0.98	97
20CIBC WORLD MARKETS	64,607	0.67	97
21WEEDEN & COMPANY, INC.	64,419	0.67	95
22PIPER JAFFRAY & CO.	58,476	0.61	91
23SANFORD C. BERNSTEIN COMPANY,	50,879	0.53	96
24BNY BROKERAGE	43,484	0.45	97
25CANTOR, FITZGERALD & CO., INC.	41,642	0.43	82

NASDAQ National Market	Advertised	% Of	# of
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NOTE: ** Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

Indicates a broker with certification pending.

Visit the Sales and Trading Group web site for more information on reporting rules.

<http://www.salesandtradinggroup.com>

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<u>Top 25 Brokers YTD</u>	<u>Vol (000)</u>	<u>Total</u>	<u>Sec. Trd.</u>
1UBS	28,104,454	11.82	2675
2KNIGHT	24,837,189	10.45	2657
3MORGAN STANLEY	23,270,702	9.79	2524
4MERRILL LYNCH, PIERCE FENNER	17,077,836	7.18	2586
5E-TRADE CAPITAL MARKETS	12,198,871	5.13	1989
6LEHMAN BROTHERS, INC.	11,524,941	4.85	2289
7CREDIT SUISSE	11,014,775	4.63	2491
8GOLDMAN SACHS GROUP	10,722,894	4.51	2430
9BANC OF AMERICA SECURITIES LLC	10,529,028	4.43	1400
10JPMORGAN	10,324,356	4.34	2263
11DEUTSCHE BANK SECURITIES, IN	8,985,428	3.78	2199
12BEAR STEARNS AND COMPANY	7,256,145	3.05	2415
13CITIGROUP	6,467,018	2.72	1387
14INSTINET CORPORATION	4,520,941	1.9	2313
15COWEN & CO.	4,241,674	1.78	1332
16JEFFERIES & COMPANY, INC.	3,466,117	1.46	1842
17RBC CAPITAL MARKETS	3,328,460	1.4	1280
18PIPER JAFFRAY & CO.	2,598,589	1.09	1691
19WACHOVIA SECURITIES	2,499,523	1.05	842
20CIBC WORLD MARKETS	2,118,830	0.89	1847
21SUSQUEHANNA INTERNATIONAL GRP.	1,985,918	0.84	2255
22WEEDEN & COMPANY, INC.	1,819,562	0.77	1861
23THOMAS WEISEL PARTNERS LLC	1,738,670	0.73	1214
24CANTOR, FITZGERALD & CO., INC.	1,624,227	0.68	1909
25ITG, INC.	1,406,338	0.59	1802

<u>NASDAQ Small Cap Market</u> <u>Top 25 Brokers YTD</u>	<u>Advertised</u> <u>Vol (000)</u>	<u>% Of</u> <u>Total</u>	<u># of</u> <u>Sec. Trd.</u>
1KNIGHT	3,377,004	32.26	685
2UBS	2,553,713	24.4	659
3E-TRADE CAPITAL MARKETS	1,201,376	11.48	401
4MORGAN STANLEY	344,964	3.3	487-
5CITIGROUP	288,707	2.76	173
6GOLDMAN SACHS GROUP	270,502	2.58	459
7MERRILL LYNCH, PIERCE FENNER	247,693	2.37	599
8LEHMAN BROTHERS, INC.	201,530	1.93	327
9CREDIT SUISSE	179,887	1.72	497
10BEAR STEARNS AND COMPANY	131,845	1.26	398
11JEFFERIES & COMPANY, INC.	128,843	1.23	207
12INSTINET CORPORATION	107,184	1.02	277
13JPMORGAN	93,593	0.89	228
14DEUTSCHE BANK SECURITIES, IN	88,205	0.84	269
15BANC OF AMERICA SECURITIES LLC	86,386	0.83	118

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16J.P. TURNER & CO.	84,379	0.81	33-
17CANTOR, FITZGERALD & CO., INC.	75,872	0.72	180
18BTIG - BASS TRADING	52,079	0.5	109
19WEEDEN & COMPANY, INC.	49,421	0.47	132
20SUSQUEHANNA INTERNATIONAL GRP.	47,001	0.45	313
21CIBC WORLD MARKETS	42,339	0.4	115
22MERRIMAN CURHAN FORD & CO.	40,764	0.39	98
23MAXIM GROUP LLC	38,715	0.37	182-
24CRAIG-HALLUM CAPITAL GROUP	36,283	0.35	12
25RBC CAPITAL MARKETS	35,990	0.34	71

NASDAQ 100	Advertised	% Of	# of
Top 25 Brokers YTD	Vol (000)	Total	Sec. Trd.
1UBS	12,064,121	11.55	100
2MORGAN STANLEY	10,847,559	10.39	100
3MERRILL LYNCH, PIERCE FENNER	9,094,681	8.71	100
4KNIGHT	7,570,899	7.25	100
5GOLDMAN SACHS GROUP	6,353,481	6.08	100
6BANC OF AMERICA SECURITIES LLC	6,291,541	6.02	100
7E-TRADE CAPITAL MARKETS	5,925,543	5.67	100
8JPMORGAN	5,481,458	5.25	100
9CREDIT SUISSE	5,191,079	4.97	100
10CITIGROUP	4,415,845	4.23	100
11LEHMAN BROTHERS, INC.	4,284,707	4.1	100
12DEUTSCHE BANK SECURITIES, IN	3,874,105	3.71	100
13BEAR STEARNS AND COMPANY	3,620,522	3.47	100
14RBC CAPITAL MARKETS	1,941,116	1.86	99
15COWEN & CO.	1,724,103	1.65	98
16INSTINET CORPORATION	1,694,347	1.62	100
17WACHOVIA SECURITIES	1,440,008	1.38	100
18JEFFERIES & COMPANY, INC.	1,084,023	1.04	100
19SUSQUEHANNA INTERNATIONAL GRP.	784,150	0.75	100
20SANFORD C. BERNSTEIN COMPANY,	656,197	0.63	100
21CIBC WORLD MARKETS	654,100	0.63	100
22WEEDEN & COMPANY, INC.	635,746	0.61	100
23PIPER JAFFRAY & CO.	579,221	0.55	100
24BNY BROKERAGE	547,345	0.52	100
25ROBERT W. BAIRD CO. INCORPORAT	493,302	0.47	95