

The best route to global liquidity starts here.

September 2007 YTD

Special Insert

NASDAQ – National Market & Small Cap Market Top 25 (Securities Traded 1-100)	Adv. Vol. (000)	# of Stocks
1TORONTO DOMINION SECURITIES (U	866	4
2CRAIG-HALLUM CAPITAL GROUP	580	75
3CRT CAPITAL GROUP LLC	514	78
4DAHLMAN ROSE & CO., LLC.	297	54
5ING FINANCIAL MARKETS	282	2
6SANDERS MORRIS HARRIS	270	31
7DOUGHERTY & COMPANY LLC	260	39
8UTENDAHL CAPITAL PARTNERS.	249	1
9KAUFMAN BROS., L.P.	224	17
10AVIAN SECURITIES	190	63
11BMO CAPITAL MARKETS	189	80
12MORGAN STANLEY EUROPE	184	1
13OTA OFF THE RECORD RESEARCH	182	71
14AMERICAN TECHNOLOGY RESEARCH	171	42
15THE BUCKINGHAM RESEARCH GROUP,	163	4
16INTERNATIONAL STRATEGY & INV	160	2
17LABRANCHE FINANCIAL SERVICES	157	97
18CARIS & COMPANY	153	39
19COLLINS STEWART	140	34
20AVONDALE PARTNERS	119	68
21HUNTLEIGH SECURITIES CORP.	117	2
22NORTHLAND SECURITIES	110	44
23W.R. HAMBRECHT & COMPANY, LLC	99	40
24AUTEX	93	3
25MIDWEST RESEARCH	93	86

NASDAQ – National Market & Small Cap Market Top 25 (Securities Traded 1-250)	Adv. Vol. (000)	# of Stocks
1CITIGROUP	866	4
2CRAIG-HALLUM CAPITAL GROUP	580	75
3JMP SECURITIES	515	141
4CRT CAPITAL GROUP LLC	514	78
5PACIFIC CREST SECURITIES	366	108
6DAHLMAN ROSE & CO., LLC.	297	54
7ING FINANCIAL MARKETS	282	2
8SANDERS MORRIS HARRIS	270	31
9DOUGHERTY & COMPANY LLC	260	39
10UTENDAHL CAPITAL PARTNERS.	249	1
11KAUFMAN BROS., L.P.	224	17
12WEDBUSH MORGAN SECURITIES	205	181
13AVIAN SECURITIES	190	63

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14BMO CAPITAL MARKETS	189	80
15MORGAN KEEGAN & CO., INC.	187	157
16MORGAN STANLEY EUROPE	184	1
17OTA OFF THE RECORD RESEARCH	182	71
18CANACCORD ADAMS	181	245
19AMERICAN TECHNOLOGY RESEARCH	171	42
20THE BUCKINGHAM RESEARCH GROUP,	163	4
21INTERNATIONAL STRATEGY & INV	160	2
22LABRANCHE FINANCIAL SERVICES	157	97
23STEPHENS INC.	154	172
24CARIS & COMPANY	153	39
25KEYBANC CAPITAL MARKETS INC.	144	150

NASDAQ – National Market & Small Cap Market Top 25 (Securities Traded 1-500)	Adv. Vol. (000)	# of Stocks
1CITIGROUP	1,260	437
2TORONTO DOMINION SECURITIES (U	866	4
3CRAIG-HALLUM CAPITAL GROUP	580	75
4JMP SECURITIES	515	141
5CRT CAPITAL GROUP LLC	514	78
6PACIFIC CREST SECURITIES	366	108
7DAHLMAN ROSE & CO., LLC.	297	54
8ING FINANCIAL MARKETS	282	2
9RAYMOND, JAMES & ASSOC. INC.	276	355
10SANDERS MORRIS HARRIS	270	31
11ROBERT W. BAIRD CO. INCORPORAT	264	376
12DOUGHERTY & COMPANY LLC	260	39
13UTENDAHL CAPITAL PARTNERS.	249	1
14BTIG - BASS TRADING	246	405
15LEERINK SWANN & CO.	234	255
16THINKEQUITY PARTNERS	225	272
17KAUFMAN BROS., L.P.	224	17
18JONESTRADING INSTITUTIONAL SER	215	389
19FRIEDMAN, BILLINGS, RAMSEY & C	215	350
20WEDBUSH MORGAN SECURITIES	205	181
21AVIAN SECURITIES	190	63
22BMO CAPITAL MARKETS	189	80
23MORGAN KEEGAN & CO., INC.	187	157
24MORGAN STANLEY EUROPE	184	1
25OTA OFF THE RECORD RESEARCH	182	71

