

Sept 2008

NASDAQ Breakdown

<b>NASDAQ National Market Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	1,050,450	22.06	1328
2UBS	660,957	13.88	1325
3MORGAN STANLEY	397,837	8.35	1138
4CREDIT SUISSE	257,689	5.41	1042
5MERRILL LYNCH, PIERCE FENNER	226,174	4.75	1085
6INSTINET CORPORATION	218,330	4.58	813
7JPMORGAN	167,948	3.53	886
8CITIGROUP	160,764	3.38	722
9DEUTSCHE BANK SECURITIES, IN	158,780	3.33	843
10GOLDMAN SACHS GROUP	123,786	2.6	869
11ITG, INC.	112,012	2.35	600
12JEFFERIES & COMPANY, INC.	89,270	1.87	390
13BANC OF AMERICA SECURITIES LLC	79,097	1.66	551
14PIPER JAFFRAY & CO.	75,427	1.58	307
15JONESTRADING INSTITUTIONAL SER	55,505	1.17	287
16CANTOR, FITZGERALD & CO., INC.	53,769	1.13	312
17LEHMAN BROTHERS, INC.	53,552	1.12	722
18OPPENHEIMER & CO	53,017	1.11	284
19RAYMOND JAMES	51,137	1.07	250
20RBC CAPITAL MARKETS	49,089	1.03	316
21BTIG - BASS TRADING	48,767	1.02	209
22COWEN & CO.	46,397	0.97	282
23WEEDEN & COMPANY, INC.	40,912	0.86	381
24THOMAS WEISEL PARTNERS LLC	40,115	0.84	233
25PALI CAPITAL LLC	28,605	0.6	156

<b>NASDAQ Small Cap Market Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1KNIGHT	195,703	38.2	542
2UBS	116,345	22.71	504
3MORGAN STANLEY	24,783	4.84	313
4MERRILL LYNCH, PIERCE FENNER	19,498	3.81	273
5GOLDMAN SACHS GROUP	13,877	2.71	189
6INSTINET CORPORATION	13,775	2.69	118
7CREDIT SUISSE	13,162	2.57	255
8CITIGROUP	10,996	2.15	125
9JPMORGAN	9,715	1.9	135
10HUDSON SECURITIES	9,276	1.81	25
11CANTOR, FITZGERALD & CO., INC.	7,653	1.49	31
12JEFFERIES & COMPANY, INC.	7,638	1.49	40

NOTE: \*\* Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

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13DEUTSCHE BANK SECURITIES, IN	6,711	1.31	136
14MAXIM GROUP LLC	5,686	1.11	48
15ITG, INC.	4,432	0.87	56
16BANC OF AMERICA SECURITIES LLC	4,261	0.83	68
17RAYMOND JAMES	3,985	0.78	27
18STIFEL NICOLAUS CAPITAL MARKET	3,894	0.76	106
19STANFORD GROUP COMPANY	3,122	0.61	3
20MERRIMAN CURHAN FORD & CO.	2,869	0.56	29
21JONESTRADING INSTITUTIONAL SER	2,587	0.5	30
22OPPENHEIMER & CO	2,582	0.5	41
23RBC CAPITAL MARKETS	2,531	0.49	20
24LEHMAN BROTHERS, INC.	2,037	0.4	99
25BTIG - BASS TRADING	1,764	0.34	28

<b>NASDAQ 100 Top 25 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1UBS	1,333,767	10.77	89
2MORGAN STANLEY	1,330,205	10.74	89
3KNIGHT	1,275,057	10.29	89
4MERRILL LYNCH, PIERCE FENNER	1,031,311	8.33	89
5CITIGROUP	835,158	6.74	88
6JPMORGAN	784,918	6.34	89
7CREDIT SUISSE	781,487	6.31	89
8BANC OF AMERICA SECURITIES LLC	611,958	4.94	89
9INSTINET CORPORATION	566,007	4.57	89
10GOLDMAN SACHS GROUP	531,589	4.29	89
11DEUTSCHE BANK SECURITIES, IN	422,592	3.41	89
12LEHMAN BROTHERS, INC.	332,650	2.69	89
13RBC CAPITAL MARKETS	300,787	2.43	89
14ITG, INC.	269,814	2.18	88
15JEFFERIES & COMPANY, INC.	242,538	1.96	87
16MAXIM GROUP LLC	135,545	1.09	81
17WACHOVIA SECURITIES	115,884	0.94	84
18COWEN & CO.	110,961	0.9	87
19SUSQUEHANNA INTERNATIONAL GRP.	107,498	0.87	87
20ROBERT W. BAIRD CO. INCORPORAT	107,491	0.87	89
21PIPER JAFFRAY & CO.	94,566	0.76	85
22WEEDEN & COMPANY, INC.	80,781	0.65	89
23SANFORD C. BERNSTEIN COMPANY,	78,101	0.63	85
24CANTOR, FITZGERALD & CO., INC.	75,297	0.61	82
25THOMAS WEISEL PARTNERS LLC	73,791	0.6	88