NASDAQ – National Market & Small Cap Market Top 25 (Securities Traded 1-750)	Adv. Vol. (000)	# of Stocks
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1CITIGROUP	1,260	437
2TORONTO DOMINION SECURITIES (U	866	4
3CRAIG-HALLUM CAPITAL GROUP	580	75
4JMP SECURITIES	515	141
5CRT CAPITAL GROUP LLC	514	78
6PACIFIC CREST SECURITIES	366	108
7WACHOVIA SECURITIES	338	594
8DAHLMAN ROSE & CO., LLC.	297	54
9ING FINANCIAL MARKETS	282	2
10RAYMOND, JAMES & ASSOC. INC.	276	355
11THOMAS WEISEL PARTNERS LLC	273	579
12SANDERS MORRIS HARRIS	270	31
13ROBERT W. BAIRD CO. INCORPORAT	264	376
14DOUGHERTY & COMPANY LLC	260	39
15UTENDAHL CAPITAL PARTNERS.	249	1
16BTIG - BASS TRADING	246	405
17LEERINK SWANN & CO.	234	255
18THINKEQUITY PARTNERS	225	272
19KAUFMAN BROS., L.P.	224	17
20JONESTRADING INSTITUTIONAL SER	215	389
21FRIEDMAN, BILLINGS, RAMSEY & C	215	350
22WEDBUSH MORGAN SECURITIES	205	181
23AVIAN SECURITIES	190	63
24BMO CAPITAL MARKETS	189	80
25MORGAN KEEGAN & CO., INC.	187	157

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-500)	Vol. (000)	Stocks
1UTENDAHL CAPITAL PARTNERS.	422	10
2DAHLMAN ROSE & CO., LLC.	371	104
3ABEL NOSER CORP	329	6
4AMERICAN TECHNOLOGY RESEARCH	300	1
5JMP SECURITIES	268	182
6CRT CAPITAL GROUP LLC	263	225
7MORGAN STANLEY EUROPE	246	9
8FOX-PITT KELTON, INC.	235	182
9SIMMONS AND COMPANY INTERNATIO	216	175
10GREEN STREET ADVISORS	215	120
11ROBERT W. BAIRD CO. INCORPORAT	213	365
12LEERINK SWANN & CO.	210	142
13COKER, PALMER, PHILLIPS & MULL	210	13
14STIFEL NICOLAUS CAPITAL MARKET	196	450
15CARIS & COMPANY	194	44
16PACIFIC CREST SECURITIES	191	22

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17BTIG - BASS TRADING	190	362
18TORONTO DOMINION SECURITIES (U	187	20
19KEEFE, BRUYETTE & WOODS, INC.	183	471
20SANDERS MORRIS HARRIS	175	15
21THINKEQUITY PARTNERS	168	86
22CRAIG-HALLUM CAPITAL GROUP	158	4
23MIDWEST RESEARCH	150	124
24KEYBANC CAPITAL MARKETS INC.	148	480
25MAXIM GROUP LLC	141	443

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-1000)	Vol. (000)	Stocks
1CITIGROUP	946	641
2E-TRADE CAPITAL MARKETS	595	961
3UTENDAHL CAPITAL PARTNERS.	422	10
4DAHLMAN ROSE & CO., LLC.	371	104
5ABEL NOSER CORP	329	6
6AMERICAN TECHNOLOGY RESEARCH	300	1
7JONESTRADING INSTITUTIONAL SER	281	637
8JMP SECURITIES	268	182
9CRT CAPITAL GROUP LLC	263	225
10COWEN & CO.	252	897
11MORGAN STANLEY EUROPE	246	9
12WACHOVIA SECURITIES	236	811
13FRIEDMAN, BILLINGS, RAMSEY & C	235	610
14FOX-PITT KELTON, INC.	235	182
15SIMMONS AND COMPANY INTERNATIO	216	175
16GREEN STREET ADVISORS	215	120
17ROBERT W. BAIRD CO. INCORPORAT	213	365
18LEERINK SWANN & CO.	210	142
19COKER, PALMER, PHILLIPS & MULL	210	13
20THOMAS WEISEL PARTNERS LLC	197	682
21STIFEL NICOLAUS CAPITAL MARKET	196	450
22CARIS & COMPANY	194	44
23RAYMOND, JAMES & ASSOC. INC.	193	920
24PACIFIC CREST SECURITIES	191	22
25BTIG - BASS TRADING	190	362

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-1750)	Vol. (000)	Stocks
1CITIGROUP	946	641

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2RBC CAPITAL MARKETS	791	1175
3E-TRADE CAPITAL MARKETS	595	961
4UTENDAHL CAPITAL PARTNERS.	422	10
5JEFFERIES & COMPANY, INC.	398	1190
6DAHLMAN ROSE & CO., LLC.	371	104
7ABEL NOSER CORP	329	6
8AMERICAN TECHNOLOGY RESEARCH	300	1
9JONESTRADING INSTITUTIONAL SER	281	637
10JMP SECURITIES	268	182
11WEEDEN & COMPANY, INC.	265	1501
12CRT CAPITAL GROUP LLC	263	225
13SANFORD C. BERNSTEIN COMPANY,	255	1020
14COWEN & CO.	252	897
15MORGAN STANLEY EUROPE	246	9
16CANTOR, FITZGERALD & CO., INC.	242	1071
17WACHOVIA SECURITIES	236	811
18FRIEDMAN, BILLINGS, RAMSEY & C	235	610
19FOX-PITT KELTON, INC.	235	182
20SIMMONS AND COMPANY INTERNATIO	216	175
21GREEN STREET ADVISORS	215	120
22ROBERT W. BAIRD CO. INCORPORAT	213	365
23LEERINK SWANN & CO.	210	142
24COKER, PALMER, PHILLIPS & MULL	210	13
25THOMAS WEISEL PARTNERS LLC	197	682

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-2500)	Vol. (000)	Stocks
1MORGAN STANLEY	2,159	2149
2CREDIT SUISSE	1,030	2224
3LEHMAN BROTHERS, INC.	1,010	2311
4CITIGROUP	946	641
5DEUTSCHE BANK SECURITIES, IN	892	2261
6JPMORGAN	866	1856
7RBC CAPITAL MARKETS	791	1175
8BANC OF AMERICA SECURITIES LLC	774	1815
9E-TRADE CAPITAL MARKETS	595	961
10BEAR STEARNS AND COMPANY	535	2161
11GOLDMAN SACHS GROUP	475	1950
12UTENDAHL CAPITAL PARTNERS.	422	10
13JEFFERIES & COMPANY, INC.	398	1190
14DAHLMAN ROSE & CO., LLC.	371	104
15ABEL NOSER CORP	329	6
16AMERICAN TECHNOLOGY RESEARCH	300	1
17JONESTRADING INSTITUTIONAL SER	281	637

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18JMP SECURITIES	268	182
19WEEDEN & COMPANY, INC.	265	1501
20CRT CAPITAL GROUP LLC	263	225
21SANFORD C. BERNSTEIN COMPANY,	255	1020
22COWEN & CO.	252	897
23MORGAN STANLEY EUROPE	246	9
24CANTOR, FITZGERALD & CO., INC.	242	1071
25INSTINET CORPORATION	240	1769