<b>NASDAQ National Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
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<b>Top 25 Brokers YTD</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Sec. Trd.</b>
1KNIGHT	8,874,248	20.37	1476
2UBS	6,204,864	14.24	1453
3MORGAN STANLEY	3,595,473	8.25	1402
4CREDIT SUISSE	2,438,204	5.6	1325
5MERRILL LYNCH, PIERCE FENNER	2,179,574	5	1396
6INSTINET CORPORATION	1,853,335	4.25	1224
7LEHMAN BROTHERS, INC.	1,660,197	3.81	1083
8CITIGROUP	1,644,702	3.77	1177
9DEUTSCHE BANK SECURITIES, IN	1,334,855	3.06	1068
10JPMORGAN	1,248,001	2.86	1137
11GOLDMAN SACHS GROUP	997,735	2.29	1279
12JEFFERIES & COMPANY, INC.	934,676	2.15	845
13PIPER JAFFRAY & CO.	743,497	1.71	714
14BANC OF AMERICA SECURITIES LLC	703,702	1.62	900
15CANTOR, FITZGERALD & CO., INC.	510,225	1.17	914
16ITG, INC.	496,184	1.14	873
17COWEN & CO.	473,233	1.09	558
18RBC CAPITAL MARKETS	428,891	0.98	510
19BTIG - BASS TRADING	426,586	0.98	594
20OPPENHEIMER & CO	387,158	0.89	497
21JONESTRADING INSTITUTIONAL SER	379,827	0.87	685
22THOMAS WEISEL PARTNERS LLC	378,825	0.87	518
23WEEDEN & COMPANY, INC.	376,623	0.86	812
24SUSQUEHANNA INTERNATIONAL GRP.	363,008	0.83	1141
25BEAR STEARNS AND COMPANY	266,964	0.61	1054

<b>NASDAQ Small Cap Market</b>	<b>Advertised</b>	<b>% Of</b>	<b># of</b>
<b>Top 25 Brokers YTD</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Sec. Trd.</b>
1KNIGHT	1,693,746	36.04	619
2UBS	1,137,176	24.2	576
3MORGAN STANLEY	201,700	4.29	517
4CREDIT SUISSE	166,155	3.54	433
5MERRILL LYNCH, PIERCE FENNER	161,518	3.44	513
6CITIGROUP	148,378	3.16	338
7GOLDMAN SACHS GROUP	114,546	2.44	391
8INSTINET CORPORATION	114,154	2.43	250
9LEHMAN BROTHERS, INC.	68,652	1.46	242
10CANTOR, FITZGERALD & CO., INC.	63,727	1.36	152
11JPMORGAN	58,944	1.25	233
12DEUTSCHE BANK SECURITIES, IN	57,336	1.22	228
13JEFFERIES & COMPANY, INC.	54,718	1.16	140
14JONESTRADING INSTITUTIONAL SER	43,237	0.92	97
15RBC CAPITAL MARKETS	38,809	0.83	50
16BTIG - BASS TRADING	38,781	0.83	86

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17BANC OF AMERICA SECURITIES LLC	37,797	0.8	154
18MERRIMAN CURHAN FORD & CO.	32,230	0.69	74
19HUDSON SECURITIES	26,686	0.57	137
20BEAR STEARNS AND COMPANY	26,040	0.55	189
21ITG, INC.	24,158	0.51	112
22PALI CAPITAL LLC	21,119	0.45	45
23CRAIG-HALLUM CAPITAL GROUP	20,970	0.45	8
24RAYMOND JAMES	19,756	0.42	103
25MAXIM GROUP LLC	19,329	0.41	121

<b>NASDAQ 100 Top 25 Brokers YTD</b>	<b>Advertised Vol (000)</b>	<b>% Of Total</b>	<b># of Sec. Trd.</b>
1MORGAN STANLEY	11,377,638	10.99	93
2UBS	11,354,359	10.97	94
3MERRILL LYNCH, PIERCE FENNER	8,888,648	8.59	93
4KNIGHT	8,395,847	8.11	93
5CITIGROUP	7,809,760	7.54	92
6CREDIT SUISSE	6,430,470	6.21	93
7LEHMAN BROTHERS, INC.	6,152,840	5.94	93
8JPMORGAN	6,044,680	5.84	93
9GOLDMAN SACHS GROUP	5,365,045	5.18	93
10BANC OF AMERICA SECURITIES LLC	4,546,792	4.39	93
11INSTINET CORPORATION	4,084,126	3.95	93
12DEUTSCHE BANK SECURITIES, IN	3,795,778	3.67	93
13RBC CAPITAL MARKETS	2,118,768	2.05	93
14JEFFERIES & COMPANY, INC.	1,711,198	1.65	93
15BEAR STEARNS AND COMPANY	1,206,612	1.17	93
16ITG, INC.	1,110,225	1.07	92
17COWEN & CO.	919,476	0.89	92
18WACHOVIA SECURITIES	891,066	0.86	93
19PIPER JAFFRAY & CO.	725,311	0.7	93
20WEEDEN & COMPANY, INC.	654,047	0.63	93
21ROBERT W. BAIRD CO. INCORPORAT	636,816	0.62	93
22SANFORD C. BERNSTEIN COMPANY,	630,928	0.61	93
23THOMAS WEISEL PARTNERS LLC	607,031	0.59	93
24SUSQUEHANNA INTERNATIONAL GRP.	597,317	0.58	93
25OPPENHEIMER & CO	559,012	0.54	92

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